

**University of Connecticut**  
**Non-Appropriated Funds Report for OPM/OFA (unaudited)**

	Balance FYE 2010	Balance FYE 2011	Balance FYE 2012	Balance FYE 2013	Beginning Balance	As of 6/30/14			Est Deposits to EOY	Est Expenditures to EOY	Cash Balance as of EOY	Encumbrances
						YTD Revenue	YTD Expenditures	Available Cash				
UConn Operating Fund	202,427,987	199,069,825	179,572,600	163,520,485	163,520,485	932,325,010	941,975,312	153,870,183		153,870,183	78,219,777	*
40001 Non-Budgeted Operating Approp	199,482,172	196,689,898	176,086,233	160,034,256	160,034,256	630,502,305	640,152,607	150,383,954		150,383,954		
42769 Operating Expenses-UConn	2,945,816	2,379,927	3,486,367	3,486,229	3,486,229	301,822,705	301,822,705	3,486,229		3,486,229		
UConn Research Foundation (this fund is comprised of competitive research grant awards primarily from federal funds)												
40001 Non-Budgeted Operating Approp	25,853,520	18,622,296	17,255,786	16,014,240	16,014,240	97,635,810	96,557,841	17,092,209		17,092,209	13,042,300	**

\*Estimated Encumbrances/Liabilities/Commitments

\$153,870,183 Total Operating Fund Cash Balance as of EOY

(5,180,532) Restricted Operating Fund Cash Balance

(26,533,219) Committed Plant fund projects

(25,409,685) Internally Restricted for Retirement of Indebtedness

24,113 Compensated Absences & Wages Payable

(21,120,454) Encumbrances for contractals & commodities

\$75,650,406 Operating contingency (e.g. covers unbudgeted expenditures such as capital projects for code corrections/emergencies)

\*\*Estimated Encumbrances/Liabilities/Commitments

\$17,092,209 Total Research Foundation Cash Balance as of EOY

(13,042,300) Encumbrances for contractals & commodities

\$4,049,909 Research contingency (e.g. used by principal investigators/department heads/deans to increase our competitiveness for future grants)

**University of Connecticut**  
**Non-Appropriated Funds Report for OPM/OFA (unaudited)**

	Balance FYE 2010	Balance FYE 2011	Balance FYE 2012	Balance FYE 2013	Balance FYE 2014	Beginning Balance 2011	As of 7/31/14			Est Deposits to EOY	Est Expenditures to EOY	Cash Balance as of EOY	Encumbrances
							YTD Revenue	YTD Expenditures	Available Cash				
UConn Operating Fund	202,427,987	199,069,825	179,572,600	163,520,485	153,870,183	153,870,183	99,170,817	99,785,102	153,255,898	993,615,023	1,010,081,107	136,789,814	62,755,931 *
40001 Non-Budgeted Operating Approp	199,482,172	196,689,898	176,086,233	160,034,256	150,383,954	150,383,954	74,099,192	76,210,428	148,272,718	670,010,401	684,979,534	133,303,585	
42769 Operating Expenses-UConn	2,945,816	2,379,927	3,486,367	3,486,229	3,486,229	3,486,229	25,071,625	23,574,674	4,983,180	323,604,622	325,101,573	3,486,229	
UConn Research Foundation (this fund is comprised of competitive research grant awards primarily from federal funds)													
40001 Non-Budgeted Operating Approp	25,853,520	18,622,296	17,255,786	16,014,240	17,092,209	17,092,209	12,851,389	5,121,911	24,821,687	83,120,492	91,699,152	16,243,027	12,570,365 **

\*Estimated Encumbrances/Liabilities/Commitments

\$136,789,814 Total Operating Fund Cash Balance as of EOY  
(4,172,485) Restricted Operating Fund Cash Balance  
(14,803,668) Committed Plant fund projects  
(19,209,685) Internally Restricted for Retirement of Indebtedness  
(6,215,585) Compensated Absences & Wages Payable  
(18,354,508) Encumbrances for contractals & commodities  
\$74,033,883 Operating contingency (e.g. covers unbudgeted expenditures such as capital projects for code corrections/emergencies)

\*\*Estimated Encumbrances/Liabilities/Commitments

\$16,243,027 Total Research Foundation Cash Balance as of EOY  
(12,570,365) Encumbrances for contractals & commodities  
\$3,672,662 Research contingency (e.g. used by principal investigators/department heads/deans to increase our competitiveness for future grants)

**University of Connecticut**  
**Non-Appropriated Funds Report for OPM/OFA (unaudited)**

	Balance FYE 2010	Balance FYE 2011	Balance FYE 2012	Balance FYE 2013	Balance FYE 2014	Beginning Balance 2011	As of 8/31/14			Est Deposits to EOY	Est Expenditures to EOY	Cash Balance as of EOY	Encumbrances
							YTD Revenue	YTD Expenditures	Available Cash				
UConn Operating Fund	202,427,987	199,069,825	179,572,600	163,520,485	153,870,183	153,870,183	266,313,583	171,549,683	248,634,083	826,472,257	938,316,526	136,789,814	62,755,931 *
40001 Non-Budgeted Operating Approp	199,482,172	196,689,898	176,086,233	160,034,256	150,383,954	150,383,954	216,170,333	119,338,868	247,215,419	527,939,260	641,851,094	133,303,585	
42769 Operating Expenses-UConn	2,945,816	2,379,927	3,486,367	3,486,229	3,486,229	3,486,229	50,143,250	52,210,815	1,418,664	298,532,997	296,465,432	3,486,229	
UConn Research Foundation (this fund is comprised of competitive research grant awards primarily from federal funds)													
40001 Non-Budgeted Operating Approp	25,853,520	18,622,296	17,255,786	16,014,240	17,092,209	17,092,209	22,426,569	15,703,479	23,815,299	73,545,312	81,117,584	16,243,027	12,570,365 **

\*Estimated Encumbrances/Liabilities/Commitments

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(4,172,485) Restricted Operating Fund Cash Balance

(14,803,668) Committed Plant fund projects

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**University of Connecticut**  
**Non-Appropriated Funds Report for OPM/OFA (unaudited)**

	Balance FYE 2010	Balance FYE 2011	Balance FYE 2012	Balance FYE 2013	Balance FYE 2014	Beginning Balance 2011	As of 9/30/14			Est Deposits to EOY	Est Expenditures to EOY	Cash Balance as of EOY	Encumbrances
							YTD Revenue	YTD Expenditures	Available Cash				
UConn Operating Fund	202,427,987	199,069,825	179,572,600	163,520,485	153,870,183	153,870,183	337,700,458	237,398,840	254,171,801	755,085,382	872,467,369	136,789,814	62,755,931 *
40001 Non-Budgeted Operating Approp	199,482,172	196,689,898	176,086,233	160,034,256	150,383,954	150,383,954	262,485,583	157,004,691	255,864,846	481,624,010	604,185,271	133,303,585	
42769 Operating Expenses-UConn	2,945,816	2,379,927	3,486,367	3,486,229	3,486,229	3,486,229	75,214,875	80,394,149	(1,693,045)	273,461,372	268,282,098	3,486,229	
UConn Research Foundation (this fund is comprised of competitive research grant awards primarily from federal funds)													
40001 Non-Budgeted Operating Approp	25,853,520	18,622,296	17,255,786	16,014,240	17,092,209	17,092,209	30,740,712	23,203,488	24,629,433	65,231,169	73,617,575	16,243,027	12,570,365 **

\*Estimated Encumbrances/Liabilities/Commitments

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**Non-Appropriated Funds Report for OPM/OFA (unaudited)**

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							YTD Revenue	YTD Expenditures	Available Cash				
UConn Operating Fund	202,427,987	199,069,825	179,572,600	163,520,485	153,870,183	153,870,183	410,568,822	362,194,684	202,244,321	682,217,018	747,671,525	136,789,814	62,755,931 *
40001 Non-Budgeted Operating Approp	199,482,172	196,689,898	176,086,233	160,034,256	150,383,954	150,383,954	281,349,176	240,516,129	191,217,001	462,760,417	520,673,833	133,303,585	
42769 Operating Expenses-UConn	2,945,816	2,379,927	3,486,367	3,486,229	3,486,229	3,486,229	129,219,646	121,678,555	11,027,320	219,456,601	226,997,692	3,486,229	
UConn Research Foundation (this fund is comprised of competitive research grant awards primarily from federal funds)													
40001 Non-Budgeted Operating Approp	25,853,520	18,622,296	17,255,786	16,014,240	17,092,209	17,092,209	39,637,139	32,726,060	24,003,288	56,334,742	64,095,003	16,243,027	12,570,365 **

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							YTD Revenue	YTD Expenditures	Available Cash				
UConn Operating Fund	202,427,987	199,069,825	179,572,600	163,520,485	153,870,183	153,870,183	445,792,142	433,868,868	165,793,457	646,993,698	675,997,341	136,789,814	62,755,931 *
40001 Non-Budgeted Operating Approp	199,482,172	196,689,898	176,086,233	160,034,256	150,383,954	150,383,954	300,292,221	284,522,818	166,153,357	443,817,372	476,667,144	133,303,585	
42769 Operating Expenses-UConn	2,945,816	2,379,927	3,486,367	3,486,229	3,486,229	3,486,229	145,499,921	149,346,050	(359,900)	203,176,326	199,330,197	3,486,229	
UConn Research Foundation (this fund is comprised of competitive research grant awards primarily from federal funds)													
40001 Non-Budgeted Operating Approp	25,853,520	18,622,296	17,255,786	16,014,240	17,092,209	17,092,209	46,939,521	39,618,160	24,413,570	49,032,360	57,202,903	16,243,027	12,570,365 **

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							YTD Revenue	YTD Expenditures	Available Cash				
UConn Operating Fund	202,427,987	199,069,825	179,572,600	163,520,485	153,870,183	153,870,183	511,977,415	502,353,207	163,494,391	580,808,425	607,513,002	136,789,814	62,755,931 *
40001 Non-Budgeted Operating Approp	199,482,172	196,689,898	176,086,233	160,034,256	150,383,954	150,383,954	350,197,218	325,362,085	175,219,087	393,912,375	435,827,877	133,303,585	
42769 Operating Expenses-UConn	2,945,816	2,379,927	3,486,367	3,486,229	3,486,229	3,486,229	161,780,197	176,991,122	(11,724,696)	186,896,050	171,685,125	3,486,229	
UConn Research Foundation (this fund is comprised of competitive research grant awards primarily from federal funds)													
40001 Non-Budgeted Operating Approp	25,853,520	18,622,296	17,255,786	16,014,240	17,092,209	17,092,209	54,584,633	45,379,771	26,297,071	41,387,248	51,441,292	16,243,027	12,570,365 **

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