May 1, 2011

Senator Toni Harp, Co-Chairperson
Representative Toni Walker, Co-Chairperson
Senator Robert Kane, Ranking Member
Representative Craig Miner, Ranking Member
Appropriations Committee
Room 2600
Legislative Office Building
Hartford, CT 06106


This is the final quarterly status report for the on-line searchable database required by PA 10-155, prior to its going live on 7/1/11. PA 10-155 requires quarterly reports to the Appropriations Committee on its progress beginning 11/1/10 and thereafter. This communication is intended to satisfy the 5/1/11 requirement.

In summary, we continue to be on schedule to achieve the July 1, 2011 implementation date. It should be noted that the development of this project has been made possible by the assistance of other agencies including the Department of Administrative Services, the Office of the State Comptroller (OSC), and staff from our own Information Technology Services (ITS). I am grateful for their help.

The following is a description of the progress made since the 2/1/11 report. I have attached a copy of PA 10-155 as Attachment A for your reference. In addition, Attachment B contains screenshot illustrations of the system as it currently exists in development. Please note that all screens are subject to change as this site is still under development.
Status

Development of a system with the following features is ongoing: 1) basic and advanced search capabilities; 2) data downloads available for analysis; and 3) extensive contextual information. During the period covered by this report, content was developed for state payroll, contracts and general ledger expenditures. Basic and Advanced Search options were developed for Payroll and Expenditure screens. Pension data were also acquired from the Office of the State Comptroller and the search page developed. Please see Attachment B for an illustration of the prototype system’s functionality.

Current Challenges

There are various issues that will prevent the full disclosure of certain information in this database. In some case, we have had to eliminate information and in other cases we are still determining whether additional information will be able to be posted. The categories and description of concerns follow.

HIPAA\(^1\)

The statewide accounting system, CORE-CT, which is the primary source of data for the database, contains health information about individuals that may not be published under federal HIPAA rules. We are working with representatives of the Office of the State Comptroller to automate a means by which this information could be excluded from the searchable database. In order to preclude a potential violation of HIPAA, any such exclusion is likely to be widespread and therefore may result in the elimination of otherwise valid records. These exclusions limit the comprehensiveness of the “Payments” section of the website.

FOI, Other Privacy Concerns

The statewide accounting system also contains information that cannot be disclosed under the Freedom of Information Act. Generally this information involves clients of agencies or adjudicatory proceedings. Information which could constitute a violation of FOI, attorney-client privilege, or other statutory prohibitions against the release of information is being redacted from the searchable database. In particular, payments to clients (including BESB clients) and payments related to certain criminal justice proceedings (e.g., jury duty payments, witness protection) are excluded.

Data Integrity

There are certain limitations or inconsistencies with CORE-CT data. These issues will diminish the completeness and usefulness of the searchable database. We are continuing to evaluate the balance between completeness and reliability (more detail below).

\(^1\) Health Insurance Portability and Accountability Act
• **Limited Scope Agencies** - The following agencies do not participate fully in CORE-CT - Legislative Management, the Judicial branch, UConn, UConn Health Center, Connecticut State University System, and Connecticut Community Colleges.² As a consequence of this limited participation, the CORE-CT data warehouse does not contain complete information on vouchers, use of state contracts, and job or position titles.

• **Inconsistent Agency Usage of Data fields** – Many payment transactions for goods or services purchased off of state contracts do not reference the relevant state contract. Therefore, there is not a way to detail all spending associated with contracts. Also, in the past some vendor descriptions have contained sensitive information such as client names or social security numbers. CORE-CT is working with agencies to change this practice in the future.

**Next Steps**

ITS and OFA are scheduled to complete development of the site and page content in the next few weeks. The site will be tested by OFA to validate the data and functionality. The site will then be validated by key personnel at CORE-CT and other key agencies, including the CGA. Problems will be addressed prior to release of the site in June. In addition, OFA will work to develop a process to respond to questions from the public.

If you have any questions, please feel free to contact me.

Sincerely,

Alan Calandro

cc: Appropriations Committee Members
    Thomas P. Sheridan, Senate Clerk
    Garey E. Coleman, House Clerk
    Kendall F. Wiggin, State Librarian
    Ken Greene, ITS
    Martha Carlson, Deputy Comptroller
    John Clark, Office of the State Comptroller
    Mary Yabrosky, Department of Administrative Services
    Michael Murphy, OFA
    Don Chaffee, OFA
    Bill Lederman, OFA
    Evelyn Arnold, OFA

Attachment A

Public Act No. 10-155

AN ACT REQUIRING THE ESTABLISHMENT OF A SEARCHABLE DATABASE FOR STATE EXPENDITURES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. (NEW) (Effective from passage) (a) On or before July 1, 2011, the legislative Office of Fiscal Analysis shall establish and maintain searchable electronic databases on the Internet and located on said office’s Internet web site for purposes of posting state expenditures, including state contracts and grants.

(b) Each budgeted agency, as defined in section 4-60 of the general statutes, shall submit, in a timely manner, any information requested by the legislative Office of Fiscal Analysis for the purpose of establishing and maintaining the electronic databases.

(c) On or before November 1, 2010, and quarterly thereafter, the legislative Office of Fiscal Analysis shall report, in accordance with section 11-4a of the general statutes, to the joint standing committee of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies on the establishment and maintenance of the electronic databases, with any recommendations for improving or expanding the operation or capacity of such databases.

(d) Following the establishment of the electronic databases, the Auditors of Public Accounts shall review the procedures and security used to develop the electronic databases and report, in accordance with section 11-4a of the general statutes, any findings or recommendations based on such review to the joint standing committee of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies.

(e) Nothing in this section shall be construed to require a state agency to: (1) Create unavailable financial or management data or an information technology system that does not exist, or (2) disclose consumer, client, patient or student information otherwise protected by law from disclosure.

Approved June 7, 2010
Attachment B

Home page:

Welcome

This is the content section of the page.


Transparency in Connecticut Government


Get Started

Level: State
Entry: State of Connecticut
Type: Expenditures
Year: 2010 (7/1/09-6/30/10)

START

How do I...FAQs

1. Find the salary for a specific state employee?
2. See how much money my city or town has received in state grants?
3. See how much money a state agency or department has spent in the current fiscal year?
4. Find payments made to a specific vendor?
5. View the amount money spent on a given state contract?
Search state expenditures:

State Expenditures

Search Expenditure Records

State of Connecticut 2010

<table>
<thead>
<tr>
<th>Agency Description</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>African-American Affairs Commission</td>
<td>230,612.55</td>
</tr>
<tr>
<td>Agricultural Experiment Station</td>
<td>10,402,685.25</td>
</tr>
<tr>
<td>Asian Pacific American Commission</td>
<td>1,412.06</td>
</tr>
<tr>
<td>Attorney General</td>
<td>28,662,199.11</td>
</tr>
<tr>
<td>Auditors of Public Accounts</td>
<td>10,428,743.62</td>
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<tr>
<td>Board of Agricultural &amp; Forestry College</td>
<td>18,008,643.17</td>
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</table>
### Advanced search of state expenditures:

Enter search criteria and check the columns you wish to include in the results.

<table>
<thead>
<tr>
<th>Include</th>
<th>Column</th>
<th>Criteria</th>
<th>Include</th>
<th>Column</th>
<th>Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Fiscal Year</td>
<td>2010 (7/1/09-6/30/10)</td>
<td></td>
<td>Fund</td>
<td>All Funds</td>
</tr>
<tr>
<td></td>
<td>Agency</td>
<td>All Agencies</td>
<td></td>
<td>SID</td>
<td>All Values</td>
</tr>
<tr>
<td>✓</td>
<td>Department</td>
<td>All Departments</td>
<td></td>
<td>Account</td>
<td>All Accounts</td>
</tr>
</tbody>
</table>

Search Tips  Reset Fields  UPDATE

Enter search criteria and check the columns you wish to include in the results.

<table>
<thead>
<tr>
<th>Include</th>
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<th>Criteria</th>
<th>Include</th>
<th>Column</th>
<th>Criteria</th>
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</thead>
<tbody>
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<td>Fiscal Year</td>
<td>2010 (7/1/09-6/30/10)</td>
<td></td>
<td>Fund</td>
<td>Reg Community College Op Fund</td>
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<tr>
<td></td>
<td>Agency</td>
<td>Connecticut Community Colleges</td>
<td></td>
<td>SID</td>
<td>All Values</td>
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<tr>
<td></td>
<td>Department</td>
<td>Manchester CC</td>
<td></td>
<td>Account</td>
<td>All Accounts</td>
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</tbody>
</table>

Search Tips  Reset Fields  UPDATE

#### Search Results

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<th>SID</th>
<th>Account</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
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<td>Manchester CC</td>
<td>Reg Community College Non-Budgeted Operating Aprop</td>
<td>Accumulated Leave</td>
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<tr>
<td>Connecticut Community Colleges</td>
<td>Manchester CC</td>
<td>Reg Community College Non-Budgeted Operating Aprop</td>
<td>Arp</td>
<td>485,590.14</td>
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<tr>
<td>Connecticut Community Colleges</td>
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<td>Reg Community College Non-Budgeted Operating Aprop</td>
<td>Cellular Communication Services</td>
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</tr>
<tr>
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<td>Manchester CC</td>
<td>Reg Community College Non-Budgeted Operating Aprop</td>
<td>Differential Payments</td>
<td>857.04</td>
<td></td>
</tr>
</tbody>
</table>
Search employee compensation:

transparent.CT.gov
An official website of the State of Connecticut

Employee Compensation
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Search Payroll Records
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Advanced search of employee compensation:

State of Connecticut 2010

<table>
<thead>
<tr>
<th>Summary by Agency</th>
<th>Agency Description</th>
<th>Salary and Wages</th>
<th>Fringe Benefits</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>African-American Affairs Commission</td>
<td>200,113.11</td>
<td>123,083.48</td>
<td>323,196.59</td>
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<tr>
<td>Agricultural Experiment Station</td>
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<td>Attorney General</td>
<td>31,188,265.21</td>
<td>18,327,667.62</td>
<td>49,516,932.83</td>
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<td>Auditors of Public Accounts</td>
<td>10,146,466.95</td>
<td>5,808,360.57</td>
<td>15,954,827.52</td>
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<tr>
<td>Board for Academic Awards (Charter Oak State College)</td>
<td>5,644,094.96</td>
<td>1,912,555.37</td>
<td>7,556,650.33</td>
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Fiscal Year: 2010 (July 1, 2009 - June 30, 2010)

Search Results

<table>
<thead>
<tr>
<th>Agency Description/Title</th>
<th>Employee Name</th>
<th>Salary and Wages</th>
<th>Fringe Benefits</th>
<th>Total</th>
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</thead>
<tbody>
<tr>
<td>Legislative Management</td>
<td>Abercrombie,Catherine F.</td>
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<td>33,070.77</td>
<td>71,791.77</td>
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<td>Legislative Management</td>
<td>Abrams,Nicholas D.</td>
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<td>2,660.22</td>
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<td>Legislative Management</td>
<td>Acoc,H Anthony W.</td>
<td>39,116.41</td>
<td>30,647.90</td>
<td>69,764.31</td>
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</tbody>
</table>

Record 1 to 25 of 827
Downloads

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Download Data

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<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Format</th>
<th>Size</th>
<th>Date Added</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expenditures</td>
<td>This file contains detailed expenditure data for the fiscal year 2010.</td>
<td>csv</td>
<td>14.4 MB</td>
<td>04/19/2011</td>
</tr>
<tr>
<td>Compensation</td>
<td>The file contains compensation data for the fiscal year 2010.</td>
<td>.csv</td>
<td>10.2 MB</td>
<td>04/19/2011</td>
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</tbody>
</table>

Download Data: