

House of Representatives, April 6, 1998. The Committee on Government Administration and Elections reported through REP. BYSIEWICZ, 100th DIST., Chairman of the Committee on the part of the House, that the substitute bill ought to pass.

AN ACT REVISING CAMPAIGN FINANCE LAWS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 9-333a of the general  
2 statutes, as amended by section 11 of public act  
3 97-5 of the June 18 special session, is repealed  
4 and the following is substituted in lieu thereof:

5 As used in this chapter:

6 (1) "Committee" means a party committee,  
7 political committee or a candidate committee  
8 organized, [as the case may be,] FORMED OR  
9 ESTABLISHED for a single primary, election or  
10 referendum, or for ongoing political activities,  
11 AS THE CASE MAY BE, to aid or promote the success  
12 or defeat of any political party, any one or more  
13 candidates for public office, or the position of  
14 convention delegate or town committee member or  
15 any referendum question.

16 (2) "Party committee" means a state central  
17 committee or a town committee. "Party committee"  
18 does not mean a party-affiliated or district, ward  
19 or borough committee which receives all of its  
20 funds from the state central committee of its  
21 party or from a single town committee with the  
22 same party affiliation. Any such committee so  
23 funded shall be construed to be a part of its

24 state central or town committee for purposes of  
25 this chapter.

26 (3) "Political committee" means (A) a  
27 committee organized by a business entity or  
28 organization, (B) persons other than individuals,  
29 or two or more individuals organized or acting  
30 jointly conducting their activities in or outside  
31 the state, (C) a committee established by a  
32 candidate to determine the particular public  
33 office to which he shall seek nomination or  
34 election, and referred to in this chapter as an  
35 exploratory committee or (D) a committee  
36 established by or on behalf of a slate of  
37 candidates in a primary for the position of  
38 convention delegate, but does not mean a candidate  
39 committee or a party committee.

40 (4) "Candidate committee" means any committee  
41 designated by a single candidate, or established  
42 with the consent, authorization or cooperation of  
43 a candidate, for the purpose of a single primary  
44 or election and to aid or promote his candidacy  
45 alone for a particular public office or the  
46 position of town committee member, but does not  
47 mean a political committee or a party committee.

48 (5) "National committee" means the  
49 organization which according to the bylaws of a  
50 political party is responsible for the day-to-day  
51 operation of the party at the national level.

52 (6) "Organization" means all labor  
53 organizations, (A) as defined in the  
54 Labor-Management Reporting and Disclosure Act of  
55 1959, as from time to time amended, or (B) as  
56 defined in subdivision (9) of section 31-101,  
57 employee organizations as defined in subsection  
58 (d) of section 5-270 and subdivision (6) of  
59 section 7-467, bargaining representative  
60 organizations for teachers, any local, state or  
61 national organization, to which a labor  
62 organization pays membership or per capita fees,  
63 based upon its affiliation or membership, and  
64 trade or professional associations which receive  
65 their funds exclusively from membership dues,  
66 whether organized in or outside of this state, but  
67 does not mean a candidate committee, party  
68 committee or a political committee.

69 (7) "Business entity" means the following,  
70 whether organized in or outside of this state:  
71 Stock corporations, banks, insurance companies,

72 business associations, bankers associations,  
73 insurance associations, trade or professional  
74 associations which receive funds from membership  
75 dues and other sources, partnerships, joint  
76 ventures, private foundations, as defined in  
77 Section 509 of the Internal Revenue Code of 1986,  
78 or any subsequent corresponding internal revenue  
79 code of the United States, as from time to time  
80 amended; trusts or estates; corporations organized  
81 under sections 38a-175 to 38a-192, inclusive,  
82 38a-199 to 38a-209, inclusive, and 38a-214 to  
83 38a-225, inclusive, and chapters 594 to 597,  
84 inclusive; cooperatives, and any other  
85 association, organization or entity which is  
86 engaged in the operation of a business or  
87 profit-making activity; but does not include  
88 professional service corporations organized under  
89 chapter 594a and owned by a single individual,  
90 nonstock corporations which are not engaged in  
91 business or profit-making activity, organizations,  
92 as defined in subdivision (6) of this section,  
93 candidate committees, party committees and  
94 political committees as defined in this section.  
95 For purposes of this chapter, corporations which  
96 are component members of a controlled group of  
97 corporations, as those terms are defined in  
98 Section 1563 of the Internal Revenue Code of 1986,  
99 or any subsequent corresponding internal revenue  
100 code of the United States, as from time to time  
101 amended, shall be deemed to be one corporation.

102 (8) "Individual" means a human being, a sole  
103 proprietorship, or a professional service  
104 corporation organized under chapter 594a and owned  
105 by a single human being.

106 (9) "Person" means an individual, committee,  
107 firm, partnership, organization, association,  
108 syndicate, company trust, corporation, limited  
109 liability company or any other legal entity of any  
110 kind but does not mean the state or any political  
111 or administrative subdivision of the state.

112 (10) "Candidate" means an individual who  
113 seeks nomination for election or election to  
114 public office whether or not such individual is  
115 elected, and for the purposes of this chapter an  
116 individual shall be deemed to seek nomination for  
117 election or election if he has (A) been endorsed  
118 by a party or become eligible for a position on  
119 the ballot at an election or primary or (B)

120 solicited or received contributions or made  
121 expenditures or given his consent to any other  
122 person to solicit or receive contributions or make  
123 expenditures with the intent to bring about his  
124 nomination for election or election to any such  
125 office. "Candidate" also means a slate of  
126 candidates which is to appear on the ballot in a  
127 primary for the position of convention delegate.  
128 For the purposes of sections 9-333 to 9-333l,  
129 inclusive, AS AMENDED BY THIS ACT, and section  
130 9-333w, AS AMENDED BY THIS ACT, "candidate" also  
131 means an individual who is a candidate in a  
132 primary for town committee members.

133 (11) ["Campaign treasurer"] "TREASURER" means  
134 the individual appointed by a candidate or by the  
135 chairman of a party committee or a political  
136 committee to receive and disburse funds on behalf  
137 of the candidate or committee.

138 (12) "Deputy [campaign] treasurer" means the  
139 individual appointed by the candidate or by the  
140 chairman of a committee to serve in the capacity  
141 of the [campaign] treasurer if the [campaign]  
142 treasurer is unable to perform his duties.

143 (13) "Solicitor" means an individual  
144 appointed by a [campaign] treasurer of a committee  
145 to receive, but not to disburse, funds on behalf  
146 of the committee.

147 (14) "Referendum question" means a question  
148 to be voted upon at any election or referendum,  
149 including a proposed constitutional amendment.

150 (15) "Lobbyist" means a lobbyist as defined  
151 in subsection (1) of section 1-91.

152 (16) "Business with which he is associated"  
153 means any business in which the contributor is a  
154 director, officer, owner, limited or general  
155 partner or holder of stock constituting five per  
156 cent or more of the total outstanding stock of any  
157 class. Officer refers only to the president,  
158 executive or senior vice-president or treasurer of  
159 such business.

160 (17) "Independent expenditure" means an  
161 expenditure that is made without the consent,  
162 knowing participation, or consultation of, a  
163 candidate or agent of the candidate committee.  
164 "Independent expenditure" does not include an  
165 expenditure (A) if there is any coordination or  
166 direction with respect to the expenditure between  
167 the candidate or the treasurer, deputy treasurer

168 or chairman of his candidate committee and the  
169 person making the expenditure or (B) if, during  
170 the same election cycle, the individual making the  
171 expenditure serves or has served as the treasurer,  
172 deputy treasurer or chairman of the candidate  
173 committee.

174 Sec. 2. Section 9-333b of the general  
175 statutes, as amended by section 9 of public act  
176 97-5 of the June 18 special session, is repealed  
177 and the following is substituted in lieu thereof:

178 (a) As used in this chapter, "contribution"  
179 means:

180 (1) Any gift, subscription, loan, advance,  
181 payment or deposit of money or anything of value,  
182 WHEN made BY ANY PERSON for the purpose of  
183 influencing the nomination for election, or  
184 election, of any person or for the purpose of  
185 aiding or promoting the success or defeat of any  
186 referendum question or on behalf of any political  
187 party;

188 (2) A written contract, promise or agreement  
189 to make a contribution for any such purpose;

190 (3) The payment by any person, other than a  
191 candidate or [campaign] treasurer, of compensation  
192 for the personal services of any other person  
193 which are rendered without charge to a committee  
194 or candidate for any such purpose;

195 (4) An expenditure when made by a person with  
196 the cooperation of, or in consultation with, any  
197 candidate, candidate committee or candidate's  
198 agent or which is made in concert with, or at the  
199 request or suggestion of, any candidate, candidate  
200 committee or candidate's agent; or

201 (5) Funds received by a committee which are  
202 transferred from another committee or other source  
203 for any such purpose.

204 (b) As used in this chapter, "contribution"  
205 does not mean:

206 (1) A loan of money made in the ordinary  
207 course of business by a national or state bank;

208 (2) Any communication made by a corporation  
209 [, organization or association] to its [members,]  
210 owners, stockholders, executive or administrative  
211 personnel, or their families;

212 (3) ANY COMMUNICATION MADE BY AN ORGANIZATION  
213 OR ASSOCIATION TO ITS MEMBERS OR THEIR FAMILIES;

214 [(3)] (4) Nonpartisan [voter registration and  
215 get-out-the-vote campaigns by any corporation,

216 organization or association aimed at its members,  
217 owners, stockholders, executive or administrative  
218 personnel, or their families] ACTIVITY DESIGNED TO  
219 ENCOURAGE INDIVIDUALS TO VOTE OR TO REGISTER TO  
220 VOTE;

221 [(4)] (5) Uncompensated services provided by  
222 individuals volunteering their time;

223 [(5)] (6) The use of real or personal  
224 property, and the cost of invitations, food or  
225 beverages, voluntarily provided by an individual  
226 to a candidate or on behalf of a state central or  
227 town committee, in rendering voluntary personal  
228 services for candidate or party-related activities  
229 at the individual's residence, to the extent that  
230 the cumulative value of the invitations, food or  
231 beverages provided by the individual on behalf of  
232 any single candidate does not exceed two hundred  
233 dollars with respect to any single election, and  
234 on behalf of all state central and town committees  
235 does not exceed four hundred dollars in any  
236 calendar year;

237 [(6)] (7) The sale of food or beverage for  
238 use in a candidate's campaign or for use by a  
239 state central or town committee at a discount, if  
240 the charge is not less than the cost to the  
241 vendor, to the extent that the cumulative value of  
242 the discount given to or on behalf of any single  
243 candidate does not exceed two hundred dollars with  
244 respect to any single election, and on behalf of  
245 all state central and town committees does not  
246 exceed four hundred dollars in a calendar year;

247 [(7)] (8) Any unreimbursed payment for travel  
248 expenses made by an individual who on his own  
249 behalf volunteers his personal services to any  
250 single candidate to the extent the cumulative  
251 value does not exceed two hundred dollars with  
252 respect to any single election, and on behalf of  
253 all state central or town committees does not  
254 exceed four hundred dollars in a calendar year;

255 [(8)] (9) The payment, by a party committee,  
256 political committee or an individual, of the costs  
257 of preparation, display, mailing or other  
258 distribution incurred by the committee or  
259 individual with respect to any printed slate card,  
260 sample ballot or other printed list containing the  
261 names of three or more candidates;

262 [(9)] (10) The donation of any item of  
263 personal property by an individual to a committee

264 for a fund-raising affair, including a tag sale or  
265 auction, or the purchase by an individual of any  
266 such item at such an affair, to the extent that  
267 the cumulative value donated or purchased does not  
268 exceed fifty dollars;

269 [(10)] (11) The purchase of advertising  
270 space, which clearly identifies the purchaser, in  
271 a program for a fund-raising affair, provided the  
272 cumulative purchase of such space does not exceed  
273 two hundred fifty dollars from any single  
274 candidate or his committee with respect to any  
275 single election campaign or two hundred fifty  
276 dollars from any single party committee or other  
277 political committee in any calendar year if the  
278 purchaser is a business entity or fifty dollars  
279 for purchases by any other person;

280 [(11)] (12) The payment of money by a  
281 candidate OR A CANDIDATE'S SPOUSE to his candidate  
282 committee;

283 [(12)] (13) The donation of goods or services  
284 by a business entity to a committee for a  
285 fund-raising affair, including a tag sale or  
286 auction, to the extent that the cumulative value  
287 donated does not exceed one hundred dollars; [or

288 (13)] (14) The advance of a security deposit  
289 by an individual to a telephone company, as  
290 defined in section 16-1, for telecommunications  
291 service for a committee, provided the security  
292 deposit is refunded to the individual; OR

293 (15) THE PURCHASE OF FOOD OR BEVERAGE BY AN  
294 INDIVIDUAL, IN AN AMOUNT NOT EXCEEDING FIFTEEN  
295 DOLLARS PER INDIVIDUAL, FROM A COMMITTEE AT A TOWN  
296 OR COUNTY FAIR.

297 Sec. 3. Section 9-333c of the general  
298 statutes is repealed and the following is  
299 substituted in lieu thereof:

300 (a) As used in this chapter, the term  
301 "expenditure" means:

302 (1) Any purchase, payment, distribution,  
303 loan, advance, deposit or gift of money or  
304 anything of value, when made BY ANY PERSON for the  
305 purpose of influencing the nomination for  
306 election, or election, of any person or for the  
307 purpose of aiding or promoting the success or  
308 defeat of any referendum question or on behalf of  
309 any political party;

310 (2) The transfer of funds by a committee to  
311 another committee.

312 (b) The term "expenditure" does not mean:  
313 (1) A loan of money, made in the ordinary  
314 course of business, by a state or national bank;  
315 (2) A communication made by any corporation  
316 [, organization or association to its members,] TO  
317 ITS owners, stockholders, executive or  
318 administrative personnel, or their families;  
319 (3) A COMMUNICATION MADE BY ANY ORGANIZATION  
320 OR ASSOCIATION TO ITS MEMBERS OR THEIR FAMILIES;  
321 [(3)] (4) Nonpartisan [voter registration and  
322 get-out-the-vote campaigns by any corporation,  
323 organization or association aimed at its members,  
324 owners, stockholders, executive or administrative  
325 personnel, or their families] ACTIVITY DESIGNED TO  
326 ENCOURAGE INDIVIDUALS TO REGISTER TO VOTE OR TO  
327 VOTE;  
328 [(4)] (5) Uncompensated services provided by  
329 individuals volunteering their time;  
330 [(5)] (6) Any news story, commentary or  
331 editorial distributed through the facilities of  
332 any broadcasting station, newspaper, magazine or  
333 other periodical, unless such facilities are owned  
334 or controlled by any political party, committee or  
335 candidate;  
336 [(6)] (7) The use of real or personal  
337 property, and the cost of invitations, food or  
338 beverages, voluntarily provided by an individual  
339 to a candidate or on behalf of a state central or  
340 town committee, in rendering voluntary personal  
341 services for candidate or party-related activities  
342 at the individual's residence, to the extent that  
343 the cumulative value of the invitations, food or  
344 beverages provided by the individual on behalf of  
345 any single candidate for nomination or election  
346 does not exceed two hundred dollars with respect  
347 to any single election, and on behalf of all state  
348 central and town committees does not exceed four  
349 hundred dollars in a calendar year; or  
350 [(7)] (8) Any unreimbursed payment for travel  
351 expenses made by an individual who, on his own  
352 behalf, volunteers his personal services to any  
353 single candidate to the extent that the cumulative  
354 value does not exceed two hundred dollars with  
355 respect to any single election, and on behalf of  
356 all state or town committees does not exceed four  
357 hundred dollars in a calendar year.  
358 (c) "Expense incurred but not paid" means any  
359 receipt of goods or services for which payment is

360 required but not made or a written contract,  
361 promise or agreement to make an expenditure.

362 Sec. 4. Section 9-333d of the general  
363 statutes is repealed and the following is  
364 substituted in lieu thereof:

365 (a) Except with respect to an individual  
366 acting on his own, no contributions may be made,  
367 solicited or received, and no expenditures may be  
368 made, directly or indirectly, in aid of or in  
369 opposition to the candidacy for nomination or  
370 election of any individual or any party or  
371 referendum question, unless (1) the candidate or  
372 chairman of the committee has filed a REGISTRATION  
373 STATEMENT THAT INCLUDES A designation of a  
374 [campaign] treasurer and a depository institution  
375 situated in this state as the depository for the  
376 committee's funds or (2) the candidate or, in the  
377 event of a referendum question, a group of  
378 individuals has filed a certification OF LIMITED  
379 REGISTRATION in accordance with the provisions of  
380 section 9-333f or 9-333g, AS AMENDED BY THIS ACT,  
381 as the case may be. In the case of a political  
382 committee, the filing of the REGISTRATION  
383 statement [of organization] by the chairman of  
384 such committee, in accordance with the provisions  
385 of section 9-333g, AS AMENDED BY THIS ACT, shall  
386 constitute compliance with the provisions of this  
387 subsection.

388 (b) No contribution in aid of or in  
389 opposition to the candidacy of any person or to  
390 any party or referendum question shall be made at  
391 any time, except to the committee's [campaign]  
392 treasurer whose designation is on file with the  
393 proper authority, a solicitor, a candidate who is  
394 exempt from the requirement to form a candidate  
395 committee and has filed a certification OF LIMITED  
396 REGISTRATION, or a group of individuals which have  
397 joined solely to support or oppose a referendum  
398 question and have filed a certification OF LIMITED  
399 REGISTRATION.

400 (c) An individual who is designated as  
401 [campaign] treasurer of a committee shall be  
402 responsible for all duties required of him under  
403 this chapter until the committee is terminated.  
404 The [campaign] treasurer shall be relieved of such  
405 duties upon his permanent incapacity, resignation  
406 or replacement, provided a statement to that  
407 effect is filed with the proper authority, as

408 provided in section 9-333e, AS AMENDED BY THIS  
409 ACT. In the event of the death of the [campaign]  
410 treasurer or after a statement has been filed  
411 concerning the [campaign] treasurer's incapacity,  
412 resignation or replacement, if a deputy [campaign]  
413 treasurer has been designated, the deputy  
414 [campaign] treasurer shall be responsible for all  
415 duties required of the [campaign] treasurer under  
416 this chapter until the candidate or chairman of  
417 the committee files with the proper authority a  
418 designation of a successor [campaign] treasurer.  
419 If a deputy [campaign] treasurer has not been  
420 designated, the candidate or chairman shall  
421 designate a successor [campaign] treasurer and  
422 file such designation with the proper authority  
423 not more than ten days after the death of the  
424 [campaign] treasurer or the filing of the  
425 statement of his incapacity, resignation or  
426 replacement.

427 Sec. 5. Section 9-333e of the general  
428 statutes is repealed and the following is  
429 substituted in lieu thereof:

430 (a) [Statements] REGISTRATION STATEMENTS  
431 filed by party committees, political committees  
432 formed to aid or promote the success or defeat of  
433 a referendum question proposing a constitutional  
434 convention, constitutional amendment or revision  
435 of the constitution, individual lobbyists, and  
436 those political committees and candidate  
437 committees formed to aid or promote the success or  
438 defeat of any candidate for the office of  
439 Governor, Lieutenant Governor, Secretary of the  
440 State, Treasurer, Comptroller, Attorney General,  
441 sheriff, judge of probate and members of the  
442 General Assembly, shall be filed with the office  
443 of the Secretary of the State. A copy of each  
444 REGISTRATION statement filed by a town committee  
445 shall be filed at the same time with the town  
446 clerk of the municipality in which the committee  
447 is situated. A political committee formed for a  
448 slate of candidates in a primary for the position  
449 of convention delegate shall file REGISTRATION  
450 statements with both the secretary of the state  
451 and the town clerk of the municipality in which  
452 the primary is to be held.

453 (b) [Statements] REGISTRATION STATEMENTS  
454 filed by political committees formed solely to aid  
455 or promote the success or defeat of a referendum

456 question to be voted upon by the electors of a  
457 single municipality and those political committees  
458 or candidate committees formed to aid or promote  
459 the success or defeat of any candidate for public  
460 office, other than those enumerated in subsection  
461 (a), or the position of town committee member  
462 shall be filed only with the town clerk of the  
463 municipality in which the election or referendum  
464 is to be held. Each unsalaried town clerk shall be  
465 entitled to receive ten cents from the town for  
466 the filing of each such statement.

467 (c) A certification OF LIMITED REGISTRATION  
468 of a candidate who is exempt from the requirement  
469 of subsection (a) of section 9-333f to [form] FILE  
470 a candidate committee REGISTRATION STATEMENT shall  
471 be filed with the Secretary of the State if the  
472 candidate seeks an office enumerated in subsection  
473 (a) of this section, or with the town clerk of the  
474 municipality in which the election is to be held  
475 if the candidate seeks an office other than those  
476 enumerated. A certification OF LIMITED  
477 REGISTRATION of a group of individuals who have  
478 joined solely to aid or promote a referendum  
479 question and who are exempt from the requirement  
480 to [form] FILE a political committee REGISTRATION  
481 STATEMENT under section 9-333g, AS AMENDED BY THIS  
482 ACT, shall be filed with the town clerk of each  
483 municipality in which the referendum is to be  
484 held.

485 Sec. 6. Section 9-333f of the general  
486 statutes, as amended by section 15 of public act  
487 97-5 of the June 18 special session, is repealed  
488 and the following is substituted in lieu thereof:

489 (a) Each INDIVIDUAL WHO BECOMES A candidate  
490 for a particular public office or the position of  
491 town committee member shall form a single  
492 candidate committee for which he shall designate a  
493 [campaign] treasurer and a depository institution  
494 situated in this state as the depository for the  
495 committee's funds. [and shall file a committee  
496 statement containing such designations with the  
497 proper authority as required by section 9-333e.]  
498 The candidate may also designate a deputy  
499 [campaign] treasurer. [on such committee  
500 statement. The campaign treasurer and any deputy  
501 campaign treasurer so designated shall sign a  
502 statement accepting such designation which the  
503 candidate shall include as part of, or file with,

504 the committee statement.] THE INDIVIDUAL SHALL  
505 FILE A COMMITTEE REGISTRATION STATEMENT CONTAINING  
506 SUCH DESIGNATIONS WITH THE PROPER AUTHORITY AS  
507 REQUIRED BY SECTION 9-333e, AS AMENDED BY THIS  
508 ACT, PROVIDED ANY SUCH REGISTRATION STATEMENT MAY  
509 ONLY BE FILED IF THE TREASURER OR DEPUTY  
510 TREASURER, IF APPLICABLE, HAS GIVEN THE CANDIDATE  
511 NOTICE OF ACCEPTANCE OF THE CANDIDATE'S  
512 APPOINTMENT TO SUCH POSITION OR POSITIONS.

513 (b) The formation of a candidate committee by  
514 a candidate and the filing of FINANCIAL DISCLOSURE  
515 statements pursuant to section 9-333j, AS AMENDED  
516 BY THIS ACT, shall not be required if the  
517 candidate files a certification OF LIMITED  
518 REGISTRATION with the proper authority required by  
519 section 9-333e, at any time prior to the  
520 acceptance of a contribution or making of an  
521 expenditure and any of the following conditions  
522 exist for the campaign: (1) The candidate is one  
523 of a slate of candidates [whose campaigns are  
524 funded solely by] WHO HAS DESIGNATED EITHER a  
525 party committee or a political committee formed  
526 for a single election or primary TO BE THE  
527 AUTHORIZED COMMITTEE FUNDING SUCH CANDIDATE'S  
528 CAMPAIGN and expenditures made on behalf of the  
529 candidate's campaign are reported by the committee  
530 sponsoring his candidacy; OR (2) the candidate  
531 finances his campaign entirely from personal funds  
532 and does not solicit or receive contributions; or  
533 (3) the candidate does not receive or expend funds  
534 in excess of five hundred dollars. If the  
535 candidate no longer qualifies for the [exemption]  
536 CERTIFICATION OF LIMITED REGISTRATION under any of  
537 these conditions, he shall comply with the  
538 provisions of subsection (a) of this section BY  
539 FILING A REGISTRATION STATEMENT, not later than  
540 three business days thereafter, and shall provide  
541 his designated [campaign] treasurer with all  
542 information required for completion of the  
543 treasurer's FINANCIAL DISCLOSURE statements and  
544 filings as required by section 9-333j. If the  
545 candidate no longer qualifies for the [exemption]  
546 CERTIFICATION OF LIMITED REGISTRATION due to the  
547 condition stated in his certification but so  
548 qualifies due to a different condition specified  
549 in this subsection, he shall file an amended  
550 certification OF LIMITED REGISTRATION with the  
551 proper authority and provide the new condition for

552 his qualification not later than three business  
553 days following the change in circumstances of the  
554 financing of his campaign. The filing of a  
555 certification OF LIMITED REGISTRATION under this  
556 subsection shall not relieve the candidate from  
557 compliance with the provisions of this chapter.

558 (c) The chairman of a political committee  
559 formed to support a single candidate for public  
560 office shall, not later than seven days after  
561 filing a [statement of organization] REGISTRATION  
562 STATEMENT with the proper authority under section  
563 9-333e, AS AMENDED BY THIS ACT, send the candidate  
564 a notice, by certified mail, of such filing. If a  
565 candidate (1) does not, within fourteen days after  
566 receiving such notice, disavow such committee, in  
567 writing, to the proper authority under section  
568 9-333e, AS AMENDED BY THIS ACT, or (2) disavows  
569 such committee within such period, but, at any  
570 time before such disavowal, accepts funds from the  
571 committee for his campaign, such committee shall  
572 be deemed to have been authorized by such  
573 candidate and shall constitute a candidate  
574 committee for the purposes of this chapter. No  
575 candidate shall establish, agree to or assist in  
576 establishing, or give his consent or authorization  
577 to establishing a committee other than a single  
578 candidate committee to promote his candidacy for  
579 any public office except that a candidate may  
580 establish a single political committee, for a  
581 single election or primary, for the sole purpose  
582 of determining whether to seek (A) nomination or  
583 election to the General Assembly, (B) a state  
584 office, as defined in subsection (e) of section  
585 9-3331, or (C) nomination or election to any OTHER  
586 public office. The candidate shall designate such  
587 purpose on the [statement of organization]  
588 REGISTRATION STATEMENT. Not later than fifteen  
589 days after a public declaration by the candidate  
590 of his intention to seek nomination or election to  
591 the General Assembly, a state office, as so  
592 defined, or any other particular public office,  
593 the candidate shall form a single candidate  
594 committee.

595 (d) A slate of candidates in a primary for  
596 the position of delegate to the same convention  
597 shall designate a chairperson to form a single  
598 political committee [to comply] BY COMPLYING with  
599 the REGISTRATION requirements of section 9-333g,

600 AS AMENDED BY THIS ACT, except if the individuals  
601 on the slate unanimously consent to have their  
602 campaign financed solely by a town committee or by  
603 the candidate committee of a candidate for state  
604 or district office to which they are committed,  
605 and such committee or candidate consents to such  
606 financing by filing a statement of consent with  
607 both the Secretary of the State and the town clerk  
608 of the municipality in which the primary is to be  
609 held.

610 (e) THE NAME OF THE CANDIDATE SHALL BE  
611 INCLUDED WITHIN THE NAME OF THE CANDIDATE  
612 COMMITTEE ESTABLISHED BY THE CANDIDATE AS SET  
613 FORTH ON THE REGISTRATION STATEMENT THAT IS FILED  
614 WITH THE FILING REPOSITORY.

615 Sec. 7. Section 9-333g of the general  
616 statutes is repealed and the following is  
617 substituted in lieu thereof:

618 (a) The chairperson of each political  
619 committee shall designate a [campaign] treasurer  
620 and may designate a deputy [campaign] treasurer.  
621 [The campaign treasurer and any deputy campaign  
622 treasurer so designated shall sign a statement  
623 accepting the designation.] The chairperson of  
624 each political committee shall file a [statement  
625 of organization along with the statement signed by  
626 the designated campaign treasurer and deputy  
627 campaign treasurer with the proper authority,  
628 within] REGISTRATION STATEMENT NOT MORE THAN ten  
629 days after its organization, provided [that] the  
630 chairperson of any political committee organized  
631 within ten days prior to any primary, election or  
632 referendum in connection with which it intends to  
633 make any contributions or expenditures, shall  
634 immediately file a REGISTRATION statement. ANY  
635 SUCH REGISTRATION STATEMENT MAY ONLY BE FILED IF  
636 THE TREASURER OR DEPUTY TREASURER, IF APPLICABLE,  
637 HAS GIVEN THE CHAIRPERSON NOTICE OF ACCEPTANCE OF  
638 THE CHAIRPERSON'S APPOINTMENT TO SUCH POSITION OR  
639 POSITIONS.

640 (b) The REGISTRATION statement shall include:  
641 (1) The name and address of the committee; (2) THE  
642 TYPE OF COMMITTEE; (3) a statement of the purpose  
643 of the committee THAT SHALL INDICATE WHETHER THE  
644 COMMITTEE IS ORGANIZED FOR A SINGLE PRIMARY,  
645 ELECTION OR REFERENDUM, TOGETHER WITH THE KNOWN OR  
646 APPROXIMATE DATE OF SUCH EVENT, OR WHETHER IT IS  
647 ORGANIZED FOR ONGOING POLITICAL ACTIVITIES; [(3)]

648 (4) the name and address of its [campaign]  
649 treasurer, and ANY deputy [campaign] treasurer, if  
650 applicable; [(4)] (5) the name [,] AND address  
651 [and position of its chairman,] OF ITS CHAIRPERSON  
652 and other principal officers, if applicable; [(5)]  
653 (6) the name and address of the depository  
654 institution for its funds; [(6)] (7) the name of  
655 each person, other than an individual, that is a  
656 member of the committee; [(7)] the name and party  
657 affiliation of each candidate whom the committee  
658 is supporting and the office or position sought by  
659 each candidate; (8) if the committee is supporting  
660 the entire ticket of any party, a statement to  
661 that effect and the name of the party; (9) if the  
662 committee is supporting or opposing] (8) IF THE  
663 COMMITTEE IS ORGANIZED FOR A SINGLE PRIMARY OR  
664 ELECTION AND IS SUPPORTING ONE OR MORE CANDIDATES,  
665 THE NAME AND PARTY AFFILIATION OF EACH SUCH  
666 CANDIDATE, PROVIDED IF THE COMMITTEE IS SUPPORTING  
667 THE ENTIRE TICKET OF ANY PARTY, A STATEMENT TO  
668 THAT EFFECT THAT IDENTIFIES THE NAME OF THE PARTY;  
669 (9) IF THE COMMITTEE IS ORGANIZED FOR A SINGLE  
670 REFERENDUM AND IS ADVOCATING PASSAGE OR DEFEAT OF  
671 any referendum question, a brief statement  
672 identifying the substance of the question; (10) if  
673 the committee is established by a business entity  
674 or organization, A STATEMENT IDENTIFYING the name  
675 of the entity or organization; (11) if the  
676 committee is established by an organization,  
677 whether [it] THE COMMITTEE will receive its funds  
678 from the organization's treasury or from voluntary  
679 contributions; (12) if the committee files reports  
680 with the Federal Elections Commission or any  
681 out-of-state agency, a statement to that effect  
682 including the name of [the] ANY SUCH agency; [(13)]  
683 a statement indicating whether the committee is  
684 established for a single primary, election or  
685 referendum or for ongoing political activities;  
686 and (14)] AND (13) if the committee is established  
687 by or on behalf of a lobbyist, a statement to that  
688 effect and the name AND ADDRESS of the lobbyist.  
689 (c) The chairman of each political committee  
690 shall report any addition to or change in  
691 information previously submitted in a [statement  
692 of organization] REGISTRATION STATEMENT to the  
693 proper authority within ten days after the  
694 addition or change BY FILING AN AMENDED  
695 REGISTRATION STATEMENT.

696 (d) A group of two or more individuals who  
697 have joined solely to promote the success or  
698 defeat of a referendum question shall not be  
699 required to file A REGISTRATION STATEMENT as a  
700 political committee [, make such] OR MAKE THE  
701 designations REQUIRED in accordance with  
702 subsections (a) and (b) of this section or file  
703 FINANCIAL DISCLOSURE statements pursuant to  
704 section 9-333j, AS AMENDED BY THIS ACT, if the  
705 group does not receive or expend in excess of five  
706 hundred dollars for the entire campaign and the  
707 agent of such individuals files a certification OF  
708 LIMITED REGISTRATION with the proper authority or  
709 authorities as required under section 9-333e, AS  
710 AMENDED BY THIS ACT, before an expenditure is  
711 made. The certification OF LIMITED REGISTRATION  
712 shall include the name of the group [, or] AND the  
713 names of the [persons] INDIVIDUALS who comprise  
714 the group, and the name and address of [the agent]  
715 AN AGENT FOR THE GROUP which shall appear on any  
716 communication paid for or sponsored by the group  
717 as required by section 9-333w, AS AMENDED BY THIS  
718 ACT. If the group receives or expends in excess of  
719 five hundred dollars, the agent shall complete the  
720 [statement of organization] REGISTRATION STATEMENT  
721 and file as a political committee not later than  
722 three business days [thereafter] AFTER EXCEEDING  
723 THIS AMOUNT. The agent shall provide the  
724 designated [campaign] treasurer with all FINANCIAL  
725 information required for completion of the  
726 FINANCIAL DISCLOSURE statements for filing as  
727 required by section 9-333j, AS AMENDED BY THIS  
728 ACT. The filing of a certification OF LIMITED  
729 REGISTRATION under this subsection shall not  
730 relieve the group from compliance with the  
731 provisions of this chapter, and the group shall be  
732 considered a political committee established  
733 solely for a referendum question for purposes of  
734 the limitations on contributions and expenditures.

735 (e) THE NAME OF A BUSINESS ENTITY,  
736 ORGANIZATION OR ASSOCIATION SHALL BE INCLUDED  
737 WITHIN THE NAME OF ANY POLITICAL COMMITTEE  
738 ESTABLISHED BY SUCH BUSINESS ENTITY, ORGANIZATION  
739 OR ASSOCIATION AS SET FORTH ON THE REGISTRATION  
740 STATEMENT THAT IS FILED WITH THE FILING  
741 REPOSITORY.

742 Sec. 8. Section 9-333h of the general

743 statutes is repealed and the following is  
744 substituted in lieu thereof:

745 (a) The [campaign] treasurer of each  
746 committee shall be responsible for (1) depositing,  
747 receiving and reporting all contributions and  
748 other funds in the manner specified in section  
749 9-333j, AS AMENDED BY THIS ACT, (2) making and  
750 reporting expenditures, (3) reporting expenses  
751 incurred but not yet paid, (4) filing the  
752 FINANCIAL DISCLOSURE statements required under  
753 section 9-333j, and (5) keeping ALL internal  
754 records of each entry made on such FINANCIAL  
755 DISCLOSURE statements. The [campaign] treasurer of  
756 each committee shall deposit contributions in the  
757 committee's designated depository [within] NOT  
758 MORE THAN seven days after receiving them. The  
759 [campaign] treasurer of each political committee  
760 or party committee which makes a contribution of  
761 goods to another committee shall send written  
762 notice to the [campaign] treasurer of the  
763 recipient committee before the close of the  
764 reporting period during which the contribution was  
765 made. The notice shall be signed by the [campaign]  
766 treasurer of the committee making the contribution  
767 and, shall include the full name of such  
768 committee, the date on which the contribution was  
769 made, a complete description of the contribution  
770 and the value of the contribution. Any dispute  
771 concerning the information contained in such  
772 notice shall be resolved by the [campaign]  
773 treasurer of the recipient committee. Such  
774 resolution shall not impair in any way the  
775 authority of the State Elections Enforcement  
776 Commission under section 9-7b, AS AMENDED. The  
777 [campaign] treasurer of the recipient committee  
778 shall preserve each such notice received for the  
779 period prescribed by subsection (f) of section  
780 9-333i, AS AMENDED BY THIS ACT.

781 (b) A contribution in the form of a check  
782 drawn on a joint bank account shall, for the  
783 purpose of allocation, be deemed to be a  
784 contribution made by the individual who signed the  
785 check. If a check is signed by more than one  
786 individual, the total amount of the check shall be  
787 divided equally among the cosigners for the  
788 purpose of allocation. If a committee receives an  
789 anonymous contribution of more than fifteen  
790 dollars the [campaign] treasurer shall immediately

791 remit the contribution to the State Treasurer. The  
792 State Treasurer shall deposit the contribution in  
793 the General Fund.

794 (c) The [campaign] treasurer of each  
795 committee, other than a political committee  
796 established by an organization which receives its  
797 funds from the organization's treasury, may  
798 appoint solicitors. If solicitors are appointed,  
799 the [campaign] treasurer shall receive and report  
800 all contributions made or promised to each  
801 solicitor. Each solicitor shall submit to the  
802 [campaign] treasurer a list of all contributions  
803 made or promised to him. The list shall be  
804 complete as of seventy-two hours immediately  
805 preceding midnight of the day preceding the dates  
806 on which the [campaign] treasurer is required to  
807 file a [sworn] FINANCIAL DISCLOSURE statement as  
808 provided in section 9-333j, AS AMENDED BY THIS  
809 ACT. Lists shall be received by the [campaign]  
810 treasurer not later than twenty-four hours  
811 immediately preceding each required FINANCIAL  
812 DISCLOSURE STATEMENT filing date. Each solicitor  
813 shall deposit all contributions with the  
814 [campaign] treasurer, within ten days after  
815 receipt. No solicitor shall expend any  
816 contributions received by him or disburse such  
817 contributions to any person other than the  
818 [campaign] treasurer.

819 (d) No person shall act as a [campaign]  
820 treasurer or deputy [campaign] treasurer unless he  
821 is an elector of this state, and a REGISTRATION  
822 statement, signed by the chairman in the case of a  
823 party committee or political committee or by the  
824 candidate in the case of a candidate committee,  
825 designating him as [campaign] treasurer or deputy  
826 [campaign] treasurer has been filed in accordance  
827 with section 9-333e, AS AMENDED BY THIS ACT. In  
828 the case of a political committee, the filing of a  
829 [statement of organization] REGISTRATION STATEMENT  
830 by the chairman of the committee, in accordance  
831 with the provisions of section 9-333g, AS AMENDED  
832 BY THIS ACT, shall constitute compliance with the  
833 filing requirements of this section. No provision  
834 of this subsection shall prevent the [campaign]  
835 treasurer, deputy [campaign] treasurer or  
836 solicitor of any committee from being the  
837 [campaign] treasurer, deputy [campaign] treasurer  
838 or solicitor of any other committee or prevent any

839 committee from having more than one solicitor, but  
840 no [candidate] COMMITTEE shall have more than one  
841 [campaign] treasurer. A candidate shall not serve  
842 as his own [campaign] treasurer or deputy  
843 [campaign] treasurer, except that a candidate who  
844 [is exempt from forming a candidate committee] HAS  
845 FILED A CERTIFICATION OF LIMITED REGISTRATION  
846 under subsection (b) of section 9-333f, [and has  
847 filed a certification that he is financing his  
848 campaign from his own personal funds or is not  
849 receiving or expending in excess of five hundred  
850 dollars] AS AMENDED BY THIS ACT, may perform the  
851 duties of a [campaign] treasurer for his own  
852 campaign.

853 Sec. 9. Section 9-333i of the general  
854 statutes is repealed and the following is  
855 substituted in lieu thereof:

856 (a) No financial obligation shall be incurred  
857 by a committee unless authorized by the [campaign]  
858 treasurer, except that certain expenditures of a  
859 candidate's personal funds may be reimbursed as  
860 provided in subsection (k) of this section.

861 (b) No candidate, [campaign] treasurer, or  
862 committee shall be liable for any debt incurred in  
863 aid of or in opposition to any political party,  
864 referendum question or the candidacy of any person  
865 or persons [for said offices or positions] unless  
866 such debt was [incurred pursuant to an  
867 authorization issued under subsection (a) of this  
868 section] AUTHORIZED BY THE TREASURER.

869 (c) On any day on which an election or  
870 primary is being held, the [campaign] treasurer of  
871 any committee which functions as a town committee  
872 may give a check to one individual in each voting  
873 district of the municipality in which the election  
874 or primary is being held. The check shall be drawn  
875 by the [campaign] treasurer against the  
876 committee's [depository institution] DESIGNATED  
877 DEPOSITORY account to the order of such individual  
878 in an amount not to exceed two hundred fifty  
879 dollars. Such individual may use the proceeds of  
880 the check to make cash expenditures in such voting  
881 district for per diem allotments to campaign  
882 workers, or expenses incurred by campaign workers  
883 on election or primary day, including but not  
884 limited to, food, beverages, gasoline and other  
885 similar ordinary and necessary expenses. Such  
886 individual shall submit to the [campaign]

887 treasurer, within forty-eight hours after the  
888 closing of the polls, a detailed accounting of all  
889 such expenditures. The [campaign] treasurer shall  
890 report the names of all such individuals and the  
891 expenditures made by them in accordance with the  
892 [provisions] FINANCIAL DISCLOSURE REQUIREMENTS of  
893 section 9-333j, AS AMENDED BY THIS ACT.

894 (d) Except as provided in subsections (j) and  
895 (k) of this section, no payment in satisfaction of  
896 any financial obligation incurred by a committee  
897 shall be made by or accepted from any person other  
898 than the [campaign] treasurer and then only  
899 according to the tenor of an authorization issued  
900 pursuant to subsection (a) of this section.

901 (e) Any such payment shall be by check drawn  
902 by the [campaign] treasurer, on the COMMITTEE'S  
903 designated depository ACCOUNT. Each such treasurer  
904 may draw a check, not to exceed one hundred  
905 dollars, to establish a petty cash fund and may  
906 deposit additional funds to maintain it, but the  
907 fund shall not exceed one hundred dollars at any  
908 time. All expenditures from a petty cash fund  
909 shall be reported in the same manner as any other  
910 expenditure.

911 (f) The [campaign] treasurer shall preserve  
912 all internal records of transactions entered in  
913 [reports] THE COMMITTEE'S FINANCIAL DISCLOSURE  
914 REPORTS THAT WERE filed pursuant to section  
915 9-333j, AS AMENDED BY THIS ACT, for four years  
916 from the date of the report in which the  
917 transactions were entered. If any checks are  
918 issued pursuant to subsection (e) of this section,  
919 the [campaign] treasurer who issued them shall  
920 preserve all cancelled checks and bank statements  
921 for four years from the date on which they were  
922 issued. In the case of a candidate committee, the  
923 [campaign] treasurer or the candidate, if the  
924 candidate so requests, shall preserve all SUCH  
925 internal records, cancelled checks and bank  
926 statements for four years from the date of the  
927 last report required to be filed under subsection  
928 (a) of section 9-333j, AS AMENDED BY THIS ACT.

929 (g) (1) As used in this subsection, (A) "the  
930 lawful purposes of his committee" means: (i) For a  
931 candidate committee or exploratory committee, the  
932 promoting of the nomination or election of the  
933 candidate who established the committee; (ii) for  
934 a political committee, the promoting of the

935 success or defeat of candidates for nomination and  
936 election to public office or position subject to  
937 the requirements of this chapter, or the success  
938 or defeat of referendum questions, provided a  
939 political committee formed for a single referendum  
940 question shall not promote the success or defeat  
941 of any candidate, and provided further a political  
942 committee designated by the majority of the  
943 members of a political party who are also members  
944 of the state House of Representatives or the state  
945 Senate may expend funds to defray costs of its  
946 members for conducting legislative or  
947 constituency-related business which are not  
948 reimbursed or paid by the state; and (iii) for a  
949 party committee, the promoting of the party, the  
950 candidates of the party and continuing operating  
951 costs of the party, and (B) "immediate family"  
952 means a spouse or dependent child of a candidate  
953 who resides in the candidate's household.

954 (2) Unless otherwise provided by this  
955 chapter, any [campaign] treasurer, in  
956 accomplishing the lawful purposes of his  
957 committee, may pay the expenses of: (A)  
958 Advertising in electronic and print media; (B) any  
959 other form of printed advertising or  
960 communications including "thank you" advertising  
961 after the election; (C) campaign items, including,  
962 but not limited to, brochures, leaflets, flyers,  
963 invitations, stationery, envelopes, reply cards,  
964 return envelopes, campaign business cards, direct  
965 mailings, postcards, palm cards, "thank you"  
966 notes, sample ballots and other similar items; (D)  
967 political banners and billboards; (E) political  
968 paraphernalia, which is customarily given or sold  
969 to supporters including, but not limited to,  
970 campaign buttons, stickers, pins, pencils, pens,  
971 matchbooks, balloons, pads, calendars, magnets,  
972 key chains, hats, tee shirts, sweatshirts,  
973 frisbees, pot holders, jar openers and other  
974 similar items; (F) purchasing office supplies for  
975 campaign or political purposes, campaign  
976 photographs, raffle or other fund-raising permits  
977 required by law, fund-raiser prizes, postage,  
978 express mail delivery services, bulk mail permits,  
979 and computer supplies and services; (G) banking  
980 service charges to maintain campaign and political  
981 accounts; (H) subscriptions to newspapers and  
982 periodicals which enhance the candidacy of the

983 candidate or party; (I) lease or rental of office  
984 space for campaign or political purposes and  
985 expenses in connection therewith including, but  
986 not limited to, furniture, parking, storage space,  
987 utilities and maintenance, provided a party  
988 committee or political committee organized for  
989 ongoing political activities may purchase such  
990 office space; (J) lease or rental of vehicles for  
991 campaign use only; (K) lease, rental or use  
992 charges of any ordinary and necessary campaign  
993 office equipment including, but not limited to,  
994 copy machines, telephones, postage meters,  
995 facsimile machines, computer hardware, software  
996 and printers, provided a party committee or  
997 political committee organized for ongoing  
998 political activities may purchase office  
999 equipment, and provided further that a candidate  
1000 committee or a political committee, other than a  
1001 political committee formed for ongoing political  
1002 activities or an exploratory committee, may  
1003 purchase computer equipment; (L) compensation for  
1004 campaign or committee staff, fringe benefits and  
1005 payroll taxes, provided the candidate and any  
1006 member of his immediate family shall not receive  
1007 compensation; (M) travel, meals and lodging  
1008 expenses of speakers, campaign or committee  
1009 workers, the candidate and the candidate's spouse  
1010 for political and campaign purposes; (N) fund  
1011 raising; (O) reimbursements to candidates and  
1012 campaign or committee workers made in accordance  
1013 with the provisions of section 9-333i, AS AMENDED  
1014 BY THIS ACT, for campaign-related expenses for  
1015 which a receipt is received by the [campaign]  
1016 treasurer; (P) campaign or committee services of  
1017 attorneys, accountants, consultants or other  
1018 professional persons for campaign activities,  
1019 obtaining or contesting ballot status, nomination,  
1020 or election, and compliance with this chapter; (Q)  
1021 purchasing campaign finance reports; (R) repaying  
1022 permissible campaign loans made to the committee  
1023 that are properly reported and refunding  
1024 contributions received from an impermissible  
1025 source or in excess of the limitations set forth  
1026 in this chapter; (S) conducting polls concerning  
1027 any political party, issue, candidate or  
1028 individual; (T) gifts to campaign or committee  
1029 workers or purchasing flowers or other  
1030 commemorative items for political purposes not to

1031 exceed fifty dollars to any one recipient in a  
1032 calendar year or for the campaign, as the case may  
1033 be; (U) purchasing tickets or advertising from  
1034 charities, inaugural committees, or other civic  
1035 organizations if for a political purpose, for any  
1036 candidate, a candidate's spouse, a member of a  
1037 candidate's campaign staff, or members of  
1038 committees; (V) the inauguration of an elected  
1039 candidate by that candidate's candidate committee;  
1040 (W) hiring of halls, rooms, music and other  
1041 entertainment for political meetings and events;  
1042 (X) reasonable compensation for public speakers  
1043 hired by the committee; (Y) transporting electors  
1044 to the polls and other get-out-the-vote activities  
1045 on election day; [,] and (Z) any other necessary  
1046 campaign or political expense.

1047 (3) Nothing in this section shall prohibit a  
1048 candidate from purchasing equipment from his  
1049 personal funds and leasing or renting such  
1050 equipment to his candidate committee or his  
1051 exploratory committee, provided the candidate and  
1052 his [campaign] treasurer sign a written lease or  
1053 rental agreement. Such agreement shall include the  
1054 lease or rental price, which shall not exceed the  
1055 fair lease or rental value of the equipment. The  
1056 candidate shall not receive lease or rental  
1057 payments which in the aggregate exceed his cost of  
1058 purchasing the equipment.

1059 (4) As used in this subdivision, expenditures  
1060 for "personal use" include expenditures to defray  
1061 normal living expenses for the candidate or the  
1062 immediate family of the candidate and expenditures  
1063 for the personal benefit of the candidate having  
1064 no direct connection with, or effect upon, the  
1065 campaign of the candidate. No goods, services,  
1066 funds and contributions received by any committee  
1067 under this chapter shall be used or be made  
1068 available for the personal use of any candidate.  
1069 No candidate or candidate committee shall use such  
1070 goods, services, funds or contributions for any  
1071 purpose other than campaign purposes permitted by  
1072 this chapter or expenses incurred in preparation  
1073 for taking office.

1074 (h) No [campaign] treasurer of a political  
1075 committee may provide an honorarium to, compensate  
1076 or make a gift to, any elected public official who  
1077 is subject to the provisions of this chapter, for  
1078 any speaking engagement or other services rendered

1079 on behalf of such committee, except that the  
1080 provisions of this subsection shall not apply to:  
1081 (1) Reimbursement for actual travel expenses or  
1082 food and beverage for the personal consumption of  
1083 such public official or members of his immediate  
1084 family, in connection with the rendering of any  
1085 such services by the public official; or (2) any  
1086 contribution made BY SUCH COMMITTEE to such public  
1087 official in connection with his campaign for  
1088 nomination or election to an office or position  
1089 included in this chapter. [, which is reported in  
1090 accordance with the provisions of this chapter.]  
1091 Except as provided in this subsection, no such  
1092 elected public official may receive any gift,  
1093 honorarium or compensation from a political  
1094 committee.

1095 (i) The right of any person to expend money  
1096 for proper legal expenses in maintaining or  
1097 contesting the results of any election shall not  
1098 be affected or limited by the provisions of this  
1099 chapter.

1100 (j) A candidate or his committee worker shall  
1101 be reimbursed by the [campaign] treasurer for any  
1102 permissible expenditure which the candidate or  
1103 committee worker has paid from his own personal  
1104 funds if ALL OF THE FOLLOWING ARE SATISFIED: (1)  
1105 [the campaign] THE treasurer authorized the  
1106 expenditure; [,] (2) the candidate or worker  
1107 provides the [campaign] treasurer with a written  
1108 receipt from the vendor proving his payment of the  
1109 expenditure; [,] and (3) in the case of a  
1110 reimbursement to the candidate [, a detailed  
1111 accounting of the expenditure is included in the  
1112 report of the campaign treasurer] OR WORKER, THE  
1113 REIMBURSEMENT IS REPORTED ON THE FINANCIAL  
1114 DISCLOSURE STATEMENT WITHIN THE APPLICABLE  
1115 REPORTING PERIOD IN WHICH IT WAS MADE. The  
1116 [campaign] treasurer shall preserve all such  
1117 receipts for the same period of time as required  
1118 in the case of cancelled checks, except that the  
1119 [campaign] treasurer of a candidate committee may,  
1120 upon request of the candidate, give such receipts  
1121 to the candidate to keep for such period.

1122 (k) A candidate shall [report] MAKE A WRITTEN  
1123 SUBMISSION to his [campaign] treasurer each  
1124 campaign expenditure of more than fifty dollars  
1125 which he has made directly from his own personal  
1126 funds, except those expenditures for his own

1127 telephone calls, travel and meals for which the  
1128 candidate does not seek reimbursement from his  
1129 committee, by the close of the reporting period in  
1130 which the expenditures were made. The candidate  
1131 shall indicate whether or not he expects  
1132 reimbursement by the committee. The [campaign]  
1133 treasurer shall report all such reimbursed and  
1134 nonreimbursed expenditures as "campaign expenses  
1135 paid by the candidate" on the [sworn] financial  
1136 DISCLOSURE statements he is required to file in  
1137 accordance with section 9-333j, AS AMENDED BY THIS  
1138 ACT, and in the same manner as committee  
1139 expenditures.

1140 (l) Each check issued by the [campaign]  
1141 treasurer of a political committee to a candidate  
1142 committee, party committee or another political  
1143 committee (1) shall have typed, stamped, or  
1144 printed other than by hand, on its face, the name  
1145 and address of the political committee making the  
1146 contribution OR EXPENDITURE, and (2) shall legibly  
1147 indicate the name of the [campaign] treasurer of  
1148 the [political] committee.

1149 (m) Any obligation or restriction imposed by  
1150 this section and sections 9-333j, 9-333l, 9-333m,  
1151 9-333o, 9-333q, 9-333r, 9-333t, 9-333v, 9-333w,  
1152 9-333x and 9-333y, AS AMENDED BY THIS ACT, on a  
1153 [campaign] treasurer [or] OF a candidate committee  
1154 shall be deemed to be imposed on any candidate who  
1155 [is exempt from forming a candidate committee and  
1156 has filed a certification] HAS FILED A  
1157 CERTIFICATION OF LIMITED REGISTRATION pursuant to  
1158 subsection (b) of section 9-333f, AS AMENDED BY  
1159 THIS ACT, with the proper authority.

1160 Sec. 10. Section 9-333j of the general  
1161 statutes, as amended by sections 8 and 16 of  
1162 public act 97-5 of the June 18 special session, is  
1163 repealed and the following is substituted in lieu  
1164 thereof:

1165 (a) (1) Each [campaign] treasurer of a  
1166 committee, other than a state central committee,  
1167 shall file a FINANCIAL DISCLOSURE statement, sworn  
1168 under penalty of false statement with the proper  
1169 authority in accordance with the provisions of  
1170 section 9-333e, AS AMENDED BY THIS ACT, (A) on the  
1171 second Thursday in the months of January, April,  
1172 July and October, (B) on the seventh day preceding  
1173 each regular state election, except that (i) in  
1174 the case of a candidate or exploratory committee

1175 established for an office to be elected at a  
1176 municipal election, the statement shall be filed  
1177 on the seventh day preceding a regular municipal  
1178 election in lieu of [such date] THE REGULAR STATE  
1179 ELECTION FILING, and (ii) in the case of a town  
1180 committee, the statement shall be filed on the  
1181 seventh day preceding each municipal election in  
1182 addition to [such date] THE REGULAR STATE ELECTION  
1183 FILING, and (C) if the committee has made or  
1184 received a contribution or expenditure in  
1185 connection with any other election, a primary or a  
1186 referendum, on the seventh day preceding [the]  
1187 SUCH election, primary or referendum. The  
1188 FINANCIAL DISCLOSURE statement shall be complete  
1189 as of seven days immediately preceding the  
1190 required filing day, and shall cover a period to  
1191 begin with the first day not included in the last  
1192 filed FINANCIAL DISCLOSURE statement except that  
1193 the January statement, when filed by a party  
1194 committee or a political committee organized for  
1195 [the purpose of] ongoing political activities,  
1196 shall cover all contributions made or received and  
1197 all expenditures made as of midnight on December  
1198 thirty-first of the preceding calendar year.

1199 (2) Each [campaign] treasurer of a candidate  
1200 committee, [within] NOT MORE THAN forty-five days  
1201 following any election and [within] NOT MORE THAN  
1202 thirty days following any primary, and each  
1203 [campaign] treasurer of a political committee  
1204 formed for a single primary, election or  
1205 referendum, [within] NOT MORE THAN forty-five days  
1206 after any SUCH election, PRIMARY or referendum,  
1207 shall file FINANCIAL DISCLOSURE statements in the  
1208 same manner as is required of them under  
1209 subdivision (1) of this subsection. If the  
1210 [campaign] treasurer of a candidate committee  
1211 established by a candidate, who is unsuccessful in  
1212 the primary or has terminated his candidacy prior  
1213 to the primary, distributes all surplus funds  
1214 [within] NOT MORE THAN thirty days following the  
1215 scheduled primary and discloses the distribution  
1216 on the [postprimary] POST-PRIMARY FINANCIAL  
1217 DISCLOSURE statement, such [campaign] treasurer  
1218 shall not be required to file any subsequent  
1219 FINANCIAL DISCLOSURE statement unless the  
1220 committee has a deficit, in which case he shall  
1221 file any required FINANCIAL DISCLOSURE statements

1222 in accordance with the provisions of subdivision  
1223 [(3)] (4) of subsection (e) of this section.

1224 (3) In the case of state central committees,  
1225 on each January thirtieth, April tenth and July  
1226 tenth, and on the twelfth day preceding any  
1227 election, the [campaign] treasurer of each such  
1228 committee shall file with the proper authority, a  
1229 FINANCIAL DISCLOSURE statement, sworn under  
1230 penalty of false statement, complete as of (A) the  
1231 last day of the month immediately preceding the  
1232 month in which such FINANCIAL DISCLOSURE statement  
1233 is to be filed in the case of FINANCIAL DISCLOSURE  
1234 statements required to be filed in January, April  
1235 and July, and [complete as of] (B) the nineteenth  
1236 day preceding an election, in the case of the  
1237 FINANCIAL DISCLOSURE statement required to be  
1238 filed on the twelfth day preceding an election [,]  
1239 and in each case covering a period to begin with  
1240 the first day not included in the last filed  
1241 FINANCIAL DISCLOSURE statement.

1242 (b) The ITEMIZED FINANCIAL DISCLOSURE  
1243 statements required to be filed under subsection  
1244 (a) of this section and subdivisions (2) and (3)  
1245 of subsection (e) of this section, shall not be  
1246 required to be filed by: (1) A candidate committee  
1247 or political committee formed for a single primary  
1248 or election until such committee receives or  
1249 expends an amount in excess of one thousand  
1250 dollars for purposes of the primary or election  
1251 for which such committee was formed; (2) a  
1252 political committee formed solely to aid or  
1253 promote the success or defeat of any referendum  
1254 question until such committee receives or expends  
1255 an amount in excess of one thousand dollars; or  
1256 (3) a party or political committee organized for  
1257 ongoing political activities until such committee  
1258 receives or expends an amount in excess of one  
1259 thousand dollars for the calendar year except the  
1260 ITEMIZED FINANCIAL DISCLOSURE statements required  
1261 to be filed on the second Thursday in the month of  
1262 January and on the seventh day preceding any  
1263 election shall be so filed. The provisions of this  
1264 subsection shall not apply to state central  
1265 committees or to the statement required to be  
1266 filed by an exploratory committee upon its  
1267 termination. A committee which is exempted from  
1268 filing ITEMIZED FINANCIAL DISCLOSURE statements  
1269 under the provisions of this subsection shall file

1270 in lieu thereof [a] AN UNITEMIZED FINANCIAL  
1271 DISCLOSURE statement, sworn under penalty of false  
1272 statement, indicating that the committee has not  
1273 received or expended an amount in excess of one  
1274 thousand dollars.

1275 (c) (1) Each statement filed under subsection  
1276 (a), (e) or (f) of this section shall include, but  
1277 not be limited to: (A) An itemized accounting of  
1278 each contribution, if any, including the full name  
1279 and complete address of each contributor and the  
1280 amount of the contribution; (B) in the case of  
1281 anonymous contributions, the total amount received  
1282 and the denomination of the bills; (C) an itemized  
1283 accounting of each expenditure MADE, if any,  
1284 including the full name and complete address of  
1285 each payee, the amount and the purpose of the  
1286 expenditure, the candidate supported or opposed by  
1287 the expenditure, whether the expenditure is made  
1288 independently of the candidate supported or is an  
1289 in-kind contribution to the candidate, and a  
1290 statement of the balance on hand or deficit, as  
1291 the case may be; (D) an itemized accounting of  
1292 each expense incurred but not paid; (E) the name  
1293 and address of any person who is the guarantor of  
1294 a loan to, or the cosigner of a note with, the  
1295 candidate on whose behalf the committee was  
1296 formed, or the [campaign] treasurer in the case of  
1297 a party committee or a political committee or who  
1298 has advanced a security deposit to a telephone  
1299 company, as defined in section 16-1, for  
1300 telecommunications service for a committee; (F)  
1301 for each business entity or person purchasing  
1302 advertising space in a program for a fund-raising  
1303 affair, the name and address of the business  
1304 entity and the name of the chief executive officer  
1305 of the business entity or the name and address of  
1306 the person, and the amount and aggregate amounts  
1307 of such purchases; (G) for each individual who  
1308 [contributes] MAKES A CONTRIBUTION in excess of  
1309 one hundred dollars but not more than one thousand  
1310 dollars, in the aggregate, to the extent known,  
1311 the principal occupation of such individual and  
1312 the name of the individual's employer, if any; (H)  
1313 for each individual who [contributes] MAKES A  
1314 CONTRIBUTION in excess of one thousand dollars in  
1315 the aggregate, the principal occupation of EACH  
1316 such individual, the name of the individual's  
1317 employer, if any, and a statement indicating

1318 whether the individual or a business with which he  
1319 is associated has a contract with the state which  
1320 is valued at more than five thousand dollars; and  
1321 (I) for each itemized contribution made by a  
1322 lobbyist, the spouse of a lobbyist or any  
1323 dependent child of a lobbyist who resides in the  
1324 lobbyist's household, a statement [to that effect]  
1325 OF LOBBYING STATUS OR RELATIONSHIP TO A LOBBYIST.

1326 (2) Each [campaign] treasurer shall include  
1327 in such statement an itemized accounting of the  
1328 receipts and expenditures relative to any  
1329 testimonial affair held under the provisions of  
1330 section 9-333k, AS AMENDED BY THIS ACT, or any  
1331 other fund-raising affair.

1332 [(2)] (3) Each contributor described in  
1333 subparagraph (G), (H) or (I) of subdivision (1) of  
1334 this subsection shall, at the time he makes such a  
1335 contribution, ALSO provide THE TREASURER WITH the  
1336 information which the [campaign] treasurer is  
1337 required to include under said subparagraph in the  
1338 FINANCIAL DISCLOSURE statement filed under  
1339 subsection (a), (e) or (f) of this section.  
1340 Notwithstanding any provision of subdivision (2)  
1341 of section 9-7b, any contributor described in  
1342 subparagraph (G) of subdivision (1) of this  
1343 subsection who does not provide such information  
1344 at the time he makes such a contribution and any  
1345 treasurer shall not be subject to the provisions  
1346 of subdivision (2) of section 9-7b. If a  
1347 [campaign] treasurer receives a contribution from  
1348 an individual which separately, or in the  
1349 aggregate, is in excess of one thousand dollars  
1350 and the contributor has not provided the  
1351 information required by said subparagraph (H), the  
1352 [campaign] treasurer: (i) Within three business  
1353 days after receiving the contribution, shall send  
1354 a request for such information to the contributor  
1355 by certified mail, return receipt requested; (ii)  
1356 shall not deposit the contribution until he  
1357 obtains such information from the contributor,  
1358 notwithstanding the provisions of section 9-333h;  
1359 and (iii) shall return the contribution to the  
1360 contributor if the contributor does not provide  
1361 the required information within fourteen days  
1362 after the treasurer's written request or the end  
1363 of the reporting period in which the contribution  
1364 was received, whichever is later. Any failure of a  
1365 contributor to provide the information which the

1366 [campaign] treasurer is required to include under  
1367 said subparagraph (G) or (I), which results in  
1368 noncompliance by the [campaign] treasurer with the  
1369 provisions of said subparagraph (G) or (I), shall  
1370 be a complete defense to any action against the  
1371 [campaign] treasurer for failure to disclose such  
1372 information.

1373 [(3)] (4) Contributions RECEIVED from a  
1374 single individual [to a campaign] BY A treasurer  
1375 in the aggregate totaling thirty dollars or less  
1376 need not be individually identified in the  
1377 FINANCIAL DISCLOSURE statement, but a sum  
1378 representing the total amount of all such  
1379 contributions made by all such individuals during  
1380 the period to be covered by such statement shall  
1381 be a separate entry, identified only by the words  
1382 "total contributions from small contributors".

1383 [(4) Statements] (5) FINANCIAL DISCLOSURE  
1384 STATEMENTS filed in accordance with this section  
1385 shall remain public records of the state for five  
1386 years from the date such statements are filed.

1387 (d) At the time of filing FINANCIAL  
1388 DISCLOSURE statements required under this section,  
1389 the [campaign] treasurer of each candidate  
1390 committee shall send to the candidate a duplicate  
1391 FINANCIAL DISCLOSURE statement and the [campaign]  
1392 treasurer of each party committee and each  
1393 political committee other than an exploratory  
1394 committee shall send to the [chairman of the]  
1395 CHAIRPERSON SUCH committee a duplicate FINANCIAL  
1396 DISCLOSURE statement. Each statement required to  
1397 be filed under this section and subsection (g) of  
1398 section 9-3331, AS AMENDED BY THIS ACT, shall be  
1399 deemed to be filed in a timely manner if it is  
1400 delivered by hand to the office of the proper  
1401 authority before four-thirty o'clock p.m. or  
1402 postmarked by the United States Postal Service  
1403 before midnight on the required filing day. If the  
1404 day for any such filing falls on a Saturday,  
1405 Sunday or legal holiday, the statement shall be  
1406 [filed] DUE on the next business day thereafter.

1407 (e) (1) Notwithstanding any provisions of  
1408 this chapter to the contrary, in the event of a  
1409 surplus the [campaign] treasurer of a candidate  
1410 committee or of a political committee, other than  
1411 a political committee formed for ongoing political  
1412 activities or an exploratory committee shall  
1413 distribute or expend such surplus within ninety

1414 days after a primary which results in the defeat  
1415 of the candidate, an election or referendum, in  
1416 the following manner:

1417 (A) Such committees may distribute their  
1418 surplus to a party committee, or a political  
1419 committee organized for ongoing political  
1420 activities, return such surplus to all  
1421 contributors to the committee on a prorated basis  
1422 [of contribution] BASED UPON THAT RELATIONSHIP  
1423 WHICH THE AGGREGATE VALUE OF ALL RECEIPTS FROM THE  
1424 SINGLE CONTRIBUTOR BEARS TO THE AGGREGATE VALUE OF  
1425 ALL RECEIPTS FROM ALL CONTRIBUTORS, or distribute  
1426 such surplus to any charitable organization which  
1427 is a tax-exempt organization under Section  
1428 501(c)(3) of the Internal Revenue Code of 1986, or  
1429 any subsequent corresponding internal revenue code  
1430 of the United States, as from time to time  
1431 amended, provided no candidate committee may  
1432 distribute such surplus to a committee which has  
1433 been established to finance future political  
1434 campaigns of the candidate;

1435 (B) Each such political committee established  
1436 by an organization which received its funds from  
1437 the organization's treasury shall return its  
1438 surplus to its sponsoring organization;

1439 (C) (i) Each political committee formed  
1440 solely to aid or promote the success or defeat of  
1441 any referendum question, which does not receive  
1442 contributions from a business entity or an  
1443 organization, shall distribute its surplus to a  
1444 party committee, to a political committee  
1445 organized for ongoing political activities, to a  
1446 national committee of a political party, to all  
1447 contributors to the committee on a prorated basis  
1448 [of contribution] BASED UPON THAT RELATIONSHIP  
1449 WHICH THE AGGREGATE VALUE OF ALL RECEIPTS FROM THE  
1450 SINGLE CONTRIBUTOR BEARS TO THE AGGREGATE VALUE OF  
1451 ALL RECEIPTS FROM ALL CONTRIBUTORS, to state or  
1452 municipal governments or agencies or to any  
1453 organization which is a tax-exempt organization  
1454 under Section 501(c)(3) of the Internal Revenue  
1455 Code of 1986, or any subsequent corresponding  
1456 internal revenue code of the United States, as  
1457 from time to time amended, (ii) each political  
1458 committee formed solely to aid or promote the  
1459 success or defeat of any referendum question,  
1460 which receives contributions from a business  
1461 entity or an organization, shall distribute its

1462 surplus to all contributors to the committee on a  
1463 prorated basis [of contribution] BASED UPON THAT  
1464 RELATIONSHIP WHICH THE AGGREGATE VALUE OF ALL  
1465 RECEIPTS FROM THE SINGLE CONTRIBUTOR BEARS TO THE  
1466 AGGREGATE VALUE OF THE RECEIPTS FROM ALL  
1467 CONTRIBUTORS, to state or municipal governments or  
1468 agencies, or to any organization which is  
1469 tax-exempt under said provisions of the Internal  
1470 Revenue Code;

1471 (D) The [campaign] treasurer of the candidate  
1472 committee of a candidate who is elected to office  
1473 may, upon the authorization of such candidate,  
1474 expend surplus [campaign] funds to pay for the  
1475 cost of clerical, secretarial or other office  
1476 expenses necessarily incurred by such candidate in  
1477 preparation for taking office; except such surplus  
1478 shall not be distributed for the personal benefit  
1479 of any individual or to any organization; and

1480 (E) The [campaign] treasurer of a candidate  
1481 committee, or of a political committee, other than  
1482 a political committee formed for ongoing political  
1483 activities or an exploratory committee, shall,  
1484 prior to the dissolution of such committee, either  
1485 (i) distribute any equipment purchased, including  
1486 but not limited to computer equipment, to any  
1487 recipient as set forth in subparagraph (A) of this  
1488 subdivision or (ii) sell any equipment purchased,  
1489 including but not limited to computer equipment,  
1490 to any person for fair market value and then  
1491 distribute the proceeds of such sale to any  
1492 recipient as set forth in said subparagraph (A).

1493 (2) Notwithstanding any provisions of this  
1494 chapter to the contrary, the [campaign] treasurer  
1495 of the candidate committee of a candidate who has  
1496 withdrawn from a primary or election may, prior to  
1497 the primary or election, distribute its surplus to  
1498 any organization which is tax-exempt under Section  
1499 501(c)(3) of the Internal Revenue Code of 1986, or  
1500 any subsequent corresponding internal revenue code  
1501 of the United States, as from time to time  
1502 amended, or return such surplus to all  
1503 contributors to the committee on a prorated basis  
1504 [of contribution] BASED UPON THAT RELATIONSHIP  
1505 WHICH THE AGGREGATE VALUE OF ALL RECEIPTS FROM THE  
1506 SINGLE CONTRIBUTOR BEARS TO THE AGGREGATE VALUE OF  
1507 ALL RECEIPTS FROM ALL CONTRIBUTORS.

1508 (3) Within seven days after such distribution  
1509 or within seven days after all funds have been

1510 expended in accordance with subparagraph (D) of  
1511 subdivision (1) of this subsection, the [campaign]  
1512 treasurer shall file a supplemental FINANCIAL  
1513 DISCLOSURE statement, sworn under penalty of false  
1514 statement, with the proper authority, identifying  
1515 all further contributions received since the  
1516 previous statement and explaining how any surplus  
1517 has been distributed or expended in accordance  
1518 with this section. No surplus may be distributed  
1519 or expended until after the election, primary or  
1520 referendum.

1521 (4) In the event of a deficit the [campaign]  
1522 treasurer shall file a supplemental FINANCIAL  
1523 DISCLOSURE statement ninety days after the  
1524 election, primary or referendum with the proper  
1525 authority and, thereafter, on the seventh day of  
1526 each month following if on the last day of the  
1527 previous month there was an increase or decrease  
1528 in the deficit in excess of five hundred dollars  
1529 from that reported on the last FINANCIAL  
1530 DISCLOSURE statement filed. The [campaign]  
1531 treasurer shall file such supplemental FINANCIAL  
1532 DISCLOSURE statements as required until the  
1533 deficit is eliminated. If any such committee does  
1534 not have a surplus or a deficit, the FINANCIAL  
1535 DISCLOSURE statement required to be filed within  
1536 forty-five days following any election or  
1537 referendum or within thirty days following any  
1538 primary shall be the last required FINANCIAL  
1539 DISCLOSURE statement.

1540 (f) If an exploratory committee has been  
1541 established by a candidate pursuant to subsection  
1542 (c) of section 9-333f, AS AMENDED BY THIS ACT, the  
1543 [campaign] treasurer of the committee shall file a  
1544 notice of intent to dissolve it with the  
1545 appropriate authority not later than fifteen days  
1546 after the candidate's declaration of intent to  
1547 seek nomination or election to a particular public  
1548 office. The [campaign] treasurer shall WITHIN SUCH  
1549 TIME also file a FINANCIAL DISCLOSURE statement  
1550 identifying all contributions received or  
1551 expenditures made by the exploratory committee  
1552 since the previous FINANCIAL DISCLOSURE statement  
1553 and the balance on hand or deficit, as the case  
1554 may be. In the event of [a surplus, the campaign]  
1555 SURPLUS FUNDS, THE treasurer shall, not later than  
1556 the filing of the FINANCIAL DISCLOSURE statement,  
1557 distribute the surplus to the candidate committee,

1558 [established pursuant to said section,] except  
1559 that in the case of a surplus of an exploratory  
1560 committee established for nomination or election  
1561 to an office other than the General Assembly or a  
1562 state office, as defined in subsection (e) of  
1563 section 9-3331, AS AMENDED BY THIS ACT, (1) the  
1564 [campaign] treasurer may [only] distribute to the  
1565 candidate committee for nomination or election to  
1566 the General Assembly or state office, as so  
1567 defined, of such candidate ONLY that portion of  
1568 such surplus [which] THAT is in excess of the  
1569 total contributions which the exploratory  
1570 committee received from lobbyists or political  
1571 committees established by lobbyists, during any  
1572 period in which the prohibitions in said  
1573 subsection (e) of section 9-3331, AS AMENDED BY  
1574 THIS ACT, apply, and (2) any remaining amount  
1575 shall be returned to all such lobbyists and  
1576 political committees established by or on behalf  
1577 of lobbyists, on a prorated basis of contribution,  
1578 or distributed to any charitable organization  
1579 which is a tax-exempt organization under Section  
1580 501(c)(3) of the Internal Revenue Code of 1986, or  
1581 any subsequent corresponding internal revenue code  
1582 of the United States, as from time to time  
1583 amended. If the candidate decides not to seek  
1584 nomination or election to any office, the  
1585 [campaign] treasurer shall, [within] NOT MORE THAN  
1586 fifteen days after such decision, comply with the  
1587 provisions of this subsection and distribute any  
1588 surplus in the manner provided by this section for  
1589 political committees other than those formed for  
1590 ongoing political activities. In the event of a  
1591 deficit, the [campaign] treasurer shall file a  
1592 FINANCIAL DISCLOSURE statement thirty days after  
1593 the decision or declaration with the proper  
1594 authority and, thereafter, on the seventh day of  
1595 each month following if on the last day of the  
1596 previous month there was an increase or decrease  
1597 in such deficit in excess of five hundred dollars  
1598 from that reported on the last FINANCIAL  
1599 DISCLOSURE statement filed. The [campaign]  
1600 treasurer shall file supplemental FINANCIAL  
1601 DISCLOSURE statements until the deficit is  
1602 eliminated. If the exploratory committee does not  
1603 have a surplus or deficit, the FINANCIAL  
1604 DISCLOSURE statement filed after the candidate's  
1605 declaration or decision shall be the last required

1606 statement TOGETHER WITH A DECLARATION THAT THIS IS  
1607 A TERMINATION REPORT.

1608 Sec. 11. Subsection (a) of section 9-333k of  
1609 the general statutes is repealed and the following  
1610 is substituted in lieu thereof:

1611 (a) The [chairman] CHAIRPERSON of each party  
1612 committee shall designate a [campaign] treasurer  
1613 and may designate a deputy [campaign] treasurer,  
1614 or in the case of a state central committee, not  
1615 more than two deputy [campaign] treasurers. [The  
1616 campaign treasurer and any deputy campaign  
1617 treasurers so designated shall sign a statement  
1618 accepting the designation, which shall be filed]  
1619 THE CHAIRPERSON OF EACH PARTY COMMITTEE SHALL FILE  
1620 A REGISTRATION STATEMENT with the proper authority  
1621 [with the statement of designation] required under  
1622 subdivision (1) of subsection (a) of section  
1623 9-333d, AS AMENDED BY THIS ACT, THAT SHALL INCLUDE  
1624 ALL NECESSARY DESIGNATIONS, INCLUDING THE NAME AND  
1625 ADDRESS OF ITS DESIGNATED TREASURER AND ANY DEPUTY  
1626 TREASURERS, IF APPLICABLE, PROVIDED SUCH TREASURER  
1627 OR DEPUTY TREASURERS SHALL GIVE THE CHAIRPERSON  
1628 NOTICE OF ACCEPTANCE OF THE CHAIRPERSON'S  
1629 DESIGNATION OF SUCH POSITIONS PRIOR TO SUCH  
1630 FILING. No state central committee or town  
1631 committee shall establish a committee other than a  
1632 single party committee for purposes of this  
1633 chapter. A party committee or a political  
1634 committee organized for ongoing political  
1635 activities shall form no other political  
1636 committees, except that two or more such  
1637 committees may join to form a political committee  
1638 for the purpose of a single fund-raising event.

1639 Sec. 12. Section 9-3331 of the general  
1640 statutes, as amended by sections 10 and 14 of  
1641 public act 97-5 of the June 18 special session, is  
1642 repealed and the following is substituted in lieu  
1643 thereof:

1644 (a) Any provision of this chapter to the  
1645 contrary notwithstanding, a candidate committee  
1646 may join with one or more candidate committees to  
1647 establish a political committee for the purpose of  
1648 sponsoring one or more fund-raising events for  
1649 those candidates. Any individual, other than a  
1650 candidate benefited, who is eligible and qualifies  
1651 to serve in accordance with the provisions of  
1652 subsection (d) of section 9-333h, AS AMENDED BY  
1653 THIS ACT, may serve as the [campaign] treasurer or

1654 deputy [campaign] treasurer of such a political  
1655 committee. The REGISTRATION STATEMENTS AND  
1656 FINANCIAL DISCLOSURE statements required to be  
1657 filed by a political committee under this chapter  
1658 shall apply to any political committee established  
1659 pursuant to this subsection. After all expenses of  
1660 the political committee have been paid by its  
1661 [campaign] treasurer for each event, he shall  
1662 distribute all remaining funds from such event to  
1663 the [campaign] treasurers of each of the candidate  
1664 committees which established the political  
1665 committee. The distribution to each candidate  
1666 committee shall be made either in accordance with  
1667 a prior agreement of the candidates or, if no  
1668 prior agreement was made, in equal proportions to  
1669 each candidate committee. Any contribution which  
1670 is made to such political committee shall, for  
1671 purposes of determining compliance with the  
1672 limitations imposed by this chapter, be deemed to  
1673 have been made in equal proportions to each  
1674 candidate's campaign unless (1) a prior agreement  
1675 was made by the candidates as to the disposition  
1676 of [remaining] THE funds, and (2) those who  
1677 contributed to the political committee were  
1678 notified of [such disposition, in which case the  
1679 contribution] THE PRIOR AGREEMENT OF THE  
1680 CANDIDATES PRIOR TO HOLDING ANY SUCH FUND-RAISING  
1681 EVENT, IN WHICH CASE ANY SUCH DISTRIBUTION shall  
1682 be deemed to have been made to each candidate's  
1683 campaign in accordance with the agreement.

1684 (b) A candidate committee may pay its pro  
1685 rata share of the expenses of operating a campaign  
1686 headquarters and of preparing, printing and  
1687 disseminating any political communication on  
1688 behalf of that candidate and any other candidate  
1689 or candidates. Notwithstanding the provisions of  
1690 subdivision (1) of subsection (a) of section  
1691 9-333r, AS AMENDED BY THIS ACT, a candidate  
1692 committee may reimburse a party committee for any  
1693 expenditure such party committee has incurred for  
1694 the benefit of such candidate committee.

1695 (c) A candidate may make any expenditure  
1696 permitted by section 9-333i to aid or promote the  
1697 success of his campaign for nomination or election  
1698 from his personal funds [,] or FROM the funds of  
1699 [his] THE CANDIDATE'S immediate family, which for  
1700 the purposes of this chapter shall consist of the  
1701 candidate's spouse and issue. Any such expenditure

1702 shall not be deemed a contribution to any  
1703 committee.

1704 (d) No incumbent holding office shall, during  
1705 the three months preceding an election in which he  
1706 is a candidate for reelection or election to  
1707 another office, use public funds to mail or print  
1708 flyers or other promotional materials intended to  
1709 bring about his election or reelection.

1710 (e) For purposes of this subsection and  
1711 subsection (f) of this section, the exclusions to  
1712 the term "contribution" in subsection (b) of  
1713 section 9-333b, AS AMENDED BY THIS ACT, shall not  
1714 apply; the term "state office" means the office of  
1715 Governor, Lieutenant Governor, Attorney General,  
1716 State Comptroller, State Treasurer or Secretary of  
1717 the State; and the term "state officer" means the  
1718 Governor, Lieutenant Governor, Attorney General,  
1719 State Comptroller, State Treasurer or Secretary of  
1720 the State. Notwithstanding any provision of this  
1721 chapter to the contrary, during any regular  
1722 session of the General Assembly, during any  
1723 special session of the General Assembly held  
1724 between the adjournment of the regular session in  
1725 an odd-numbered year and the convening of the  
1726 regular session in the following even-numbered  
1727 year or during any reconvened session of the  
1728 General Assembly held in an odd-numbered year to  
1729 reconsider vetoed bills, (1) no lobbyist or  
1730 political committee established by or on behalf of  
1731 a lobbyist shall make or offer to make a  
1732 contribution to or on behalf of, and no lobbyist  
1733 shall solicit a contribution on behalf of, (A) a  
1734 candidate or exploratory committee established by  
1735 a candidate for nomination or election to the  
1736 General Assembly or a state office or (B) a  
1737 political committee (i) established for an  
1738 assembly or senatorial district, (ii) established  
1739 by a member of the General Assembly or a state  
1740 officer or such member or officer's agent, or in  
1741 consultation with, or at the request or suggestion  
1742 of, any such member, officer or agent, or (iii)  
1743 controlled by such member, officer or agent, to  
1744 aid or promote the nomination or election of any  
1745 candidate or candidates to the General Assembly or  
1746 a state office, and (2) no such candidate or  
1747 political committee shall accept such a  
1748 contribution. The provisions of this subsection  
1749 shall not apply to a candidate committee

1750 established by a member of the General Assembly or  
1751 a candidate for nomination or election to the  
1752 General Assembly, at a special election for the  
1753 General Assembly, from the date on which the  
1754 candidate or the chairman of the committee files  
1755 the designation of a [campaign] treasurer and a  
1756 depository institution under section 9-333d, AS  
1757 AMENDED BY THIS ACT, with the Secretary of the  
1758 State, to the date on which the special election  
1759 is held, inclusive, or to an exploratory committee  
1760 established by a member of the General Assembly to  
1761 promote his candidacy for an office other than the  
1762 General Assembly.

1763 (f) (1) A political committee established by  
1764 two or more individuals under subparagraph (B) of  
1765 subsection (3) of section 9-333a, AS AMENDED,  
1766 other than a committee established solely for the  
1767 purpose of aiding or promoting any candidate or  
1768 candidates for municipal office or the success or  
1769 defeat of a referendum question, shall be subject  
1770 to the prohibition on acceptance of lobbyist  
1771 contributions under subsection (e) of this section  
1772 unless the [campaign] treasurer of the committee  
1773 has filed a certification that the committee is  
1774 not established for an assembly or senatorial  
1775 district, or by a member of the General Assembly  
1776 or a state officer, or such member or officer's  
1777 agent, or in consultation with, or at the request  
1778 or suggestion of, any such member, officer or  
1779 agent, or controlled by such member, officer or  
1780 agent.

1781 (2) The [campaign] treasurer of any political  
1782 committee established by or on behalf of a  
1783 lobbyist shall file a certification [to that  
1784 effect] AFFIRMING SUCH FACT.

1785 (3) Such certifications shall be filed with  
1786 the office of the Secretary of the State, on forms  
1787 prescribed by the secretary, [on or before  
1788 November 15, 1994, for all such political  
1789 committees in existence on such date, or upon the  
1790 registration of the committee, and on or before  
1791 November fifteenth biennially thereafter. The  
1792 secretary shall provide to the State Elections  
1793 Enforcement Commission on or before December 1,  
1794 1994, and biennially thereafter, a political  
1795 committee registration report. The report shall  
1796 include a certified copy of each certification  
1797 filed pursuant to this subsection prior to

1798 December first of the reporting year and a  
1799 certified copy of a list stating the name of each  
1800 political committee registered pursuant to section  
1801 9-333g prior to December first of the reporting  
1802 year and the name and address of the campaign  
1803 treasurer of each such committee. In the case of  
1804 any political committee which registers or files a  
1805 certification on or after December first of any  
1806 even-numbered year but prior to November first of  
1807 the following even-numbered year, the secretary  
1808 shall provide the commission with a copy of each  
1809 such registration or certification by the close of  
1810 the next business day following receipt. Such  
1811 registration information or certification shall  
1812 also be included in the biennial political  
1813 committee registration report of the secretary to  
1814 the commission.] NOT LATER THAN TEN DAYS AFTER THE  
1815 DATE ON WHICH THE COMMITTEE'S REGISTRATION  
1816 STATEMENT WAS FILED PURSUANT TO THIS CHAPTER,  
1817 EXCEPT ANY COMMITTEE THAT HAS NOT PREVIOUSLY FILED  
1818 A CERTIFICATION AS OF JANUARY 1, 1999, SHALL DO SO  
1819 NOT LATER THAN TEN DAYS AFTER SAID DATE.

1820 (4) ANY POLITICAL COMMITTEE MAY, AT ANY TIME  
1821 FOLLOWING THE FILING OF A CERTIFICATION REQUIRED  
1822 BY THIS SECTION, SEEK MODIFICATION OF THE  
1823 CERTIFICATION SO FILED, PROVIDED THERE HAS BEEN A  
1824 CHANGE IN CONDITIONS THAT RENDER THE PREVIOUSLY  
1825 FILED CERTIFICATION ERRONEOUS. A POLITICAL  
1826 COMMITTEE MAY SEEK SUCH MODIFICATION UPON FILING A  
1827 WRITTEN APPEAL WITH THE STATE ELECTIONS  
1828 ENFORCEMENT COMMISSION SETTING FORTH THE CHANGE IN  
1829 CONDITIONS THAT JUSTIFIES SUCH MODIFICATION. THE  
1830 COMMISSION MAY, IN ITS DISCRETION, GRANT OR DENY  
1831 THE APPEAL NOT MORE THAN SIXTY DAYS AFTER THE  
1832 FILING OF SUCH APPEAL.

1833 (5) THE SECRETARY OF THE STATE SHALL PROVIDE  
1834 TO THE STATE ELECTIONS ENFORCEMENT COMMISSION ON  
1835 OR BEFORE NOVEMBER FIRST OF EACH YEAR, COPIES OF  
1836 ALL CERTIFICATIONS AND REGISTRATION STATEMENTS  
1837 FILED BY POLITICAL COMMITTEES WITH THE SECRETARY  
1838 OF THE STATE SINCE THE END OF THE LAST REGULAR  
1839 SESSION OF THE GENERAL ASSEMBLY. CERTIFICATIONS  
1840 AND REGISTRATION STATEMENTS FILED WITH THE  
1841 SECRETARY OF THE STATE AFTER THE DATE SUCH  
1842 CERTIFICATIONS WERE FIRST PROVIDED TO THE  
1843 COMMISSION UNDER THIS SUBSECTION UNTIL THE CLOSE  
1844 OF THE NEXT REGULAR SESSION OF THE GENERAL  
1845 ASSEMBLY SHALL BE PROVIDED TO THE COMMISSION NOT

1846 MORE THAN TEN DAYS AFTER SUCH FILING. THE  
1847 SECRETARY OF THE STATE SHALL ALSO PREPARE A REPORT  
1848 TO THE COMMISSION ON OR BEFORE NOVEMBER FIRST OF  
1849 EACH YEAR THAT SHALL INCLUDE A LIST OF THE NAMES  
1850 OF ALL POLITICAL COMMITTEES ON FILE WITH THE  
1851 SECRETARY OF THE STATE PURSUANT TO SECTION 9-333g,  
1852 AS AMENDED BY THIS ACT. THE LIST SHALL INCLUDE THE  
1853 FULL NAME OF EACH REGISTERED POLITICAL COMMITTEE  
1854 TOGETHER WITH THE NAME AND ADDRESS OF THE  
1855 TREASURER OF EACH SUCH COMMITTEE.

1856 (6) The commission shall prepare a list of  
1857 all such committees THAT IT FINDS TO BE subject to  
1858 the prohibitions under subsection (e) of this  
1859 section. [, according to the certifications filed,  
1860 which shall be available prior to the opening of  
1861 each regular session of the General Assembly, and  
1862 shall provide a copy of the list] SAID LIST SHALL  
1863 BE MADE AVAILABLE TO THE GENERAL ASSEMBLY AND  
1864 STATE OFFICERS PRIOR TO THE OPENING OF EACH  
1865 REGULAR SESSION OF THE GENERAL ASSEMBLY. THE  
1866 COMMISSION SHALL MAKE SAID LIST AVAILABLE BY  
1867 PROVIDING A COPY to the president pro tempore of  
1868 the Senate, the speaker of the House of  
1869 Representatives, the minority leader of the  
1870 Senate, the minority leader of the House of  
1871 Representatives and each state officer. During  
1872 each such regular session, the commission shall  
1873 prepare AS NEEDED a supplemental list of  
1874 committees [which register after November  
1875 fifteenth and are subject to such prohibitions,  
1876 and the] THAT HAVE FILED REGISTRATION STATEMENTS  
1877 AND CERTIFICATIONS THAT WERE NOT INCLUDED ON THE  
1878 PREVIOUS LIST PROVIDED TO SUCH LEGISLATIVE LEADERS  
1879 AND STATE OFFICERS. THE commission shall ALSO  
1880 provide [the] ANY SUCH supplemental list to such  
1881 legislative leaders and state officers. The filing  
1882 of the certification by the [campaign] treasurer  
1883 of the committee shall not impair the authority of  
1884 the commission to act under section 9-7b, AS  
1885 AMENDED. Any lobbyist or [campaign] treasurer who  
1886 acts in reliance on such lists in good faith shall  
1887 have an absolute defense in any action brought  
1888 under subsection (e) and this subsection,  
1889 subsection (c) of section 9-333f, AS AMENDED BY  
1890 THIS ACT, and subsection (f) of section 9-333j, AS  
1891 AMENDED BY THIS ACT.

1892 (g) As used in this subsection, "immediate  
1893 family" means any spouse or dependent child who

1894 resides in a lobbyist's household. Each lobbyist  
1895 who is an individual and, in conjunction with  
1896 members of his immediate family, makes  
1897 contributions to or purchases FOR OR from  
1898 committees exceeding one thousand dollars in the  
1899 aggregate during the twelve-month period beginning  
1900 July 1, 1993, or July first in any year  
1901 thereafter, shall file a statement, sworn under  
1902 penalty of false statement, with the Secretary of  
1903 the State in accordance with the provisions of  
1904 section 9-333e, AS AMENDED BY THIS ACT, on the  
1905 second Thursday in July following the end of such  
1906 twelve-month period. The statement shall include:  
1907 (1) The name of each committee to which the  
1908 lobbyist or a member of his immediate family has  
1909 made a contribution and the amount and date of  
1910 each such contribution; and (2) the name of each  
1911 committee from which the lobbyist or member of his  
1912 immediate family has purchased any item of  
1913 property or advertising space in a program in  
1914 connection with a fund-raising event which is not  
1915 considered a contribution under subsection (b) of  
1916 section 9-333b, AS AMENDED, and the amount, date  
1917 and description of each such purchase. Each  
1918 lobbyist who is an individual and who, in  
1919 conjunction with members of his immediate family,  
1920 does not make contributions to or purchases from  
1921 committees exceeding one thousand dollars in the  
1922 aggregate during any such twelve-month period  
1923 shall file a statement, sworn under penalty of  
1924 false statement, with the Secretary of the State  
1925 in accordance with the provisions of section  
1926 9-333e, AS AMENDED BY THIS ACT, on the second  
1927 Thursday in July, so indicating.

1928 Sec. 13. Section 9-333m of the general  
1929 statutes, as amended by section 13 of public act  
1930 97-5 of the June 18 special session, is repealed  
1931 and the following is substituted in lieu thereof:

1932 (a) No individual shall make a contribution  
1933 or contributions to [, for the benefit of, or  
1934 pursuant to the authorization or request of,] a  
1935 candidate or a committee supporting or opposing  
1936 any candidate's campaign for nomination at a  
1937 primary, or any candidate's campaign for election,  
1938 to the office of (1) Governor, in excess of two  
1939 thousand five hundred dollars; (2) Lieutenant  
1940 Governor, Secretary of the State, Treasurer,  
1941 Comptroller or Attorney General, in excess of one

1942 thousand five hundred dollars; (3) sheriff or  
1943 chief executive officer of a town, city or  
1944 borough, in excess of one thousand dollars; (4)  
1945 state senator or probate judge, in excess of five  
1946 hundred dollars; or (5) state representative or  
1947 any other office of a municipality not previously  
1948 included in this subsection, in excess of two  
1949 hundred fifty dollars. The limits imposed by this  
1950 subsection shall be applied separately to  
1951 primaries and elections.

1952 (b) In the case of one or more convention  
1953 delegate primaries in which a slate of candidates  
1954 for the position of convention delegate are  
1955 committed to a single candidate for state or  
1956 district office who has consented to financing the  
1957 slate's campaign solely by his candidate committee  
1958 in accordance with section 9-333f, AS AMENDED, an  
1959 individual may make an additional contribution or  
1960 contributions to such candidate committee not in  
1961 excess of two hundred fifty dollars for each  
1962 delegate primary financed in such manner.

1963 (c) No individual shall make a contribution  
1964 or contributions to [, or for the benefit of,] an  
1965 exploratory committee or a political committee  
1966 formed by a slate of candidates in a primary for  
1967 the position of delegate to the same convention,  
1968 in excess of two hundred fifty dollars.

1969 (d) No individual shall make contributions to  
1970 such candidates or committees which in the  
1971 aggregate exceed fifteen thousand dollars for any  
1972 single election and primary preliminary thereto.

1973 (e) No individual shall make a MONETARY  
1974 contribution to any candidate or committee [,  
1975 other than a contribution in kind,] in excess of  
1976 one hundred dollars except by personal check of  
1977 that individual.

1978 (f) No individual who is less than sixteen  
1979 years of age shall make a contribution or  
1980 contributions, in excess of thirty dollars to, for  
1981 the benefit of, or pursuant to the authorization  
1982 or request of: (1) A candidate or a committee  
1983 supporting or opposing any candidate's campaign  
1984 for nomination at a primary to any office; (2) a  
1985 candidate or a committee supporting or opposing  
1986 any candidate's campaign for election to any  
1987 office; (3) an exploratory committee; (4) any  
1988 other political committee in any calendar year; or  
1989 (5) a party committee in any calendar year.

1990 Notwithstanding any provision of subdivision (2)  
1991 of section 9-7b, AS AMENDED, any individual who is  
1992 less than sixteen years of age who violates any  
1993 provision of this subsection shall not be subject  
1994 to the provisions of subdivision (2) of section  
1995 9-7b, AS AMENDED.

1996 Sec. 14. Section 9-333n of the general  
1997 statutes, as amended by section 12 of public act  
1998 97-5 of the June 18 special session, is repealed  
1999 and the following is substituted in lieu thereof:

2000 (a) No individual shall make a contribution  
2001 or contributions in any one calendar year in  
2002 excess of THE FOLLOWING AMOUNTS: (1) [five] FIVE  
2003 thousand dollars to the state central committee of  
2004 any party; [, or for the benefit of such committee  
2005 pursuant to its authorization or request; or] (2)  
2006 one thousand dollars to a town committee of any  
2007 political party; [, or for the benefit of such  
2008 committee pursuant to its authorization or  
2009 request;] or (3) one thousand dollars to [a] ANY  
2010 OTHER political committee [other than (1)] EXCEPT  
2011 (A) a political committee formed solely to aid or  
2012 promote the success or defeat of a referendum  
2013 question, [(2) an exploratory committee, (3)] (B)  
2014 a political committee established by an  
2015 organization, [or for the benefit of such  
2016 committee pursuant to its authorization or request  
2017 or (4)] OR (C) a political committee formed by a  
2018 slate of candidates in a primary for the position  
2019 of delegate to the same convention.

2020 (b) No individual shall make a contribution  
2021 to a political committee established by an  
2022 organization which receives its funds from the  
2023 organization's treasury. With respect to a  
2024 political committee established by an organization  
2025 [which] THAT has complied with the provisions of  
2026 subsection (b) or (c) of section 9-333p, AS  
2027 AMENDED BY THIS ACT, and THAT has elected to  
2028 receive contributions, no individual other than a  
2029 member of the organization may make contributions  
2030 to the committee, in which case [the individual]  
2031 SUCH MEMBER may contribute not more than five  
2032 hundred dollars IN THE AGGREGATE in any one  
2033 calendar year to such committee or for the benefit  
2034 of such committee. [pursuant to its authorization  
2035 or request.]

2036 (c) In no event may any individual make  
2037 contributions to a candidate committee and a

2038 political committee formed solely to support one  
2039 candidate other than an exploratory committee or  
2040 for the benefit of a candidate committee and a  
2041 political committee formed solely to support one  
2042 candidate pursuant to the authorization or request  
2043 of any such committee, in an amount [which] THAT  
2044 in the aggregate is in excess of the maximum  
2045 amount [which] THAT may be contributed to the  
2046 candidate.

2047 (d) Any individual may make unlimited  
2048 contributions or expenditures to aid or promote  
2049 the success or defeat of any referendum question,  
2050 provided any individual who makes an expenditure  
2051 or expenditures in excess of one thousand dollars  
2052 to promote the success or defeat of any referendum  
2053 question shall file statements according to the  
2054 same schedule and in the same manner as is  
2055 required of a [campaign] treasurer of a political  
2056 committee under section 9-333j, AS AMENDED BY THIS  
2057 ACT.

2058 (e) Any individual acting alone may,  
2059 independent of any candidate, agent of the  
2060 candidate, or committee, make unlimited  
2061 expenditures to promote the success or defeat of  
2062 any candidate's campaign for election, or  
2063 nomination at a primary, to any office or  
2064 position, provided any individual who makes an  
2065 independent expenditure or expenditures in excess  
2066 of one thousand dollars to promote the success or  
2067 defeat of any candidate's campaign for election,  
2068 or nomination at a primary, to any such office or  
2069 position shall file statements according to the  
2070 same schedule and in the same manner as is  
2071 required of a [campaign] treasurer of a candidate  
2072 committee under section 9-333j, AS AMENDED BY THIS  
2073 ACT.

2074 (f) As used in this subsection, "investment  
2075 services" means legal services, investment banking  
2076 services, investment advisory services,  
2077 underwriting services, financial advisory services  
2078 or brokerage firm services. No individual who is  
2079 an owner of a firm [which] THAT provides  
2080 investment services and to which the STATE  
2081 Treasurer pays compensation, expenses or fees or  
2082 issues a contract, and no individual who is  
2083 employed by such a firm as a manager, officer,  
2084 director, partner or employee with managerial or  
2085 discretionary responsibilities to invest, manage

2086 funds or provide investment services for  
2087 brokerage, underwriting and financial advisory  
2088 activities which are in the statutory and  
2089 constitutional purview of the STATE Treasurer,  
2090 shall make OR SOLICIT a contribution [on or after  
2091 October 1, 1995, to, or solicit contributions on  
2092 or after said date] TO, OR on behalf of, an  
2093 exploratory committee or candidate committee  
2094 established by a candidate for nomination or  
2095 election to the office of STATE Treasurer during  
2096 the term of office of the STATE Treasurer which  
2097 pays compensation, expenses or fees or issues a  
2098 contract to such firm.

2099 Sec. 15. Section 9-333o of the general  
2100 statutes is repealed and the following is  
2101 substituted in lieu thereof:

2102 (a) No business entity shall make any  
2103 [contributions or expenditures to, or for the  
2104 benefit of,] EXPENDITURES OR CONTRIBUTIONS TO any  
2105 candidate's campaign for election to any public  
2106 office or position subject to this chapter or for  
2107 nomination at a primary for any such office or  
2108 position, or to promote the defeat of any  
2109 candidate for any such office or position, or to  
2110 promote the success or defeat of any political  
2111 party, except as provided in subsection (b) of  
2112 this section.

2113 (b) A business entity may make reasonable and  
2114 necessary MONETARY transfers or disbursements to  
2115 or for the benefit of a political committee  
2116 established by such business entity, for the  
2117 administration of, or solicitation of  
2118 contributions to, such political committee.  
2119 Nonmonetary contributions by a business entity  
2120 [which] TO THE POLITICAL COMMITTEE ESTABLISHED BY  
2121 SUCH BUSINESS ENTITY THAT are incidental in nature  
2122 and are directly attributable to the  
2123 administration of such political committee shall  
2124 be exempt from the [reporting requirements of]  
2125 FINANCIAL DISCLOSURE STATEMENTS REQUIRED UNDER  
2126 this chapter.

2127 (c) The provisions of this section shall not  
2128 preclude a business entity from making UNLIMITED  
2129 contributions or expenditures to promote the  
2130 success or defeat of a referendum question.

2131 (d) A political committee organized by a  
2132 business entity shall not make a contribution or  
2133 contributions to [or for the benefit of] any

2134 candidate's campaign for nomination at a primary  
2135 or any candidate's campaign for election to the  
2136 office of: (1) Governor, in excess of five  
2137 thousand dollars; (2) Lieutenant Governor,  
2138 Secretary of the State, Treasurer, Comptroller or  
2139 Attorney General, in excess of three thousand  
2140 dollars; (3) sheriff, in excess of two thousand  
2141 dollars; (4) state senator, probate judge or chief  
2142 executive officer of a town, city or borough, in  
2143 excess of one thousand dollars; (5) state  
2144 representative, in excess of five hundred dollars;  
2145 or (6) any other office of a municipality not  
2146 included in subdivision (4) of this subsection, in  
2147 excess of two hundred fifty dollars; or an  
2148 exploratory committee, in excess of two hundred  
2149 fifty dollars. The limits imposed by this  
2150 subsection shall apply separately to primaries and  
2151 elections and contributions by any such committee  
2152 to candidates designated in this subsection shall  
2153 not exceed one hundred thousand dollars in the  
2154 aggregate for any single election and primary  
2155 preliminary thereto. Contributions [to such  
2156 committees] MADE BY A POLITICAL COMMITTEE  
2157 ESTABLISHED BY A BUSINESS ENTITY shall also be  
2158 subject to the provisions of section 9-333t, AS  
2159 AMENDED BY THIS ACT, in the case of committees  
2160 formed for ongoing political activity or section  
2161 9-333u, AS AMENDED BY THIS ACT, in the case of  
2162 committees formed for a single election or  
2163 primary.

2164 (e) A political committee organized by a  
2165 business entity may make unlimited contributions  
2166 to [, or for the benefit of,] another political  
2167 committee organized by a business entity or to a  
2168 party committee. No political committee organized  
2169 by a business entity shall make a contribution to  
2170 an exploratory committee in excess of two hundred  
2171 fifty dollars. No such political committee shall  
2172 make a contribution or contributions in excess of  
2173 two thousand dollars to any other kind of  
2174 political committee, in any one calendar year if  
2175 organized for ongoing political activities, or if  
2176 formed for a single primary, election or  
2177 referendum, with respect to such primary, election  
2178 or referendum.

2179 (f) As used in this subsection, "investment  
2180 services" means legal services, investment banking  
2181 services, investment advisory services,

2182 underwriting services, financial advisory services  
2183 or brokerage firm services. No political committee  
2184 established by a firm which provides investment  
2185 services and to which the STATE Treasurer pays  
2186 compensation, expenses or fees or issues a  
2187 contract shall make OR SOLICIT a contribution [on  
2188 or after October 1, 1995, to, or solicit  
2189 contributions on or after said date] TO, OR on  
2190 behalf of, an exploratory committee or candidate  
2191 committee established by a candidate for  
2192 nomination or election to the office of STATE  
2193 Treasurer during the term of office of the STATE  
2194 Treasurer which does business with such firm.

2195 Sec. 16. Section 9-333p of the general  
2196 statutes is repealed and the following is  
2197 substituted in lieu thereof:

2198 (a) An organization may make contributions or  
2199 expenditures, other than those made to promote the  
2200 success or defeat of a referendum question, only  
2201 by first forming its own political committee. The  
2202 political committee shall then be authorized to  
2203 (1) receive funds exclusively from the  
2204 organization's treasury or from voluntary  
2205 contributions made by its members, but not both,  
2206 OR from another political committee or [,] from a  
2207 candidate committee distributing a surplus, [and  
2208 (1) to] AND (2) make contributions or expenditures  
2209 to [, or for the benefit of,] a candidate's  
2210 campaign or a political party or [(2) to make  
2211 contributions] to another political committee. No  
2212 organization shall form more than one political  
2213 committee.

2214 (b) A political committee established by an  
2215 organization may elect to alter the manner in  
2216 which it is funded if it complies with the  
2217 requirements of this subsection. The committee  
2218 chairperson shall notify the repository with which  
2219 the committee's most recent [statement of  
2220 organization] REGISTRATION STATEMENT is filed, in  
2221 writing [, of the committee's intent] BY FILING AN  
2222 AMENDED REGISTRATION STATEMENT DESIGNATING THE  
2223 MANNER IN WHICH THE COMMITTEE INTENDS to alter its  
2224 manner of funding. [Within] NOT MORE THAN fifteen  
2225 days after the date of receipt of such  
2226 [notification, the campaign] AMENDED REGISTRATION  
2227 STATEMENT, THE treasurer of such political  
2228 committee shall return any funds remaining in the  
2229 account of the committee to the organization's

2230 treasury after payment of each outstanding  
2231 liability. [Within] NOT MORE THAN seven days after  
2232 the distribution and payments have been made, the  
2233 [campaign] treasurer shall file a FINANCIAL  
2234 DISCLOSURE statement with the same repository  
2235 itemizing each such distribution and payment. Upon  
2236 such filing, the [campaign] treasurer may receive  
2237 voluntary contributions from any member of the  
2238 organization which established such committee  
2239 subject to the limitations imposed in subsection  
2240 (b) of section 9-333n.

2241 (c) The chairperson of each political  
2242 committee established by an organization on or  
2243 after July 1, 1985, shall designate the manner in  
2244 which the committee shall be funded in the  
2245 committee's [statement of organization]  
2246 REGISTRATION STATEMENT.

2247 Sec. 17. Section 9-333g of the general  
2248 statutes is repealed and the following is  
2249 substituted in lieu thereof:

2250 (a) No political committee established by an  
2251 organization shall make a contribution or  
2252 contributions to [, or for the benefit of,] any  
2253 candidate's campaign for nomination at a primary  
2254 or for election to the office of: (1) Governor, in  
2255 excess of two thousand five hundred dollars; (2)  
2256 Lieutenant Governor, Secretary of the State, STATE  
2257 Treasurer, Comptroller or Attorney General, in  
2258 excess of one thousand five hundred dollars; (3)  
2259 sheriff or chief executive officer of a town, city  
2260 or borough, in excess of one thousand dollars; (4)  
2261 state senator or probate judge, in excess of five  
2262 hundred dollars; or (5) state representative or  
2263 any other office of a municipality not previously  
2264 included in this subsection, in excess of two  
2265 hundred fifty dollars.

2266 (b) No such committee shall make a  
2267 contribution or contributions to [, or for the  
2268 benefit of,] an exploratory committee, in excess  
2269 of two hundred fifty dollars. Any such committee  
2270 OR ORGANIZATION may make unlimited contributions  
2271 to a political committee formed solely to aid or  
2272 promote the success or defeat of a referendum  
2273 question. THE PROVISIONS OF THIS SECTION SHALL NOT  
2274 PRECLUDE AN ORGANIZATION FROM MAKING UNLIMITED  
2275 CONTRIBUTIONS OR EXPENDITURES TO PROMOTE THE  
2276 SUCCESS OR DEFEAT OF A REFERENDUM QUESTION.

2277 (c) The CONTRIBUTION limits imposed by  
2278 subsection (a) of this section shall apply  
2279 separately to primaries and elections and no such  
2280 committee shall make contributions to the  
2281 candidates designated in this section which in the  
2282 aggregate exceed fifty thousand dollars for any  
2283 single election and primary preliminary thereto.

2284 (d) No political committee established by an  
2285 organization shall make contributions in any one  
2286 calendar year to [, or for the benefit of,] (1)  
2287 the state central committee of a political party,  
2288 in excess of five thousand dollars; (2) a town  
2289 committee, in excess of one thousand dollars; or  
2290 (3) any political committee, other than an  
2291 exploratory committee or a committee formed solely  
2292 to aid or promote the success or defeat of a  
2293 referendum question, in excess of two thousand  
2294 dollars.

2295 (e) No political committee established by an  
2296 organization shall make contributions to the  
2297 committees designated in subsection (d) of this  
2298 section, which in the aggregate exceed fifteen  
2299 thousand dollars in any one calendar year.  
2300 Contributions to [a] ANOTHER political committee  
2301 established by an organization shall also be  
2302 subject to the provisions of section 9-333t, AS  
2303 AMENDED BY THIS ACT, in the case of a committee  
2304 formed for ongoing political activity or section  
2305 9-333u, AS AMENDED BY THIS ACT, in the case of a  
2306 committee formed for a single election or primary.

2307 Sec. 18. Section 9-333r of the general  
2308 statutes is repealed and the following is  
2309 substituted in lieu thereof:

2310 (a) A candidate committee shall not make  
2311 contributions to [, or for the benefit of,] (1) a  
2312 party committee, (2) a political committee, except  
2313 to a political committee which has been formed for  
2314 a slate of convention delegates in a primary, (3)  
2315 a committee of a candidate for federal or  
2316 out-of-state office, (4) a national committee, or  
2317 (5) another candidate committee except that a pro  
2318 rata sharing of certain expenses in accordance  
2319 with subsection (b) of section 9-333l, AS AMENDED  
2320 BY THIS ACT, shall be permitted.

2321 (b) A candidate committee shall not receive  
2322 contributions from any national committee or from  
2323 a committee of a candidate for federal or  
2324 out-of-state office.

2325 Sec. 19. Section 9-333s of the general  
2326 statutes is repealed and the following is  
2327 substituted in lieu thereof:

2328 (a) A party committee may make unlimited  
2329 contributions to [, or for the benefit of,] any of  
2330 the following: (1) Another party committee; (2) a  
2331 candidate committee; (3) a national committee of a  
2332 political party; (4) a committee of a candidate  
2333 for federal or out-of-state office or (5) a  
2334 political committee. A party committee may also  
2335 make contributions to a charitable organization  
2336 which is a tax-exempt organization under Section  
2337 501(c)(3) of the Internal Revenue Code, as from  
2338 time to time amended, or make memorial  
2339 contributions.

2340 (b) A party committee may receive  
2341 contributions from a national committee of a  
2342 political party, but may not receive contributions  
2343 from a committee of a candidate for federal or  
2344 out-of-state office, for use in the election of  
2345 candidates subject to the provisions of this  
2346 chapter.

2347 Sec. 20. Section 9-333t of the general  
2348 statutes is repealed and the following is  
2349 substituted in lieu thereof:

2350 (a) A political committee organized for  
2351 ongoing political activities may make unlimited  
2352 contributions to [, or for the benefit of,] a  
2353 party committee; any national committee of a  
2354 political party; a candidate committee; or a  
2355 committee of a candidate for federal or  
2356 out-of-state office. No such political committee  
2357 shall make a contribution or contributions in  
2358 excess of two thousand dollars to [another] ANY  
2359 OTHER political committee in any calendar year  
2360 except that a political committee organized by a  
2361 business entity may make unlimited contributions  
2362 to [, or for the benefit of,] another political  
2363 committee organized by a business entity. No  
2364 political committee organized for ongoing  
2365 political activities shall make a contribution in  
2366 excess of two hundred fifty dollars to an  
2367 exploratory committee. If such an ongoing  
2368 committee is established by an organization or a  
2369 business entity, its contributions shall be  
2370 subject to the limits imposed by sections 9-333o  
2371 to 9-333q, inclusive, AS AMENDED BY THIS ACT. A  
2372 political committee organized for ongoing

2373 political activities may make contributions to a  
2374 charitable organization which is a tax-exempt  
2375 organization under Section 501(c)(3) of the  
2376 Internal Revenue Code, as from time to time  
2377 amended, or make memorial contributions.

2378 (b) A political committee organized for  
2379 ongoing political activities may receive  
2380 contributions from a national committee of a  
2381 political party, but may not receive contributions  
2382 from a committee of a candidate for federal or  
2383 out-of-state office.

2384 Sec. 21. Section 9-333u of the general  
2385 statutes is repealed and the following is  
2386 substituted in lieu thereof:

2387 (a) A political committee established for a  
2388 single primary or election may make unlimited  
2389 contributions to [, or for the benefit of,] a  
2390 party committee or a candidate committee, but no  
2391 such political committee shall make contributions  
2392 to a national committee [,] or a committee of a  
2393 candidate for federal or out-of-state office. If  
2394 such a political committee is established by an  
2395 organization or a business entity, its  
2396 contributions shall also be subject to the  
2397 limitations imposed by sections 9-333o to 9-333q,  
2398 inclusive, AS AMENDED BY THIS ACT. No political  
2399 committee formed for a single election or primary  
2400 shall, with respect to such election or primary  
2401 make a contribution or contributions in excess of  
2402 two thousand dollars to another political  
2403 committee, provided no such political committee  
2404 shall make a contribution in excess of two hundred  
2405 fifty dollars to an exploratory committee.

2406 (b) A political committee established for a  
2407 single primary or election shall not receive  
2408 contributions from a committee of a candidate for  
2409 federal or out-of-state office or from a national  
2410 committee.

2411 Sec. 22. Section 9-333v of the general  
2412 statutes is repealed and the following is  
2413 substituted in lieu thereof:

2414 (a) A political committee formed solely to  
2415 aid or promote the success or defeat of a  
2416 referendum question shall not make A CONTRIBUTION  
2417 OR contributions to [, or for the benefit of,] a  
2418 party committee, a political committee, a national  
2419 committee, a committee of a candidate for federal  
2420 or out-of-state office or a candidate committee,

2421 except in the distribution of a surplus, as  
2422 provided in subsection (e) of section 9-333j, AS  
2423 AMENDED BY THIS ACT.

2424 (b) A political committee formed solely to  
2425 aid or promote the success or defeat of a  
2426 referendum question shall not receive  
2427 contributions from a national committee or from a  
2428 committee of a candidate for federal or  
2429 out-of-state office.

2430 [(c) No person, as defined in subdivision (9)  
2431 of section 9-333a, other than an individual or a  
2432 committee, shall make a contribution to a  
2433 political committee formed solely to aid or  
2434 promote the success or defeat of a referendum  
2435 question, or to any other person, as defined in  
2436 subdivision (9) of section 9-333a, to aid or  
2437 promote the success or defeat of a referendum  
2438 question, in excess of ten cents for each  
2439 individual residing in the state or political  
2440 subdivision thereof in which such referendum  
2441 question is to be voted upon, in accordance with  
2442 the last federal decennial census.]

2443 [(d)] (c) Any [such] person other than an  
2444 individual or a committee which makes expenditures  
2445 or has expenses incurred but not paid in excess of  
2446 one thousand dollars in the state or political  
2447 subdivision thereof in which a referendum question  
2448 is to be voted upon, shall file all designations  
2449 and sworn financial DISCLOSURE statements required  
2450 to be filed by political committees and comply  
2451 with all provisions of this chapter which apply to  
2452 political committees.

2453 Sec. 23. Section 9-333w of the general  
2454 statutes is repealed and the following is  
2455 substituted in lieu thereof:

2456 (a) No individual shall make or incur any  
2457 expenditure with the cooperation of, at the  
2458 request or suggestion of, or in consultation with  
2459 any candidate, candidate committee or [candidate's  
2460 agent] AGENT OF SUCH CANDIDATE, and no candidate  
2461 or committee shall make or incur any expenditure  
2462 for any written, typed or other printed  
2463 communication [which] THAT promotes the success or  
2464 defeat of any candidate's campaign for nomination  
2465 at a primary or election or solicits funds to  
2466 benefit any political party or committee unless  
2467 such communication bears upon its face the words  
2468 "paid for by" and the following: (1) In the case

2469 of such an individual, the name and address of  
2470 such individual; (2) in the case of a committee  
2471 other than a party committee, the name of the  
2472 committee and its [campaign] treasurer; or (3) in  
2473 the case of a party committee, the name of the  
2474 committee.

2475 (b) No business entity, organization,  
2476 association, committee, or group of two or more  
2477 individuals who have joined solely to promote the  
2478 success or defeat of a referendum question and is  
2479 required to file a certification OF LIMITED  
2480 REGISTRATION in accordance with subsection (d) of  
2481 section 9-333g, AS AMENDED BY THIS ACT, shall make  
2482 or incur any expenditure for any written, typed or  
2483 other printed communication [which] THAT promotes  
2484 the success or defeat of any referendum question  
2485 unless such communication bears upon its face the  
2486 words "paid for by" and the following: (1) In the  
2487 case of a business entity, organization or  
2488 association, the name of the entity, organization  
2489 or association and the name of its chief executive  
2490 officer; (2) in the case of a political committee,  
2491 the name of the committee and the name of its  
2492 [campaign] treasurer; (3) in the case of a party  
2493 committee, the name of the committee; or (4) in  
2494 the case of such a group of two or more  
2495 individuals, the name of the group as it appears  
2496 on the certification OF LIMITED REGISTRATION filed  
2497 in accordance with subsection (d) of section  
2498 9-333g, AS AMENDED BY THIS ACT, and the name and  
2499 address of its agent.

2500 (c) The provisions of subsections (a) and (b)  
2501 of this section do not apply to (1) any editorial,  
2502 news story, or commentary published in any  
2503 newspaper, magazine or journal on its own behalf  
2504 and upon its own responsibility and for which it  
2505 does not charge or receive any compensation  
2506 whatsoever, (2) any banner, (3) political  
2507 paraphernalia including pins, buttons, badges,  
2508 emblems, hats, bumper stickers or other similar  
2509 materials, or (4) signs with a surface area of not  
2510 more than thirty-two square feet.

2511 (d) The [campaign] treasurer of a candidate  
2512 committee which sponsors any written, typed or  
2513 other printed communication for the purpose of  
2514 raising funds to eliminate a campaign deficit of  
2515 that committee shall include in such communication

2516 a statement that the funds are sought to eliminate  
2517 such a deficit.

2518 (e) The [campaign] treasurer of an  
2519 exploratory committee or A candidate committee  
2520 established by a candidate for nomination or  
2521 election to the office of STATE Treasurer [which  
2522 committee] THAT sponsors any written, typed or  
2523 other printed communication for the purpose of  
2524 raising funds shall include in such communication  
2525 a statement concerning the prohibitions set forth  
2526 in subsection (n) of section 1-84, subsection (f)  
2527 of section 9-333n and subsection (f) of section  
2528 9-333o, AS AMENDED BY THIS ACT.

2529 (f) In the event a [campaign] treasurer of a  
2530 candidate committee is replaced pursuant to  
2531 subsection (c) of section 9-333d, AS AMENDED BY  
2532 THIS ACT, nothing in this section shall be  
2533 construed to prohibit the candidate committee from  
2534 distributing any printed communication subject to  
2535 the provisions of this section that has already  
2536 been printed or otherwise produced, even though  
2537 such communication [does not accurately designate  
2538 the successor campaign] BEARS ON ITS FACE THE NAME  
2539 OF THE PREDECESSOR RATHER THAN THE SUCCESSOR  
2540 treasurer of such candidate committee.

2541 Sec. 24. Section 9-333y of the general  
2542 statutes is repealed and the following is  
2543 substituted in lieu thereof:

2544 (a) Any person who knowingly and wilfully  
2545 violates any provision of this chapter shall be  
2546 fined not more than five thousand dollars or  
2547 imprisoned not more than five years or both. The  
2548 Secretary of the State or the town clerk shall  
2549 notify the State Elections Enforcement Commission  
2550 of any such violation of which said secretary or  
2551 such town clerk may have knowledge.

2552 (b) If any [campaign] treasurer or lobbyist  
2553 fails to file the FINANCIAL DISCLOSURE statements  
2554 required by section 9-333j or subsection (g) of  
2555 section 9-333l, as the case may be, AS AMENDED BY  
2556 THIS ACT, within the time required, he shall pay a  
2557 late filing fee of fifty-five dollars. In the case  
2558 of a FINANCIAL DISCLOSURE statement that is  
2559 required to be filed with the Secretary of the  
2560 State, the secretary shall, within ten days after  
2561 the filing deadline, notify by certified mail,  
2562 return receipt requested, the person required to  
2563 file that, if such FINANCIAL DISCLOSURE statement

2564 is not filed within twenty-one days after the  
2565 deadline, the person is in violation of said  
2566 section or subsection. If the person does not file  
2567 such FINANCIAL DISCLOSURE statement within  
2568 twenty-one days after the deadline, the secretary  
2569 shall notify the State Elections Enforcement  
2570 Commission within twenty-eight days after the  
2571 deadline. In the case of a FINANCIAL DISCLOSURE  
2572 statement that is required to be filed with a town  
2573 clerk, the town clerk shall forthwith after the  
2574 filing deadline notify by certified mail, return  
2575 receipt requested, the person required to file  
2576 that, if such FINANCIAL DISCLOSURE statement is  
2577 not filed within seven days after receiving such  
2578 notice, the town clerk shall notify the State  
2579 Elections Enforcement Commission that the person  
2580 is in violation of said section or subsection. The  
2581 penalty for any violation of said section or  
2582 subsection shall be a fine of not more than one  
2583 thousand dollars or imprisonment for not more than  
2584 one year or both.

2585 Sec. 25. Subsection (e) of section 1-79 of  
2586 the general statutes, as amended by section 17 of  
2587 public act 97-5 of the June 18 special session and  
2588 section 1 of public act 97-6 of the June 18  
2589 special session, is repealed and the following is  
2590 substituted in lieu thereof:

2591 (e) "Gift" means anything of value, which is  
2592 directly and personally received, unless  
2593 consideration of equal or greater value is given  
2594 in return. "Gift" shall not include:

2595 (1) A political contribution otherwise  
2596 reported as required by law or a donation or  
2597 payment as described in subdivision [(9) or] (10)  
2598 OR (11) of subsection (b) of section 9-333b;

2599 (2) Services provided by persons volunteering  
2600 their time;

2601 (3) A commercially reasonable loan made on  
2602 terms not more favorable than loans made in the  
2603 ordinary course of business;

2604 (4) A gift received from (A) an individual's  
2605 spouse, fiance or fiancée, (B) the parent, brother  
2606 or sister of such spouse or such individual, or  
2607 (C) the child of such individual or the spouse of  
2608 such child;

2609 (5) Goods or services (A) which are provided  
2610 to the state (i) for use on state property, or  
2611 (ii) to support an event or the participation by a

2612 public official or state employee at an event, and  
2613 (B) which facilitate state action or functions. As  
2614 used in this subdivision, "state property" means  
2615 (i) property owned by the state, or (ii) property  
2616 leased to an agency in the Executive or Judicial  
2617 Department of the state;

2618 (6) A certificate, plaque or other ceremonial  
2619 award costing less than one hundred dollars;

2620 (7) A rebate, discount or promotional item  
2621 available to the general public;

2622 (8) Printed or recorded informational  
2623 material germane to state action or functions;

2624 (9) Food or beverage or both, costing less  
2625 than fifty dollars in the aggregate per recipient  
2626 in a calendar year, and consumed on an occasion or  
2627 occasions at which the person paying, directly or  
2628 indirectly, for the food or beverage, or his  
2629 representative, is in attendance;

2630 (10) Food or beverage or both, costing less  
2631 than fifty dollars per person and consumed at a  
2632 publicly noticed legislative reception to which  
2633 all members of the General Assembly are invited  
2634 and which is hosted not more than once in any  
2635 calendar year by a lobbyist or business  
2636 organization. For the purposes of such limit, (A)  
2637 a reception hosted by a lobbyist who is an  
2638 individual shall be deemed to have also been  
2639 hosted by the business organization which he owns  
2640 or is employed by and (B) a reception hosted by a  
2641 business organization shall be deemed to have also  
2642 been hosted by all owners and employees of the  
2643 business organization who are lobbyists. In making  
2644 the calculation for the purposes of such  
2645 fifty-dollar limit, the donor shall divide the  
2646 amount spent on food and beverage by the number of  
2647 persons whom the donor reasonably expects to  
2648 attend the reception;

2649 (11) Food or beverage or both, costing less  
2650 than fifty dollars per person and consumed at a  
2651 publicly noticed reception to which all members of  
2652 the General Assembly from a region of the state  
2653 are invited and which is hosted not more than once  
2654 in any calendar year by a lobbyist or business  
2655 organization. For the purposes of such limit, (A)  
2656 a reception hosted by a lobbyist who is an  
2657 individual shall be deemed to have also been  
2658 hosted by the business organization which he owns  
2659 or is employed by, and (B) a reception hosted by a

2660 business organization shall be deemed to have also  
2661 been hosted by all owners and employees of the  
2662 business organization who are lobbyists. In making  
2663 the calculation for the purposes of such  
2664 fifty-dollar limit, the donor shall divide the  
2665 amount spent on food and beverage by the number of  
2666 persons whom the donor reasonably expects to  
2667 attend the reception. As used in this subdivision,  
2668 "region of the state" means the established  
2669 geographic service area of the organization  
2670 hosting the reception;

2671 (12) A gift, including but not limited to,  
2672 food or beverage or both, provided by an  
2673 individual for the celebration of a major life  
2674 event;

2675 (13) Gifts costing less than one hundred  
2676 dollars in the aggregate or food or beverage  
2677 provided at a hospitality suite at a meeting or  
2678 conference of an interstate legislative  
2679 association, by a person who is not a registrant  
2680 or is not doing business with the state of  
2681 Connecticut;

2682 (14) Admission to a charitable or civic  
2683 event, including food and beverage provided at  
2684 such event, but excluding lodging or travel  
2685 expenses, at which a public official or state  
2686 employee participates in his official capacity,  
2687 provided such admission is provided by the primary  
2688 sponsoring entity;

2689 (15) Anything of value provided by an  
2690 employer of (A) a public official, (B) a state  
2691 employee, or (C) a spouse of a public official or  
2692 state employee, to such official, employee or  
2693 spouse, provided such benefits are customarily and  
2694 ordinarily provided to others in similar  
2695 circumstances; or

2696 (16) Anything having a value of not more than  
2697 ten dollars, provided the aggregate value of all  
2698 things provided by a donor to a recipient under  
2699 this subdivision in any calendar year shall not  
2700 exceed fifty dollars.

2701 Sec. 26. Subsection (g) of section 1-91 of  
2702 the general statutes, as amended by section 18 of  
2703 public act 97-5 of the June 18 special session and  
2704 section 6 of public act 97-6 of the June 18  
2705 special session, is repealed and the following is  
2706 substituted in lieu thereof:

2707 (g) "Gift" means anything of value, which is  
2708 directly and personally received, unless  
2709 consideration of equal or greater value is given  
2710 in return. "Gift" shall not include:

2711 (1) A political contribution otherwise  
2712 reported as required by law or a donation or  
2713 payment described in subdivision [(9) or] (10) OR  
2714 (11) of subsection (b) of section 9-333b;

2715 (2) Services provided by persons volunteering  
2716 their time;

2717 (3) A commercially reasonable loan made on  
2718 terms not more favorable than loans made in the  
2719 ordinary course of business;

2720 (4) A gift received from (A) the individual's  
2721 spouse, fiance or fiancée, (B) the parent, brother  
2722 or sister of such spouse or such individual, or  
2723 (C) the child of such individual or the spouse of  
2724 such child;

2725 (5) Goods or services (A) which are provided  
2726 to the state (i) for use on state property, or  
2727 (ii) to support an event or the participation by a  
2728 public official or state employee at an event, and  
2729 (B) which facilitate state action or functions. As  
2730 used in this subdivision, "state property" means  
2731 (i) property owned by the state, or (ii) property  
2732 leased to an agency in the Executive or Judicial  
2733 Department of the state;

2734 (6) A certificate, plaque or other ceremonial  
2735 award costing less than one hundred dollars;

2736 (7) A rebate, discount or promotional item  
2737 available to the general public;

2738 (8) Printed or recorded informational  
2739 material germane to state action or functions;

2740 (9) Food or beverage or both, costing less  
2741 than fifty dollars in the aggregate per recipient  
2742 in a calendar year, and consumed on an occasion or  
2743 occasions at which the person paying, directly or  
2744 indirectly, for the food or beverage, or his  
2745 representative, is in attendance;

2746 (10) Food or beverage or both, costing less  
2747 than fifty dollars per person and consumed at a  
2748 publicly noticed legislative reception to which  
2749 all members of the General Assembly are invited  
2750 and which is hosted not more than once in any  
2751 calendar year by a lobbyist or business  
2752 organization. For the purposes of such limit, (A)  
2753 a reception hosted by a lobbyist who is an  
2754 individual shall be deemed to have also been

2755 hosted by the business organization which he owns  
2756 or is employed by, and (B) a reception hosted by a  
2757 business organization shall be deemed to have also  
2758 been hosted by all owners and employees of the  
2759 business organization who are lobbyists. In making  
2760 the calculation for the purposes of such  
2761 fifty-dollar limit, the donor shall divide the  
2762 amount spent on food and beverage by the number of  
2763 persons whom the donor reasonably expects to  
2764 attend the reception;

2765 (11) Food or beverage or both, costing less  
2766 than fifty dollars per person and consumed at a  
2767 publicly noticed reception to which all members of  
2768 the General Assembly from a region of the state  
2769 are invited and which is hosted not more than once  
2770 in any calendar year by a lobbyist or business  
2771 organization. For the purposes of such limit, (A)  
2772 a reception hosted by a lobbyist who is an  
2773 individual shall be deemed to have also been  
2774 hosted by the business organization which he owns  
2775 or is employed by, and (B) a reception hosted by a  
2776 business organization shall be deemed to have also  
2777 been hosted by all owners and employees of the  
2778 business organization who are lobbyists. In making  
2779 the calculation for the purposes of such  
2780 fifty-dollar limit, the donor shall divide the  
2781 amount spent on food and beverage by the number of  
2782 persons whom the donor reasonably expects to  
2783 attend the reception. As used in this subdivision,  
2784 "region of the state" means the established  
2785 geographic service area of the organization  
2786 hosting the reception;

2787 (12) A gift, including but not limited to,  
2788 food or beverage or both, provided by an  
2789 individual for the celebration of a major life  
2790 event;

2791 (13) Gifts costing less than one hundred  
2792 dollars in the aggregate or food or beverage  
2793 provided at a hospitality suite at a meeting or  
2794 conference of an interstate legislative  
2795 association, by a person who is not a registrant  
2796 or is not doing business with the state of  
2797 Connecticut;

2798 (14) Admission to a charitable or civic  
2799 event, including food and beverage provided at  
2800 such event, but excluding lodging or travel  
2801 expenses, at which a public official or state  
2802 employee participates in his official capacity,

2803 provided such admission is provided by the primary  
2804 sponsoring entity;

2805 (15) Anything of value provided by an  
2806 employer of (A) a public official, (B) a state  
2807 employee, or (C) a spouse of a public official or  
2808 state employee, to such official, employee or  
2809 spouse, provided such benefits are customarily and  
2810 ordinarily provided to others in similar  
2811 circumstances; or

2812 (16) Anything having a value of not more than  
2813 ten dollars, provided the aggregate value of all  
2814 things provided by a donor to a recipient under  
2815 this subdivision in any calendar year shall not  
2816 exceed fifty dollars.

2817 Sec. 27. This act shall take effect January  
2818 1, 1999, and shall apply to elections, primaries  
2819 and referenda held on or after said date.

2820 STATEMENT OF LEGISLATIVE COMMISSIONERS: The word  
2821 "campaign" was bracketed in the following  
2822 sections, for consistency with other provisions of  
2823 the bill that substituted "treasurer" for  
2824 "campaign treasurer": Sec. 2(a)(3), Sec. 8, Sec.  
2825 9(f), Sec. 10. (f) and Sec. 12(f)(6). Sections 25  
2826 and 26 were added for statutory consistency.

2827 GAE COMMITTEE VOTE: YEA 17 NAY 0 JFS

\* \* \* \* \*

"THE FOLLOWING FISCAL IMPACT STATEMENT AND BILL ANALYSIS ARE PREPARED FOR THE BENEFIT OF MEMBERS OF THE GENERAL ASSEMBLY, SOLELY FOR PURPOSES OF INFORMATION, SUMMARIZATION AND EXPLANATION AND DO NOT REPRESENT THE INTENT OF THE GENERAL ASSEMBLY OR EITHER HOUSE THEREOF FOR ANY PURPOSE."

\* \* \* \* \*

**FISCAL IMPACT STATEMENT - BILL NUMBER sHB 5686**

STATE IMPACT	None, see explanation below
MUNICIPAL IMPACT	None
STATE AGENCY(S)	Elections Enforcement Commission, Secretary of the State

**EXPLANATION OF ESTIMATES:**

It is anticipated that any changes in the campaign finance reporting forms, or other publications necessitated by the passage of this bill could be accommodated within the normal printing budget of the Secretary of the State and Elections Enforcement Commission, thus, this bill is not expected to have a fiscal impact.

\* \* \* \* \*

**OLR BILL ANALYSIS**

sHB 5686

**AN ACT REVISING CAMPAIGN FINANCE LAWS**

**SUMMARY:** This bill:

1. replaces the biennial reporting requirement for political committees used for purposes of enforcing the ban on gifts from lobbyists during a legislative session with a one-time certification and a requirement to modify, if necessary (Sec. 12);
2. removes the 10 cent per resident contribution

- limit that a business or an organization (such as a labor union) can give to a committee formed to support or oppose a referendum (Sec. 22);
3. revises in part the limits on contributions that an individual can give to an exploratory committee (see COMMENT) (Sec. 14);
  4. requires that a candidate committee name include the candidate's name (Sec. 6) and that a political committee established by a business, labor union, or association include its name in the committee title (Sec. 7);
  5. exempts contributions from a candidate's spouse from the law's limits and disclosure requirements (Sec. 2);
  6. removes the contribution limits and disclosure requirements for the purchase of up to \$15 of food and beverage from a political committee at a town or county fair (Sec. 2);
  7. deletes the requirement that financial disclosure statements be sworn to (Secs. 8 and 9);
  8. extends the exemption for business or labor union nonpartisan political activity from contribution limits and the definition of expenditure by including any activity directed at the public, not just voter registration or turnout efforts aimed at its own members, owners, stockholders, administrative personnel, and their families (Secs. 2 and 3);
  9. exempts anyone or any type of organization's sponsorship of nonpartisan activities from contribution limits and the spending definition (Secs. 2 and 3);
  10. eliminates the requirement that a treasurer and deputy treasurer submit a signed statement of acceptance when filing a committee's registration statement for electronic filing purposes (Sec. 7);

11. renames certain terms; and
12. makes other minor, technical, and grammatical changes.

The bill's provisions apply to elections, primaries, and referendums held on and after January 1, 1999.

EFFECTIVE DATE: January 1, 1999

#### **FURTHER EXPLANATION**

##### **Political Committee Certifications**

The law bans contributions during legislative sessions from lobbyists and the PACs they establish to constitutional officers, members of the General Assembly, candidates for those offices, and the candidate or exploratory committees or PACs they control. For compliance and enforcement purposes, PACs must certify with the secretary of the state as to whether they are affiliated with a lobbyist or established for an elected official. Current law requires them to file the certification by November 15 in every even-numbered year. The bill replaces this requirement with a one-time certification due within 10 days after the committee files its registration statement. Committees that have filed before January 1, 1999 need not file again. A committee that has not filed its certification by that date has 10 days to do so.

When the conditions related to a committee's existing certification change in a way that makes its certification incorrect, it can appeal to the State Elections Enforcement Commission (SEEC) to modify it. SEEC has 60 days to grant or deny the appeal. The bill does not require certification of an existing committee that subsequently becomes affiliated with an official or a lobbyist.

Under current law, after the secretary receives the certifications, he must send copies of them along with a report to the SEEC. The bill requires the secretary to send copies of the certifications and committee registration statements filed since the end of the last regular legislative session to the commission by November 1, rather than December 1. The bill gives the

secretary 10 days rather than one to forward materials filed after the deadline. The bill requires the secretary to continue to give the SEEC a report including the names of all PACs, but makes it due November 1 annually, rather than December 1 biennially.

### **Contributions to a Referendum Committee**

The bill eliminates limits on contributions that a business entity or a labor union can make to a committee formed to support or oppose a referendum. Current law limits their contributions to 10 cents per resident in the jurisdiction conducting the vote. The bill leaves the law's \$2,000 limit on contributions that a business PAC (CGS Sec. 9-333o(b)) or an ongoing PAC of two or more individuals (CGS Sec. 9-333t) can give to a referendum committee.

### **Terms**

The bill changes the following terms or phrases used in the campaign finance law from:

1. a statement of organization to a registration statement;
2. a treasurer's statement or statement to a financial disclosure statement, an itemized financial disclosure statement, or an unitemized financial disclosure statement;
3. an exemption from filing detailed reports to a certification of limited registration;
4. a campaign treasurer and deputy campaign treasurer to a treasurer and deputy treasurer; and
5. a depository institution to a designated depository.

### **BACKGROUND**

#### **Related Case Law**

The U.S. Supreme Court has ruled that limits on contributions to committees formed to support or oppose ballot measures submitted to a popular vote is an

unconstitutional violation of First Amendment rights of association and expression (Citizens Against Rent Control v. City of Berkeley, 454 U.S. 290 (1981)).

**COMMENT****Exploratory Committee Contribution Limit**

An exploratory committee is a type of political committee. Individuals can give up to \$1,000 to a political committee with four exceptions including an exploratory committee. The bill eliminates the exception for an exploratory committee in Section 9-333n(a), subjecting contributions to the \$1,000 limit. The bill does not raise the \$250 limit for such contributions in Section 9-333m(c), thus creating a conflict.

**COMMITTEE ACTION**

Government Administration and Elections Committee

Joint Favorable Substitute  
Yea 17      Nay 0