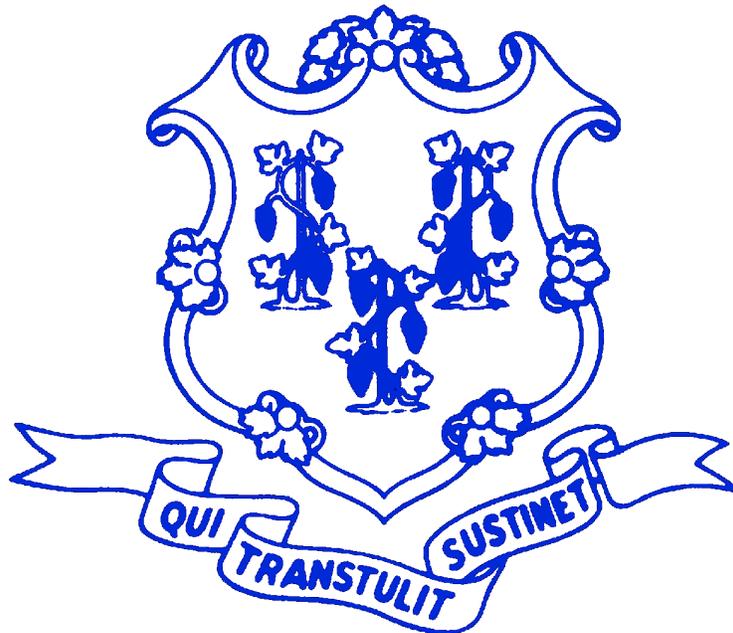


Year-End Analysis of the FY 00 General Fund and Transportation Fund Budgets

October 25, 2000



**Connecticut General Assembly
Office of Fiscal Analysis**

YEAR-END ANALYSIS OF THE FY 00 GENERAL FUND AND TRANSPORTATION FUND BUDGETS

Overview

General Fund

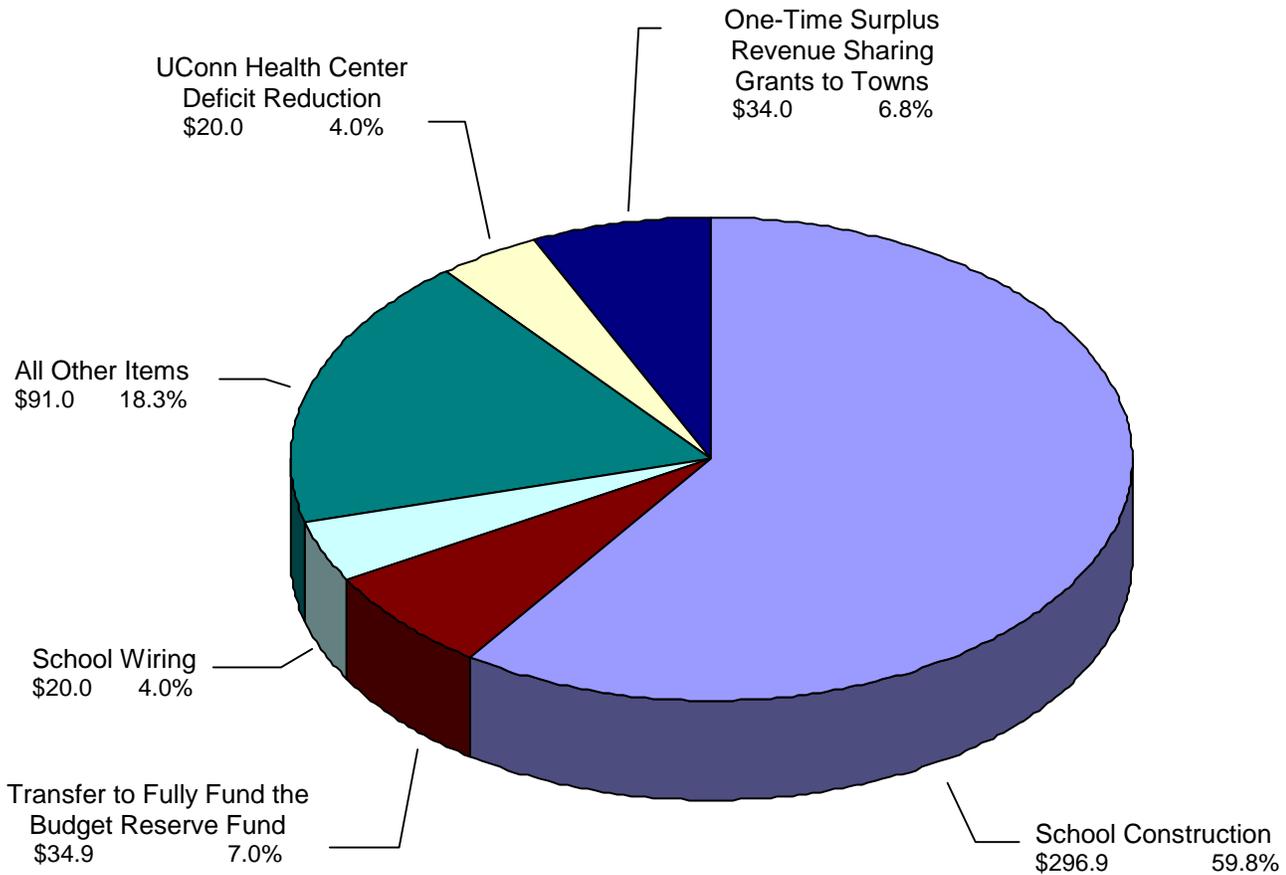
Due to \$567.6 million in additional revenues above the originally budgeted surplus of \$64.4 million, offset by \$135.2 million in additional spending requirements (including \$50 million in unrealized savings from the unsuccessful effort to privatize state Information Technology (IT) operations, \$66.7 million in deficiency appropriations and \$18.5 million in other miscellaneous requirements), the FY 00 General Fund surplus from operations totaled \$496.8 million or 4.7% of the budget. After appropriating \$196.4 million of this amount for various purposes ([click here for details](#)), a surplus of \$300.4 million remained. Of this figure, \$34.9 million has been transferred to fill the Budget Reserve Fund (Rainy Day Fund) ([click here for chart](#)) with the remaining balance of \$265.5 million in surplus going to school wiring (\$10 million) and school construction (\$255.5 million). It should be noted that the total funding for school construction from FY 00 surplus is \$296.9 million. The funds will be used in lieu of bond funds, (resulting in debt avoidance), to meet the state's share of this program in FY 01. These funds do not represent additional state aid to municipalities. The total interest savings is estimated to be \$187 million over the normal 20-year life of such bonds, assuming a 6% interest rate.

Transportation Fund

The Transportation Fund ended FY 00 with an annual operating surplus of \$107 million or 13% of the budget. This was primarily due to: 1) an originally budgeted surplus of \$52.9 million; 2) an increase of \$31.6 million in revenues above the originally anticipated revenues of \$873.8 million and 3) lower net spending requirements totaling \$22.5 million, including allotment recisions. Significant lapses occurred in the Department of Transportation, Debt Service and the Reserve for Salary Adjustments account.

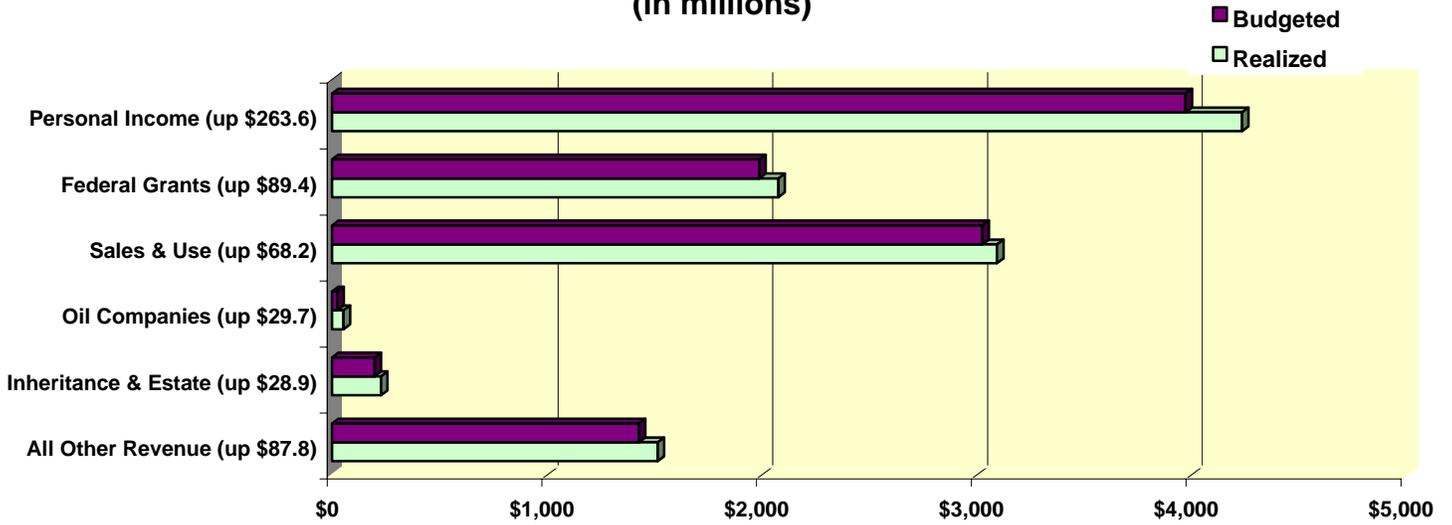
After deducting appropriations from the FY 00 surplus, which included \$1.8 million to complete the state's commitment to Tweed-New Haven Airport and \$35 million to be used for Rail Transit equipment, the remaining surplus was \$70.2 million. However, in accordance with Section 13b-68(b) of the general statutes, which required a \$20 million fund balance at the end of the previous fiscal year, the cumulative surplus as of June 30, 2000 was \$90.2 million.

Disposition of \$496.8 Million of General Fund Surplus for FY 00 [1]

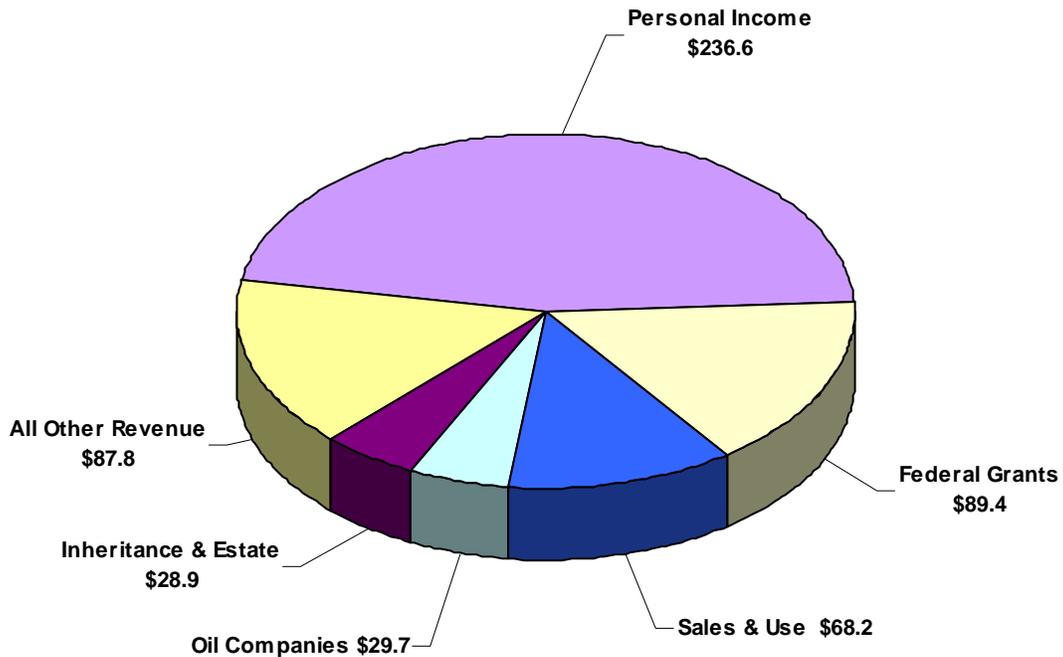


[1] All FY 00 surplus appropriations were carried forward for expenditure in FY 01.

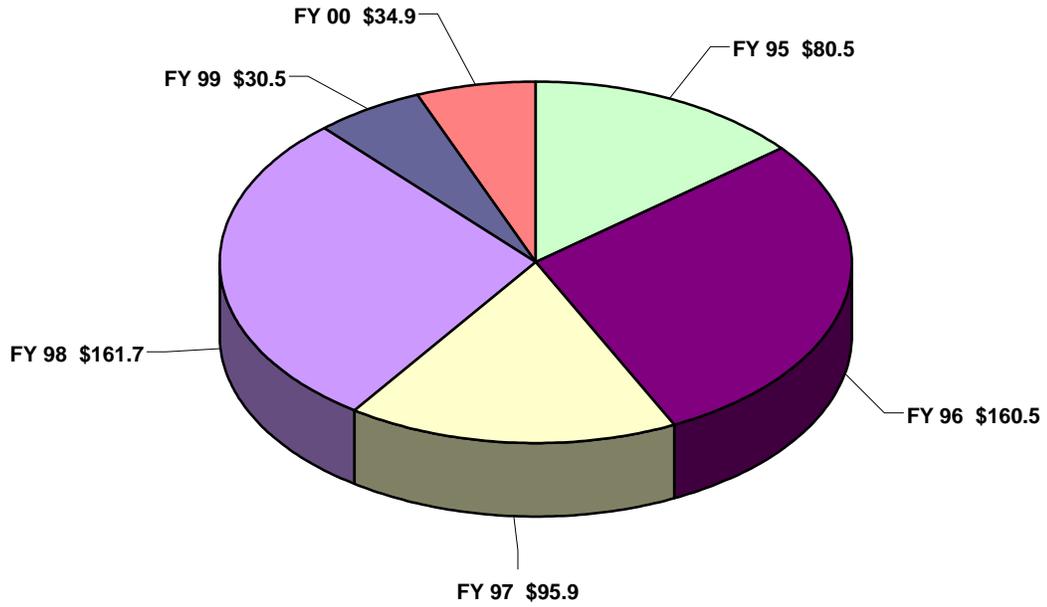
**\$567.6 Million in Additional General Fund Revenue (Net) for FY 00 by Major Category
(in millions)**



**Additional \$567.6 Million General Fund Revenue Realized Above Budget for FY 00 by Major Category
(in millions)**



**Contributions from FY 95 through FY 00 Surpluses to the Budget Reserve (Rainy Day) Fund
(in millions)**



With the \$34.9 million contribution from FY 00 surplus, the Budget Reserve Fund has reached its maximum at \$564 million, or 5% of the FY 01 budget.

I. General Fund

Final Figures Compared to the Original Budget

The budget adopted by the General Assembly in June 1999 for FY 00 anticipated General Fund revenues of \$10,646 million and General Fund expenditures of \$10,581.6 million. Thus, at the time the budget was adopted, revenue estimates exceeded total net appropriations by \$64.4 million.

The General Fund ended FY 00 with an operating surplus of \$496.8 million (including the budgeted surplus of \$64.4 million), or 4.7% of the budget. The surplus resulted from higher than budgeted revenues (up \$567.6 million or 5.3%), partially offset by expenditures that were over budget by \$135.2 million. After appropriating an additional \$196.4 million for the purposes outlined below, the remaining surplus amounts to \$300.4 million. Of this figure, \$34.9 million has been transferred to the Budget Reserve Fund (Rainy Day Fund) in accordance with Sec. 4-30a CGS, with the remaining balance of \$265.5 million going to school wiring (\$10 million) and school construction grants (\$255.5 million).

The constitutional amendment adopted November 25, 1992 requires that the remaining balance (unappropriated surplus) be used first to fund a Budget Reserve Fund (BRF) and then for debt retirement, unless otherwise appropriated by the General Assembly, and approved by at least a three-fifths majority in each house. In passing Sec. 72 of SA 00-13 (the Appropriations/Deficiency Act), the General Assembly unanimously approved disposing of any unappropriated surplus (beyond the amount required to fund the BRF at its statutory limit) by providing for the additional \$10 million for school wiring and the balance for school construction debt avoidance, in lieu of allowing the remaining balance to be used for debt retirement.

With the addition of the \$34.9 million to the previous balance of \$529.1 million, the Budget Reserve Fund has reached its maximum at \$564 million, or 5% of the FY 01 budget. Under current law, the Budget Reserve Fund can grow to equal 5% of the General Fund budget and is to be used only to fund a future deficit. Please refer to [Exhibit A](#) on for a summary of total General Fund revenues and expenditures for FY 00.

Revenues

FY 00 revenues were \$567.6 million above budget plan projections, which represents 5.3% of total revenues. The items with the most significant differences from the budget act estimate were (1) the personal income tax, (2) sales tax, (3) inheritance and estate tax, (4) oil companies tax, (5) real estate conveyance tax, (6) Indian gaming payments, (7) investment income, and (8) federal grants.

Income tax revenue finished \$298.6 million above budget plan projections: \$263.6 million as a result of higher collections and \$35.0 million from lower-than-anticipated refunds.

Collections from non-withheld sources (estimated and final payments) finished better than anticipated by \$200.0 million. The budget act estimates projected no growth in tax receipts from estimated and final payments but actually grew at 14.5% over FY 99 collections. Growth in estimated and final payments reflects that aggregate taxpayer liability continued to grow as a result of income earned from non-wage sources (capital gains, dividends, interest, stock options) despite double-digit growth experienced in each of the last three years.

Collections from withholding taxes finished better than anticipated by \$46.0 million. Withholding tax grew by 8.7% compared to 7.3% assumed in the budget act estimates. Collections exceeded the budget plan estimate because the state's unemployment level was lower than

anticipated (2.6% compared to 3.5%), which resulted in upward pressure on wages and the addition of approximately 10,000 more jobs than forecasted.

The remaining differences from the budget act estimate (\$52.6 million) were due to lower than anticipated refunds and higher than anticipated audit revenue.

Sales tax revenue finished above the budget plan estimate by \$68.2 million. Higher than anticipated collections were the result of the continued wealth effect that stock market and a strong overall economy has had on consumer spending. Overall consumer confidence was stronger in FY 00 compared to FY 99, which translated into continued growth in consumer spending and higher than anticipated sales tax collections.

Inheritance and estate tax revenue finished above the budget plan estimate by \$30.4 million. The higher value of estates, caused by increasing stock portfolios and other assets such as housing prices, resulted in greater than anticipated collections and negated the revenue loss from the elimination of the tax on lower valued estates.

Oil companies' tax revenue finished above the budget plan estimate by \$36.3 million. Collections were stronger than anticipated because oil prices were roughly 50% greater this year compared to prices during FY 99.

Real estate tax revenue finished above the budget plan estimate by \$14.4 million primarily as a result of the rise in housing prices. Real estate prices grew by 5.5% in 1999 and approximately 8% through the first half of 2000, which resulted in increased tax receipts.

Indian gaming payments finished above budget plan estimates by \$12.3 million (\$6.2 million for Foxwoods and \$6.1 million for Mohegan Sun). Revenues grew by 9.0% from Foxwoods and by 14.4% from the Mohegan Sun during FY 00.

Investment income finished below budget plan estimates by \$16.6 million because less cash was available to invest during the fiscal year. This was primarily due to changes in the issuance of bonds and greater General Fund expenditures. The reduction and postponement of scheduled bond sales during the first half of the fiscal year of approximately \$253 million resulted in less cash available to invest. Agencies also spent more than they were originally budgeted, especially the Department of Social Services, which resulted in a greater drain on the resources of the General Fund throughout the year.

Federal grants finished above budget plan estimates by \$89.4 million. Two programs, Medicaid services and foster care payments, account for \$46 million of the total. Of the remaining amount, TANF and child care account for \$10 million and administration accounts for \$25 million.

Expenditures

As originally budgeted, expenditure requirements had been estimated at \$10,581.6 million. However, actual FY 00 expenditures were \$10,716.8 million or \$135.2 million higher than originally anticipated. This figure includes \$66.7 million in deficiency appropriations, \$50 million in unrealized savings from the unsuccessful effort to privatize state Information Technology (IT) operations, Refunds of Escheated Property of \$9.5 million, Adjudicated Claims of \$6 million and \$3 million in other miscellaneous adjustments.

Please refer to Exhibit D (which is being prepared and will be available soon both in hardcopy and posted on the Office of Fiscal Analysis' web site) for a complete listing of budgeted and adjusted appropriations, actual expenditures, lapses and carry-forwards into FY 01.

Deficiencies

The General Assembly approved SA 00-13 which included both FY 01 appropriation revisions and FY 00 surplus and deficiency appropriations. The deficiency appropriations totaled \$68.2 million. Of this amount, \$66.7 million was for deficiencies in seven General Fund agencies and the Workers' Compensation Claims account administered by the Department of Administrative Services, and \$1,500,000 was for this same account funded through the Transportation Fund ([click here for General Fund details](#)). Prior to passage of SA 00-13 (the Appropriations Act), the FY 00 budget was under the spending cap by \$68.6 million. FY 00 deficiency appropriations of \$68.2 million (including \$1.5 million from the Transportation Fund) made by this act reduced the level that the budget was under the cap to \$.4 million.

General Fund Deficiencies

Agency / Account	Amount
Department of Environmental Protection	
Mosquito Control	\$500,000
Department of Mental Retardation	
Personal Services	\$1,900,000
Other Expenses	800,000
Early Intervention	1,300,000
Workers' Compensation	2,200,000
Agency Total	\$6,200,000
Department of Mental Health and Addiction Services	
Personal Services	\$1,300,000
Other Expenses	1,700,000
Pre-Trial Alcohol Education System	300,000
Drug Treatment for Schizophrenia	900,000
Workers' Compensation Claims	1,500,000
Agency Total	\$5,700,000
Department of Social Services	
Medicaid	\$35,000,000
Department of Correction	
Other Expenses	\$1,875,000
Workers' Compensation Claims	2,000,000
Inmate Medical Services	2,500,000
Community Residential Services	375,000
Community Non-Residential Services	250,000
Agency Total	\$7,000,000
Department of Children and Families	
Personal Services	\$1,200,000
Other Expenses	1,750,000
Workers' Compensation Claims	1,500,000
Day Treatment Centers for Children	200,000
No Nexus Special Education	650,000
Board and Care – Adoption	1,000,000
Board and Care – Foster	1,000,000
Agency Total	\$7,300,000
Judicial Department	
Personal Services	\$1,300,000
Other Expenses	900,000
Juvenile Alternative Incarceration	800,000
Agency Total	\$3,000,000
Workers' Compensation Claims – Dept. of Administrative Services	
Workers' Compensation Claims	\$2,000,000
Total - General Fund	\$66,700,000

Exhibit A
FY 00 General Fund Summary
for the Fiscal Year Ending June 30, 2000
(in millions)

	<u>Budget Plan</u>	<u>Increases (Decreases)</u>	<u>Actual</u>
Revenues			
Taxes	\$ 7,825.8	\$ 447.1	\$ 8,272.9
Other Revenue	932.7	31.1	963.8
Other Sources	1,887.5	89.4	1,976.9
Total Revenue	\$ 10,646.0	\$ 567.6	\$ 11,213.6
Appropriations			
Original Appropriations – Gross	\$ 10,742.2	\$ -	\$ 10,742.2
Less:			
Lapses [1]	(110.6)	1.6	(109.0)
Statewide Agency Functional Consolidation (IT Priv.)	(50.0)	50.0	-
Subtotal - Lapses / Reductions	(160.6)	51.6	(109.0)
Plus:			
Deficiency Appropriations	-	66.7	66.7
Adjudicated Claims	-	6.0	6.0
Refunds of Escheated Property	-	9.5	9.5
Miscellaneous Adjustments	-	1.4	1.4
Total Expenditures [2]	\$ 10,581.6	\$ 135.2	\$ 10,716.8
Surplus from Operations for FY 00	\$ 64.4	\$ 432.4	\$ 496.8
FY 00 Surplus Appropriations (SA 00-13, Secs. 35 and 36 and PA 00-192, Sec. 49)			
School Construction Grants (SDE) [3]			\$ (41.4)
One-Time Surplus Revenue Sharing to Towns (OPM)			(34.0)
Deficit Reduction (UConn Health Center)			(20.0)
CT Education Technology Initiatives (DoIT)			(12.0)
School Wiring (DoIT) [4]			(10.0)
Charter Oak Open Space Trust Account (DEP)			(10.0)
Arts Grants (OPM)			(9.0)
Core Financial Systems (State Comptroller)			(7.5)
New Facility Move (DoIT)			(6.0)
ECS Hartford Supplemental School Aid (SDE)			(5.7)
Operation Sail 2000 (OPM)			(5.5)
Magnet Schools (SDE)			(5.0)
All Other Items			(30.3)
Total Appropriations of Surplus			\$ (196.4)
Remainina Surplus			\$ 300.4
Transfer to Fully Fund the Budget Reserve Fund [5]			(34.9)
Disposition of Unappropriated Surplus (SA 00-13, Sec. 72) [6]:			
School Wiring (DoIT) [4]			(10.0)
School Construction Grants (SDE) [3]			(255.5)
Remainina Balance			\$ (0.0)

[1] Includes budgeted lapses, General Personal Services (PS) and Other Expenses (OE) reductions and allotment rescissions.

[2] Excludes expenditures from prior year carryforwards and appropriations from projected surplus.

[3] The total surplus appropriation for school construction grants is \$296.9 million.

[4] The total surplus appropriation for school wiring is \$20 million.

[5] This \$34.9 million addition increases the amount in the Budget Reserve Fund (BRF) from \$529.1 million to \$564 million. This keeps the BRF at the statutory limit of 5% of the revised net General Fund appropriations for FY 01.

[6] The constitutional amendment adopted November 25, 1992 requires that the remaining balance (unappropriated surplus) be used for debt retirement, unless otherwise appropriated by the General Assembly, and approved by at least a three-fifths majority in each house. In passing SA 00-13 (the Appropriations/Deficiency Act), the General Assembly unanimously approved disposing of any unappropriated surplus by providing \$10 million for school wiring and the balance for school construction grants.

Exhibit B

FY 00 General Fund Revenue (in thousands)

	FY 00		FY 00 Revenue		Over/(Under)	
	Growth Rates [1]		Budget	Actual	Budget	%
	Budget	Actual			\$	
Taxes						
Personal Income	5.2	12.2	\$3,974,600	\$4,238,200	\$263,600	6.6%
Sales and Use	5.8	7.7	3,028,600	3,096,800	68,200	2.3%
Corporations	4.7	8.3	573,300	587,800	14,500	2.5%
Inheritance and Estate	4.0	20.2	197,700	228,100	30,400	15.4%
Hospital Gross Receipts	(2.2)	0.2	79,600	69,200	(10,400)	(13.1%)
Public Service Corporations	2.1	(0.8)	170,300	166,300	(4,000)	(2.3%)
Insurance Companies	4.1	3.3	199,200	201,200	2,000	1.0%
Cigarettes	(2.5)	(1.1)	121,900	122,000	100	0.1%
Oil Companies	2.1	78.3	18,000	54,300	36,300	201.7%
Real Estate Conveyance	(2.6)	7.4	100,200	114,600	14,400	14.4%
Alcoholic Beverages	0.0	1.2	40,000	40,800	800	2.0%
Miscellaneous	3.5	(0.8)	39,300	40,300	1,000	2.5%
Admissions, Dues and Cabaret	2.0	7.9	24,600	26,700	2,100	8.5%
Total Taxes			\$8,567,300	\$8,986,300	\$419,000	4.9%
Refunds of Taxes			(741,500)	(\$713,400)	\$28,100	(3.8%)
Net General Fund Taxes			\$7,825,800	\$8,272,900	\$447,100	5.7%
Other Revenue						
Transfer Special Revenue			\$250,000	\$259,800	\$9,800	3.9%
Indian Gaming Payments			306,700	319,000	12,300	4.0%
Licenses, Permits and Fees			124,000	127,500	3,500	2.8%
Sales of Commodities and Services			32,000	32,900	900	2.8%
Rentals, Fines and Escheats			35,000	45,700	10,700	30.6%
Investment Income			70,000	53,400	(16,600)	(23.7%)
Miscellaneous			115,000	125,500	10,500	9.1%
Total Other Revenue			\$932,700	\$963,800	\$31,100	3.3%
Other Sources						
Federal Grants			\$1,989,500	\$2,078,900	\$89,400	4.5%
Net Transfers:						
From Tobacco Settlement Fund			78,000	78,000	0	0.0%
To Mashantucket/Mohegan Fund			(180,000)	(180,000)	0	0.0%
Total - Other Sources			\$1,887,500	\$1,976,900	\$89,400	4.7%
Total Revenue			\$10,646,000	\$11,213,600	\$567,600	5.3%

[1] Tax growth rate reflect adjustments for rate and base changes.

FY 00 General Fund Deficiency Appropriations

The following is an explanation of each deficiency by agency:

Department of Environmental Protection	\$500,000
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The Department of Environmental Protection experienced a deficiency of \$500,000, which represents 1.2% of the agency's original appropriation. The deficiency resulted from the need for an additional \$500,000 in the Mosquito Control account for which \$.3 million was appropriated in FY 00. The money was used to purchase larvicide in bulk under state contracts for distribution to municipalities on a priority basis in order to combat West Nile Virus and Eastern Equine Encephalitis.

Department of Mental Retardation	\$6,200,000
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The Department of Mental Retardation experienced a deficiency of \$6.2 million, which represents 1% of the agency's original FY 00 appropriation. This deficiency was based on additional requirements in the following accounts:

- 1) Personal Services (\$1.9 million) and Other Expenses (\$.8 million) largely due to holdbacks in these accounts;
- 2) Early Intervention (\$1.3 million or 9.9% of the original budget) to reflect annual costs for an additional increase of over 200 infants and toddlers receiving services in the Birth-to-Three program; and
- 3) Workers' Compensation Claims (\$2.2 million or 24.2% of the original budget) due to increased costs in this account.

Department of Mental Health and Addiction Services	\$5,700,000
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The Department of Mental Health and Addiction Services experienced a deficiency of \$5.7 million, which represents 2% of the agency's original FY 00 appropriation. This deficiency was based mainly on additional requirements in the following accounts:

- 1) Personal Services (\$1.3 million) and Other Expenses (\$1.7 million) largely due to holdbacks in these accounts. The deficiency in Other Expenses was also attributable to increased lease costs and higher utilization of outside consultants by the department;
- 2) Workers' Compensation Claims (\$1.5 million or 28% of the original budget) due primarily to the settlement of old claims.

Department of Social Services	\$35,000,000
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The Department of Social Services experienced a deficiency in its Medicaid account of \$35 million, which represents 1.1% of the agency's original FY 00 appropriation and 1.7% of the original appropriation for this account.

The Medicaid program had an original budget for FY 00 of \$2.1 billion. The deficiency amount was based upon the first eight months of actual expenditures. The increase in the Medicaid account was largely due to slightly higher expenditures for long-term care and failure to achieve significant savings initiatives originally forecasted in the budget.

The adopted budget included two expenditure initiatives that were forecasted to result in substantial savings to the Medicaid program. The first initiative, proposed by the governor and

adopted by the legislature, required that \$18 million in savings be achieved through the contracting of pharmaceutical services. To date, no contract to achieve this reduction has been negotiated and there appears to be no activity on the part of the agency to offer such a contract to the private sector. Therefore, no savings resulted from this initiative during FY 00.

The second initiative involved the implementation of a 1991 law that requires DSS to limit payments to providers of services to dual-eligible clients (clients who are eligible for both Medicare and Medicaid benefits). The elimination of co-payments for Medicare services for these clients was projected to save \$54 million. Due to delays in instituting necessary programming changes, this policy was just being implemented during the final months of FY 00. OPM revisions lowered the savings by \$34 million, to \$20 million during FY 00. OFA was unable to confirm the extent of the loss of savings due to the lack of claims data, though the savings achieved was certainly less than \$54 million. It was recognized that the actual savings could vary depending upon the success in implementing the payment change. Some of the savings may in fact be achieved in FY 01. Electronic Data Systems, Inc. (EDS) is the outside contractor responsible for operating DSS financial information systems. These combined items represent \$72 million in savings adopted by the legislature that were not fully achieved during FY 00.

Department of Correction	\$7,000,000
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The Department of Correction experienced a deficiency of \$7 million, which represents 1.5% of the agency's original FY 00 appropriation. This deficiency was based mainly on additional requirements in the following accounts:

- 1) Other Expenses (\$1.875 million) due to holdbacks in this account and costs associated with sending inmates out-of-state; and
- 2) Workers' Compensation Claims (\$2 million or 14.5% of the original budget) and Inmate Medical Services (\$2.5 million or 4.5% of the original budget) due to increased costs in these accounts.

Department of Children and Families	\$7,300,000
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The Department of Children and Families experienced a deficiency of \$7.3 million, which represents 1.7% of the agency's original FY 00 appropriation. This deficiency was based mainly on additional requirements in the following accounts:

- 1) Personal Services (\$1.2 million) and Other Expenses (\$1.75 million) largely due to holdbacks in these accounts. The deficiency in Other Expenses was also attributable to increased regional office and mileage costs associated with expanding children's protective services staffing;
- 2) Workers' Compensation Claims (\$1.5 million or 75.4% of the original budget) to more accurately reflect FY 00 claims patterns;
- 3) No Nexus Special Education (\$.65 million or 21.4% of the original budget) to reflect revised caseload estimates; and
- 4) Board and Care – Adoption (\$1 million or 4.2% of the original budget) and Board and Care – Foster (\$1 million or 1.4% of the original budget) based on current caseload and trends.

Judicial Department	\$3,000,000
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The Judicial Department experienced a deficiency of \$3 million, which represents 1.1% of the agency's original FY 00 appropriation. This deficiency was required for the agency to accommodate enhancements to the state's three juvenile detention facilities in Bridgeport, Hartford and New Haven. These enhancements were related to a Department of Children and Families (DCF) investigation that began on February 24, 1999 regarding allegations of abuse at the New Haven Detention Center. On June 4, 1999, DCF confirmed findings of physical abuse by nine employees, physical neglect by 19 employees, found the physical plant to be sub-standard, and found inadequate training and supervision and various other deficiencies. Since that time, the Judicial Department, in consultation with DCF and the Office of Policy and Management, developed a plan to address these and other deficiencies in the detention system.

The plan called for a wide range of enhancements beginning in FY 00 and ending in FY 02 that include significant physical improvements to the New Haven facility as well as construction of new facilities in Hartford and Bridgeport (total of \$42.6 million in bonding has been authorized). General Fund requirements would be \$3 million in FY 00 and \$6.7 million in FY 01. This includes the filling of existing vacancies in the detention facilities, the hiring of 32 additional supervisors and classification and program officers, and enhancements to medical and psychiatric services and various alternative programs.

Workers' Compensation Claims - Department of Administrative Services	\$2,000,000
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The General Fund Workers' Compensation Claims account experienced a deficiency of \$2 million, which represents 17.1% of the account's original FY 00 appropriation. This account pays for the workers' compensation costs for almost half of the state employees. The deficiency was the result of rising workers' compensation costs generally, and the elimination of the \$430,000 inflationary increase for FY 00. The Governor recommended and the legislature approved budget adjustments for FY 01 amounting to an increase of \$2 million in this account.

Total – General Fund	\$66,700,000
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Lapses

The General Fund's year-end lapse was \$51.6 million lower than the original estimated lapse of \$160.6 million. This was primarily due to the loss of \$50 million in savings due to the unsuccessful effort to privatize state Information Technology (IT) operations. A lapse of \$16.2 million in Debt Service was due primarily to differences between assumed and actual dates of bond issuance and the interest rates/premiums at which bonds were issued. For complete explanations of the major lapses, [click here](#) for the section pertaining to FY 00 Significant General Fund Lapsing Appropriations.

Use of Surplus

In addition to making budget revisions for FY 01 and deficiency appropriations for FY 00, the General Assembly, through legislation, directed the use of \$498.7 million of General Fund and Transportation Fund surplus from FY 00. The budget legislation provided a total of \$296.9 million for upcoming school construction costs. Other significant appropriations coming from the surplus include \$35 million for Rail Transit Equipment (Transportation Fund), \$34 million for One-Time Surplus Revenue Sharing to towns, \$20 million for UConn Health Center Deficit Reduction and \$20 million for School Wiring.

In order to appropriate sums for specific purposes from the FY 00 surplus, the spending cap was exceeded for the third time since it was adopted in 1991. Prior to passage of SA 00-13 (the Appropriations/Deficiency Act), the FY 00 budget was under the spending cap by \$68.6 million. FY 00 deficiency appropriations of \$68.2 million made by this act reduced the level that the budget was under the cap to \$.4 million. Since this act directed the use of \$461.9 million from the General Fund (see table below) and \$36.8 million from the Transportation Fund ([click here for details](#)) surplus for various items, the governor issued a declaration, which in effect allowed the cap to be exceeded by \$498.3 million in FY 00. To exceed the spending cap requires a three-fifths vote by the General Assembly, which was obtained after the Governor issued a declaration of "the existence of ... extraordinary circumstances". Those circumstances included eight years of consecutive budget surpluses, sufficient funds to fully fund the Budget Reserve Fund, and the requirement for additional state funds for other needs. Appropriations exceeded the spending cap by \$525.7 million in FY 99 and by \$194 million in FY 98.

The total amount of \$461.9 million in appropriations from General Fund surplus was provided for:

Item	Surplus Appropriation
School Construction Grants (SDE)	\$296.9
One-Time Surplus Revenue Sharing (OPM)	34.0
Deficit Reduction (UConn Health Center)	20.0
School Wiring (SDE)	20.0
CT Education Technology Initiatives (DoIT)	12.0
Charter Oak Open Space Trust Account (DEP)	10.0
Arts Grants (OPM)	9.0
Core Financial Systems (State Comptroller)	7.5
New Facility Move (DoIT)	6.0
ECS Hartford Supplemental School Aid (SDE)	5.7
Operation Sail 2000 (OPM)	5.5
Magnet Schools (SDE)	5.0
All Other Items	30.3
Total Appropriations of Surplus	\$461.9

FY 00 General Fund Lapsing Appropriations

Agency / Explanation

Lapsing Amount

Auditors of Public Accounts

\$1,330,129

The Auditors lapsed \$1.3 million or 14.8% of its FY 00 appropriation. An amount of \$994,646 came from Personal Services. This lapse was primarily due to ten vacancies within the 109 established positions. An amount of \$301,504 was lapsed in Other Expenses including \$167,000 due to the unsuccessful effort to reach a contractual agreement to privatize state Information Technology (IT) operations. The Auditors of Public Accounts had received carry forward funds of \$240,000 from 1999 to audit this contract. Other items such as a reduction in leased vehicle costs comprised the remainder of the Other Expense lapse. Equipment funds of \$33,979 were also lapsed by the agency.

Office of Policy and Management

\$8,041,635

OPM lapsed approximately \$8 million (3% of its adjusted FY 00 appropriation) of which \$6.4 million occurred in the following programs:

The PILOT for New Manufacturing Machinery and Equipment account lapsed \$2 million. This lapse is due to the fact that \$1.5 million was FAC transferred to this account and then additional audit adjustments were made in the account, which lowered expenditures.

The Elderly Homeowners Property Tax Relief account lapsed \$1.8 million due to two factors; level of participation and postponement of revaluation. (Also, a FAC transfer from this account reduced the "surplus" funds by \$1.1 million.) The account was budgeted based on increased participation due to publicity from Rebate '98, which did not occur. One possible explanation is that the newly eligible population has income levels above the current thresholds. Additionally, original budget estimates anticipated revaluation in municipalities such as Bridgeport, Waterbury and Naugatuck, which opted to postpone their revaluations.

Also, actual expenditures were \$2.6 million less than originally anticipated in the following programs: Leadership, Education, Athletics in Partnership (LEAP) program (\$.8 million); High Efficiency Licensing Program (HELP) (\$.8 million); Distressed Municipalities grant (\$.5 million); and Tax Relief for Elderly Renters program (\$.5 million).

Office of Health Care Access

\$2,258,574

The Office of Health Care Access lapsed \$2.3 million or 25.6% of its adjusted FY 00 appropriation. This is predominantly attributable to a \$2 million lapse in the Distressed Hospitals Loan Program account, which received an FY 00 appropriation of \$8 million in nonlapsing funds. OHCA received no applications for loans, and the legislature subsequently redeployed \$6 million to other purposes and required \$2 million to lapse on June 30, 2000. The remaining lapse of \$258,574 reflects delays in filling vacant positions and various Other Expenses efficiencies.

Department of Mental Retardation**\$7,138,540**

The Department of Mental Retardation lapsed \$7.1 million from various department accounts. This represents 1.1% of the department's adjusted FY 00 appropriation. The lapse is partially due to a delay in placements and services funded through the Community Residential Services and Employment Opportunities and Day Services accounts. Funding of over \$11 million was provided in FY 00 to support new development for services to individuals on the residential wait list, aging out of the Department of Children and Families and Local Education Authorities, and placements/services for Southbury Training School clients moving into the community. In addition, \$2.7 million of the total lapse represents the Personal Services (PS) and Other Expenses (OE) holdbacks. It should be noted that SA 00-13 included deficiency funding of \$2.7 million for PS and OE in FY 00.

Department of Mental Health and Addiction Services**\$5,093,953**

The Department of Mental Health and Addiction Services lapsed \$5.1 million or 1.7% of its adjusted FY 00 appropriation. This is primarily due to the imposition of holdbacks on the Personal Services (PS) and Other Expenses (OE) accounts, as well as delays in the placement of clients under the Special Populations program (\$.8 million). The lapse figure also reflects corrective actions taken in several accounts (Drug Treatment for Schizophrenia, General Assistance and Workers' Compensation) that were in excess of the current anticipated demand. It should be noted that SA 00-13 included deficiency funding of \$3 million in PS and OE in FY 00.

Department of Education**\$8,057,319**

The Department of Education lapsed \$8.1 million or .4% of its adjusted FY 00 appropriation. The lapse of \$8.1 million is largely composed of: \$3 million in the Early Childhood program due to municipalities utilizing fewer funds than made available mostly due to lack of local providers; \$1.6 million in the ECS grant largely due to prior year adjustments and audits of pupil counts; \$1 million in the Charter Schools account due to the closing of the Village Academy in New Haven and lower pupil attendance in other charter schools than was originally reported by the schools; and \$.4 million in the School Transportation grant due to actual school district expenditures being lower than originally reported by the districts in this reimbursement account.

Department of Children and Families**\$8,024,213**

The Department of Children and Families lapsed \$8 million or 1.7% of its adjusted FY 00 appropriation. Of this, \$2.5 million was due to the imposition of holdbacks on the Personal Services (PS) and Other Expenses (OE) accounts, as well as minor allotment reductions to various grants; \$2.1 million (or 1.1% of adjusted appropriations) was attributable to lower than anticipated growth in adoptive, foster and residential cases; \$1.5 million was due to delays in hiring of new staff; \$1.1 million was due to delays in relocating certain regional offices and miscellaneous other expenses efficiencies; and \$.8 million resulted from minor savings across eighteen remaining budget line items, primarily due to contracted services being delivered at lower than anticipated costs. It should be noted that SA 00-13 included deficiency funding of \$2.95 million for PS and OE in FY 00.

General Fund Debt Service**\$16,178,676**

General Fund debt service lapsed a total of \$16.2 million or 1.7% of its FY 00 appropriation. The lapse is due to a saving of \$15.3 million for regular debt service and \$0.9 million for UConn 2000 debt service.

Of the \$15.3 million lapse in regular debt service, \$7.8 million was due to changes in the issuance schedule. These changes included postponement from November 1999 until April 2000 of a \$125 million nontaxable issue, and rescheduling of a \$100 million taxable issue and a \$20 million tax incremental financing issue for New Haven from FY 00 to FY 01.

The remaining \$7.5 million was due to five factors: (1) \$2.4 million was due to lower premiums on bonds issued in December 1998, June 1999 and November 1999, (2) \$2.4 million was due to interest rates on variable rate bonds that were lower than budgeted levels, (3) \$1.1 million was due to a reduction in the amount budgeted for CHEFA day care bonds, (4) \$0.9 million was due to savings from two cash defeasances, and (5) \$0.7 million was due to lower than budgeted arbitrage rebate fees. Arbitrage rebate is the penalty paid by the state to the federal government for borrowing bond funds at a lower rate and investing them at a higher rate. The decrease reflects smaller than anticipated differences between the interest rate at which bonds were issued and the interest rate at which they are invested.

UConn 2000 debt service lapsed \$0.9 million because the 4.45 % interest rate at which the bonds were issued in March 2000 was lower than the 6.5% rate assumed in the budget.

Exhibit C

FY 00 General Fund Lapsing Appropriations of \$1 Million or More

Agency	Adjusted Appropriation (in millions)	Lapse Amount (in millions)	% of Adjusted Appropriation
Legislative Management	\$ 52.2	\$ 1.4	2.7%
Auditors of Public Accounts	8.8	1.3	14.8%
Comptroller	25.7	1.0	3.9%
Department of Revenue Services	174.9	1.5	0.9%
Office of Policy and Management	265.2	8.0	3.0%
Department of Veterans Affairs	28.6	1.5	5.2%
Department of Administrative Services	36.5	1.6	4.4%
Department of Public Works	46.3	1.5	3.2%
Attorney General	26.4	1.1	4.2%
Division of Criminal Justice	37.3	1.7	4.6%
Department of Public Safety	125.1	4.4	3.5%
Department of Labor	68.1	2.7	4.0%
Department of Economic and Community Development	25.4	2.0	7.9%
Department of Public Health	79.4	3.9	4.9%
Office of Health Care Access	9.0	2.3	25.6%
Department of Mental Retardation	635.3	7.1	1.1%
Department of Mental Health and Addiction Services	305.6	5.1	1.7%
Department of Social Services	3,478.4	1.9	0.1%
Department of Education	1,896.1	8.1	0.4%
Department of Correction	485.0	3.5	0.7%
Department of Children and Families	460.7	8.0	1.7%
Judicial Department	284.6	3.9	1.4%
Non-Functional			
Debt Service	942.5	16.2	1.7%
Higher Education Alternative Retirement System	14.7	1.4	9.5%
Employers Social Security Tax	154.7	1.5	1.0%
State Employees Health Service Cost	274.0	3.2	1.2%
All Others	1,747.8	13.2	0.8%
Total General Fund	\$ 11,688.3	\$ 109.0	0.9%

Exhibit D

General Fund Account Detail

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Legislative					
Legislative Management					
Personal Services	28,307,820	27,952,913	26,823,246	1,129,667	0
Other Expenses	13,205,702	13,983,702	13,521,382	0	462,320
Equipment	949,383	1,371,383	1,315,755	55,628	0
Capitol Security Improvement Projects	0	2,400,000	0	0	2,400,000
Flag Restoration	0	100,000	0	0	100,000
Capitol Child Development Center Playground	0	50,000	0	0	50,000
Security Cameras	0	359,813	0	0	359,813
Building Access Systems	0	194,299	8,131	0	186,168
Energy Management System		215,888	109,490	66,398	40,000
Interim Committee Staffing	570,000	570,000	473,953	96,047	0
Interim Salary/Caucus Offices	530,000	530,000	503,499	26,501	0
Industrial Renewal Plan	180,000	180,000	175,850	4,150	0
Institute for Municipal Studies	125,000	125,000	125,000	0	0
Redistricting	0	1,400,000	218,842	0	1,181,158
Year 2000 Conversion	0	2,504,711	2,504,711	0	0
Interstate Conference Fund	237,500	237,500	236,276	1,224	0
Agency Total	44,105,405	52,175,209	46,016,135	1,379,615	4,779,459
Auditors of Public Accounts					
Personal Services	7,719,339	7,987,492	6,592,846	994,646	400,000
Other Expenses	479,250	719,250	417,746	301,504	0
Equipment	142,000	142,000	108,021	33,979	0
Agency Total	8,340,589	8,848,742	7,118,613	1,330,129	400,000
Commission on the Status of Women					
Personal Services	359,484	375,270	366,835	8,435	0
Other Expenses	101,500	101,500	95,300	6,200	0
Equipment	2,000	2,000	1,108	892	0
Agency Total	462,984	478,770	463,243	15,527	0
Commission on Children					
Personal Services	335,809	351,709	322,989	28,720	0
Other Expenses	89,070	116,715	111,452	5,263	0
Equipment	2,000	0	0	0	0
Social Health Index	40,000	40,000	40,000	0	0
Agency Total	466,879	508,424	474,441	33,983	0
Latino and Puerto Rican Affairs Commission					
Personal Services	234,471	235,391	198,018	37,373	0
Other Expenses	75,730	85,730	81,906	3,824	0
Equipment	5,250	5,250	0	5,250	0
Agency Total	315,451	326,371	279,924	46,447	0
African-American Affairs Commission					
Personal Services	165,838	174,263	143,479	30,784	0
Other Expenses	74,835	74,835	44,911	29,924	0
Equipment	10,000	10,000	0	10,000	0
Agency Total	250,673	259,098	188,390	70,708	0
Total Legislative	53,941,981	62,596,614	54,540,746	2,876,409	5,179,459

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
General Government					
Governor's Office					
Personal Services	2,178,502	2,258,304	2,083,167	175,137	0
Other Expenses	304,902	304,902	290,660	14,242	0
Equipment	100	100	100	0	0
New England Governors' Conference	143,299	143,299	121,682	21,617	0
National Governors' Association	91,078	91,078	91,078	0	0
Agency Total	2,717,881	2,797,683	2,586,687	210,996	0
Secretary of the State					
Personal Services	2,353,708	2,440,425	2,192,146	229,279	19,000
Other Expenses	1,457,719	1,607,719	1,430,667	97,052	80,000
Equipment	2,000	2,000	0	2,000	0
Year 2000 Conversion	0	98,722	97,442	1,280	0
Agency Total	3,813,427	4,148,866	3,720,255	329,611	99,000
Lieutenant Governor's Office					
Personal Services	299,845	308,684	229,902	78,782	0
Other Expenses	34,408	34,408	32,688	1,720	0
Equipment	10,000	10,000	10,000	0	0
Agency Total	344,253	353,092	272,590	80,502	0
Elections Enforcement Commission					
Personal Services	658,485	682,161	641,640	35,981	4,540
Other Expenses	79,179	79,179	72,901	6,278	0
Equipment	4,000	4,000	3,864	136	0
Agency Total	741,664	765,340	718,405	42,395	4,540
Ethics Commission					
Personal Services	640,038	708,151	700,900	2,581	4,670
Other Expenses	109,815	100,815	79,821	20,994	0
Equipment	100	100	0	100	0
Lobbyist Electronic Filing Program	0	200,000	198,601	1,399	0
Agency Total	749,953	1,009,066	979,322	25,074	4,670
Freedom of Information Commission					
Personal Services	953,392	1,006,604	967,191	33,641	5,772
Other Expenses	118,775	118,775	110,059	8,716	0
Equipment	3,000	3,000	3,000	0	0
Year 2000 Conversion	0	604	0	604	0
Agency Total	1,075,167	1,128,983	1,080,250	42,961	5,772
Judicial Selection Commission					
Personal Services	58,859	61,128	57,354	3,774	0
Other Expenses	20,120	44,993	32,389	12,604	0
Equipment	100	100	0	100	0
Agency Total	79,079	106,221	89,743	16,478	0
State Properties Review Board					
Personal Services	300,059	310,838	301,649	7,028	2,161
Other Expenses	190,826	191,506	178,853	12,653	0
Equipment	1,000	1,000	1,000	0	0
Agency Total	491,885	503,344	481,502	19,681	2,161
State Treasurer					
Personal Services	3,245,614	3,365,591	2,916,411	421,067	28,113
Other Expenses	428,842	428,842	407,325	21,517	0
Equipment	80,000	80,000	0	0	80,000
Agency Total	3,754,456	3,874,433	3,323,736	442,584	108,113

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
State Comptroller					
Personal Services	13,863,031	14,413,280	13,629,340	684,653	99,287
Other Expenses	2,610,078	2,610,078	2,297,651	312,427	0
Equipment	1,000	1,000	1,000	0	0
Wellness Program	47,500	47,500	18,192	29,308	0
State Employees Retirement Data Base	200,000	7,500,000	0	0	7,500,000
Death Benefits for State Employees	0	18,590	18,590	0	0
State Employees Retirement Data Base	0	1,062,368	827,218	0	235,150
Governmental Accounting Standards Board	19,570	19,570	19,570	0	0
Agency Total	16,741,179	25,672,386	16,811,561	1,026,388	7,834,437
Department of Revenue Services					
Personal Services	44,563,929	46,286,035	44,747,383	691,432	847,220
Other Expenses	9,581,290	9,581,290	9,057,226	524,064	0
Equipment	296,000	296,000	0	1,000	295,000
Collection and Litigation Contingency Fund	500,000	500,000	253,746	246,254	0
Tax Rebate Administration	0	137,626	137,626	0	0
Tax Rebate Program	0	118,117,875	116,876,573	0	1,241,302
Agency Total	54,941,219	174,918,826	171,072,554	1,462,750	2,383,522
Division of Special Revenue					
Personal Services	6,918,829	7,259,451	6,624,750	457,795	176,906
Other Expenses	1,666,522	1,666,522	1,488,277	178,245	0
Equipment	26,000	26,000	23,818	2,182	0
Year 2000 Conversion	0	31,899	31,899	0	0
Agency Total	8,611,351	8,983,872	8,168,744	638,222	176,906
State Insurance and Risk Management Board					
Personal Services	202,009	209,240	166,626	41,669	945
Other Expenses	7,595,856	7,595,856	7,314,803	281,053	0
Equipment	100	100	0	100	0
Surety Bonds for State Officials and Employees	90,000	90,000	90,000	0	0
Agency Total	7,887,965	7,895,196	7,571,429	322,822	945
Gaming Policy Board					
Other Expenses	4,000	4,000	2,847	1,153	0
Office of Policy and Management					
Personal Services	13,229,801	13,770,373	12,755,557	778,816	236,000
Other Expenses	2,932,314	2,970,571	534,879	320,471	2,115,221
Equipment	1,000	1,000	1,000	0	0
Health Insurance Consultant	0	250,000	0	0	250,000
Para-Olympics	0	150,000	150,000	0	0
Census Consultant	0	250,000	0	0	250,000
Social Service Initiatives	0	75,000	8,845	66,155	0
Operation Sail 2000	0	7,200,000	7,150,000	0	50,000
Litigation Settlement Costs	0	11,700,000	0	0	11,700,000
Welcome Center Feasibility Study	0	60,000	60,000	0	0
Automated Budget System and Data Base Link	163,478	163,478	80,715	0	82,763
Elderly Renter/Circuit Breaker Relief - Admin.	0	10,000	10,000	0	0
Drugs Don't Work	475,000	475,000	475,000	0	0
Spanish American Merchants Association	0	115,000	0	0	115,000
Southwestern Sickle Cell Association, Inc.	0	50,000	0	0	50,000
Leadership, Education, Athletics in Partnership (LEAP)	2,326,700	2,326,700	1,499,120	827,580	0
Children and Youth Program Development	1,500,000	1,500,000	1,423,008	53,547	23,445
Cash Management Improvement Act	100	100	0	100	0
Truancy Prevention Program	560,000	560,000	560,000	0	0
Justice Assistance Grants	4,112,706	5,802,809	2,921,485	0	2,881,324
Neighborhood Youth Centers	1,809,561	1,809,561	1,796,310	13,251	0
High Efficiency Licensing Program	500,000	986,893	187,499	799,394	0
Boys and Girls Club	350,000	350,000	350,000	0	0
Jobs Program Coordinator	100,000	100,000	100,000	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Year 2000 Conversion	0	0	0	0	0
Regional Planning Agencies	612,000	612,000	612,000	0	0
Tax Relief for Elderly Renters	11,760,000	11,760,000	11,270,202	489,798	0
Drug Enforcement Program	1,414,345	1,414,345	1,414,345	0	0
Art Grants	0	15,000,000	5,994,100	5,900	9,000,000
One Time Surplus Revenue Sharing	0	34,000,003	0	0	34,000,003
Reimbursement Property Tax - Disability Exemption	419,021	419,021	394,257	24,764	0
Distressed Municipalities	4,927,000	4,927,000	4,391,627	535,373	0
Property Tax Relief Elderly Circuit Breaker	24,000,000	22,900,000	21,142,855	1,757,145	0
Property Tax Relief Elderly Freeze Program	5,000,000	4,600,000	4,558,939	41,061	0
Property Tax Relief for Veterans	8,280,000	8,280,000	7,990,153	289,847	0
Drug Enforcement Program	10,551,697	16,505,894	14,550,512	0	1,955,382
P.I.L.O.T.-New Manufacturing Machinery and Equipment	71,500,000	73,000,000	70,461,567	2,038,433	500,000
Interlocal Agreements	204,000	391,500	67,500	0	324,000
Capital City Economic Development	750,000	750,000	750,000	0	0
One-Time Local Capital Improvement Program Grants	0	20,000,000	8,532,162	0	11,467,838
Agency Total	167,478,723	265,236,248	182,193,637	8,041,635	75,000,976
Department of Veterans Affairs					
Personal Services	21,472,070	22,195,382	20,993,192	1,138,401	63,789
Other Expenses	6,036,842	6,036,842	5,654,783	381,559	500
Equipment	1,000	1,000	0	1,000	0
Year 2000 Conversion	0	84,788	84,531	257	0
Women's Veterans Memorial	0	50,000	50,000	0	0
World War II Memorial	0	238,000	238,000	0	0
Agency Total	27,509,912	28,606,012	27,020,506	1,521,217	64,289
Department of Administrative Services					
Personal Services	17,303,151	17,956,924	16,755,566	1,082,780	118,578
Other Expenses	2,712,441	2,712,441	2,523,892	186,849	1,700
Equipment	1,000	1,000	1,000	0	0
Labor - Management Fund	0	564,006	4,847	0	559,159
Loss Control Risk Management	705,000	705,000	588,511	116,489	0
Employees' Review Board	64,000	64,000	54,018	9,982	0
Placement and Training Fund	0	2,860,003	472,548	0	2,387,455
Quality of Work-Life	350,000	558,758	427,232	0	131,526
Refunds of Collections	45,000	45,000	45,000	0	0
W. C. Administrator	5,553,004	5,553,004	5,553,004	0	0
Hospital Billing System	0	3,000,000	556,146	0	2,443,854
Automated Personnel System	1,902,299	1,902,299	1,704,135	198,164	0
New Collections Projects	0	28,475	7,500	20,975	0
Year 2000 Conversion	0	538,206	538,206	0	0
Agency Total	28,635,895	36,489,116	29,231,605	1,615,239	5,642,272
Department of Information Technology					
Personal Services	1,648,611	1,712,208	1,518,813	191,000	2,395
Other Expenses	288,338	6,288,338	370,565	0	5,917,773
Equipment	2,000	2,000	2,000	0	0
E-Forms Licenses	0	1,700,000	0	0	1,700,000
Statewide Digital Library	0	2,000,000	0	0	2,000,000
School Wiring	0	10,000,000	0	0	10,000,000
CT Education Technology Initiatives	0	12,000,000	0	0	12,000,000
Year 2000 Conversion	0	6,899,215	1,415,569	0	5,483,646
Agency Total	1,938,949	40,601,761	3,306,947	191,000	37,103,814
Department of Public Works					
Personal Services	5,184,633	5,953,215	5,695,306	209,774	48,135
Other Expenses	16,213,289	15,943,289	15,196,745	737,544	9,000
Equipment	1,000	1,000	1,000	0	0
Thames River Campus Management	0	16,201	10,824	5,377	0
Fairfield Hills Campus Management	0	1,415	52	1,363	0
Norwich Hospital Campus Management	0	25,313	3,622	21,691	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Minor Capital Improvements	2,000,000	2,170,700	1,616,825	0	553,875
Management Services	4,877,431	4,671,721	4,425,752	245,969	0
Litigation Settlement Costs	0	6,100,000	6,100,000	0	0
Rents and Moving	6,380,000	6,350,000	6,187,363	162,637	0
Capitol Day Care Center	109,250	104,250	101,760	2,490	0
Facilities Design Expenses	4,599,845	4,668,592	4,589,920	78,672	0
Seaside Regional Center Management	0	7,300	2,010	5,290	0
Mystic Education Center Management	0	3,120	919	2,201	0
Year 2000 Conversion	0	265,695	264,830	865	0
Agency Total	39,365,448	46,281,811	44,196,928	1,473,873	611,010
Attorney General					
Personal Services	22,796,902	23,276,443	22,681,324	547,173	47,946
Other Expenses	1,097,347	1,497,347	1,495,492	1,855	0
Equipment	2,000	2,000	1,398	602	0
Police Wiretap Case	100,000	100,000	93,251	5,559	1,190
Health Rite and Value Lawsuit	0	500,000	5,000	495,000	0
Year 2000 Conversion	0	1,046,029	1,046,029	0	0
Agency Total	23,996,249	26,421,819	25,322,494	1,050,189	49,136
Office of the Claims Commissioner					
Personal Services	192,787	212,024	211,611	413	0
Other Expenses	32,903	32,903	20,396	12,507	0
Equipment	100	100	0	100	0
Adjudicated Claims	95,000	95,000	91,984	3,016	0
Agency Total	320,790	340,027	323,991	16,036	0
Division of Criminal Justice					
Personal Services	31,916,544	32,284,265	31,233,382	1,050,883	0
Other Expenses	2,607,249	3,057,249	2,695,993	361,256	0
Equipment	344,470	448,059	430,835	17,224	0
Forensic Sex Evidence Exams	346,992	346,992	207,904	139,088	0
Witness Protection	250,000	435,000	414,199	20,801	0
Training and Education	60,484	76,484	65,946	10,538	0
Expert Witnesses	179,712	194,712	185,726	8,986	0
Medicaid Fraud Control	450,150	450,150	381,817	68,333	0
Agency Total	36,155,601	37,292,911	35,615,802	1,677,109	0
Criminal Justice Commission					
Other Expenses	1,195	1,195	250	945	0
Total General Government	427,356,241	713,432,208	564,091,785	20,248,860	129,091,563
Regulation and Protection					
Department of Public Safety					
Personal Services	87,130,058	90,778,488	87,616,432	3,022,451	139,605
Other Expenses	17,359,633	17,359,633	16,120,153	1,224,180	15,300
Equipment	10,000	10,000	10,000	0	0
Stress Reduction	53,354	53,354	32,619	0	20,735
Trooper Trainee Class	0	76,592	62,817	13,775	0
Fleet Purchase	7,317,163	7,317,163	5,045,654	0	2,271,509
Sex Offender Registry	0	82,190	31,627	50,563	0
Litigation Settlement Costs	0	3,500,000	3,500,000	0	0
Workers' Compensation Claims	2,519,497	2,519,497	2,452,075	67,422	0
Year 2000 Conversion	0	3,363,700	3,363,700	0	0
Civil Air Patrol	38,692	38,692	36,757	1,935	0
Agency Total	114,428,397	125,099,309	118,271,834	4,380,326	2,447,149

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Police Officer Standards and Training Council					
Personal Services	1,525,128	1,580,817	1,483,282	92,217	5,318
Other Expenses	883,010	951,228	905,544	35,684	10,000
Equipment	104,000	120,782	120,782	0	0
Firearms Training Simulator	0	250,000	0	0	250,000
Alzheimer's Association	40,000	40,000	40,000	0	0
Agency Total	2,552,138	2,942,827	2,549,608	127,901	265,318
Board of Firearms Permit Examiners					
Personal Services	74,335	76,450	49,718	26,732	0
Other Expenses	44,007	44,007	41,271	2,736	0
Equipment	1,000	1,000	1,000	0	0
Agency Total	119,342	121,457	91,989	29,468	0
Military Department					
Personal Services	3,782,894	3,904,203	3,643,311	196,447	64,445
Other Expenses	2,316,354	2,341,354	2,126,671	124,083	90,600
Equipment	1,000	1,000	1,000	0	0
Firing Squads	232,000	272,000	251,011	20,989	0
Year 2000 Conversion	0	216,048	216,048	0	0
Agency Total	6,332,248	6,734,605	6,238,041	341,519	155,045
Commission on Fire Prevention and Control					
Personal Services	1,282,459	1,301,982	1,289,699	0	12,283
Other Expenses	563,634	563,634	531,717	20,417	11,500
Equipment	1,000	1,000	1,000	0	0
Payments to Volunteer Fire Companies	220,000	242,000	242,000	0	0
Agency Total	2,067,093	2,108,616	2,064,416	20,417	23,783
Department of Motor Vehicles					
Year 2000 Conversion	0	5,790,572	5,687,572	0	103,000
Department of Consumer Protection					
Personal Services	9,227,142	9,520,934	8,960,188	425,746	135,000
Other Expenses	1,068,498	1,117,393	1,038,710	78,683	0
Equipment	1,000	1,000	1,000	0	0
Year 2000 Conversion	0	348,653	345,323	3,330	0
Agency Total	10,296,640	10,987,980	10,345,221	507,759	135,000
Labor Department					
Personal Services	9,163,412	9,494,180	8,888,960	546,295	58,925
Other Expenses	2,598,336	2,598,336	1,394,348	1,163,988	40,000
Equipment	2,000	2,000	2,000	0	0
CETC Workforce	3,150,000	3,150,000	2,258,175	0	891,825
workforce investment act	0	400,000	0	0	400,000
Vocational and Manpower Training	1,963,806	1,963,806	1,865,616	98,190	0
Displaced Homemakers	427,752	427,752	427,752	0	0
Regional Workforce Development Boards	459,000	459,000	443,575	15,425	0
Community Employment Incentive Program	3,758,525	3,758,525	2,939,100	819,425	0
Summer Youth Employment	816,320	816,320	752,116	64,204	0
Jobs First Employment Services	15,992,693	23,475,092	21,751,037	0	1,724,055
Non-Traditional Occupational Training	331,500	331,500	331,500	0	0
Machine Tool Job Training	127,500	127,500	127,500	0	0
Welfare-to-Work Grant Program	11,183,704	17,439,501	8,275,699	0	9,163,802
Opportunity Industrial Centers	125,000	125,000	125,000	0	0
Job Funnels for Hartford Projects	500,000	1,000,000	500,000	0	500,000
Individual Development Accounts	0	400,000	0	0	400,000
Year 2000 Conversion	0	2,090,977	2,090,977	0	0
Agency Total	50,599,548	68,059,489	52,173,355	2,707,527	13,178,607

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Office of Victim Advocate					
Personal Services	158,383	164,427	89,486	73,729	1,212
Other Expenses	32,467	32,467	18,853	13,614	0
Equipment	1,500	1,500	0	1,500	0
Agency Total	192,350	198,394	108,339	88,843	1,212
Commission on Human Rights and Opportunities					
Personal Services	5,149,116	5,329,649	5,277,997	46,504	5,148
Other Expenses	614,660	637,160	606,056	31,104	0
Equipment	1,000	1,000	0	1,000	0
Martin Luther King, Jr. Commission	5,230	5,230	4,897	333	0
Human Rights Referees	689,454	736,654	736,287	367	0
Agency Total	6,459,460	6,709,693	6,625,237	79,308	5,148
Office of Protection and Advocacy for Persons With Disabilities					
Personal Services	2,085,511	2,164,257	2,086,386	71,616	6,255
Other Expenses	428,573	428,573	398,823	29,750	0
Equipment	1,000	1,000	1,000	0	0
Year 2000 Conversion	0	29,334	29,334	0	0
Agency Total	2,515,084	2,623,164	2,515,543	101,366	6,255
Office of the Child Advocate					
Personal Services	366,902	386,762	305,195	81,567	0
Other Expenses	70,600	64,779	23,801	40,978	0
Equipment	1,000	1,000	574	426	0
Agency Total	438,502	452,541	329,570	122,971	0
Total Regulation and Protection	196,000,802	231,828,647	207,000,725	8,507,405	16,320,517
Conservation and Development					
Department of Agriculture					
Personal Services	3,363,949	3,553,974	3,467,770	57,020	29,184
Other Expenses	665,585	665,585	639,782	25,803	0
Equipment	1,000	1,000	1,000	0	0
CT Seafood Advisory Council	50,000	60,000	48,513	1,487	10,000
Food Council	50,000	50,000	32,024	17,976	0
Vibrio Bacterium Program	20,000	20,000	20,000	0	0
Dairy Compact Coalition	0	50,000	50,000	0	0
WIC Program for Fresh Produce for Seniors	44,611	84,263	84,263	0	0
Collection of Agricultural Statistics	1,200	1,200	1,200	0	0
Tuberculosis and Brucellosis Indemnity	1,000	1,000	0	1,000	0
Exhibits and Demonstrations	5,600	5,600	5,600	0	0
Connecticut Grown Product Promotion	15,000	15,000	15,000	0	0
WIC Coupon Program for Fresh Produce	130,371	90,719	69,797	20,922	0
Agency Total	4,348,316	4,598,341	4,434,949	124,208	39,184
Department of Environmental Protection					
Personal Services	29,071,532	30,036,084	29,663,585	294,275	78,224
Other Expenses	3,485,314	3,480,314	3,384,028	26,286	70,000
Equipment	49,500	181,984	30,095	0	151,889
Mosquito Control	302,174	1,806,566	807,419	0	999,147
Aerial Photo Survey	0	300,000	300,000	0	0
State Superfund Site Maintenance	600,000	600,000	254,374	345,626	0
Charter Oak Open Space Trust Account	0	10,000,000	0	0	10,000,000
Gold Feder Factory Site Rehabilitation	0	100,000	0	0	100,000
Laboratory Fees	280,076	280,076	280,076	0	0
Dam Maintenance	109,830	113,031	113,031	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Emergency Spill Response	6,147,551	8,088,586	7,668,720	0	419,866
Long Island Sound Research Fund	1,000	1,000	1,000	0	0
Greenways	100,000	100,000	100,000	0	0
Emergency Response Commission	113,662	117,798	117,798	0	0
Beardsley Park and Zoo	450,000	450,000	450,000	0	0
Electric Restructuring	0	30,500	30,500	0	0
Year 2000 Conversion	0	1,821,288	1,812,650	8,638	0
Soil Conservation Districts	1,020	1,020	1,020	0	0
Agreement USGS-Geological Investigation	47,000	47,000	47,000	0	0
Agreement USGS-Hydrological Study	122,200	122,200	122,200	0	0
New England Interstate Water Pollution Commission	8,400	8,400	8,400	0	0
Northeast Interstate Forest Fire Compact	2,040	2,040	2,040	0	0
Connecticut River Valley Flood Control Commission	40,200	40,200	38,890	1,310	0
Thames River Valley Flood Control Commission	50,200	50,200	48,281	1,919	0
Environmental Review Teams	1,000	1,000	1,000	0	0
Agreement USGS-Water Quality Stream Monitoring	169,320	169,320	169,320	0	0
Connecticut Recyclers Coalition	0	50,000	50,000	0	0
Agency Total	41,152,019	57,998,607	45,501,427	678,054	11,819,126
Council on Environmental Quality					
Personal Services	107,791	111,968	111,967	1	0
Other Expenses	6,470	6,364	6,364	0	0
Equipment	1,000	1,000	1,000	0	0
Agency Total	115,261	119,332	119,331	1	0
Connecticut Historical Commission					
Personal Services	955,200	974,222	947,252	8,356	18,614
Other Expenses	91,427	100,427	100,427	0	0
Equipment	1,000	1,000	1,000	0	0
Freedom Trail	40,000	80,000	20,000	20,000	40,000
Rochambeau Route	30,000	55,000	30,000	0	25,000
Historical Resource Inventory	50,000	50,000	50,000	0	0
Agency Total	1,167,627	1,260,649	1,148,679	28,356	83,614
Department of Economic and Community Development					
Personal Services	6,079,446	6,352,841	6,056,200	145,230	151,411
Other Expenses	3,209,937	3,209,937	2,786,725	423,212	0
Equipment	1,000	1,000	1,000	0	0
Elderly Rental Registry and Counselors	648,000	648,000	550,957	97,043	0
Cluster Initiative	2,000,000	2,000,000	1,941,598	58,402	0
Film Commission	200,000	400,000	200,836	0	199,164
Amistad Project	0	300,000	300,000	0	0
Freedom Trail	50,000	100,000	50,000	0	50,000
Amistad Dock	0	750,000	0	0	750,000
Central Tourism Account	0	500,000	0	0	500,000
Economic Information Systems	0	755	205	550	0
Year 2000 Conversion	0	77,875	77,875	0	0
Entrepreneurial Centers	215,000	215,000	215,000	0	0
Assisted Living Demonstration Program	503,358	503,358	0	503,358	0
Congregate Facilities Operation Costs	3,534,851	3,534,851	2,890,876	643,975	0
Elderly Congregate Rent Subsidy	1,296,499	1,296,499	1,145,169	151,330	0
Job Incentive Grants	0	400,000	0	0	400,000
Tax Abatement	2,243,276	2,243,276	2,243,276	0	0
Payment in Lieu of Taxes	2,900,000	2,900,000	2,900,000	0	0
Agency Total	22,881,367	25,433,392	21,359,717	2,023,100	2,050,575
Agricultural Experiment Station					
Personal Services	4,669,912	4,817,840	4,647,592	164,546	5,702
Other Expenses	423,300	423,300	407,551	11,049	4,700
Equipment	1,000	1,000	0	1,000	0
Mosquito Control	203,000	223,000	222,990	10	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Wildlife Fertility Control	0	240,000	106,143	0	133,857
Year 2000 Conversion	0	206,610	206,610	0	0
Agency Total	5,297,212	5,911,750	5,590,886	176,605	144,259
Total Conservation and Development	74,961,802	95,322,071	78,154,989	3,030,324	14,136,758
Health and Hospitals					
Department of Public Health					
Personal Services	27,643,312	27,135,482	25,033,075	1,782,709	319,698
Other Expenses	6,822,399	7,986,397	6,397,687	295,765	1,292,945
Equipment	41,000	41,000	12,752	28,248	0
Young Parents Program	205,275	205,275	204,937	338	0
Pregnancy Healthline	114,342	114,342	114,342	0	0
Needle and Syringe Exchange Program	462,794	462,794	425,782	37,012	0
Community Services Support for Persons with AIDS	211,367	211,367	211,367	0	0
Teen Pregnancy Prevention Campaign	51,000	51,000	50,000	1,000	0
Children's Health Initiatives	1,432,313	1,432,313	1,432,313	0	0
Tobacco Education	200,000	200,000	177,358	22,642	0
CT Immunization Registry	220,807	220,807	220,807	0	0
Newborn Hearing Screening	0	500,000	84,014	415,986	0
Nursing Study	0	200,000	0	0	200,000
Hospice Relocation	0	150,000	0	0	150,000
Childhood Lead Poisoning	260,559	260,559	258,328	2,231	0
AIDS Services	3,922,809	3,922,809	3,519,443	203,366	200,000
Liability Coverage for Volunteer Retired Physicians	4,590	4,590	4,500	90	0
Breast and Cervical Cancer Detection and Treatment	1,913,441	1,913,441	1,884,791	28,650	0
Services for Children Affected by AIDS	280,500	280,500	264,207	16,293	0
Children with Special Health Care Needs	714,000	714,000	714,000	0	0
Medicaid Administration	3,263,319	3,373,083	2,884,085	488,998	0
Year 2000 Conversion	0	2,527,976	1,787,599	0	740,377
Community Health Services	7,654,942	7,754,942	7,325,839	429,103	0
Emergency Medical Services Training	35,700	35,700	35,700	0	0
Emergency Medical Services Regional Offices	385,672	385,672	385,672	0	0
Rape Crisis	453,002	453,002	453,002	0	0
X-Ray Screening and Tuberculosis Care	609,340	729,340	729,336	4	0
Genetic Diseases Programs	690,904	757,571	659,154	0	98,417
Loan Repayment Program	194,500	550,711	155,456	8,255	387,000
Immunization Services	7,038,205	7,038,205	7,024,846	13,359	0
Local and District Departments of Health	4,382,585	4,262,585	4,119,173	143,412	0
Venereal Disease Control	226,721	226,721	225,127	1,594	0
School Based Health Clinics	5,164,704	5,264,704	5,259,179	5,525	0
Agency Total	74,600,102	79,366,888	72,053,871	3,924,580	3,388,437
Office of Health Care Access					
Personal Services	2,124,888	2,202,911	2,029,757	144,289	28,865
Other Expenses	461,368	461,368	415,117	46,251	0
Equipment	1,000	1,000	0	1,000	0
Administration-Uncompensated Care	158,702	164,716	97,682	67,034	0
Distressed Hospital Evaluation	0	1,000,000	121,379	0	878,621
Year 2000 Conversion	0	151,409	151,409	0	0
Distressed Hospitals Loan Program	0	5,000,000	0	2,000,000	3,000,000
Agency Total	2,745,958	8,981,404	2,815,344	2,258,574	3,907,486
Office of the Medical Examiner					
Personal Services	3,120,555	3,128,000	3,047,049	75,389	5,562
Other Expenses	1,267,377	1,355,377	1,339,943	15,434	0
Equipment	67,500	84,500	4,735	0	79,765
Year 2000 Conversion	0	184,922	184,542	380	0
Agency Total	4,455,432	4,752,799	4,576,269	91,203	85,327

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Department of Mental Retardation					
Personal Services	252,900,722	264,122,833	261,491,759	2,382,477	248,597
Other Expenses	21,623,616	22,448,616	21,618,295	803,821	26,500
Equipment	1,000	1,000	1,000	0	0
Human Resource Development	385,600	385,600	365,244	20,356	0
Family Support Grants	988,417	988,417	988,067	350	0
Pilot Programs for Client Services	2,099,985	2,120,572	2,120,572	0	0
Clinical Services	5,427,714	5,427,714	5,390,995	36,719	0
Early Intervention	13,159,833	15,041,729	14,731,409	160,320	150,000
Temporary Support Services	204,014	204,014	204,014	0	0
Community Temporary Support Services	67,000	67,000	67,000	0	0
Community Respite Care Programs	328,800	328,800	328,800	0	0
Dental Services	0	5,362	0	5,362	0
Workers' Compensation Claims	9,094,258	11,294,258	10,947,037	0	347,221
Year 2000 Conversion	0	249,725	225,193	24,532	0
Rent Subsidy Program	2,393,904	2,473,904	2,388,055	85,849	0
Respite Care	1,918,372	1,918,372	1,916,728	1,644	0
Family Reunion Program	142,800	142,800	121,584	21,216	0
Employment Opportunities and Day Services	94,432,869	95,556,864	94,577,923	978,941	0
Family Placements	1,721,217	1,738,092	1,738,092	0	0
Emergency Placements	3,401,008	3,434,352	3,434,352	0	0
Community Residential Services	202,227,822	204,223,095	202,123,783	2,099,312	0
Pilot Program for Cooperative Placements	3,165,000	3,172,500	2,654,859	517,641	0
Agency Total	615,683,951	635,345,619	627,434,761	7,138,540	772,318
Department of Mental Health and Addiction Services					
Personal Services	76,507,088	84,563,195	83,104,225	1,156,814	302,156
Other Expenses	9,679,311	11,879,311	11,107,321	456,690	315,300
Equipment	1,000	1,000	1,000	0	0
Corporation for Supportive Housing	1,062,893	1,073,314	1,073,314	0	0
Pre-Trial Drug Education	1,792,140	89,607	0	89,607	0
Pre-Trial Alcohol Education System	1,265,553	1,816,485	1,790,923	25,562	0
Managed Service System	9,618,567	9,712,965	9,699,402	13,563	0
Drug Treatment for Schizophrenia	1,768,195	3,518,195	3,435,283	82,912	0
Legal Services	420,303	424,424	403,409	21,015	0
Connecticut Mental Health Center	7,316,016	7,517,617	7,512,906	4,711	0
Capitol Region Mental Health Center	363,781	363,781	356,618	7,163	0
Professional Services	5,223,178	5,223,178	4,754,441	468,737	0
Regional Action Councils	970,817	978,374	978,374	0	0
General Assistance Managed Care	50,973,390	59,801,674	59,362,835	0	438,839
Chronic Gamblers Treatment and Rehabilitation	100,000	0	0	0	0
Workers' Compensation Claims	5,362,523	6,862,523	6,268,353	0	594,170
Nursing Home Screening	509,387	509,387	483,330	26,057	0
Special Populations	6,172,270	6,172,270	5,379,809	792,461	0
Civil Commitment	0	110,717	0	110,717	0
TBI Community Services	1,500,000	100,000	44,870	55,130	0
Year 2000 Conversion	0	2,324,291	1,548,462	0	775,829
Grants for Substance Abuse Services	27,591,422	23,517,844	22,138,273	1,379,571	0
Governor's Partnership to Protect Connecticut's Workforce	459,000	459,000	459,000	0	0
Grants for Mental Health Services	68,236,112	69,388,424	68,985,181	403,243	0
Employment Opportunities	9,084,343	9,173,405	9,173,405	0	0
Agency Total	285,977,289	305,580,981	298,060,734	5,093,953	2,426,294
Psychiatric Security Review Board					
Personal Services	218,947	227,076	214,605	12,471	0
Other Expenses	50,522	50,522	46,223	4,299	0
Equipment	1,000	1,000	1,000	0	0
Year 2000 Conversion	0	30,000	30,000	0	0
Agency Total	270,469	308,598	291,828	16,770	0
Total Health and Hospitals	983,733,201	1,034,336,289	1,005,232,807	18,523,620	10,579,862

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Transportation					
Department of Transportation					
Year 2000 Conversion	0	2,218,696	2,218,038	658	0
Total Transportation	0	2,218,696	2,218,038	658	0
Human Services					
Department of Social Services					
Personal Services	103,762,231	103,651,728	103,409,859	115,097	126,772
Other Expenses	43,856,536	43,366,184	41,648,138	0	1,718,046
Equipment	1,000	1,000	1,000	0	0
Financial Management Reporting	25,500	881,487	138,743	0	742,744
Children's Health Initiative	2,489,166	2,364,708	2,364,708	0	0
Independent Living Center - Administration	23,910	22,714	22,714	0	0
Anti-Hunger Programs	222,565	222,565	222,565	0	0
Blood Tests in Paternity Actions	214,200	189,200	186,248	2,952	0
State Food Stamp Supplement	1,610,218	1,960,218	1,935,908	24,310	0
Day Care Projects	506,226	506,226	504,726	1,500	0
Commission on Aging	250,000	227,514	219,102	8,412	0
Privatize Data Processing Services	33,152,042	39,794,310	39,794,258	52	0
HUSKY Program	14,551,524	7,280,656	7,274,328	6,328	0
Year 2000 Conversion	0	1,995,295	1,955,990	39,305	0
Vocational Rehabilitation	6,929,880	6,929,880	6,929,880	0	0
Medicaid	2,082,614,400	2,258,206,805	2,216,789,087	17,718	41,400,000
Old Age Assistance	32,851,676	31,086,169	30,938,990	147,179	0
Aid to the Blind	661,899	588,930	581,785	7,145	0
Aid to the Disabled	67,988,304	62,593,251	62,192,298	400,953	0
Temporary Assistance to Families	24,258,319	24,258,319	24,258,319	0	0
Temporary Assistance to Families - TANF	155,651,673	143,390,148	143,384,259	5,889	0
Adjustment of Recoveries	150,000	125,000	98,999	26,001	0
Emergency Assistance	500	54,933	31,820	23,113	0
Food Stamp Training Expenses	130,800	5,000	3,200	1,800	0
Connecticut Pharmaceutical Assistance Contract to the Elderly	26,592,305	39,615,457	39,500,350	115,107	0
Maternal and Child Health Services	2,922,606	2,742,531	2,715,425	27,106	0
DMHAS - Disproportionate Share	191,000,000	191,000,000	191,000,000	0	0
Connecticut Home Care Program	19,100,000	19,400,000	19,058,098	341,902	0
Human Resource Development-Hispanic Programs	108,881	208,881	208,881	0	0
Services to the Elderly	3,957,295	5,027,295	4,025,674	1,621	1,000,000
hospital assistance program	0	5,488,737	5,488,723	0	14
Safety Net Services	4,896,000	4,896,000	4,871,949	24,051	0
Transportation for Employment Independence Program	3,034,500	2,674,402	2,674,402	0	0
Opportunity Industrial Centers	320,398	320,398	320,006	392	0
Transitional Rental Assistance	3,550,000	3,477,764	3,424,103	53,661	0
Refunds of Collections	1,400,000	1,325,000	1,322,136	2,864	0
Energy Assistance	2,775,657	1,500,000	1,488,250	11,750	0
Services for Persons with Disabilities	7,087,537	6,954,703	6,920,032	34,671	0
Child Care Services	6,002,584	6,002,584	6,002,584	0	0
Child Care Services - TANF/CCDBG	131,327,287	105,989,109	105,556,690	432,419	0
Residences for Persons with AIDS	2,035,716	2,035,716	2,035,715	1	0
Supplemental Nutrition Assistance	93,742	93,742	93,742	0	0
Housing/Homeless Services	8,323,329	8,128,303	8,128,302	1	0
Employment Opportunities	899,004	899,004	899,004	0	0
Human Resource Development	3,782,122	3,782,122	3,782,122	0	0
Child Day Care	13,042,700	11,669,156	11,669,156	0	0
Independent Living Centers	755,722	755,722	755,722	0	0
AIDS Drug Assistance	603,840	603,840	603,840	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Shelter Services for Victims of Household Abuse	27,540	27,540	27,540	0	0
Disproportionate Share - Medical Emergency Assistance	210,000,000	204,511,277	204,511,277	0	0
State Administered General Assistance	77,119,930	87,579,853	87,579,853	0	0
School Readiness	5,383,765	5,114,577	5,110,377	4,200	0
Connecticut Children's Medical Center	7,000,000	7,000,000	7,000,000	0	0
Community Services	981,400	1,981,400	981,400	0	1,000,000
Indigent Immigrant Medical Services	500,000	275,000	275,000	0	0
Child Day Care	10,140,358	9,772,937	9,772,937	0	0
Human Resource Development	244,173	244,173	244,173	0	0
Human Resource Development-Hispanic Programs	11,912	11,912	11,270	642	0
Teenage Pregnancy Prevention Block Grant	1,020,000	1,020,000	1,020,000	0	0
School Based Child Health	6,500,000	6,500,000	6,500,000	0	0
Vocational Rehabilitation Transition Plan	39,780	39,780	39,780	0	0
Traumatic Brain Injury	55,590	55,590	55,590	0	0
Agency Total	3,324,538,242	3,478,426,745	3,430,561,027	1,878,142	45,987,576
Total Human Services	3,324,538,242	3,478,426,745	3,430,561,027	1,878,142	45,987,576

Education, Museums, Libraries

Department of Education

Personal Services	94,457,179	98,723,391	97,820,365	761,884	141,142
Other Expenses	11,668,299	11,870,299	11,691,916	0	178,383
Equipment	1,000	1,000	1,000	0	0
Training Paraprofessionals for Teaching	339,700	339,700	138,734	200,966	0
Institutes for Educators	328,000	728,000	705,598	22,402	0
Basic Skills Exam Teachers in Training	1,225,700	1,225,700	1,119,901	105,799	0
Teachers' Standards Implementation Program	3,668,151	3,668,151	3,415,880	252,271	0
Early Childhood Program	35,092,500	39,092,500	36,126,942	2,965,558	0
Amer-I-Can Pilot	0	350,000	350,000	0	0
Admin - Early Reading Success	0	293,274	293,082	192	0
Admin - Magnet Schools	0	198,550	190,382	8,168	0
Admin - Extended School Hours	0	75,807	75,534	273	0
Adult Education Administration	0	759,762	759,742	20	0
Development of Mastery Exams Grades 4, 6 and 8	5,505,483	5,505,483	5,430,483	75,000	0
Admin - Interdistrict Cooperative Program	0	113,610	111,332	2,278	0
Admin - Library Book Grants to Schools	0	31,470	31,100	370	0
Primary Mental Health	294,000	294,000	294,000	0	0
Hartford Public School System Audit	0	600,000	600,000	0	0
Admin - Youth Service Bureaus	0	54,025	53,604	421	0
Adult Education Action	300,000	300,000	300,000	0	0
Vocational Technical School Textbooks	800,000	800,000	777,951	22,049	0
Repair of Instructional Equipment	237,500	237,500	220,928	16,572	0
Minor Repairs to Plant	332,500	332,500	309,976	22,524	0
Connecticut Pre-Engineering Program	400,000	400,000	400,000	0	0
Contracting Instructional TV Services	209,000	209,000	198,550	10,450	0
Connecticut Writing Project	100,000	100,000	100,000	0	0
Jobs for Connecticut Graduates	275,000	275,000	275,000	0	0
Science and Technology - Jason Project	150,000	150,000	150,000	0	0
Hartford Public School Monitors	200,000	200,000	171,487	28,513	0
Year 2000 Conversion	0	457,457	457,457	0	0
American School for the Deaf	6,916,865	6,916,865	6,916,865	0	0
RESC Leases	950,000	1,235,000	1,230,139	4,861	0
Regional Education Services	3,147,384	3,147,384	3,147,384	0	0
Omnibus Education Grants State Supported Schools	2,754,000	2,754,000	2,687,792	66,208	0
Head Start Services	3,100,000	3,100,000	3,063,721	36,279	0
Head Start Enhancement	2,000,000	2,000,000	1,999,998	2	0
Family Resource Centers	6,132,500	6,132,500	6,000,000	132,500	0
Nutmeg Games	50,000	150,000	150,000	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Charter Schools	12,317,500	12,317,500	11,309,368	1,008,132	0
School Building Grants and Interest Subsidy	11,004	11,004	11,004	0	0
Interest Subsidy Debt Avoidance Grants	0	55,000,000	53,093,049	0	1,906,951
School Construction Grants	0	41,432,000	0	0	41,432,000
Vocational Agriculture	2,621,700	2,621,700	2,621,700	0	0
Transportation of School Children	43,200,000	43,187,830	42,800,371	387,459	0
Adult Education	15,995,000	15,235,238	15,133,012	102,226	0
Health and Welfare Services Pupils Private Schools	3,410,000	3,442,000	3,441,371	629	0
Ecs Hartford Supplemental School Aid	0	5,700,000	0	0	5,700,000
Education Equalization Grants	1,352,550,000	1,349,433,000	1,347,876,789	1,556,211	0
Bilingual Education	2,252,000	2,252,000	2,252,000	0	0
Priority School Districts	20,336,250	20,336,250	20,336,250	0	0
Young Parents Program	259,080	259,080	259,080	0	0
Interdistrict Cooperation	11,959,000	14,435,033	14,428,637	6,396	0
School Breakfast Program	1,559,805	1,571,975	1,561,006	10,969	0
Excess Cost - Student Based	44,500,000	46,800,000	46,763,109	36,891	0
Excess Cost - Equity	11,500,000	11,500,000	11,500,000	0	0
Medicaid Coordination	0	146,166	0	146,166	0
Student Achievement Grant	1,500,000	1,500,000	1,500,000	0	0
Non-Public School Transportation	4,550,000	4,550,000	4,512,907	37,093	0
Celebration of Excellence	25,000	25,000	25,000	0	0
School to Work Opportunities	250,000	250,000	250,000	0	0
Extended School Hours and Support Programs	3,191,872	3,266,065	3,262,075	3,990	0
Youth Service Bureaus	2,843,398	2,789,373	2,784,556	4,817	0
OPEN Choice Program	6,175,000	5,450,000	5,450,000	0	0
Lighthouse Schools	600,000	600,000	600,000	0	0
Transitional School Districts	2,500,000	2,500,000	2,500,000	0	0
Early Reading Success	20,660,400	20,592,126	20,356,796	10,330	225,000
Magnet Schools	20,900,000	24,304,807	19,244,357	10,450	5,050,000
Library Book Grants to Schools	0	6,312,530	3,165,530	0	3,147,000
Middle School Math and Science Mastery Pilot	200,000	200,000	200,000	0	0
School Accountability	300,000	5,300,000	300,000	0	5,000,000
Agency Total	1,766,801,770	1,896,142,605	1,825,304,810	8,057,319	62,780,476
Board of Education and Services for the Blind					
Personal Services	3,828,912	4,114,709	4,003,375	89,967	21,367
Other Expenses	1,532,971	2,987,318	2,808,283	0	179,035
Equipment	0	0	0	0	0
Year 2000 Conversion	0	10,641	10,641	0	0
Supplementary Relief and Services	120,931	150,931	145,942	4,989	0
Education of Handicapped Blind Children	5,738,166	5,012,854	5,010,785	2,069	0
Vocational Rehabilitation	984,825	984,825	984,825	0	0
Education of Pre-School Blind Children	124,887	124,887	102,467	22,420	0
Special Training for the Deaf Blind	347,588	347,588	341,552	6,036	0
Connecticut Radio Information Service	43,605	43,605	43,605	0	0
Services for Persons with Impaired Vision	442,672	567,672	567,672	0	0
Tuition and Services-Public School Children	1,171,220	1,171,220	1,040,457	130,763	0
Agency Total	14,335,777	15,516,250	15,059,604	256,244	200,402
Commission on the Deaf and Hearing Impaired					
Personal Services	740,220	731,168	510,685	218,462	2,021
Other Expenses	166,046	166,046	152,970	13,076	0
Equipment	1,000	8,000	8,000	0	0
Part-Time Interpreters	293,647	618,544	318,021	0	300,523
Year 2000 Conversion	0	2,000	2,000	0	0
Agency Total	1,200,913	1,525,758	991,676	231,538	302,544
State Library					
Personal Services	5,589,106	5,804,897	5,550,040	165,182	89,675
Other Expenses	963,981	963,981	925,415	38,566	0
Equipment	1,000	1,000	1,000	0	0
Equipment-Law Department	404,930	404,930	384,683	20,247	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Relocation of State Library Archives	0	2,000,000	278,501	0	1,721,499
Library & Educational Materials	468,410	468,410	444,989	23,421	0
Statewide Data Base Program	407,174	1,107,174	736,815	20,359	350,000
Impressionist Art Trail	50,000	100,000	50,000	0	50,000
Year 2000 Conversion	0	2,200	2,200	0	0
Basic Cultural Resources Grant	2,840,109	2,916,109	2,774,104	142,005	0
Support Cooperating Library Service Units	823,986	823,986	782,787	41,199	0
Grants-Local Institutions in Humanities	354,000	354,000	354,000	0	0
Connecticut Educational Telecommunications Corporation	867,000	867,000	867,000	0	0
Grants to Public Libraries	462,852	462,852	462,852	0	0
Connecticard Payments	711,792	711,792	711,792	0	0
Agency Total	13,944,340	16,988,331	14,326,178	450,979	2,211,174
Department of Higher Education					
Personal Services	2,271,095	2,512,986	2,300,975	212,011	0
Other Expenses	258,634	266,124	240,270	25,854	0
Equipment	1,000	1,000	1,000	0	0
Minority Advancement Program	2,796,044	3,662,689	3,093,045	0	569,644
Alternate Route to Certification	10,685	10,685	10,685	0	0
Student Community Services	16,027	16,027	10,024	6,003	0
National Service Act	527,697	597,697	463,713	133,984	0
International Initiatives	225,000	225,000	224,089	911	0
Minority Teacher Incentive Program	410,000	410,000	406,761	3,239	0
Higher Education State Matching Grant Fund	0	16,340,000	8,622,229	0	7,717,771
Governor William A. O'Neill Endowed Chair	0	1,000,000	1,000,000	0	0
Capitol Scholarship Program	5,306,871	5,623,665	5,246,248	112,074	265,343
Awards to Children of Deceased/Disabled Veterans	6,000	6,000	4,400	1,600	0
Connecticut Independent College Student Grant	16,949,378	16,949,378	16,949,378	0	0
Connecticut Aid for Public College Students	14,552,940	14,552,940	14,552,940	0	0
New England Board of Higher Education	373,126	373,126	373,126	0	0
Agency Total	43,704,497	62,547,317	53,498,883	495,676	8,552,758
University of Connecticut					
Operating Expenses	165,515,927	176,250,457	175,398,224	852,233	0
Tuition Freeze	4,991,458	4,991,458	4,991,458	0	0
Year 2000 Conversion	0	4,817,315	4,817,315	0	0
Agency Total	170,507,385	186,059,230	185,206,997	852,233	0
University of Connecticut Health Center					
Operating Expenses	70,341,582	72,974,695	72,449,808	352,464	172,423
AHEC for Bridgeport	150,000	150,000	85,521	64,479	0
Deficit Reduction	0	20,000,000	0	0	20,000,000
Year 2000 Conversion	0	3,253,121	3,229,271	23,850	0
Agency Total	70,491,582	96,377,816	75,764,600	440,793	20,172,423
Charter Oak College					
Operating Expenses	1,173,059	1,215,629	1,215,629	0	0
Distance Learning Consortium	521,883	527,614	527,614	0	0
Year 2000 Conversion	0	83,639	83,639	0	0
Agency Total	1,694,942	1,826,882	1,826,882	0	0
Teachers' Retirement Board					
Personal Services	1,430,513	1,404,519	1,318,602	79,136	6,781
Other Expenses	553,064	638,064	623,317	14,747	0
Equipment	1,000	1,000	951	49	0
Computer Software	0	1,500,000	3,440	0	1,496,560
Year 2000 Conversion	0	1,007,054	997,335	0	9,719
Retirement Contributions	204,445,443	204,445,443	204,445,443	0	0
Retirees Health Service Cost	3,773,550	3,773,550	3,683,707	89,843	0
Municipal Retiree Health Insurance Costs	4,554,000	4,554,000	4,323,636	230,364	0
Agency Total	214,757,570	217,323,630	215,396,431	414,139	1,513,060

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Regional Community - Technical Colleges					
Personal Services	0	116,571,433	112,996,194	2,277	3,572,962
Operating Expenses	108,417,068	691,983	0	571,883	120,100
Tuition Freeze	2,199,964	2,199,964	2,199,964	0	0
Year 2000 Conversion	0	237,610	235,929	1,681	0
Agency Total	110,617,032	119,700,990	115,432,087	575,841	3,693,062
Connecticut State University					
Operating Expenses	120,856,773	125,347,134	124,574,530	636,494	136,110
Tuition Freeze	6,491,229	6,491,229	6,491,229	0	0
Year 2000 Conversion	0	4,484,922	3,643,687	0	841,235
Agency Total	127,348,002	136,323,285	134,709,446	636,494	977,345
Total Education, Museums, Libraries	2,535,403,810	2,750,332,094	2,637,517,594	12,411,256	100,403,244
Corrections					
Department of Correction					
Personal Services	308,231,142	307,367,733	306,817,142	475,681	74,910
Other Expenses	66,945,709	75,645,709	71,342,627	2,578,082	1,725,000
Equipment	1,919,717	1,919,717	1,919,567	150	0
Stress Management	100,000	101,208	49,362	0	51,846
Workers' Compensation Claims	13,754,565	15,754,565	15,333,413	0	421,152
Inmate Medical Services	55,226,498	66,286,498	57,786,442	0	8,500,056
Overcrowding Contingency Account	0	461	0	461	0
Year 2000 Conversion	0	435,702	427,370	8,332	0
Aid to Paroled and Discharged Inmates	76,664	76,664	9,800	66,864	0
Legal Services to Prisoners	765,000	765,000	697,392	67,608	0
Volunteer Services	198,783	198,783	185,885	12,898	0
Community Residential Services	14,657,593	15,176,295	14,923,703	252,592	0
Community Non-Residential Services	1,047,296	1,307,564	1,252,284	55,280	0
Agency Total	462,922,967	485,035,899	470,744,987	3,517,948	10,772,964
Board of Pardons					
Other Expenses	34,141	34,141	28,629	5,512	0
Equipment	100	100	0	100	0
Agency Total	34,241	34,241	28,629	5,612	0
Board of Parole					
Personal Services	4,067,908	4,211,617	4,035,275	174,351	1,991
Other Expenses	894,814	894,814	861,685	33,129	0
Equipment	35,470	35,470	35,470	0	0
Community Residential Services	1,243,269	1,469,175	1,224,251	244,924	0
Community Non-Residential Services	1,986,340	1,786,889	1,754,742	32,147	0
Agency Total	8,227,801	8,397,965	7,911,423	484,551	1,991
Department of Children and Families					
Personal Services	170,424,766	177,769,775	174,662,422	2,922,549	184,804
Other Expenses	25,452,745	29,545,745	25,230,939	2,167,006	2,147,800
Equipment	1,000	658,000	648,953	9,047	0
Long Lane School Transition	0	2,700,000	0	0	2,700,000
Short Term Residential Treatment	609,917	615,897	615,897	0	0
Wilderness School Program	96,250	96,250	89,873	6,377	0
Children's Trust Fund	0	32,071	1,121	30,950	0
Substance Abuse Screening	1,651,690	1,672,785	1,617,785	55,000	0
Workers' Compensation Claims	1,988,611	3,488,611	3,341,591	0	147,020
Local Systems of Care	137,000	139,686	135,913	3,773	0
YEAR 2000 CONVERSION	0	4,588,549	4,587,389	1,160	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Grants for Psychiatric Clinics for Children	11,232,971	11,343,098	11,306,397	36,701	0
Day Treatment Centers for Children	5,148,343	5,409,151	5,078,746	330,405	0
Treatment and Prevention of Child Abuse	5,037,877	5,193,120	5,191,069	2,051	0
Community Emergency Services	606,652	619,658	619,658	0	0
Community Preventive Services	2,653,580	2,657,701	2,579,706	77,995	0
Aftercare for Children	86,196	87,041	73,710	13,331	0
Family Violence Services	445,959	452,331	452,240	91	0
Health and Community Services	1,246,566	1,261,042	1,179,414	81,628	0
No Nexus Special Education	3,039,543	4,502,903	4,502,216	687	0
Family Preservation Services	5,454,178	6,058,273	5,999,275	58,998	0
Substance Abuse Treatment	2,658,746	2,684,812	2,646,595	38,217	0
Child Welfare Support Services	1,957,196	1,978,206	1,890,307	87,899	0
Juvenile Case Management Collaborative	572,129	597,738	568,447	29,291	0
Board and Care for Children - Adoption	23,679,268	25,385,550	24,751,173	634,377	0
Board and Care for Children - Foster	72,264,554	73,505,350	72,521,252	984,098	0
Board and Care for Children - Residential	103,288,167	97,623,088	97,170,508	452,580	0
Agency Total	439,733,904	460,666,431	447,462,596	8,024,211	5,179,624
Council to Administer the Children's Trust Fund					
Children's Trust Fund	4,056,900	4,056,900	3,410,041	271,859	375,000
County Sheriffs					
Personal Services	1,639,034	1,393,467	1,313,384	78,145	1,938
Other Expenses	1,128,092	1,128,092	1,092,135	35,957	0
Equipment	2,100	2,100	0	2,100	0
Sheriffs Training	689,069	464,069	447,012	17,057	0
Special Deputy Sheriffs	23,538,301	25,696,301	25,106,137	590,164	0
Vaccination and Testing	81,500	81,500	39,056	42,444	0
Agency Total	27,078,096	28,765,529	27,997,724	765,867	1,938
Total Corrections	942,053,909	986,956,965	957,555,400	13,070,048	16,331,517
Judicial					
Judicial Department					
Personal Services	169,168,375	176,293,140	172,277,081	3,916,059	100,000
Other Expenses	48,874,745	50,383,744	50,179,843	0	203,901
Equipment	1,882,494	3,879,405	3,879,405	0	0
Alternative Incarceration Program	27,980,832	28,294,743	28,294,716	27	0
Justice Education Center, Inc.	229,500	229,500	218,025	11,475	0
Juvenile Alternative Incarceration	17,944,427	18,940,973	18,940,973	0	0
Juvenile Justice Centers	2,674,938	2,738,385	2,738,385	0	0
Probate Court	500,000	500,000	500,000	0	0
Truancy Services	357,000	357,000	357,000	0	0
Sheriffs' Transition Account	0	400,000	0	0	400,000
Year 2000 Conversion	0	2,605,988	2,603,860	2,128	0
Agency Total	269,612,311	284,622,878	279,989,288	3,929,689	703,901
Public Defender Services Commission					
Personal Services	21,817,229	22,251,759	22,246,091	5,668	0
Other Expenses	1,231,330	1,231,330	1,223,297	8,033	0
Equipment	436,282	436,282	436,034	248	0
Special Public Defenders - Contractual	1,994,141	1,881,191	1,881,191	0	0
Special Public Defenders - Non-Contractual	1,859,012	2,156,962	2,156,962	0	0
Expert Witnesses	683,400	908,350	908,317	33	0
Training and Education	58,454	58,454	58,454	0	0
Legal Fees	0	400,000	400,000	0	0
Year 2000 Conversion	0	20,000	19,793	207	0
Agency Total	28,079,848	29,344,328	29,330,139	14,189	0
Total Judicial	297,692,159	313,967,206	309,319,427	3,943,878	703,901

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Non-Functional					
Miscellaneous Appropriations to the Governor					
Governor's Contingency Account	18,000	18,000	0	18,000	0
Debt Service - State Treasurer					
Debt Service	903,162,720	903,162,720	888,996,392	14,166,328	0
UConn 2000 - Debt Service	36,881,418	36,881,418	35,973,394	908,024	0
CHEFA Day Care Security	2,500,000	2,500,000	1,395,676	1,104,324	0
Agency Total	942,544,138	942,544,138	926,365,462	16,178,676	0
Reserve for Salary Adjustments					
Reserve for Salary Adjustments	8,400,000	29,472,855	0	0	29,472,855
Workers' Compensation Claims - Department of Administrative Services					
Workers' Compensation Claims	11,705,563	13,705,563	11,941,017	0	1,764,546
Judicial Review Council					
Personal Services	106,993	118,203	114,491	3,712	0
Other Expenses	92,200	82,980	19,654	63,326	0
Equipment	1,000	1,000	0	1,000	0
Agency Total	200,193	202,183	134,145	68,038	0
Refunds of Payments					
Other Expenses	450,000	450,000	292,536	157,464	0
Refunds of Escheated Property					
Other Expenses	0	9,475,207	9,475,207	0	0
Adjudicated Claims					
Other Expenses	0	6,036,504	6,036,504	0	0
Fire Training Schools					
Willimantic	80,050	80,050	80,050	0	0
Torrington	53,970	53,970	53,970	0	0
New Haven	36,130	36,130	36,130	0	0
Derby	36,130	36,130	36,130	0	0
Wolcott	47,350	47,350	47,350	0	0
Fairfield	36,130	36,130	36,130	0	0
Hartford	63,950	63,950	63,950	0	0
Middletown	28,050	28,050	28,050	0	0
Agency Total	381,760	381,760	381,760	0	0
Maintenance of County Base Fire Radio Network					
Maintenance of County Base Fire Radio Network	21,420	21,420	21,420	0	0
Maintenance of Statewide Fire Radio Network					
Maintenance of Statewide Fire Radio Network	14,280	14,280	14,280	0	0
Equal Grants to Thirty-Four Non Profit General Hospitals					
Equal Grants to Thirty-Four Non Profit General Hospitals	34	34	31	3	0
Connecticut State Police Association					
Connecticut State Police Association	178,000	178,000	103,748	74,252	0
Connecticut State Firemen's Association					
Connecticut State Firemen's Association	204,000	204,000	64,156	139,844	0
Interstate Sanitation Commission					
Interstate Sanitation Commission	3,400	3,400	3,400	0	0

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Reimbursements to Towns for Loss of Taxes on State Property					
Reimbursements to Towns for Loss of Taxes on State Property	63,232,979	64,413,949	62,482,280	950,699	980,970
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property					
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	97,163,154	97,163,154	97,163,154	0	0
Unemployment Compensation					
Other Expenses	4,335,000	2,835,000	2,692,349	142,651	0
State Employees Retirement Contributions					
Other Expenses	212,947,331	212,947,331	212,947,331	0	0
Higher Education Alternative Retirement System					
Other Expenses	15,220,000	14,720,000	13,342,661	1,377,339	0
Pensions and Retirements-Other Statutory					
Other Expenses	1,580,000	1,580,000	1,411,455	168,545	0
Judges and Compensation Commissioners Retirement					
Other Expenses	9,324,239	9,324,239	9,324,239	0	0
Insurance - Group Life					
Other Expenses	2,758,000	2,758,000	2,597,626	160,374	0
Tuition Reimbursement - Training and Travel					
Other Current Expenses	1,712,000	8,981,905	2,387,647	0	6,594,258
Employers Social Security Tax					
Other Expenses	148,231,362	154,731,362	152,799,021	1,507,341	425,000
State Employees Health Service Cost					
Other Expenses	221,813,694	274,013,694	270,857,328	3,156,366	0
Retired State Employees Health Service Cost					
Other Expenses	164,030,000	172,230,000	171,851,285	378,715	0
Insurance Recoveries					
Other Expenses		479,902	21,267	0	458,635
Total Non-Functional	1,906,468,547	2,018,885,880	1,954,711,309	24,478,307	39,696,264
Grand Total	10,742,150,694	11,688,303,415	11,200,903,847	108,968,907	378,430,661

II. Transportation Fund

The Transportation Fund ended FY 00 with an operating surplus of \$107 million or 13% of the budget. This was due to: 1) an originally budgeted surplus of \$52.9 million; 2) an increase of \$31.6 million above originally anticipated revenues of \$873.8 million; and 3) lower net spending requirements totaling \$22.5 million including allotment recisions. Lower expenditures resulted in significant lapses in the Department of Transportation's (DOT) budget, Debt Service and the Reserve for Salary Adjustments account. Please refer to the [section on Lapsing Appropriations](#) that provides detailed explanations on these lapses. It should be noted that after deducting appropriations from the FY 00 surplus, which included \$1.8 million to complete the state's commitment to Tweed-New Haven Airport and \$35 million to be used for Rail Transit equipment, the remaining surplus was \$70.2 million. However, since Section 13b-68(b) of the general statutes required a fund balance of \$20 million at the end of the previous fiscal year, the cumulative surplus as of June 30, 2000 was \$90.2 million. [1]

Revenues

Actual FY 00 Transportation Fund revenue totaled \$905.4 million, which is \$31.6 million over budget act estimates. The most significant item affecting the revenue increase was \$16.8 million resulting from a cash defeasance of \$81.8 million of Special Tax Obligation bonds executed in December 1999. The cash defeasance was structured to reduce expenditure requirements in years of peak debt service. A direct effect of such a debt service reduction is a reduction in the amount that the Transportation Fund is required, by bond covenant, to maintain in the debt service reserve fund. This amount is equal to the maximum projected debt service requirements needed in any future fiscal year. Thus, the reduction in projected debt service requirements resulted in the release of \$16.8 million from the debt service reserve fund, and the transfer of this amount to the Transportation Fund as revenue. (Please refer to the revenue schedule that appears as [Exhibit F](#)).

Expenditures

Expenditures under the Transportation Fund include the operations of the Department of Transportation (DOT), the Department of Motor Vehicles (DMV), debt service, fringe benefits for both agencies, and other miscellaneous accounts.

Expenditure requirements had been budgeted at \$820.9 million. However, actual FY 00 expenditures were \$22.5 million or 2.7% lower than originally anticipated. This figure includes allotment recisions totaling \$422,815 for the Department of Motor Vehicles and \$1,339,760 for the Department of Transportation.

The total lapse figure of \$43.9 million, based on expenditures that included FY 99 carry forwards, was \$23.9 million higher than the originally budgeted lapse of \$20 million. Major components of the lapse include: \$19.9 million from the Reserve for Salary Adjustments account; \$12.2 million from the Department of Transportation; and \$10.2 million from Debt Service.

The total lapse would have been higher except for the following items. First, \$2.1 million will be transferred from the Reserve for Salary Adjustments account to be carried forward into FY 01 for the CVISN (Commercial Vehicle Information Systems and Networks) project. This action is to be implemented upon approval of a federal appropriation by October 1, 2000 under the Transportation Equity Act for the 21st Century (TEA-21). In addition, on June 12, 2000, the Finance Advisory Committee (FAC) approved the transfer of \$9.7 million to the Highway and Bridge Renewal account from Personal Services (\$7.6 million) and Other Expenses (\$2.1 million) to cover replacement costs of heavy equipment such as dump trucks which are more than 12 years old.

Also, SA 00-13 (the Appropriations/Deficiency Act) made additional FY 00 appropriations as follows:

- \$1.5 million for a FY 00 deficiency in workers' compensation claims due to rising costs generally and the elimination of the inflationary increase for FY 00 in the original budget;
- \$1.8 million to complete the state's commitment to Tweed-New Haven Airport; and
- \$35 million to be used for rail transit equipment.

The latter carry forward is necessary to maintain existing rail service levels, and will be used to offset the temporary loss of equipment taken out of service for the overhaul program. In addition, the new transit equipment will enhance service and reliability on the Connecticut branch lines of the Metro North Commuter Rail Line and provide the capacity to increase service within Connecticut. The \$35 million will facilitate the immediate purchase of four locomotives and ten passenger rail cars.

[Click here for explanations](#) concerning lapses in excess of \$1 million. Please refer to Exhibit H (which is being prepared and will be available soon both in hardcopy and posted on the Office of Fiscal Analysis' website) for a complete listing of budgeted and adjusted appropriations, actual expenditures, lapses and carry forwards into FY 01.

[1] Section 13b-68(b) required amounts in excess of \$20 million be used by the State Treasurer to reduce the future cost of Special Tax Obligation (STO) debt service. However, Section 13 of PA 00-170 repeals the aforementioned provisions effective 7/1/00. Thus, no surplus funds will be designated for debt retirement unless specifically mandated in subsequent legislation.

Exhibit E

FY 00 Transportation Fund Summary for the Fiscal Year Ending June 30, 2000 (in millions)

	<u>Budget Plan</u>	<u>Increases (Decreases)</u>	<u>Actual</u>
Revenues			
Taxes	\$ 540.5	\$ 6.5	\$ 547.0
Other Revenue	333.3	8.3	341.6
Release from Debt Service Reserve Fund [1]	-	16.8	16.8
Total Revenues	\$ 873.8	\$ 31.6	\$ 905.4
Appropriations			
Original Appropriations – Gross	\$ 840.9	\$ -	\$ 840.9
Less: Lapses [2]	(20.0)	(23.9)	(43.9)
Miscellaneous Adjustment		(0.1)	(0.1)
Subtotal Lapses/Reductions	(20.0)	(24.0)	(44.0)
Plus: Deficiency Appropriation - Workers' Compensation Claims	-	1.5	1.5
Total Expenditures [3]	\$ 820.9	\$ (22.5)	\$ 798.4
Surplus from Operations for FY 00	\$ 52.9	\$ 54.1	\$ 107.0
FY 00 Surplus Appropriations (SA 00-13, Secs. 37 and 38)			
Tweed New Haven Airport Authority			\$ (1.8)
Rail Transit Equipment			(35.0)
Remaining FY 00 TF Surplus			\$ 70.2
Plus: Available Fund Balance as of June 30, 1999			
(Required per Section 13b-68) [4]			20.0
Cumulative Surplus as of June 30, 2000			\$ 90.2

[1] As the result of the \$81.8 million cash defeasance on 12/22/99, \$16.8 million was released from the Debt Service Reserve Fund.

[2] Includes budgeted lapses and allotment rescissions.

[3] Generally, excludes expenditures from prior year carry forwards and appropriations from projected surplus. Includes \$19.9 million lapsed from the FY 99 carry forward in the Reserve for Salary Adjustments account.

[4] Sec. 13b-68(b), CGS previously required that amounts in excess of \$20 million be used by the State Treasurer to reduce the future cost of Special Tax Obligation (STO) debt service. On 12/22/99, the Office of the State Treasurer completed a cash defeasance of \$81.8 million which used the combined surplus from FY 98 and FY 99 and left a balance of \$1.5 million. Section 13 of PA-170 repeals the aforementioned provisions effective 7/1/00. Thus, no surplus funds will be designated for debt retirement unless specifically mandated in subsequent legislation.

Exhibit F

FY 00 Transportation Fund Revenue (in thousands)

	FY 00 Budget Plan	FY 00 Actual	Over/(Under) Budget Plan
TAXES			
Motor Fuels Tax	\$500,000	\$506,400	\$6,400
Petroleum Products Tax	36,000	36,000	0
Sales Tax - DMV	10,000	10,000	0
Refunds of Taxes	(5,500)	(5,400)	100
Total - Taxes Less Refunds	\$540,500	\$547,000	\$6,500
OTHER SOURCES			
Motor Vehicle Receipts	\$185,800	\$190,300	\$4,500
Licenses, Permits, and Fees	111,000	112,600	1,600
Interest Income	36,000	37,700	1,700
Federal Grants (FTA)	2,500	3,000	500
Release from Debt Service Reserve Fund	0	16,800	16,800
Transfer to Conservation Fund	(2,000)	(2,000)	0
Total -Other Sources	\$333,300	\$358,400	\$25,100
Total Revenue	\$873,800	\$905,400	\$31,600

FY 00 Transportation Fund Lapsing Appropriations

The agencies and accounts financed by the Transportation Fund lapsed a total of \$43.9 million. This is \$23.9 million higher than the originally anticipated lapse of \$20 million. [Please refer to Exhibit G](#) for a listing of agency lapses and the percentages relative to the adjusted appropriations for the various components of the Transportation Fund.

Below are brief descriptions, by account, of significant lapses.

Agency/Description

Lapsing Amount

Department of Transportation (DOT)

\$12,194,155

The Department of Transportation (DOT) lapsed a total of \$12.2 million. The largest lapses were in Personal Services (\$8,601,715), and in Other Expenses, (\$3,261,495).

The lapse of \$8.6 million in Personal Services is attributable to two main factors: (1) the mild winter season kept overtime below the budgeted level and (2) the Department was able to secure more federal participation in Personal Services than was originally anticipated when the budget was developed.

The second largest lapse in the agency's budget was \$3.3 million in Other Expenses. This lapse was largely attributable to a mild winter season and to the commitment of funds for goods and services required in FY 00, but which were not received and/or billed in time to be processed through the Comptroller's system prior to the deadline for closing the accounts for FY 00 expenditures. The costs associated with these goods and services are charged to FY 01 when the billings are processed.

Non-Functional Accounts

Debt Service

\$10,224,403

The debt service lapse of \$10.2 million is attributable to five factors. First, Section 13b-68(b), CGS, required the State Treasurer to use any year-end balance in the Transportation Fund that exceeds \$20 million dollars to reduce the future cost of Special Tax Obligation (STO) debt service. On December 22, 1999 the Office of the State Treasurer completed a cash defeasance of \$81.8 million using most of the combined surplus from FY 98 and FY 99. The estimated reduction in FY 00 debt service due to the cash defeasance is \$2.7 million. Second, \$3.7 million in principal and interest payments were saved because two scheduled bond issues were delayed (a \$150 million issue scheduled for September was delayed until November and a May 2000 was postponed until FY 01.) Third, \$2.7 million is due to a reduction in arbitrage rebate payments. Arbitrage rebate is the penalty paid by the state to the federal government for borrowing bond funds at a lower rate and investing them at a higher rate. The reduction reflects smaller differences between the interest rate at which bonds were issued and the interest rate at which they are invested. And finally, \$1.1 million is due to interest rates on variable rate bonds that were lower than budgeted levels.

Reserve for Salary Adjustments

\$19,912,216

Under current law, the secretary of the Office of Policy and Management (OPM) has determined the amount to be carried forward, which usually has been the unexpended balance. However, Section 20(c) of SA 00-13, (the Appropriations Act) limits the amount to be carried forward from FY 00 to FY 01 to \$3 million. Therefore, the remaining amount shown above lapsed. Excess funds had built up in this account over a period of several years, as some costs, which could have been covered by transfers from this account to Personal Services accounts in DMV and DOT, were able to be paid from the agencies' original appropriations.

Exhibit G

FY 00 Transportation Fund Lapsing Appropriations of \$1 Million or More

	Adjusted Appropriation (in millions)	Lapse Amount (in millions)	% of Adjusted Appropriation
Agency			
Department of Transportation	\$396.0	\$ 12.2	3.1%
Non Functional Accounts			
Debt Service	386.0	10.2	2.6%
Reserve for Salary Adjustments	22.9	19.9	86.9%
All Others	129.4	1.6	1.2%
Total Transportation Fund	\$934.3	\$43.9	4.7%

Exhibit H

Transportation Fund Account Detail

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
General Government					
State Insurance and Risk Management Board					
Other Expenses	2,081,000	2,081,000	2,080,999	1	0
Total General Government	2,081,000	2,081,000	2,080,999	1	0
Regulation and Protection					
Motor Vehicle Department					
Personal Services	35,996,744	36,037,997	34,776,455	788,760	472,782
Other Expenses	13,015,971	13,015,971	12,627,810	376,261	11,900
Equipment	702,000	702,000	676,312	25,688	0
Graduated Licenses	200,000	200,000	197,953	2,047	0
Reflective License Plates	0	12,920,000	244,540	0	12,675,460
Insurance Enforcement	360,000	360,000	360,000	0	0
CVISN Project	0	2,100,000	0	0	2,100,000
Agency Total	50,274,715	65,335,968	48,883,070	1,192,756	15,260,142
Total Regulation and Protection	50,274,715	65,335,968	48,883,070	1,192,756	15,260,142
Transportation					
Department of Transportation					
Personal Services	117,534,240	110,013,929	99,884,295	8,601,715	1,527,919
Other Expenses	35,709,729	33,609,729	30,311,234	3,261,495	37,000
Equipment	1,500,000	1,878,183	1,176,347	142	701,694
Highway and Planning Research	2,577,245	2,862,450	2,473,636	0	388,814
Minor Capital Projects	350,000	385,495	373,044	0	12,451
Highway & Bridge Renewal-Equipment	4,000,000	21,955,491	12,076,412	7	9,879,072
Transit Equipment	0	35,000,000	0	0	35,000,000
Handicapped Access Program	7,420,669	7,420,669	7,347,798	72,871	0
Hospital Transit for Dialysis	113,000	113,000	113,000	0	0
Litigation Settlement Costs	0	3,900,000	3,900,000	0	0
Rail Operations	60,937,821	62,937,821	62,811,983	125,838	0
Bus Operations	61,842,796	61,842,796	61,710,890	131,906	0
Tweed-New Haven Airport	600,000	2,400,000	600,000	0	1,800,000
Dial-A-Ride	2,500,000	2,500,000	2,500,000	0	0
Highway and Bridge Renewal	11,600,000	13,372,079	10,030,719	0	3,341,360
Aircraft Registration	291,000	291,000	290,819	181	0
Town Aid Road Grants	35,000,000	34,857,231	34,857,231	0	0
Emergency Relief – Town Repairs	0	616,614	43,110	0	573,504
Agency Total	341,976,500	395,956,487	330,500,518	12,194,155	53,261,814
Total Transportation	341,976,500	395,956,487	330,500,518	12,194,155	53,261,814

	Budgeted Appropriations (Excluding Carry-Forwards) FY 00	Adjusted Appropriations (Including Carry-Forwards) FY 00	Actual Expenditures (Including Carry-Forwards) FY 00	Appropriations Lapsed FY 00	Appropriations Continued FY 00
Non-Functional					
Debt Service - State Treasurer					
Debt Service	385,955,080	385,955,080	375,730,677	10,224,403	0
Reserve for Salary Adjustments					
Reserve for Salary Adjustments	369,200	22,912,216	0	19,912,216	3,000,000
Workers' Compensation Claims - Department Of Administrative Services					
Workers' Compensation Claims	1,924,548	3,424,548	3,084,252	0	340,296
Refunds Of Payments					
Other Expenses	1,858,870	1,858,870	1,857,015	1,855	0
Unemployment Compensation					
Other Expenses	254,000	194,000	171,346	22,654	0
State Employees Retirement Contributions					
Other Expenses	27,636,000	27,636,000	27,636,000	0	0
Insurance - Group Life					
Other Expenses	120,000	120,000	96,206	23,794	0
Employers Social Security Tax					
Other Expenses	11,657,000	11,442,000	11,199,571	92,429	150,000
State Employees Health Service Cost					
Other Expenses	16,834,000	17,109,000	16,863,765	245,235	0
Insurance Recoveries					
Other Expenses	0	287,716	0	0	287,716
Total Non-Functional	446,608,698	470,939,430	436,638,832	30,522,586	3,778,012
Grand Total	840,940,913	934,312,885	818,103,419	43,909,498	72,299,968