

# Department of Mental Health and Addiction Services

## MHA53000

### Position Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Legislative FY 15	Difference Leg-Gov FY 15
Permanent Full-Time - GF	3,264	3,309	3,309	3,309	3,309	0

### Budget Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Legislative FY 15	Difference Leg-Gov FY 15
Personal Services	170,222,361	180,175,144	193,931,357	193,931,357	192,414,701	(1,516,656)
Other Expenses	28,909,240	28,626,219	28,626,219	28,826,219	28,570,424	(255,795)
Equipment	0	1	1	1	1	0
<b>Other Current Expenses</b>						
Housing Supports And Services	15,559,982	15,832,467	16,332,467	17,721,576	20,721,576	3,000,000
Managed Service System	39,915,163	52,594,458	57,034,913	59,034,913	59,034,913	0
Legal Services	776,607	995,819	995,819	995,819	995,819	0
Connecticut Mental Health Center	8,200,118	8,665,721	8,665,721	8,665,721	8,865,721	200,000
Professional Services	12,423,524	11,788,898	11,788,898	11,788,898	11,788,898	0
General Assistance Managed Care	179,675,195	115,405,969	40,774,875	40,774,875	40,774,875	0
Workers' Compensation Claims	10,908,502	10,594,566	10,594,566	10,594,566	10,594,566	0
Nursing Home Screening	591,645	591,645	591,645	591,645	591,645	0
Young Adult Services	62,396,337	69,942,480	75,866,518	74,832,731	74,537,055	(295,676)
TBI Community Services	13,070,647	15,296,810	17,079,532	16,706,111	16,641,445	(64,666)
Jail Diversion	4,341,057	4,416,110	4,523,270	4,523,270	4,504,601	(18,669)
Behavioral Health Medications	5,400,048	6,169,095	6,169,095	6,169,095	6,169,095	0
Prison Overcrowding	6,512,961	6,620,112	6,727,968	6,727,968	6,699,982	(27,986)
Medicaid Adult Rehabilitation Option	4,783,259	4,803,175	4,803,175	4,803,175	4,803,175	0
Discharge and Diversion Services	13,856,467	17,412,660	20,062,660	20,062,660	20,062,660	0
Home and Community Based Services	6,577,858	12,937,339	17,371,852	16,429,060	16,032,096	(396,964)
Persistent Violent Felony Offenders Act	671,701	675,235	675,235	675,235	675,235	0
Nursing Home Contract	285,000	485,000	485,000	485,000	485,000	0
Pre-Trial Account	0	350,000	350,000	350,000	775,000	425,000
<b>Other Than Payments to Local Governments</b>						
Grants for Substance Abuse Services	24,929,550	20,605,434	17,567,934	17,567,934	17,567,934	0
Grants for Mental Health Services	76,475,893	66,134,714	58,909,714	58,909,714	58,909,714	0
Employment Opportunities	10,470,087	10,522,428	10,522,428	10,522,428	10,522,428	0
<b>Nonfunctional - Change to Accruals</b>	<b>0</b>	<b>1,458,025</b>	<b>2,444,140</b>	<b>2,332,097</b>	<b>2,201,244</b>	<b>(130,853)</b>
<b>Agency Total - General Fund</b>	<b>696,953,202</b>	<b>663,099,524</b>	<b>612,895,002</b>	<b>614,022,068</b>	<b>614,939,803</b>	<b>917,735</b>
Managed Service System	0	435,000	435,000	435,000	435,000	0
<b>Agency Total - Insurance Fund</b>	<b>0</b>	<b>435,000</b>	<b>435,000</b>	<b>435,000</b>	<b>435,000</b>	<b>0</b>
<b>Total - Appropriated Funds</b>	<b>696,953,202</b>	<b>663,534,524</b>	<b>613,330,002</b>	<b>614,457,068</b>	<b>615,374,803</b>	<b>917,735</b>

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

**Current Services**

**Provide Funding for Nursing Home Oversight**

Other Expenses	0	200,000	0	200,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$200,000 to support a monitor to oversee the Protection and Advocacy (P&A) settlement, which is anticipated to be approved by the court by late spring/early summer of 2014. The settlement relates to moving individuals into appropriate community settings.

**Legislative**

Same as Governor

**Revise Estimates for Young Adult Services**

Young Adult Services	0	(1,033,787)	0	(1,033,787)	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,033,787)</b>	<b>0</b>	<b>(1,033,787)</b>	<b>0</b>	<b>0</b>

**Background**

Special population funding was created for high-risk youths who are transitioning from the Department of Children and Families. These youth are diagnosed with high-risk behaviors, such as Pervasive Developmental Disorders, that require close community supervision along with specialized clinical programming. These specialized services include 24-hour supervision, case management, education, daily living and vocational training, behavior monitoring and sex offender treatment.

**Governor**

Reduce funding by \$1,033,787 to reflect updated cost and caseload estimates. The account experienced a \$1.6 million lapse in FY 13.

**Legislative**

Same as Governor

**Revise Estimates for Home and Community Based Services**

Home and Community Based Services	0	(942,792)	0	(942,792)	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(942,792)</b>	<b>0</b>	<b>(942,792)</b>	<b>0</b>	<b>0</b>

**Background**

Home and Community Based Services assist individuals transitioning out of institutional settings and into community settings with appropriate supports. DMHAS, which receives a transfer from the Department of Social Services, provides housing subsidies to money follows the person clients as part of these services.

**Governor**

Reduce funding by \$942,792 to reflect updated cost and caseload estimates. This account experienced a \$2.3 million lapse in FY 13.

**Legislative**

Same as Governor

**Revise Estimates for TBI Community Services**

TBI Community Services	0	(373,421)	0	(373,421)	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(373,421)</b>	<b>0</b>	<b>(373,421)</b>	<b>0</b>	<b>0</b>

**Background**

Funds provide support to DMHAS clients with acquired or traumatic brain injuries.

**Governor**

Reduce funding by \$373,421 to reflect updated cost and caseload estimates. This account experienced a \$797,168 lapse in FY 13.

**Legislative**

Same as Governor

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

### Adjust Funding for Revised Estimated GAAP Requirements

Nonfunctional - Change to Accruals	0	(112,043)	0	(112,043)	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(112,043)</b>	<b>0</b>	<b>(112,043)</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget includes a line item account called "Nonfunctional - Change to Accruals." This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Reduce funding by \$112,043 to reflect updated estimates of accruals to comply with GAAP. Across all appropriated funds, there is a net GAAP reduction of \$33.8 million.

#### Legislative

Same as Governor

## Policy Revisions

### Provide Funding for the Governor's Mental Health Initiative

Housing Supports And Services	0	1,100,000	0	1,100,000	0	0
Managed Service System	0	2,000,000	0	2,000,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,100,000</b>	<b>0</b>	<b>3,100,000</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$3.1 million for the Governor's Mental Health Initiative. Funding of \$1.1 million will support services for 110 supportive housing units, and \$1.75 million will provide residential and transitional services for high risk populations. An additional \$250,000 will support an anti-stigma campaign.

Related funding is also provided in the Department of Housing (\$1.1 million) and Police Officer Standards and Training (\$50,000) budgets.

#### Legislative

Same as Governor

### Provide Funding for Housing Due to the Loss of Federal Funds

Housing Supports And Services	0	0	0	2,000,000	0	2,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>

#### Legislative

Provide funding of \$2 million to support the loss of federal Substance Abuse and Mental Health Services Administration (SAMHSA) funding for housing and support services for the homeless. The recipient organizations include Columbus House, The Connection, and Liberty Community Services in New Haven, New Haven Home Recovery in Bridgeport, and Community Mental Health Affiliates in New Britain.

### Provide Funding for the Housing Assistance Fund Program

Housing Supports And Services	0	0	0	1,000,000	0	1,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>

#### Background

The Housing Assistance Fund program provides Rental Assistance (1-3 months), Security Deposit Loan, or Ongoing Rental Assistance (for up to two years) to persons with a psychiatric disorder until permanent affordable housing becomes available. Eligible persons must be homeless, disabled, and receiving DMHAS funded services.

#### Legislative

Provide funding of \$1 million for the Housing Assistance Fund (HAF) program due to changes in accounting. Funding was previously allocated for this program using surpluses from other accounts. At the recommendation of the Auditors of Public Accounts, DMHAS is no longer funding the program in this manner.

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

**Provide Funding for Regional Action Councils**

Pre-Trial Account	0	0	0	300,000	0	300,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>

**Background**

There are 13 regional action councils in the state, the members of which serve as volunteers, assisted by professional staff. Services include: community mobilization, grant collaboration, substance abuse awareness, education and prevention initiatives, media advocacy, program development, legislative advocacy, leveraging funds for local initiatives, and community needs assessments through surveys, data collection and training.

**Legislative**

Provide funding of \$300,000 to support the Regional Action Councils (RAC's).

**Provide Funding for Victory Gardens**

Housing Supports And Services	0	289,109	0	289,109	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>289,109</b>	<b>0</b>	<b>289,109</b>	<b>0</b>	<b>0</b>

**Background**

Victory Gardens is a 74 unit mixed income, supportive and affordable housing rental development on the campus of the US Department of Veterans Affairs (VA) Medical Center in Newington. Victory Gardens is one of the nation's first housing projects to be developed under the VA Enhanced Use Lease program, which provides for long-term leases of portions of VA lands and buildings for affordable and supportive housing for veterans. Priority is given to eligible veterans and their families, including homeless veterans and those at risk of becoming homeless.

**Governor**

Provide funding of \$289,109 to support wrap-around services for 74 supportive housing units for veterans at Victory Gardens in Newington.

**Legislative**

Same as Governor

**Provide Funding for the Governor's Prevention Partnership**

Pre-Trial Account	0	0	0	125,000	0	125,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>125,000</b>	<b>0</b>	<b>125,000</b>

**Legislative**

Provide funding of \$125,000 for the Governor's Prevention Partnership to support a mentoring pilot for youth in five cities (receiving \$25,000 each).

**Provide Funding for CT Mental Health Center**

Connecticut Mental Health Center	0	0	0	200,000	0	200,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>

**Legislative**

Funding of \$200,000 is provided to the Connecticut Mental Health Center for Latino behavioral health services.

**Distribute Lapses**

Personal Services	0	0	0	(1,516,656)	0	(1,516,656)
Other Expenses	0	0	0	(255,795)	0	(255,795)
Young Adult Services	0	0	0	(295,676)	0	(295,676)
TBI Community Services	0	0	0	(64,666)	0	(64,666)
Jail Diversion	0	0	0	(18,669)	0	(18,669)
Prison Overcrowding	0	0	0	(27,986)	0	(27,986)
Home and Community Based Services	0	0	0	(396,964)	0	(396,964)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,576,412)</b>	<b>0</b>	<b>(2,576,412)</b>

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

**Background**

The Governor's Revised FY 15 budget included a bottom line General Lapse of \$14,243,700, a General Other Expenses Lapse of \$4,000,000, and a Statewide Hiring Reduction Lapse of \$6,796,754. These lapse amounts would have been determined and distributed to agencies by OPM at the beginning of FY 15.

**Legislative**

Reduce funding by \$1,562,433 to reflect distribution of the General Lapse, \$255,795 for the General Other Expense Lapse, and \$758,184 for the Statewide Hiring Reduction Lapse.

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	0	0	(130,853)	0	(130,853)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(130,853)</b>	<b>0</b>	<b>(130,853)</b>

**Legislative**

Adjust funding by \$128,444 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Provide Funding for Grant Accounts****Background**

Funding for the DMHAS grant accounts was reduced in the FY 14 and FY 15 Biennial Budget to reflect the anticipated reduction in need for services for the under and uninsured as of January 1, 2014 due to the provisions of the Affordable Care Act (ACA). Funding was reduced by \$15,262,500 in FY 14 and \$25,525,000 in FY 15.

**Legislative**

Section 21 of PA 14-47, the FY 15 Revised Budget, transfers funding of \$10 million for Grants for Substance Abuse Services (\$3 million) and Grants for Mental Health Services (\$7 million) from the Tobacco Settlement Fund. Additionally, \$4.2 million is provided in the Department of Social Services (DSS) to increase Medicaid rates for outpatient services.

**Totals**

Budget Components	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$
<b>Original Appropriation - GF</b>	<b>3,309</b>	<b>612,895,002</b>	<b>3,309</b>	<b>612,895,002</b>	<b>0</b>	<b>0</b>
Current Services	0	(2,262,043)	0	(2,262,043)	0	0
Policy Revisions	0	3,389,109	0	4,306,844	0	917,735
<b>Total Recommended - GF</b>	<b>3,309</b>	<b>614,022,068</b>	<b>3,309</b>	<b>614,939,803</b>	<b>0</b>	<b>917,735</b>
<b>Original Appropriation - IF</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>0</b>
<b>Total Recommended - IF</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>0</b>

***Other Significant Legislation*****PA 14-47, An Act Making Adjustments to State Expenditures and Revenues for the Fiscal Year Ending June 30, 2015**

Sections 30 and 31 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 15 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 14-47. This includes a General Lapse of \$1,719,324 and a Statewide Hiring Reduction Lapse of \$1,312,933. See the FY 15 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	192,414,701	(1,880,200)	190,534,501	0.98%
Other Expenses	28,570,424	(112,366)	28,458,058	0.39%
Managed Service System	59,034,913	(12,815)	59,022,098	0.02%
Young Adult Services	74,537,055	(268,699)	74,268,356	0.36%
TBI Community Services	16,641,445	(159,991)	16,481,454	0.96%
Jail Diversion	4,504,601	(16,239)	4,488,362	0.36%
Behavioral Health Medications	6,169,095	(300,000)	5,869,095	4.86%
Prison Overcrowding	6,699,982	(24,153)	6,675,829	0.36%
Home and Community Based Services	16,032,096	(257,794)	15,774,302	1.61%