

# Analysis of Unallocated Balances and New Authorizations for the General Bonding Subcommittee

March 24, 2015  
9:45 AM



## OFFICE OF FISCAL ANALYSIS

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## I. Hearing Schedule

The attached information was developed by OFA staff members for the legislative members of the GO Bonding Subcommittee.

### General Bonding Subcommittee Hearings on Tuesday, March 24, 2015

<b>Time</b>	<b>Agency</b>	<b>Analyst</b>	<b>Page</b>
9:45 - 10:45	Office of Policy and Management	Dan Dilworth	4
10:45 - 11:15	Department of Administrative Services	William Craven	15
11:15 - 12:00	University of Connecticut University of Connecticut Health Center	Alan Shepard	25
12:00 - 12:45	Board of Regents for Higher Education: Connecticut State University System Community Technical Colleges	Alan Shepard	26

## II. Agency Write-ups

### Office of Policy and Management

OFA Analyst: Dan Dilworth

Description	Unallocated 3/18/15 \$	Proposed FY 16 \$	Proposed FY 17 \$
<b>Agency Projects</b>			
CEPF - Capital Equipment Purchase Fund.	55,131,625	30,000,000	30,000,000
Information Technology Capital Investment Program.	65,273,779	40,000,000	45,000,000
CJIS - Design and implementation of the Criminal Justice Information System.	-	17,100,000	10,000,000
Results-based accountability - Development and implementation of databases in the CORE financial system associated with results-based accountability.	-	3,000,000	3,500,000
Development of a comprehensive statewide water plan	-	500,000	500,000
Higher education system consolidation: Design and implementation of consolidation of higher education systems with the state's CORE system.	10,000,000	-	-
Benchmarking systems: Design and implementation of state and local benchmarking systems, including technology development.	1,245,000	-	-
<b>Grant-in-aid Programs</b>			
Urban Act - Grants-in-aid for urban development projects.	82,777,798	50,000,000	50,000,000
STEAP - Small Town Economic Assistance Program.	40,000,000	20,000,000	20,000,000
LOCIP - Local Capital Improvement Fund.	37,500,000	30,000,000	30,000,000
Municipal purpose grants-in-aid: Grants-in-aid to municipalities distributed for municipal purposes.	-	60,000,000	60,000,000
Intertown Capital Equipment Purchase Incentive Program.	18,288,669	-	-
Responsible Growth Incentive Fund.	5,000,000	5,000,000	5,000,000
Transit-oriented development and predevelopment activities	5,500,000	8,000,000	-
Nonprofit Collaboration Incentive Grant Program.	1,273,079	-	-

Description	Unallocated 3/18/15 \$	Proposed FY 16 \$	Proposed FY 17 \$
Nonprofit health and human service organization grants-in-aid: Grants-in-aid to private, nonprofit health and human service organizations that are exempt under Section 501(c)(3) of the Internal Revenue Code of 1986 of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as amended from time to time, for alterations, renovations, improvements, additions and new construction, including health, safety, compliance with the Americans with Disabilities Act and energy conservation improvements, information technology systems, technology for independence and purchase of vehicles and acquisition of property	30,000,000	10,000,000	10,000,000

The Governor has not proposed cancellation of the following earmarked grants-in-aid.

#### OPM Earmarked Grants-in-aid

Description	Bond Fund	Authorized Amount \$	Unallocated 3/18/15 \$
Bridgeport economic development projects.	12052(11)PA 10-44 2(b)	7,200,000	6,450,000
<i>Comment: Used as projects arise.</i>			
Hartford infrastructure projects and programs.	12052(11)PA 10-44 10(a)	10,600,000	6,100,000
<i>Comment: Used as projects arise.</i>			
Bridgeport infrastructure projects and programs.	12052(11)PA 10-44 10(b)	27,700,000	22,500,000
<i>Comment: Used as projects arise.</i>			
Newtown - Grants-in-aid to municipalities for infrastructure projects and programs, including planning, property acquisition, site preparation, construction and off-site improvements.	12052(14)PA 13-239 13(a)(2)	50,000,000	38,300,000
<i>Comment: In design. The balance will be allocated for construction during CY2015.</i>			

## Agency Programs

**Capital Equipment Purchase Fund (CEPF)** (\$55,131,625 unallocated; \$30,000,000 in each of FY 16 and FY 17 proposed by the Governor) – CEPF is authorized by CGS Sec. 4a-9 and has been used for the purchase of equipment with a useful life of at least 5 years. It is financed through the sale of General Obligation bonds and administered by the Office of Policy and Management.

The table below shows the amount of CEPF funding available to agencies in FY 15:

### FY 15 Capital Equipment Purchase Fund Resources by Agency

State Agency	FY 15 \$
Office of the State Comptroller	500,000
Office of the State Attorney General	430,000
Office of Government Accountability	191,100
Department of Revenue Services	595,830
Department of Veterans' Affairs	220,426
Department of Administrative Services	1,765,712
Department of Emergency Services and Public Protection	6,640,010
Military Department	376,800
Department of Consumer Protection	150,000
Department of Labor	99,020
Commission on Human Rights and Opportunities	4,933
Protection and Advocacy for Persons w/ Disabilities	10,890
Agricultural Experiment Station	224,400
Department of Energy and Environmental Protection	1,600,000
Department of Economic and Community Development	117,900
Department of Public Health	314,000
Office of the Chief Medical Examiner	100,000
Department of Developmental Services	1,839,408
Department of Mental Health and Addiction Services	2,152,423
Department of Social Services	6,963,586
Board of Regents for Higher Education	755,400
Department of Correction	2,885,435
Department of Children and Families	2,032,230
Judicial Department	1,404,553
Public Defender Services Commission	522,185
Division of Criminal Justice	904,987
Office of Policy and Management Contingency	2,198,772
<b>TOTAL</b>	<b>35,000,000</b>

## FY 16 and FY 17 Proposed Capital Equipment Purchase Fund Resources by Agency

State Agency	FY 16 Proposed \$	FY 17 Proposed \$
Office of the State Comptroller	400,000	400,000
Office of Government Accountability	212,000	212,000
Department of Revenue Services	2,000,000	1,000,000
Department of Veterans' Affairs	550,000	300,000
Department of Administrative Services	3,000,000	2,756,000
Department of Emergency Services and Public Protection	4,251,000	5,000,000
Military Department	200,000	250,000
Department of Consumer Protection	240,000	150,000
Department of Labor	99,000	99,000
Commission on Human Rights and Opportunities	58,000	13,000
Agricultural Experiment Station	200,000	200,000
Department of Energy and Environmental Protection	1,600,000	1,600,000
Department of Economic and Community Development	40,000	40,000
Department of Public Health	300,000	300,000
Office of the Chief Medical Examiner	250,000	100,000
Department of Developmental Services	1,800,000	1,500,000
Department of Mental Health and Addiction Services	2,000,000	4,900,000
Department of Social Services	3,000,000	3,000,000
Department of Correction	4,000,000	3,000,000
Department of Children and Families	2,000,000	2,000,000
Judicial Department	1,300,000	1,300,000
Public Defender Services Commission	800,000	800,000
Division of Criminal Justice	900,000	
Department of Agriculture		80,000
Department of Education	800,000	1,000,000
<b>TOTAL</b>	<b>30,000,000</b>	<b>30,000,000</b>

**Information Technology Capital Investment Program** (\$65,273,779 unallocated; \$40,000,000 in FY 16 and \$45,000,000 in FY 17 proposed by the Governor) - This program is a six-year plan to update and consolidate the state's information technology (IT) infrastructure. Currently, state agencies use a variety of decentralized IT systems that are generally incompatible and outdated, which prevents the agencies from communicating efficiently and effectively with other agencies. The table below summarizes actual and projected allocations for those projects planned or approved through February, 2015.

## IT Capital Investment Program Projected and Actual Allocations

Fiscal Year	Amount \$
2013	16,229,988
2014	35,897,643
2015	32,098,591
2016	28,536,698
2017	12,177,417
2018	4,837,817
<b>TOTAL</b>	<b>129,778,154</b>

See Appendix A for a list of Information Technology Capital Investment Program projects.

*Background:* OPM evaluates agency IT projects based on the following priorities:

1. Make state government more user-friendly and efficient for citizens, businesses and municipalities when transacting business with the state, including areas related to obtaining permits, licenses, paying taxes or accessing services;
2. Make information about services and state government more available and easy to find on-line;
3. Implement efficient, modern business practices that result in clear and identifiable cost savings and service delivery improvements for state agencies;
4. Increase transparency for policy makers and the public regarding costs, effectiveness and service outcomes within and across state agencies;
5. Reduce the cost to the state for the implementation, use and management of technology systems through shared services, applications and hardware across agency boundaries and by other means;
6. Implement systems needed to support health-care reform, manage costs and improve outcomes related to the state's health and human service programs; and
7. Ensure the appropriate level of confidentiality, integrity and availability of the state's electronic or digital data information resources in order to provide an environment in which the state's user community can safely conduct state business.

**Criminal Justice Information Sharing System (CJIS)** (no unallocated balance; \$17,100,000 in FY 16 and \$10,000,000 in FY 17 proposed by the Governor) – The funds will be used to continue development of the Connecticut Information Sharing System (CISS) in compliance with PA 08-01.

*Background:* CISS provides the ability to electronically share offender information within Connecticut's criminal justice community. CISS will take data input from criminal justice databases and make it searchable to law enforcement and justice officials with the proper security clearance and credentials. This information includes data capture of offender violations, as well as the retrieval of judicial, criminal offender, and DMV



information. Data can be in text, audio, video, and graphical format. Connecticut's criminal justice community consists of eleven criminal justice agencies with over 23,000 staff members and utilizes fifty-two information systems to support its business needs. Approximately five hundred and eighty-three unique transactions are specified in CISS. Phase I will address forty-six of these. See Appendix B for a diagram that shows CISS databases and the governmental agencies that will use the system.

The CISS environment will be accessible by three methods: the State of Connecticut's Public Safety Data Network, the State of Connecticut's internal network, and the CISS Community Portal. All three have multiple levels of security to ensure compliance with FBI CJIS Security Policy 5.1.

**CORE Results-Based Accountability** (no unallocated balance; \$3,000,000 in FY 16 and \$3,500,000 in FY 17 proposed by the Governor) – The funds will be used to finance the implementation of new web-based business intelligence software. The new software will replace the primary Core-CT data query software and advanced analytic and reporting capabilities.

**CORE Higher Education system consolidation** (\$10,000,000 unallocated)

*Update:* Of the \$10 million amount unallocated balance, \$5 million will be used to fund the initial examination, planning expenses and subsequent conversion expenses necessary for the Board of Regents to utilize the Core-CT system in compliance with PA 11-48, Sec. 22 and CGS Sec. 10a-9b. During this past fiscal year, the Board of Regents successfully transitioned coding from old accounts used before their reorganization to new accounts that represent the new organization. The next phase will focus on converting their systems to CORE-CT, beginning with a business process analysis, fit-gap analysis and then software conversion work.

The remaining \$5 million is to be utilized to help bring the University of Connecticut fully into Core-CT related to their human resources and payroll systems and to ensure the reporting of the university's expenditures in Core-CT. Vendor selection for this project should occur by the end of March 2015 with the implementation timeline being 18 to 24 months.

*Background:* CORE-CT is the State's Human Resources Management System that provides integrated human resources, payroll, and financial functions for most state agencies. The Board of Regents for Higher Education (BHE) and the University of Connecticut (UConn) currently only use CORE-CT for some functions; this bond authorization will fund the complete integration of BHE and UConn Human Resource Management Systems into CORE-CT.

**Development of a comprehensive statewide water plan** (no unallocated balance; \$500,000 in each of FY 16 and FY 17 proposed by the Governor) – PA 14-163 requires that the Water Planning Council (WPC) develop a plan to manage the state's water

resources by July 1, 2017. The WPC is made up of representatives of the Department of Energy and Environmental Protection (DEEP); Department of Public Health (DPH); the Public Utility Regulatory Authority (PURA) and the Office of Policy and Management (OPM).

The state does not currently have this type of plan and although some coordination of water policy occurs through the WPC, there is no overarching strategy that coordinates the actions of the several state agencies that are involved in water planning

The plan will be developed by a consultant with guidance and supervision from the four member agencies of the WPC. The primary users will be the four agency members of the WPC, however it is hoped that other state agencies will utilize the plan when making decisions that impact the use of water resources in the state.

**Benchmarking Systems** (\$1,245,000 unallocated) – This project will develop state and local benchmarking systems for municipalities and school districts. The development of a Uniform Chart of Accounts (UCOA)<sup>1</sup> to standardize the classification and reporting of financial data among all municipalities and school districts will facilitate these benchmarking systems. Municipal and education financial data transmitted to the State by municipalities and school districts in accordance with the UCOA, will be retained in their respective municipal and education data warehouses allowing for more accurate comparisons and benchmarking of the costs of programs and services provided by these educational entities and municipalities. OPM is working with the State Department of Education (SDE), an external consultant, municipalities, and school districts to develop the benchmarking systems.

*Background:* PA 12-116, The Education Reform Act, authorizes SDE to develop and implement a chart of accounts to be used at the school and district level. For the fiscal year ending June 30, 2015, and each subsequent fiscal year, local boards of education are to implement a uniform system of accounting by completing and filing annual financial reports with the SDE using the chart of accounts that was developed with these bond funds. Similarly, PA 13-247 authorizes OPM to develop and implement a UCOA to be used at the municipal level. Municipalities are to implement a uniform system of accounting by using the UCOA to complete and file annual financial reports with OPM by June 30, 2015.

### **Grant-in-aid Programs**

**Urban Act** (\$82,777,798 unallocated; \$50,000,000 in each of FY 16 and FY 17 proposed by the Governor) – Urban Action Grants are discretionary grants to municipalities that (1) are economically distressed as defined by statute, (2) public investment communities or (3) urban centers under the State's Plan of Conservation and Development. Eligible

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<sup>1</sup>A chart of accounts is a table for an accounting system that contains the numerical codes with the explanations that identify the ledger accounts.

projects include economic development, transit, recreation, solid waste disposal, housing, day care, elderly centers, emergency shelters, historic preservation and various urban development projects.

**Small Town Economic Assistance Program (STEAP)** (\$40,000,000 unallocated; \$20,000,000 in each of FY 16 and FY 17 proposed by the Governor) – STEAP funds economic development, community conservation and quality of life projects for localities that are ineligible to receive Urban Action bonds. Localities may receive up to \$500,000 per year if: (1) they are not designated as a distressed municipality or a public investment community and (2) the State Plan of Conservation and Development does not show them as having a regional center.

See Appendix C for STEAP grants-in-aid awarded in FY 14.

**Local Capital Improvement Program (LoCIP)** (\$37,500,000 unallocated; \$30,000,000 in each of FY 16 and FY 17 proposed by the Governor) – LoCIP is an entitlement program for municipalities that provides General Obligation bond funds for eligible projects, as defined in statute. Annual distributions of new funds are deposited to municipal accounts administered by the Office of Policy and Management (OPM) on March 1st of each year. Towns may choose to expend the funds on a series of smaller projects or allow them to accumulate over a period of time for one large project.

**Municipal purpose grants-in-aid - Grants-in-aid to municipalities distributed for municipal purposes and projects** (no unallocated balance; \$60,000,000 in each of FY 16 and F 17 proposed by the Governor) – Funding is distributed to municipalities based on a portion of the payment each municipality received in FY 13 from the Municipal Revenue Sharing Account (MRSA). PA 13-247 requires these funds to be expended for the same purposes for which Town Aid Road grants may be used. However, PA 13-247 also allows towns to apply for a waiver from this requirement, and use these funds (and Town Aid Road grants) for other capital purposes. Nine municipalities requested and were granted this waiver in FY14. Six municipalities and four fire districts requested and were granted this waiver in FY15.

See Appendix D for the town-by-town list of FY 14 and FY15 grants-in-aid.

**Intertown Capital Equipment (ICE) Purchase Incentive Program** (\$18,288,669 unallocated) – This program was established by PA 11-57 to allow groups of two or more towns to apply for grants of a percentage of the cost of certain shared equipment or vehicles. The grant is either (1) 30% of the cost or (2) 50%, if one of the towns is a distressed municipality. The total state grant for one project cannot exceed \$250,000. (Note: Raised House Bill No. 6853 proposes to raise the maximum grant to 80% of the cost of the shared equipment, up to a maximum of \$375,000.)

See Appendix E for a list of grants-in-aid funded to date under this program.

**Responsible Growth Incentive Fund** (\$5,000,000 unallocated; \$5,000,000 in each of FY 16 and F 17 proposed by the Governor) – Section 13(a)(2) of PA 07-7 (June Special Session) established the Responsible Growth Incentive Fund for the purpose of providing grants-in-aid and other financing for regionally-supported local projects that leverage past and future planned state investments in infrastructure and facilities, particularly for projects that promote compact, mixed-use development around public transportation stations and municipal centers. OPM plans to use these funds in conjunction with the transit-oriented development activities outlined below.

**Transit-oriented development and predevelopment activities** (\$5,500,000 unallocated; \$8,000,000 in FY 16 proposed by the Governor) – The funds will be used to provide assistance to municipalities, housing authorities and other entities interested in advancing transit-oriented development around the state’s transit corridors (New Haven-Hartford-Springfield Rail, Shoreline East, New Haven Line and Branch Lines, and CT Fastrak<sup>2</sup>), as well as to make housing and infrastructure improvements near transit stations. The uses may include:

- Predevelopment activities, such as environmental inspections, geotechnical studies, market studies, architectural and engineering design, real estate appraisals, and associated legal services.
- Providing assistance for site control and/or acquisition, or to carry out housing or infrastructure improvement projects and other needs identified in communities along the state’s transit systems.

OPM has indicated that it will administer the program and coordinate all transit-oriented development (TOD) activities among the various state agencies. OPM will determine the unmet need for TOD in communities along the state’s transit corridors by speaking with municipalities, developers and other interested parties and using this information to establish: (1) eligibility criteria for applicants and projects, (2) a cap on the amount of funding and (3) the process by which applications will be evaluated and prioritized.

*Background:* The State Bond Commission allocated \$1 million in March 2014 to create the Transit-oriented Development Predevelopment Fund. The fund is managed by the Local Initiative Support Corporation, which is a national nonprofit that helps provide financial, policy and management assistance for economic and community development initiatives. The fund is intended to leverage \$13 million in non-state funds, in addition to \$1 million from the Connecticut Housing Finance Authority. Most recently, OPM issued an RFA for TOD planning grants, and awarded \$1.5 million to 11 communities across the state.

See Appendix F for a list of FY 15 TOD planning grants.

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<sup>2</sup>CT Fastrak is the 9.4-mile bus rapid transit system between New Britain and Hartford. Construction began in May 2012. It is scheduled to open for passenger service in early 2015.

**Nonprofit Collaboration Incentive Grant** (\$1,273,079 unallocated) - PA 09-2 (SSS) establishes a nonprofit collaboration incentive grant program to cover infrastructure costs arising when two or more nonprofit organizations consolidate programs and services. As of February 2015, an evaluation committee is reviewing grant applications to make funding recommendations.

See Appendix G for a list of projects that have been funded to date under this program.

**Grants-in-aid to private, non-profit health and human service organizations** (\$30,000,000 unallocated; \$10,000,000 in each of FY 16 and FY 17 proposed by the Governor) - The funds are used for grants to private, non-profit health and human service organizations to expedite service delivery and to address health, safety and accessibility issues with respect to health and human services provided by nonprofit providers.

The original FY 15 authorization of \$20 million was increased to \$50 million because OPM received 553 applications from 275 different nonprofit providers by the October 18, 2013 application deadline<sup>3</sup>. The amount requested exceeded \$100 million, which far exceeded the \$20 million that was authorized for FY 14. OPM's evaluation committee<sup>4</sup> awarded the first \$20 million authorization but indicated that a large number of strong applications could not be funded in FY 15 because the requested amount exceeded the second \$20 million authorization. In addition, OPM estimates that 700 to 800 organizations are eligible for the program and believes that future application rounds for this program will continue to result in project submittals that far exceed the \$100 million total received in FY 14.

In accordance with Sec. 87 of Public Act 14-98, the original FY 15 authorization of \$20 million is being allocated to project applications received in response to the request for applications posted in August, 2014. In addition to the 144 projects awarded in FY 14, another 132 projects are anticipated to be funded and proposed awards should be issued by the end of March 2015.

In regards to the remaining FY 15 authorization of \$30 million, OPM will be issuing a request for new applications around March 31, 2015 with the following guidelines:

- Eligible projects include facility alterations, renovations, improvements, additions, and new construction; health, safety and Americans with Disabilities Act (ADA) projects; energy conservation improvements; information technology systems; technology that promotes client independence; purchase of vehicles; and acquisition of property.

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<sup>3</sup>The request for applications was posted on the OPM and the Department of Administrative Services websites on August 21, 2013.

<sup>4</sup>The OPM evaluation committee was established by the Secretary of OPM in accordance with the program's guidelines. The members include two staff members from OPM and representatives from State health and human service agencies.

- Up to \$12.5 million of the funds for this application round may be reserved for applications seeking funding between \$1 million and \$3 million per project; however, a minimum of 25% matching funds will be required for these projects. These matching funds may not include other state funds or provider non-cash in-kind contributions. More details regarding the match will be included in the request for applications.
- For the remaining funds, grant awards will be limited to \$1.0 million for any award or nonprofit provider per application round with a \$35,000 minimum cost per project.

See Appendix H for the FY 14 list of grant-in-aid recipients.

## Department of Administrative Services

OFA Analyst: William Craven

Description	Unallocated 3/18/15 \$	Proposed FY 16 \$	Proposed FY 17 \$
<b>Agency Projects</b>			
Thermal facility: Development, including acquisition and equipment, of a new thermal facility, including extension of the distribution pipeline, for the capitol area district heating and cooling system in Hartford	29,000,000	-	-
State Office Building: Alterations, renovations and improvements, including installation of air conditioning, to the State Office Building and associated parking facilities in Hartford.	24,000,000	-	-
Infrastructure repairs and improvements	104,228,259	25,000,000	25,000,000
Asbestos Removal Program	-	10,000,000	10,000,000
ADA improvements: Alterations and improvements in compliance with the Americans with Disabilities Act, or for improved accessibility to state facilities.	3,750,000	1,000,000	1,000,000
Development of a supplier diversity data management system	-	400,000	-
HIPAA - Development and implementation of information technology systems for compliance with the Health Insurance Portability and Accountability Act	2,652,975	-	-
Probate Court - Acquisition and renovation of a building for the offices of the Probate Court	3,000,000	-	-
Torrington courthouse - Infrastructure improvements including the engineering and construction of an offsite storm water improvement related to the construction of a new courthouse in Torrington.	800,000	-	-
Fire Training Schools	44,572,999	-	-
<b>Grant-in-aid Programs</b>			
Alliance districts - Grants-in-aid to Alliance districts to assist in paying for general improvements to school buildings	-	50,000,000	50,000,000
School building projects - Principal and current payments	421,200,000	530,000,000	560,000,000
School building projects - Interest	-	3,000,000	2,100,000

## Agency Projects

**Development, including acquisition and equipment, of a new thermal facility, including extension of the distribution pipeline, for the capitol area district heating and cooling system in Hartford (\$29,000,000 unallocated)**

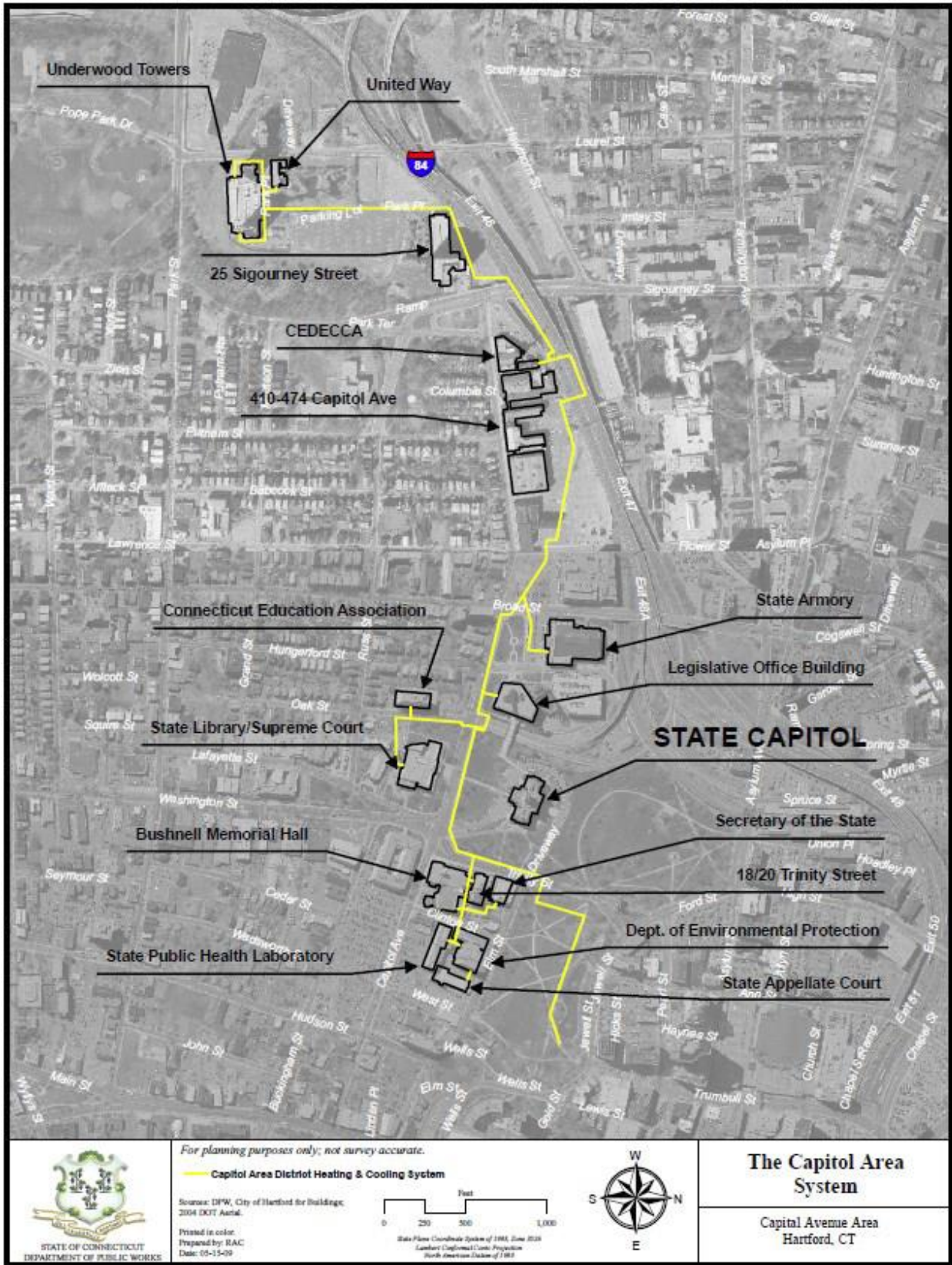
*Project update:* The state's efforts to purchase the Capitol District Energy Center Cogeneration Associates (CDECCA) plant have halted because Maxim Power Corporation, the plant's owner, has chosen to retain its US assets rather than sell them. Maxim currently provides steam and chilled water to the Capitol Area District heating and Cooling System (CAS) for redistribution under a contract that expires in March 2019. CAS decided not to extend the 2019 agreement in order to reduce heating and cooling energy costs. Instead, it decided to build its own thermal plant. Possible sites were investigated but no location will be chosen until the requirements and impacts of DOT's I-84 viaduct project are resolved. A preliminary study determined that the existing Boiler and Pump houses will accommodate the necessary equipment for a new thermal plant. This will allow the state to reuse this equipment in the new building at the termination of the existing contract in 2019.

*Background:* The Capitol Area District heating and Cooling System (CAS) is a state-owned thermal-energy (heated and chilled water) transport system. Each year it purchases and delivers hot and chilled water to 18 public and private buildings totaling 3 million sq. ft. with over 150 million BTU's of thermal energy through 2 miles of pipeline. A diagram of the CAS system appears on the next page.

The costs to manage and maintain the CAS are **not** paid from the General Fund but rather from fixed amounts added to each customer's bill. These fixed fees cover:

- State personnel costs,
- Repair costs (emergency and planned),
- Engineering studies for the existing system operation,
- Engineering studies for future expansion and
- Upgrades and system enhancements to provide greater reliability and efficiency.





**Exterior renovations and improvements, including installation of air conditioning, to the State Office Building (SOB) in Hartford** (\$24,000,000 unallocated) - The state selected an architectural firm for the SOB project to perform a pre-design study, which will include building and site programming, conceptual planning, project parameters, meetings with various stakeholders such as the Bushnell, I-Quilt, the City of Hartford and the neighborhood, and the local business community, for input on design and considerations. The architectural firm study will include recommendations and cost estimates and is expected in the spring of 2014. The study was completed and delivered to DAS and OPM on Friday, January 9, 2015. The study includes recommendations for improvements to the SOB and cost estimates. To date the total expenditures associated with the SOB renovation project is \$998,670.

*Background:* The current plan is to relocate all of the state workers housed in the SOB into the newly-acquired 450 Columbus Boulevard in Hartford, building once that building has been renovated. This will allow for the complete renovation of the SOB, including the gutting of the interior masonry walls, partitions, mechanical and electrical systems, fire alarm and fire protection systems and telecommunications systems. The renovated building will be designed to meet current code requirements and all new mechanical systems will meet the latest energy efficient designs. Renovation will also include improvements to security and handicapped accessibility and the creation of an open floor plate increasing the amount of usable space allowing us to house more state workers. This project is in keeping with the state’s plan to consolidate and eliminate leases to save operational dollars. The new HVAC system will replace existing window air conditioning units and will be connected to the Capitol Area System (CAS) energy loop. The Buckingham Street Garage built in 1968 needs to be replaced. The project will include the demolition of the existing structure and the construction of new larger capacity garage.

**DAS Infrastructure Repairs and Improvements** (\$104,228,259 unallocated; \$25,000,000 in each of FY 16 and FY 17 proposed by the Governor) - The DAS Infrastructure Repairs and Improvements program provides for improvements to existing state buildings. In addition, this fund includes monies provided for DAS to pursue the Hartford Building Acquisition project, discussed below. Not including the Hartford Building Acquisition bond funds, there is currently \$29,517,815 in existing unallocated bond funds.

**Infrastructure Repair and Improvement Unallocated Balances as of October 15, 2014**

<b>Act Reference</b>	<b>Amount \$</b>
PA 11-57, § 2(e)(3)	226,410
PA 11-57, § 21(d)(2) <sup>[1]</sup>	54,001,849
PA 13-239, § 2(c)(3)	25,000,000
PA 13-239, § 21(c)(2)	25,000,000
<b>TOTAL</b>	<b>104,228,259</b>

<sup>[1]</sup> Excludes funds associated with the Hartford Building Acquisition Project (see below).

Please see Appendix I for a list of planned project allocations to be financed from the current available authorizations. This list is based on the DAS Facilities Improvement plan 2012 to 2014, which has identified over \$120,000,000 in needed infrastructure improvements projects.

**Hartford Building Acquisition Project** (part of infrastructure account: \$64,751,849 unallocated) - In 2013 the State completed the acquisition of properties at 50-55 Farmington Avenue, 450 Columbus Boulevard and the Morgan Street Parking Garage.

50-55 Farmington Avenue was purchased for \$18 million. This property consists of a 12-story office tower with 295,000 gross square feet and includes a 4-level 293 spot parking garage under the building and a 6-level 525 spot underground parking garage immediately across the street. The State recently completed over \$19,500,000 worth of renovations and improvements to the building which were completed by the summer of 2014. Currently, the building is fully occupied with over 1,250 state workers.

450 Columbus Boulevard was purchased for \$34.5 million. This property consists of 2 office towers (11 & 15 stories, respectively) above a 5-level 850 spot parking garage. The State Project Team has identified approximately \$33,000,000 to \$48,000,000 of base building repairs which need to be completed prior to occupancy. The State has selected a Construction Manager at Risk to manage the base building improvements of which \$15,000,000 are currently under contract and proceeding. Additional bonding on this project will be necessary once all the costs associated with renovations and improvements have been identified. The timeline for completion of the renovations is approximately 24 months, with the anticipated occupancy of approximately 2,200 state workers anticipated in the second half of 2016.

Morgan Street parking garage was purchased for \$23,250,000. This property consists of an 8-level parking garage with 2,290 parking spots and is immediately adjacent to the 450 Columbus Boulevard property. The purchase of the garage was necessary in order to secure the appropriate amount of parking for the 450 Columbus Boulevard property. The garage will also serve parking needs for Capital Community College. While the garage is currently operational, the State Project Team anticipates that approximately \$3,500,000 of improvements will be required over the next few years.

**Allocations for Hartford Building Acquisition Project as of January 2015**

Description	Amount \$
Planning and Due Diligence	808,000 <sup>1</sup>
50-55 Farmington Avenue, Hartford	
<ul style="list-style-type: none"> <li>• Purchase Price</li> </ul>	18,000,000
<ul style="list-style-type: none"> <li>• Closing Costs</li> </ul>	610,000
<ul style="list-style-type: none"> <li>• Renovations/Improvements</li> </ul>	19,500,000

Description	Amount \$
450 Columbus Boulevard, Hartford	
• Purchase Price	34,500,000
• Closing Costs	959,000
• Base Building Improvements	15,000,000
• Renovations/Improvements	TBD
Morgan Street Garage, Hartford	
• Purchase Price	23,250,000
• Closing Costs	221,000
• Lease Payments	3,200,000
• Renovations/Improvements	3,500,000
<b>TOTAL</b>	<b>119,548,000</b>
PA 11-57 remaining unallocated balance <sup>2</sup>	<b>64,751,849</b>

<sup>1</sup> The planning and due diligence costs (\$808,000) were paid out of a different bond fund account so they are not reflect in the “PA 11-57 remaining unallocated balance” (below).

<sup>2</sup> The original authorization for the Hartford Building Acquisition project in PA 11-57, § 21(d) (2) was \$180 million.

*Background:* Based on an analysis that the State can achieve significant savings over a 20-year period by utilizing state-owned space for its needs over leased space, DAS has been working the past few years to reduce the State’s portfolio of expensive leased properties, where possible, and to move state operations into state-owned space. DAS also plans to vacate state buildings that have significant maintenance or rehab costs.

**Asbestos Removal Program** (no unallocated balance; \$10,000,000 in each of FY 16 and FY 17 proposed by the Governor) - This account provides funding on an as-needed lump-sum basis for the state's on-going program to remove or encapsulate asbestos in state-owned buildings. DAS generally receives two allocations per fiscal year so that when it encounters asbestos in a building repair or renovation project, it can select a vendor from the list of pre-approved contractors to do the abatement. The table below lists current and pending projects:

### Current and Pending Asbestos Abatement Program Projects

Project	Cost <sup>3</sup> \$
Fairfield Hill Power Plant	250,000
Fairfield Hills storage building-	75,000
Governors horse guard windows	45,000
Torrington courthouse demo	800,000
79 Elm street canopy	30,000
CCSU old police station	70,000

<b>Project</b>	<b>Cost<sup>3</sup> \$</b>
Putnam Courthouse	30,000
VA Rocky Hill boiler house	100,000
Uncas on Thames old police building	100,000
10 Clinton Street, Hartford	2,750,000
DEEP Sunrise Park Demolition	\$50,000
Altobello Campus	1,000,000
Naugatuck Valley Community College Founders Hall	900,000
DEEP Harkness Park	150,000
Osborne Correctional	250,000
Enfield Correctional	50,000
WCSU Newberry Hall	200,000
Platt Technical High School	100,000
State Office Building	2,000,000
Connecticut Valley Hospital - minor campus projects campus; demo Smith House	1,000,000
Various State Parks/DEEP facilities	200,000
State police barracks D,F,I,L, renovations	75,000
Minor-emergency abatement projects across various agencies	500,000
<b>TOTAL</b>	<b>10,725,000</b>

<sup>3</sup> Full abatement project costs are listed. Due to construction phasing and budgets, some projects have already begun and have been partially funded under previous bonding allocations; future portions of the work will require additional funding to complete the abatement project. Additionally, abatement project costs show estimated total abatement costs, and also may have to be phased over multiple funding years in order to complete the project due to construction timing or funding issues.

**Alterations and improvements in compliance with ADA** (\$3,750,000 unallocated; \$1,000,000 in each of FY 16 and FY 17 proposed by the Governor) - DAS has engaged agencies and completed a survey of state facility accessibility needs. DAS provided a report detailing the results of that survey and continues to prioritize these projects. The report is available at: <http://das.ct.gov/images/1090/CTDASADAReport.pdf>

Please see Appendix K – ADA Compliance for a list of planned project allocations to be financed from the current available authorizations. This list identifies over \$1,330,000 in needed standalone ADA Compliance improvements projects.

*Background:* Connecticut has a legal responsibility to ensure that state facilities, programs and services under are compliant with the Americans with Disabilities Act (ADA). DAS has engaged agencies and conducted a survey of, among other things, state facility accessibility needs. DAS provided a report detailing the results of that survey pursuant to Special Act 13-6 (survey linked above). This report includes a compiled list of projects relating to building accessibility needs that agencies have identified. DAS will be prioritizing these projects.

**Development of a supplier diversity data management system** (no unallocated balance; \$400,000 in FY 16 proposed by the Governor) -

The state's Supplier Diversity program<sup>5</sup> targets at least 25% of the state's business for small businesses, including those owned by minorities, women and the disabled.

**HIPAA - Health Insurance Portability and Accountability Act** (\$2,652,975 unallocated). Please see Appendix J for a list of HIPAA projects. Please note that Appendix J has been updated to reflect some movement of prior allocations, but leaves the bottom line of \$9,669,000 unchanged. Specifically, Enterprise Identity Access – Phase 1 went from \$2.2 mil to \$1.2 mil; Enterprise Security Information & Event Management went from \$1.295 mil to \$795,000; and Remediation of Covered Entity Agencies – the highest priority piece of this project – went from \$3.052 mil to \$4.552 mil.

Because HIPAA is a continuing federal mandate, compliance is an ongoing activity that is continually re-evaluated. The unallocated HIPAA funds should remain in place so that the state is able to comply with the federal law: (1) as necessary controls are put in place and/or modified, (2) as systems evolve or change and new systems come online; and (3) as new state and Federal mandates are implemented. DAS continues to work with OPM to release these funds as needed.

*Background:* The federal HIPAA Security Measures require the state to: (1) ensure the confidentiality, integrity, and availability of all electronic protected health information (ePHI) that it creates, receives, maintains, or transmits; (2) protect against any reasonably anticipated threats or hazards to the security or integrity of this information; (3) protect against any reasonably anticipated uses or disclosures of such information that are not permitted or required under law; and (4) ensure that the state workforce complies with these security measures. Failure to comply with the federal mandates not only opens the state up to liability should its systems be breached and ePHI is compromised, but also may subject the state to stiff penalties outlined in federal law.

There are 11 covered agencies, including DAS, DMHAS, DCF, DDS, UConn Health Center, DSS, DPH, DVA, TRB, UCONN, and DOC. The Department of Corrections was recently added to the list of covered agencies. DAS recommends that DOL and DORS undergo an evaluation to determine whether or not they are considered Covered Entities under 45 CFR Part 160 & Part 164

**Fire Training Schools** (\$44,572,999 unallocated) - The table below summarizes the current status and cost for each fire training school project.

### **Fire Training School Allocations**

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<sup>5</sup> CGS Sec. 4a-60g

<b>Fire Training School</b>	<b>Fund</b>	<b>Act</b>	<b>Amount \$</b>
Eastern Ct. Fire School, Willimantic land acquisition	17041	SA 04-2, § 2(d)(3)	500,000
Valley Fire School, Beacon Falls land acquisition	17041	SA 04-2, § 2(d)(3)	950,000
Hartford County Fire School design and construction, Windsor Locks	17041 17071	SA 04-2, § 2(d)(3) PA 07-7, § 2(g)(2)	5,359,760
New Haven Regional Fire Academy design and construction	17041	SA 04-2, § 2(d)(3)	4,476,844
<b>Funding for planning, surveys, soil remediation and programming and development of the RFPs for design building for the following schools:</b>			
Eastern Ct. Fire School	17041	SA 04-2, § 2(d)(3)	150,000
Valley Fire School	17041	SA 04-2, § 2(d)(3)	250,000
Fairfield Fire School	17071	PA 07-7, § 2(g)(2)	800,000
Burville Fire School, Torrington Waterbury Area Fire School, Cheshire	17071	PA 07-7, § 2(g)(2)	1,500,000
School Assessment Study		SA 01-2	200,000
<b>TOTAL</b>			<b>14,186,604</b>

The table below shows the estimated costs from the 2001 study to finish all eight Fire Training Schools:

#### **2001 Estimated Cost to Finish Fire Training School Improvement Projects**

<b>Location</b>	<b>Cost \$</b>
New Haven	Completed
Hartford	Completed
Eastern - cost to construct	11,500,000
Valley	9,500,000
Fairfield	7,500,000
Burrville	9,500,000
Waterbury area	9,500,000
Middlesex	9,500,000
<b>TOTAL</b>	<b>57,000,000</b>

#### **Grant-in-aid Programs**

**Grants-in-aid to Alliance districts to assist in paying for general improvements to school buildings** (no unallocated bond balance; \$50,000,000 in each of FY 16 and FY 17 proposed by the Governor)

The funds will be used to provide grants-in-aid for general improvements to Alliance Districts, which are Connecticut's 30 lowest-performing districts. The districts are listed in the table below:

### Alliance School Districts

Ansonia	Hartford	Norwich
Bloomfield	Killingly	Putnam
Bridgeport	Manchester	Stamford
Bristol	Meriden	Vernon
Danbury	Middletown	Waterbury
Derby	Naugatuck	West Haven
East Hartford	New Britain	Winchester
East Haven	New Haven	Windham
East Windsor	New London	Windsor
Hamden	Norwalk	Windsor Locks

#### School construction grants-in-aid

- **Progress payments** (\$421,200,000 unallocated; \$530,000,000 in FY 16 and \$560,000,000 in FY 17 proposed by the Governor)
- **Interest subsidy** (no unallocated balance; \$3,000,000 in FY 16 and \$2,100,000 in FY 17 proposed by the Governor)



**University of Connecticut  
University of Connecticut Health Center**

OFA Analysts: Alan Shepard and Neil Ayers

The University will provide its own materials.

**Board of Regents for Higher Education:  
Connecticut State University System  
Community Technical Colleges**

OFA Analysts: Alan Shepard

Description	FY 15 \$	FY 16 \$	FY 17 \$
<b>Automatic Annual Authorization and Allocation</b>			
Connecticut State Colleges and Universities infrastructure improvement program (CSCU 2020)	175,000,000	118,500,000	95,000,000

The Board of Regents will make a presentation on the Connecticut State Colleges and Universities infrastructure program (CSCU 2020) and provide its own handouts.

Description	Unallocated 3/18/15 \$	Proposed FY 16 \$	Proposed FY 17 \$
<b>Board of Regents</b>			
Consolidation and upgrade of system student and financial information technology systems	-	20,000,000	40,000,000
All Community Colleges: Deferred maintenance, code compliance and infrastructure improvements	-	15,500,000	10,000,000
All State Universities: Deferred maintenance, code compliance and infrastructure improvements	-	10,000,000	12,000,000
All state colleges and universities: New and replacement of instruction, research and laboratory equipment.	-	16,000,000	12,000,000
<b>Connecticut State University System</b>			
<b>Central Connecticut State University</b>			
East Campus infrastructure improvements, including road, site and utility improvements.	1,800,000	-	-
<b>Southern Connecticut State University</b>			
Alterations, renovations and improvements to facilities, including fire, safety energy conservation and code compliance improvements.	778,000	-	-
Development of a new academic laboratory building and parking garage, including renovations to the former student center and demolition of Seabury Hall.	250,000	-	-

Description	Unallocated 3/18/15 \$	Proposed FY 16 \$	Proposed FY 17 \$
<b>Community-Technical Colleges</b>			
Projects and Programs – All colleges			
Renovations and improvements - Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance.	168,374	-	-
Manufacturing technology programs - Improvements at four campuses to design, construct and equip space for new manufacturing technology programs.	970,500	-	-
Advanced manufacturing and emerging technology programs	-	2,500,000	2,625,000
<b>Asnuntuck Community College</b>			
Asnuntuck Community College: Alterations and improvements for expansion of library and student services	-	-	3,800,000
Alterations, renovations and improvements to existing buildings	11,442,755	-	-
<b>Capital Community College</b>			
Campus expansion	500,000	-	-
Acquisition of property	4,595,756	-	-
Alterations, renovations and improvements to optimize space utilization	-	5,000,000	-
<b>Housatonic Community College</b>			
Parking garage improvements	3,907,258	-	-
Lafayette Hall - Implementation of phase III of the master plan for renovations and additions to Lafayette Hall	40,467,047	-	-
<b>Manchester Community College</b>			
Campus improvements	214,207	-	-
Code improvements to the Lowe building.	1,271,152	-	-
<b>Middlesex Community College</b>			
Acquisition of property	190,000	-	-
Planning, design and construction of a new academic building.	44,000,000	-	-
<b>Naugatuck Valley Community College</b>			
Parking and site improvements	6,563,444	-	-
<b>Northwestern Community College</b>			
Site remediation, acquisition of property, design and construction for a replacement for the Joyner Building.	24,650,786	-	-
<b>Norwalk Community College</b>			
Alterations, renovations and improvements to the B wing building	-	-	5,190,000

Description	Unallocated 3/18/15 \$	Proposed FY 16 \$	Proposed FY 17 \$
Implementation of Phase III of the master plan	-	28,800,000	-
<b>Quinebaug Community College</b>			
Parking and site improvements.	1,906,726	1,906,726	-
Heating, ventilating and air conditioning system improvements	1,612,500	-	-
<b>Three Rivers Community College</b>			
Renovations to existing buildings and additional facilities for a consolidated campus in accordance with the master plan.	11,606,676	-	-
<b>Tunxis Community College</b>			
Implementation of phase III of the master plan.	4,993,817	3,000,000	-
Feasibility study for acquisition of property for creation of a premanufacturing work space and relocation of continuing education operations.	250,000	-	-

See Appendix L for a table of information about the Connecticut State University System and Appendix M for a table of information on each community college.

### Board of Regents

**Consolidation and upgrade of system student and financial information technology systems** (no unallocated balance; \$20,000,000 in FY 16 and \$40,000,000 in FY 17 proposed by the Governor) – a description of this project will be included in the BOR presentation.

**All Community Colleges: Deferred maintenance, code compliance and infrastructure improvements** (no unallocated balance; \$15,500,000 in FY 16 and \$10,000,000 in FY 17 proposed by the Governor) – a description of this project will be included in the BOR presentation.

**All State Universities: Deferred maintenance, code compliance and infrastructure improvements** (no unallocated balance; \$10,000,000 in FY 16 and \$12,000,000 in FY 17 proposed by the Governor) – a description of this project will be included in the BOR presentation.

**All state colleges and universities: New and replacement of instruction, research and laboratory equipment** (no unallocated balance; \$16,000,000 in FY 16 and \$12,000,000 in FY 17 proposed by the Governor) – a description of this project will be included in the BOR presentation.

### Connecticut State University System

**Central Connecticut State University - East Campus infrastructure improvements, including road, site and utility improvements** (\$1,800,000 unallocated) - These funds will be used for environmental approvals and design of East Campus Infrastructure. The environmental approvals and design of the project is on hold. Construction funding of \$13,244,000 that was allocated to this project through the CSCU 2020 program in FY 2011 were reallocated for design phase funds to both a new Engineering Building and renovations and additions to Barnard Hall.

**Southern Connecticut State University - Alterations, renovations and improvements to facilities, including fire, safety energy conservation and code compliance improvements** (\$778,000 unallocated) - These fund will be used to design electrical and mechanical improvements at Lyman Auditorium. A request for allocation was previously submitted to the SBC. Construction funding allocated to this project through the CSUS 2020 program in FY 09 was reallocated as supplemental construction funds to the Buley Library renovations project. Construction funds for this project are being re-established as part of the BOR's Code Compliance/Infrastructure Improvement Program Biennial Request that includes university funding requests. The \$778,000 portion of the project remains on hold.

**Southern Connecticut State University - Development of a new academic laboratory building and parking garage, including renovations to the former student center and demolition of Seabury Hall** (\$250,000 unallocated) - The parking garage is deferred to the future and the demolition of Seabury Hall is complete. Construction of the new academic laboratory building is in process.

## **Community-Technical Colleges**

### **Authorizations for Agency Projects and Programs - All Colleges**

**Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance** (\$168,374 unallocated) - The funds have been used to support projects that cost under \$2 million at all community college facilities. The projects are coordinated, planned and administered by Board of Regents staff. Larger deferred-maintenance projects include replacing roofs, parking lots and major building systems. Smaller projects address office and classroom renovations, fire safety, life safety, and ADA code compliance. The community college system has a large backlog of projects, so these funds are used to address the most urgent issues and emergency situations. All major projects are complete. Unallocated funds in this program will fund miscellaneous deferred maintenance requirements.

See Appendix N for a list of projects associated with these funds.

**Manufacturing Technology Programs: Improvements at three campuses to design, construct and provide equipment to create new manufacturing technology programs** (\$970,500 unallocated) - Phase II Expansion Proposals of the three programs (Housatonic, Naugatuck Valley and Quinebaug Valley) are being evaluated. A new plan is underway for utilizing unallocated funds. The evaluation of proposals and the new plan are anticipated to be completed in spring 2015 and a request for the final allocation of funding submitted to the SBC in FY 16.

**Advanced manufacturing and emerging technology programs** (no unallocated balance; \$2,500,000 in FY 16 and \$2,625,000 in FY 17 proposed by the Governor) - a description of this project will be included in the BOR presentation.

### **Specific Authorizations for Agency Projects and Programs by Campus**

**Asnuntuck Community College: Alterations and improvements for expansion of library and student services** (no unallocated balance; \$3,800,000 in FY 17 proposed by the Governor) - a description of this project will be included in the BOR presentation.

**Asnuntuck Community College: Alterations, renovations and improvements to existing buildings** (\$11,442,755 unallocated) - The funds will be used for design and construction of major renovations and improvements. Funds will be used to redesign the main entrance and lobby for security purposes and to upgrade mechanical, electrical and plumbing systems. The project will be designed to LEED silver standards. This project is divided into two phases due to the immediate need to replace the failing roof notes earlier in this report. This second phase is the redesign of the main entrance and lobby, and upgrade to mechanical, electrical and plumbing systems. The project design phase is complete, construction is anticipated in the summer of 2015 and a request for construction funding will be submitted to the SBC in FY15.

**Capital Community College: Campus expansion** (\$500,000 unallocated) - The funds will be used for an interior retrofit of new space for Capital Community College based from their 2015 master plan update. These remaining unallocated funds are to be utilized in conjunction with previously bonded funds and the unallocated \$4,595,756 from the authorization noted below. In Spring 2015 the BOR will request that the DAS conduct a search for available space. It will take the DAS up to one-year to identify the space. A request to the SBC for design phase funds is anticipated for late FY 16.

**Capital Community College: Acquisition of property** (\$4,595,756 unallocated) - The funds will be used to purchase additional property. The master plan will be completed in spring 2014. These bond funds will be used to acquire additional space. In Spring 2015 the BOR will request that the DAS conduct a search for available space. It will take the DAS up to one-year to identify the space. A request to the SBC for property acquisition funds is anticipated for FY 16.

**Capital Community College: Alterations, renovations and improvements to optimize space utilization** (no unallocated balance; \$5,000,000 in FY 16 proposed by the Governor) – a description of this project will be included in the BOR presentation.

**Housatonic Community College: Parking garage improvements** (\$3,907,258 unallocated) – The funds will be used for major capital improvements to the eight-level parking garage structure implementing sustainable lighting systems, controls, and photovoltaic panels. DAS is currently negotiating a contract for design services and a request to the SBC for construction phase funds is anticipated for FY 16.

**Housatonic Community College: Implementation of phase III of the master plan for renovations and additions to Lafayette Hall** (\$40,467,047 unallocated) - The funds will be used for new and renovated science labs, art rooms, library, computer labs, general purpose classrooms, and student space, as well as upgrades to mechanical, electrical and plumbing systems. The project design is complete and a request to the SBC for construction phase funds is anticipated for FY 15.

**Manchester Community College: Campus improvements** (\$214,207 unallocated) – The funds will be used for the reconstruction of parking lot “B” and construction of a new parking area. The construction was completed in fall 2013. These remaining funds are needed for supplemental site lighting and security measures. Design is complete with bid for construction occurring in spring 2015. A request for funding is anticipated to be submitted to the SBC in FY 16.

**Manchester Community College: Code improvements to the Lowe building** (\$1,271,152 unallocated) – The funds will be used for a major code improvement project on campus, including repairs to the exterior concrete panels, miscellaneous structural concrete, caulk replacement, and building envelope improvements to the Lowe building. The design and bid phase is complete. A request for construction funding is anticipated to be submitted to the SBC in FY 15.

**Middlesex Community College: Acquisition of property** (\$190,000 unallocated) – The funds will be used to purchase a single family residential property that borders the Middlesex Community College campus. DAS is in discussion with the owner of the property with a pending offer. If finalized with an agreement in spring 2015, a request for acquisition funding is anticipated to be submitted to the SBC in FY15.

**Middlesex Community College: Planning, design and construction of a new academic building** (\$44,000,000 unallocated) – The funds will be used for design and construction of a new academic building. DAS is currently negotiating a contract for design services and a request to the SBC for construction phase funds is anticipated for FY 15.

**Naugatuck Valley Community College: Parking and site improvements** (\$6,563,444 unallocated) – The funds will be used for repairs to existing parking, perimeter roadways, and miscellaneous site improvements including site lighting and pedestrian sidewalks. The design and bid phases are anticipated to be completed in winter 2015 and a request for construction funding is anticipated to be submitted to the SBC in FY 15.

**Northwestern Community College: Site remediation, acquisition of property, design and construction for a replacement for the Joyner Building** (\$24,650,786 unallocated) – The funds will be used to replace the Joyner Building. The design and bid phases are complete. Bids for construction were received in April, 2014. A contract for construction could not be awarded to the lowest bidder and the project is now projected as over budget. The BOR will seek special legislation to fund the funding shortfall. A request to the SBC for construction phase funds is anticipated for FY 16.

**Norwalk Community College: Alterations, renovations and improvements to the B wing building** (no unallocated balance; \$3,800,000 in FY 17 proposed by the Governor) – a description of this project will be included in the BOR presentation.

**Norwalk Community College: Implementation of Phase III of the master plan** (no unallocated balance; \$5,190,000 in FY 17 proposed by the Governor) – a description of this project will be included in the BOR presentation.

**Quinebaug Valley Community College: Parking and site improvements** (\$1,906,726 unallocated) – The funds will be used for campus-wide parking and site improvements, including: (1) paving of entry and loop perimeter roads; (2) replacement of site lighting and sidewalks; (3) drainage and (4) landscaping.

*Update:* This project received a \$282,896 allocation at the November 2014 State Bond Commission meeting. The funds will be used to: (1) repave 300 parking spaces and drive aisles using the existing asphalt as “reclaim”, along with repaving the campus entry and perimeter “loop” road in the same manner, (2) perform miscellaneous repairs where needed, to the site’s drainage system, (3) replace 35 site lights and 17 sidewalk bollard lights with new energy efficient L.E.D. fixtures, and (4) make necessary landscape repairs at disturbed areas. DAS is currently retaining a design consultant for this project. A request to the SBC for construction phase funds is anticipated for FY 16

*Background:* The existing main campus roadways and parking areas are original to the 1984 campus and are in need of major repairs and upgrades. The project is for design and construction of repairs to a variety of parking and site improvements including parking lots, perimeter loop road, drainage, and replacing old site lighting with energy conservative L.E.D. types, provide new curbing, striping, and sidewalks. Quinebaug Community College currently enrolls approximately 1,900 students.



**Quinebaug Valley Community College: Heating, ventilating and air conditioning system improvements** (\$1,612,500 unallocated) - The funds will be used for heating, ventilating, and air conditioning improvements. The design is complete and a request for construction funding is anticipated to be submitted to the SBC in FY 15.

**Three Rivers Community College: Renovations to existing buildings and additional facilities for a consolidated campus in accordance with the master plan** (\$11,606,676 unallocated) - The funds will be utilized for master plan implementation including design and construction of an auditorium, two classrooms, and auxiliary spaces on campus. The design and bid phases are to be completed in winter 2015 and a request for construction funding is anticipated to be submitted to the SBC in FY 15.

**Tunxis Community College: Implementation of phase III of the master plan** (\$4,993,817 unallocated; \$3,000,000 in FY 16 proposed by the Governor) - The funds will be used for the design phase services of the Phase III campus master plan development. A funding request has been submitted to the SBC.

**Tunxis Community College: Feasibility study for property acquisition** (\$250,000 unallocated) - The funds will be used for a feasibility study for acquisition of property for creation of a manufacturing work space and relocation of continuing education operations. This study has been placed on hold.

## Appendix A

### Information Technology Capital Investment Planned and Active Projects

Agency	Project Title	Description	Amount \$
Board of Regents for Higher Education	CT Education Academy	Charter Oak State College/CT Distance Learning Consortium along with Central Connecticut State University, the Department of Administrative Services (DAS), and the Bureau of Rehabilitation Services (BRS) and the Governor’s Executive Committee for LEAN initiatives requests capital funding for the creation of the CT Education Academy, a State Employee centric educational environment which will provide an anywhere, anytime online “university” delivering academic training, registration and help desk services, and learning outcome assessments to the State Workforce. This project will coordinate training in a more efficient and cost effective manner.	\$1,857,615
Department of Administrative Services	Enterprise Licensing Management System (ELMS) Replacement RFP	The primary objective of this project is to position the enterprise licensing agencies to select a replacement ELMS Solution. This replacement decision will be based on a solution that better meets the long-term enterprise ELMS functions for issuing, maintaining, enforcement, and monitoring licenses. The ELMS product evaluation objectives will be broad with emphasis on a user-friendly and efficient licensing process, while providing additional features and services through the online portal. The technology options for these types of solutions are evolving rapidly. The state desires to assess the marketplace for more efficient and cost effective options.	\$265,000
Department of Administrative Services	Enterprise Content Management (ECM) Service Development	Enterprise Content Management (ECM) Service Development and Infrastructure Enhancements including Enterprise Records Retention, Redaction, Backup and Recovery software , and System Monitoring software.	\$5,388,292
Department of Administrative Services	Enterprise Licensing	Enterprise credential management (eLicensing) is used by multiple agencies (DPH, DCP, SOTS, DOAG). This project will complete current rollout activities to maximize current product implementation. The project will also reexamine agency business requirements to determine best long term system options.	\$270,000
Department of Administrative Services	Establish Enterprise Unified Communications	Establish Enterprise Class Unified Communications for the State of CT, with all prerequisites satisfied at major facilities. From an homogenous experience on the desktop, laptop, mobile device or web client, state employees will have all the standard UC tools: telephone integration (soft and desktop), IM, Presence, email, fax from desktop, video point to point and video conferencing and collaborative document sharing. At the management level, the system will provide greater flexibility for communications	\$10,648,872

Agency	Project Title	Description	Amount \$
		processing, allowing emergency failover to multiple locations and virtual tools at homes. The overall costs of telephones, video conferencing and data network will be reduced.	
Department of Administrative Services	Regulations Modernization For The State Of Connecticut	Currently, the publication of state regulations is completely paper based. The project shall encompass the efforts in developing a website for making the regulations of Connecticut available online to the public. The website shall incorporate the regulations making process consisting of various authorized governing bodies who decide on the proposed regulations, and a history of actions representing the process in the form of a regulations making record.	\$1,758,583
Department of Children and Families	SACWIS Replacement Project - Planning Phase	DCF's SACWIS Replacement Project - Planning Phase, will replace DCF's current child welfare case management and reporting system. The current system has been in operation for 20 years and has been found to not be federally compliant and in need of replacement. The Department will need to engage in a planning phase to create a statement of work and receive federal approval for the project, allowing for federal reimbursement.	\$2,210,000
Department of Correction	Department of Correction Health Portal - DOC HP	The Department of Correction (DOC) is proposing to create a Department of Correction Health Portal (DOC-HP) which will provide an electronic health record to facilitate the care of the nearly 17,000 inmate patients within the agency's facilities also to serve as a mechanism to link the agency's healthcare system to the various state agencies, outside community agencies, and external hospitals and clinics involved in the healthcare of DOC's patients.	\$8,050,000
Department of Correction	DOC OMIS	The DOC OMIS project will replace the existing set of complex, fragmented, duplicative and outdated systems with a comprehensive, modern and fully integrated system to support all CTDOC institutions (Jails and Prisons), Board of Parole and Pardons, and the Division of Community Supervision and to provide DOC offender data for consumption by other law enforcement entities (municipal, state and federal) and constituents	\$4,375,000
Department of Developmental Services	DDS Home and Community Based Management System	DDS needs to modernize its current application environment to create a new automated, integrated information system that reflects the current DDS business workflow and processes. DDS is working with the Department of Social Services (DSS) to submit a proposal to the Centers for Medicare and Medicaid Services (CMS) for enhanced funding under their Advance Planning Document process to accomplish this. The resulting solution must meet the CMS Seven Technical Conditions and Standards which include modularity and scalability of application development for enterprise wide solutions	\$2,825,906

<b>Agency</b>	<b>Project Title</b>	<b>Description</b>	<b>Amount \$</b>
Department of Developmental Services	DDS Quality of Services Review Transformation	Department of Developmental Services, Quality of Services Review electronic application redesign.	\$363,180
Department of Economic and Community Development	Lean-Driven IT Revitalization Project - Plateau 1	Information Technology (IT) revitalization centers on the development of a hybrid IT environment consisting of on-premise, BEST-hosted, and Windows Azure platform applications. The Agency's central processing environment consisting of its business, document management, and customer relationship management (CRM) systems may reside in house or in a cloud supported by either BEST or Microsoft (i.e., Windows Azure). This environment will be the foundation for support of for all of DECD's work.	\$1,620,000
Department of Emergency Services and Public Protection	Criminal History Modernization Project	In the wake of the Newtown shootings, the demand for firearm permits, gun sale authorizations and associated background checks has increased by about 25%. In addition to this increase in demand, there is a continuing expectation that background checks are performed as carefully as possible. Unfortunately, the current information system at the State Police that manages gun sale authorizations, gun permits, and associated background checks for the entire state is old and has very limited e-government (online self-service) capabilities. The current project seeks to upgrade this information system and provide additional e-government services so that State Police staff can manage the additional volume of permits and ensure that the utmost care is taken in properly vetting each gun sale authorization and permit application.	\$2,011,060
Department of Emergency Services and Public Protection	Special Licensing and Firearms	In the wake of the Newtown shootings, the demand for firearm permits, gun sale authorizations and associated background checks has increased by about 25%. In addition to this increase in demand, there is a continuing expectation that background checks are performed as carefully as possible. Unfortunately, the current information system at the State Police that manages gun sale authorizations, gun permits, and associated background checks for the entire state is old and has very limited e-government (online self-service) capabilities. The current project seeks to upgrade this information system and provide additional e-government services so that State Police staff can manage the additional volume of permits and ensure that the utmost care is taken in properly vetting each gun sale authorization and permit application.	\$556,820
Department of Emergency Services and Public Protection	AFIS/Cogent	The current project seeks to modernize Connecticut's Automated Fingerprint Identification System (AFIS), replacing the current, aging system with a new, state of the art Automated Biometric Identification System (ABIS), so that it can meet the current and future needs of the criminal justice community and private citizens in our state.	\$5,088,086

<b>Agency</b>	<b>Project Title</b>	<b>Description</b>	<b>Amount \$</b>
Department of Energy and Environmental Protection	DEEP Document Repository Automation	The DEEP Document Repository Automation Project will replace an extensive paper document repository, regularly used by both the public and agency staff, with a comprehensive digital repository that will be available online to DEEP staff, and to the public at no charge, together with appropriate search tools, confidentiality protocols, automated document management tools, and day-forward procedures designed to reduce the need for DEEP and the entities it regulates to produce paper documents in the first place.	\$4,000,000
Department of Energy and Environmental Protection	DEEP Enterprise Data Management	The DEEP data management program will integrate critical data systems and develop a data warehouse to provide one common source for all DEEP data.	\$2,500,000
Department of Energy and Environmental Protection	DEEP Enterprise Online Case Management System	DEEP's enterprise-wide online case management system will create a public online, paperless interface to conduct the business of licensing, permitting and registration of activities that are under DEEP jurisdiction.	\$7,950,000
Department of Energy and Environmental Protection	VOIP (Voice over IP telephony) implementation at DEEP	VOIP (Voice over IP telephony) implementation at DEEP headquarters and major satellite offices.	\$1,500,000
Department of Motor Vehicles	DMV, DESPP, DOI Real Time Insurance Verification Project	Real time insurance verification for all Connecticut registered vehicles - Currently law enforcement officers, DMV employees and others rely on insurance cards or Acord filings to prove a vehicle has the required insurance. These can be easily forged or outdated, e.g. the policy was cancelled after the card was issued but before the expiration date on the card. This project will establish a system that will allow insurance coverage to be verified on line in real time. This project will also benefit motorists who have insurance but have neglected to put their current insurance card in their vehicle.	\$900,000
Department of Public Health	Electronic Vital Records Registration System - Module Integration Project	The electronic vital records registration system module integration project is proposed to add three software modules to the EVRR/Birth/Core registration system to complete the integration of the comprehensive vital registration system at the Department of Public Health. The modules to be added into the system are for Death, Fetal Death and issuance (point of sale).	\$1,685,715
Department of Public Health	Modernization of the Sexually Transmitted Diseases Database to a web-enabled application	The goal of this project is to transition sexually transmitted disease (STD) data from the current outdated desktop installed DOS-based stand-alone database into the modern web-enabled application (CTEDSS) Maven system that is being used to support other infectious disease data and case management by the Department of Public Health (DPH). This transition will allow us to modernize the STD database, add additional functionality needed to meet expanding program needs such as case follow-up and tracking,	\$2,524,806

Agency	Project Title	Description	Amount \$
		add the ability for external users such as field-based staff, local health departments and healthcare providers to access the data remotely, and add the federally mandated capability for electronic laboratory reporting	
Department of Public Health	WIC ELECTRONIC BENEFITS TRANSFER (EBT) 2013 DPHM1 328	This project is to build out the infrastructure for all Connecticut WIC vendors to process WIC transactions through Electronic Benefits Transfer (EBT) cards. This includes development projects with retail chains to get their Electronic Cash Register (ECR) to process WIC transactions through the state's WIC EBT provider and have their environment be certified by USDA.	\$1,322,716
Department of Public Health	WIC MANAGEMENT INFORMATION SYSTEM INTEGRATION DPHM1 400	The Connecticut Special Supplemental Nutrition Program for Women, Infants and Children (CT WIC) has conducted extensive project planning for the program's Management Information System (MIS) modernization, utilizing funding awarded under the American Recovery & Reinvestment Act (ARRA) in 2009. Based on an analysis of available WIC MIS systems in operation in other states, CT WIC has determined that the Michigan MIS system ("MI-WIC") is the most appropriate for its purposes, and the most readily adaptable to our State's specific needs.	\$1,869,877
Department of Rehabilitation Services	Integrated Consumer Service and Reporting System	The Department of Rehabilitation Services (DORS) proposes to implement a Case Management System to support the unique business needs of the agency and its underlying programs. This system would optimize the communication and workflow between the programs of this recently consolidated Department. It would also provide caseload management tools and capabilities to programs presently unsupported by any technology. The primary project goals include: enhanced data management and reporting, uniform and streamlined fiscal processing, and promotion of data sharing between agency programs. Additional short term project goals include increased and efficient public access to services through enabling consumers to initiate the process of referring themselves to specific programs. Longer term goals could include using this same system and approach as a model for other state Social/Human Services Departments with multiple programs and funding sources.	\$3,255,798
Department of Revenue Services	Enterprise Content Management	The Department of Revenue Services (DRS) has embarked on an Enterprise Content Management (ECM) initiative that will provide (1) scanning and imaging, (2) FileNet conversion and (3) document management. The deliverables for ECM scanning and imaging will replace existing outdated and obsolete remittance and data entry equipment and enable streamlined processes.	\$10,865,000
Department of Social Services	Balancing Incentive Program	The Balancing Incentive Program - Automation of Long Term Services and Supports No Wrong Door and	\$1,114,726

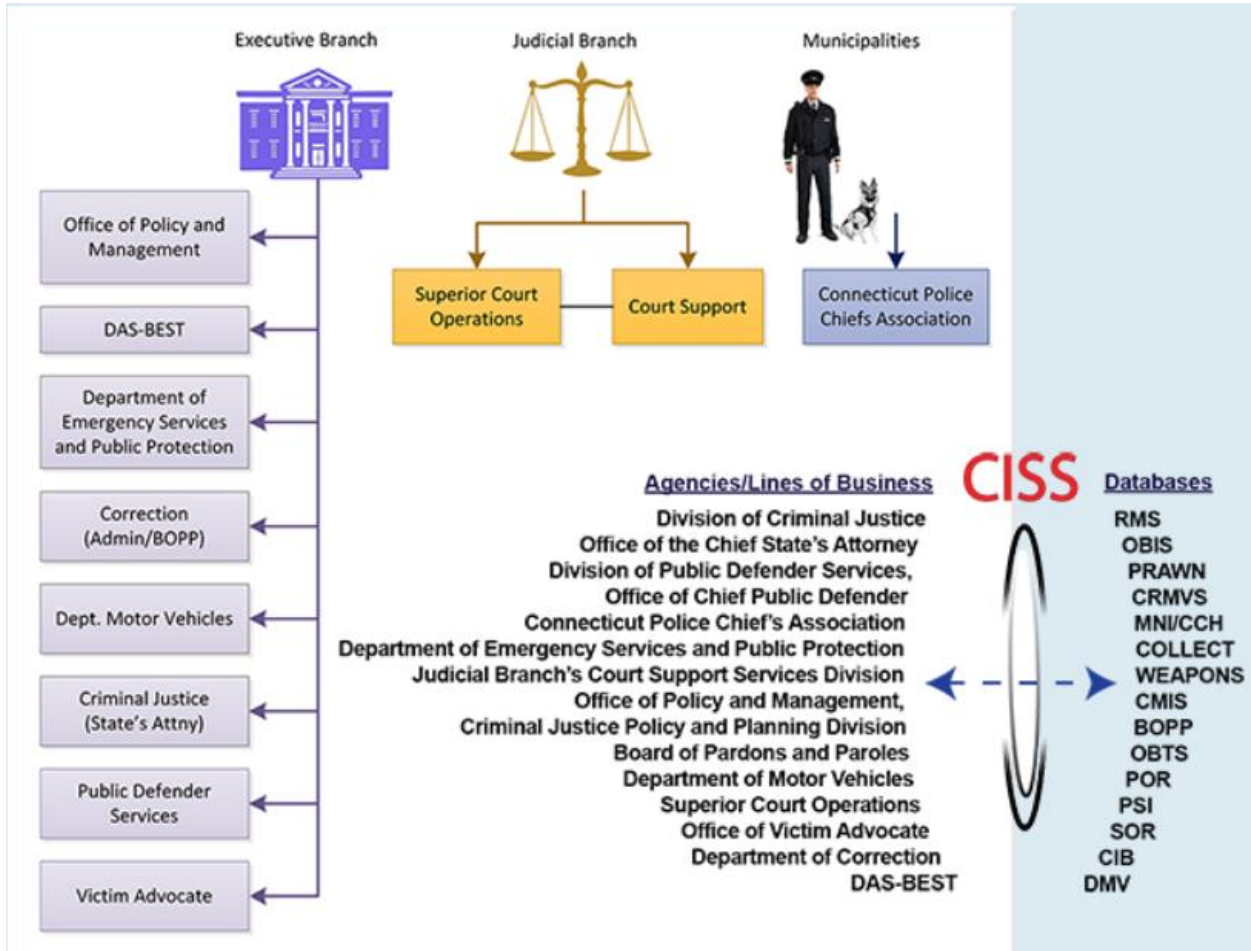
Agency	Project Title	Description	Amount \$
	(BIP)	Universal Assessment (BIP Project) will streamline the process through which individuals who need Long Term Services and Supports (LTSS) apply for and receive those services, resulting in improved access to community-based, as opposed to, nursing facility care. LTSS are services and supports that help people with functional limitations care for themselves, providing help with things like bathing, dressing, eating, preparing meals, managing medications, walking, housework, and managing finances.	
Department of Social Services	ConneCT Project	The DSS ConneCT program will modernize the agency's existing infrastructure with the implementation of a Modernization of Client Service Delivery (MCSD) solution utilizing three (3) specific technologies: Internet-based Client Access and Web Services, Document Scanning and Work Flow Management, and Interactive Voice Response (IVR) and Call Center Services. These technologies will be integrated with our existing eligibility legacy system known as EMS. These functionalities will increase the efficiency and effectiveness in how DSS serves its customers.	\$8,050,041
Department of Social Services	Health Information Exchange/Integrated Eligibility (IE)	The Department of Social Services Integrated Eligibility System project will replace the Department's existing 24 year old Eligibility Management System (EMS). The integrated eligibility platform, once designed, developed and implemented, will provide a seamless eligibility and enrollment process for Medicaid, CHIP and the CTHIX, and will ultimately be used to determine eligibility for other social service programs (e.g., SNAP, TFA). Specifically, the planned integrated eligibility function will initially address federal requirements for eligibility determinations for advance premium tax credits and reduced cost sharing through the Exchange, MAGI-based eligibility for Medicaid, complete individual responsibility exemption determinations, and coordinate enrollment.	\$18,776,611
Department of Transportation	DOT Telephone System Replacement	The primary telephone switch (PBX) for the DOT Newington Headquarters is more than 20 years old and is past end of life. The system is no longer supportable due to the age of the hardware and the outdated software. At the same time, the cabling within the main building is too old to support new PBX technology and high-bandwidth geospatial applications. This project will replace the old cables as well as the current telephone system with new technology that will provide numerous benefits to the agency.	\$1,900,000
Department of Veterans Affairs	Electronic Medical Record	To implement an Electronic Medical Records (EMR) Patients Management System from the point of admission, patient care plan, patient care records, scheduling, prescription drugs, patient billing, VA per diem and Medicare/Medicaid billing, to include case	\$818,956

<b>Agency</b>	<b>Project Title</b>	<b>Description</b>	<b>Amount \$</b>
		management and reporting – the enterprise solution will need to meet the federal requirement for billing/reimbursement and to be in compliance with all Federal Regulation and HIPPA compliance requirements.	
Division of Public Defenders	DPDS Capabilities Improvement Program	The project will ensure the success of the Connecticut Information Sharing System (CISS) efforts at DPDS and address the following critical issues: (a) the lack of the dedicated Case Management System that meets the needs of adults as well as juvenile clients; (b) the difficult access to key information and information sources; (c) the lack of standardized Attorney and Staff information tools; (d) the lack of integrated information; (e) the lack of mobile access to information; and (f) the excessive reliance on paper files.	\$3,834,250
Office of Early Childhood	Connecticut's Early Childhood Information System and Quality Rating and Improvement System.TQRIS	To meet PA 11-181, two distinct information systems need to be built: an Early Childhood Information System (ECIS) and a Quality Rating and Improvement System (QRIS). The ECIS is an operational transactional system used for child case management and answering advanced policy-related questions. The QRIS is a system used to track early care and education program- and provider-level data, as well as to provide the public with information about the quality of available early care and education programs	\$6,000,000
Office of Policy and Management	Funding for agency requirements	This funding was requested the IT Strategy and Investment Committee to create a pool of funding. It will be used by agencies that need funding to determine requirements and associated costs for implementing a project or developing an IT strategy for the agency. This fund will be managed by OPM and distributed upon approval by the IT Strategy and Investment Committee.	\$750,000
Office of Policy and Management	Funding for Mobile Technology program	This funding was requested by OPM to create a pool of money to fund/grant 3 projects at 100k each for an investment in Mobile Technology. This will be managed by OPM and distributed upon approval by the IT Strategy and Investment Committee.	\$450,000
Office of Policy and Management	Grants management system	The Criminal Justice Policy and Planning Division of OPM (OPM/CJPPD) is purchasing a Lifecycle Grants Management Solution (LC-GMS) that encompasses beginning to end management and administration of grant programs, sub-recipient grant projects and/or contracts in a workflow driven, scalable, user configurable, secure, enterprise capable system.	\$421,244
Office of State Comptroller	State Employees Retirement System (SERS) Replacement Project	This project will develop the requirements to replace the current State Employee Retirement System (SERS) with the PeopleSoft Pension Administration Module	\$2,000,000
<b>TOTAL</b>			<b>\$129,778,154</b>



# Appendix B

## CISS Diagram



## Appendix C

### FY 14 STEAP Grant-in-aid Awards

Town Name	Project Description	Amount \$
Avon	Phases III & IV Village Center streetscape improvement	450,000
Barkhamsted	Two bridge repairs - Park Road	500,000
Bethel	Sidewalk improvements - Downtown Business District and Bethel Metro North area	430,000
Canton	Mill Pond Park and Complex - improvements and upgrades	500,000
Cheshire	Town center sidewalks	500,000
Chester	Chester Village Revitalization project	450,000
Colchester	Dam removal, river bank restoration and rehab of Old Mill along Jeremy River	350,000
Cornwall	Repairs to North Cornwall Meeting House	100,000
Coventry	Sluiceway/Lake Gate	475,000
East Hampton	Phase II blight removal/public safety and parking project - village	500,000
Ellington	Town Hall addition	500,000
Farmington	Install athletic turf at Farmington High School	500,000
Greenwich	Expansion of the Nathaniel Witherell's Rehabilitation Center	500,000
Hampton	Resurface Old Route 6	450,000
Harwinton	Construction of a Municipal Emergency Operations Center	325,000
Kent	Property acquisition for municipal parking, public restrooms, town park/village green	500,000
Ledyard	Town center improvements-parking, lighting, landscaping and cross-walk expansion	500,000
Marlborough	North Main Street Exit 12 Gateway Economic Enhancement Project	500,000
Middlefield	Rehabilitate Mill Road Bridge	131,000
Milford	Design/reconstruct Beaverbrook Boardwalk & Nature Trail enhancements	500,000
Morris	Generator replacement at highway department garage	35,000
New Milford	Sidewalk and streetscape improvements Downtown Village Center	448,334
Newtown	Hawleyville sewer extension project	500,000
North Haven	Redesign and renovate public works garage	470,267
North Stonington	Water line extension to residential, fair grounds, grange hall, old fire station, new EMS center and future water tower	245,400
Old Saybrook	Main Street connections park and parking lot project	500,000
Oxford	Phase II - Agnes Schiavi Tetlak Park Project	500,000
Plymouth	Route 6 streetscape	500,000
Portland	Final Phase - Brownstone Ave. Extension project	363,000
Redding	Mark Twain Library parking lot improvements	342,000
Ridgefield	Phase III - Danbury Road streetscape project	320,000
Ridgefield	Final design/construction of exterior site improvements to town hall	180,000

<b>Town Name</b>	<b>Project Description</b>	<b>Amount \$</b>
Rocky Hill	Replace artificial turf at McVicar Field	444,000
Seymour	Phase IV - Seymour sidewalk replacement project	200,000
Sherman	Public works wash station	205,000
Sprague	Reconstruction and drainage improvements - Pautipaug Hill Road	500,000
Suffield	Restoration work at Hilltop Farm	250,000
Thomaston	Phase I construction of track and athletic facility/ Nystrom Park	500,000
Tolland	Public library expansion	500,000
Trumbull	Renovations to Mary J. Sherlach Counseling Center	226,000
Waterford	Design and improvements to Mago Point Park and business	500,000
Weston	Soccer/Lacrosse Field - synthetic turf installation	225,000
Wethersfield	Commercial façade improvement program	450,000
Willington	Phase III - Reconstruction and drainage improvements of Turnpike Road	500,000
Wilton	Wilton Train Station Walkway Project	500,000
Winstead/Winchester	Redevelop Lambert Kay Building	500,000
Wolcott	Open space land acquisition across from high school	350,000
Woodbridge	Pedestrian & traffic flow improvements - North entrance to Beecher Road Elementary School	500,000
Woodstock	Modify and update Woodstock Transfer Station	450,000
<b>TOTAL</b>		<b>19,865,001</b>

## Appendix D

### FY 14 and FY15 Grants-in-aid to Towns for Municipal Projects and Purposes

Town	FY 14 \$	FY 15 \$
Andover	\$2,464	\$2,464
Ansonia	80,336	80,336
Ashford	3,369	3,369
Avon	245,886	245,886
Barkhamsted	38,995	38,995
Beacon Falls	41,202	41,202
Berlin	739,604	739,604
Bethany	63,229	63,229
Bethel	265,841	265,841
Bethlehem	7,472	7,472
Bloomfield	1,600,114	1,600,114
Bolton	23,380	23,380
Bozrah	130,279	130,279
Branford	352,546	352,546
Bridgeport	970,184	970,184
Bridgewater	552	552
Bristol	2,338,949	2,338,949
Brookfield	111,243	111,243
Brooklyn	9,761	9,761
Burlington	14,390	14,390
Canaan	19,480	19,480
Canterbury	1,902	1,902
Canton	7,518	7,518
Chaplin	565	565
Cheshire	692,865	692,865
Chester	83,953	83,953
Clinton	180,269	180,269
Colchester	36,688	36,688
Colebrook	517	517
Columbia	25,171	25,171
Cornwall	-	-
Coventry	9,906	9,906
Cromwell	29,249	29,249
Danbury	1,624,148	1,624,148
Darien	-	-
Deep River	97,940	97,940
Derby	13,852	13,852
Durham	144,740	144,740
Eastford	51,317	51,317
East Granby	505,475	505,475
East Haddam	1,595	1,595
East Hampton	17,816	17,816

<b>Town</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
East Hartford	4,182,901	4,182,901
East Haven	40,912	40,912
East Lyme	21,107	21,107
Easton	2,502	2,502
East Windsor	277,470	277,470
Ellington	210,227	210,227
Enfield	241,591	241,591
Essex	70,111	70,111
Fairfield	90,990	90,990
Farmington	513,328	513,328
Franklin	21,707	21,707
Glastonbury	226,471	226,471
Goshen	2,490	2,490
Granby	33,230	33,230
Greenwich	83,725	83,725
Griswold	29,997	29,997
Groton (Town of)	1,166,988	1,166,988
Guilford	60,989	60,989
Haddam	3,343	3,343
Hamden	269,631	269,631
Hampton	-	-
Hartford	1,334,719	1,334,719
Hartland	898	898
Harwinton	20,226	20,226
Hebron	2,084	2,084
Kent	-	-
Killingly	664,666	664,666
Killingworth	4,842	4,842
Lebanon	28,617	28,617
Ledyard	396,030	396,030
Lisbon	3,464	3,464
Litchfield	3,228	3,228
Lyme	-	-
Madison	6,391	6,391
Manchester	1,008,637	1,008,637
Mansfield	6,434	6,434
Marlborough	6,878	6,878
Meriden	840,468	840,468
Middlebury	79,250	79,250
Middlefield	233,857	233,857
Middletown	1,868,907	1,868,907
Milford	1,264,846	1,264,846
Monroe	168,449	168,449
Montville	497,189	497,189
Morris	3,318	3,318
Naugatuck	321,327	321,327
New Britain	1,301,538	1,301,538

<b>Town</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
New Canaan	188	188
New Fairfield	1,081	1,081
New Hartford	130,893	130,893
New Haven	1,287,658	1,287,658
Newington	863,254	863,254
New London	31,195	31,195
New Milford	634,087	634,087
Newtown	221,366	221,366
Norfolk	6,778	6,778
North Branford	283,160	283,160
North Canaan	338,315	338,315
North Haven	1,359,707	1,359,707
North Stonington	-	-
Norwalk	378,941	378,941
Norwich	175,997	175,997
Old Lyme	1,776	1,776
Old Saybrook	43,937	43,937
Orange	98,717	98,717
Oxford	79,296	79,296
Plainfield	136,187	136,187
Plainville	509,690	509,690
Plymouth	143,364	143,364
Pomfret	26,165	26,165
Portland	85,435	85,435
Preston	-	-
Prospect	66,721	66,721
Putnam	161,578	161,578
Redding	1,250	1,250
Ridgefield	528,547	528,547
Rocky Hill	208,037	208,037
Roxbury	566	566
Salem	4,419	4,419
Salisbury	78	78
Scotland	7,224	7,224
Seymour	264,455	264,455
Sharon	-	-
Shelton	549,365	549,365
Sherman	-	-
Simsbury	73,028	73,028
Somers	77,426	77,426
Southbury	19,733	19,733
Southington	771,956	771,956
South Windsor	1,258,566	1,258,566
Sprague	363,529	363,529
Stafford	411,860	411,860
Stamford	391,381	391,381
Sterling	22,946	22,946

<b>Town</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Stonington	94,362	94,362
Stratford	3,298,976	3,298,976
Suffield	169,913	169,913
Thomaston	371,822	371,822
Thompson	72,167	72,167
Tolland	80,003	80,003
Torrington	569,326	569,326
Trumbull	178,045	178,045
Union	-	-
Vernon	142,578	142,578
Voluntown	1,883	1,883
Wallingford	1,832,519	1,832,519
Warren	271	271
Washington	149	149
Waterbury	2,366,443	2,366,443
Waterford	32,217	32,217
Watertown	604,064	604,064
Westbrook	251,494	251,494
West Hartford	757,839	757,839
West Haven	138,739	138,739
Weston	426	426
Westport	-	-
Wethersfield	20,489	20,489
Willington	18,827	18,827
Wilton	288,788	288,788
Winchester	287,984	287,984
Windham	427,527	427,527
Windsor	1,242,398	1,242,398
Windsor Locks	1,794,444	1,794,444
Wolcott	220,938	220,938
Woodbridge	28,140	28,140
Woodbury	53,522	53,522
Woodstock	64,675	64,675
Jewett City (Bor.)	3,945	3,945
Barkhamstead FD	2,351	2,351
Berlin - Kensington FD	10,711	10,711
Berlin - Worthington FD	885	885
Bloomfield: Center FD	3,925	3,925
Bloomfield Blue Hills FD	96,952	96,952
Cromwell FD	1,723	1,723
Enfield FD 1	13,765	13,765
Enfield: Thompsonville FD 2	2,972	2,972
Enfield: Hazardville Fire #3	1,292	1,292
Enfield: N Thompsonville FD 4	65	65
Enfield: Shaker Pines FD 5	6,022	6,022
Groton City	154,839	154,839
Groton Sewer	1,588	1,588

<b>Town</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Groton Old Mystic FD 5	1,594	1,594
Groton: Poq. Bridge FD	20,973	20,973
Killingly Attawaugan FD	1,727	1,727
Killingly Dayville FD	39,582	39,582
Killingly Dyer Manor	1,343	1,343
E. Killingly FD	89	89
So. Killingly FD	178	178
Killingly Williamsville FD	6,311	6,311
Manchester Eighth Util.	64,354	64,354
Middletown: South FD	194,759	194,759
Middletown Westfield FD	10,158	10,158
Middletown City Fire	31,824	31,824
New Htfd. Village FD #1	6,704	6,704
New Htfd Pine Meadow #3	123	123
New Htfd South End FD	9	9
Plainfield Central Village FD	1,379	1,379
Plainfield - Moosup FD	2,045	2,045
Plainfield: Plainfield FD	1,842	1,842
Plainfield Wauregan FD	4,830	4,830
Pomfret FD	970	970
Putnam: E. Putnam FD	9,508	9,508
Simsbury FD	2,481	2,481
Stafford Springs Service Dist.	14,339	14,339
Sterling FD	1,216	1,216
Stonington Mystic FD	565	565
Stonington Old Mystic FD	2,369	2,369
Stonington Pawcatuck FD	5,173	5,173
Stonington Quiambaug FD	68	68
Stonington Wequetequock FD	69	69
Trumbull Center	522	522
Trumbull Long Hill FD	1,039	1,039
Trumbull Nichols FD	3,231	3,231
W. Haven: West Shore FD	32,643	32,643
W. Haven: Allingtown FD	20,234	20,234
West Haven First Ctr FD 1	4,454	4,454
Windsor Wilson FD	201	201
Windsor FD	13	13
Windham First	8,398	8,398
<b>TOTAL</b>	<b>56,429,907</b>	<b>56,429,907</b>



## Appendix E

### Intertown Capital Equipment Purchasing Incentive Program Grants-in-aid

Recipients	Equipment	Amount \$
<b>December 2012 Grants-in-aid</b>		
Sprague, Bozrah, Franklin, Lebanon	Bucket Truck, Brush Chipper, Over-the-Rail Mower, Grapple Bucket Payloader, Catch Basin Cleaner	250,000
Bristol, Plainville, Plymouth	Python Pothole Patcher	150,000
Middlefield, Durham	Wood chipper for recycling center	106,525
Coventry, Chaplin, Columbia, Lebanon, Mansfield, Windham, Willington	Two pavement recyclers, Skid Steer, Tilt-Top Trailer	90,698
Wethersfield, Berlin, Newington, Rocky Hill	75' Bucket Truck	90,000
Manchester, East Hartford, South Windsor	Liquid De-Icer System, Brine Maker and Sprayers	63,470
Coventry and South Windsor	Computer Equipment	32,215
Brooklyn, Canterbury, Plainfield	Bucket Truck	27,113
Ashford, Putnam, Thompson	Computers	21,850
Deep River and Essex	Emergency Communication Equipment for Regional School District #4	19,000
Brooklyn and Putnam	Dethatcher/Overseeder	4,691
<b>TOTAL</b>		<b>855,562</b>
<b>May 2014 Grants-in-Aid</b>		
Coventry, South Windsor, Tolland	E-government technology equipment	31,207
Glastonbury and Hebron	Grounds maintenance equipment	39,383
Burlington and Farmington	Dispatch system servers	9,424
Burlington and Canton	Paving box <sup>6</sup>	18,998
<b>TOTAL</b>		<b>99,012</b>
<b>2015 Grants-in-Aid</b>		
Ansonia and Derby	Shared truck	14,939
Coventry, South Windsor, Tolland	Computer equipment	34,193
Coventry and Mansfield	Catch basin cleaner	80,299
Lyme and Old Lyme	Patrol boat and accessories	3,389
New Haven and Woodbridge	Chassis and dump trailer	109,780
Oxford, Beacon Falls, Derby and	Storm Drain Vacuum Truck	250,000

<sup>6</sup> A paving box or drag box paver is hooked to the back of the dump truck and the asphalt is dumped into the box. This paving technique is used to pre-level potholes or deep cracks on roads, and as a patchwork asphalt maintenance tool. The machine does not have the control for precise paving jobs; it is mainly used to overlay material on existing asphalt roads.

<b>Recipients</b>	<b>Equipment</b>	<b>Amount \$</b>
Seymour		
Simsbury and Bloomfield	Track Paver	20,000
Simsbury and East Granby	Roadside Mower	28,500
Simsbury, Avon, Bloomfield, Canton, Farmington and Granby	3 Trailer Mounted Hot Boxes	52,500
Warren with all Towns in Northwest Hills COG	Street Sweeper	136,662
Weston, Darien, Easton, Greenwich, New Canaan, Norwalk, Stamford, Westport and Wilton	Equipment/Tools for Technical Investigation Unit of South Western CT	21,361
<b>TOTAL</b>		<b>751,624</b>

## Appendix F

### FY 15 Transit-Oriented Development Planning Grant Awards

Town Name	Project Description	Amount \$
Berlin	TOD plan for the Berlin Train Station/Kensington Village area	150,000
Meriden	Traffic and engineering plans for roadway improvements within the station area	125,000
New Haven	Transportation and land use planning around Wooster Square/Water Street neighborhood and Downtown Crossing District	125,000
Bridgeport	TOD Master Plan around the future Barnum Train Station	150,000
Enfield	Economic Development Strategy for the Thompsonville section of Enfield	100,000
West Hartford	Complete Streets Study for the New Park Ave Transit Area	75,000
Bethel	TOD technical analysis around the Bethel Train Station, including transportation planning, sewer capacity examinations, environmental analysis, and economic/market analysis	100,000
Wallingford	TOD/Station area plan of development	75,000
New Britain	Station Area Plans of Development around the Downtown, East Main Street and East Street CTfastrak stations	250,000
Milford	Market Analysis and Conceptual Plan centered on the Milford Train Station	150,000
Stratford	Complete Streets Improvement Plan for Stratford Center	200,000
<b>TOTAL</b>		<b>1,500,000</b>

## Appendix G

### Grants made under the Nonprofit Collaboration Incentive Program

<b>Date</b>	<b>Organization</b>	<b>Project Description</b>	<b>Amount \$</b>
2/24/2011	CommuniCare	IT collaboration among Bridges, Inc., Birmingham Health Group Services, and Harbor Health Services	331,059
2/24/2011	Family Centers' Inc.	IT Collaboration among Family Centers' Inc. and Children's Agency and Family Services - Woodfield	584,611
4/27/2012	Wheeler Clinic	Purchase of video conferencing equipment to allow for collaboration with Community Health Resources - Plainville	121,405
4/27/2012	Bristol Community Organization, Inc.	IT system collaboration to consolidate administrative functions among Bristol Community Organization, Inc., TEAM, Inc. and the Human Resource Agency.	134,841
7/25/2014	Connecticut Association for Community Action	Streamline data warehouse with Access Agency; Community Action Committee of Danbury; New Opportunities, Inc.; Thames Valley Council for Community Action	525,000
7/25/2014	Waterford Country School Camp Cuheca	Upgrade existing activity site and garden with FRESH Farm New London	62,485
7/25/2014	Community Mental Health Affiliates, Inc.	Consolidation of administrative functions with Farrell Treatment Center	310,885
7/25/2014	CIL Community Resources, Inc.	Development of a multi-tenant nonprofit center in Hartford with Connecticut Association of Nonprofits	1,500,000
7/25/2014	New London Homeless Hospitality Center	Purchase of a 15-passenger van with New London Meal Center	53,000
7/25/2014	Mercy Housing and Shelter Corporation	Purchase and development of a client database system with Hands on Hartford	103,635
<b>TOTAL</b>			<b>3,726,921</b>

## Appendix H

### FY 14 Grants-in-aid to private, non-profit health and human service organizations

Organization	Project	Amount \$
Ability Beyond Disability, Inc.	Safety - Dorset	181,000
Ability Beyond Disability, Inc.	Safety - Ritch	146,000
Ability Beyond Disability, Inc.	Safety - Saw Mill	209,000
Ability Beyond Disability, Inc.	Safety - Squire	197,000
Ability Beyond Disability, Inc.	Safety - Sweet Cake	242,000
Alcohol & Drug Recovery Centers, Inc.	ADA Improvements Recovery House	381,000
Alcohol & Drug Recovery Centers, Inc.	Energy Improvements Coventry House	234,000
Alcohol & Drug Recovery Centers, Inc.	Improvements Recovery House	360,000
Allied Rehabilitation Centers, Inc.	HVAC Upgrade	158,900
Allied Rehabilitation Centers, Inc.	Generators	69,000
Allied Rehabilitation Centers, Inc.	Generators	52,600
The Arc of Farmington Valley, Inc.	Generators	63,675
The Arc of Farmington Valley, Inc.	Boiler/Generator System	51,513
The Arc of Farmington Valley, Inc.	Improvements to Canton Springs Road	104,485
Brain Injury Alliance of Connecticut, Inc.	IT	49,000
Bridges...A Community Support System, Inc.	Renovations to building	41,055
Bridges...A Community Support System, Inc.	Renovations/Open Door Social Club	107,000
Bristol Adult Resource Center Inc.	Vehicle	70,000
Catholic Charities of Fairfield County, Inc.	Vehicle	38,219
CCARC, Inc.	Generator	117,500
CCARC, Inc.	Water Booster System	28,000
CCARC, Inc.	Vehicles	103,500
Central Naugatuck Valley Help, Inc.	Generator	84,550
Central Naugatuck Valley Help, Inc.	IT	38,261
Central Naugatuck Valley Help, Inc.	Vehicles	72,145
Chapel Haven, Inc.	Generator	85,000
Chapel Haven, Inc.	Generator - Berkshaw	35,000
Chemical Abuse Services Agency, Inc.	Vehicles	70,500
Child and Family Agency of Southeastern CT, Inc.	Fire Escape Replacement/Safety	29,500
Child and Family Agency of Southeastern CT, Inc.	New Windows, Safety & Improvements	45,500
Child and Family Guidance Center, Inc.	Improvement HVAC	615,000
Child Guidance Center of Southern Connecticut, Inc.	EMR	44,582
Child Guidance Center of Southern Connecticut, Inc.	Security	28,000
Child Guidance Clinic for Central Connecticut, Inc.	Renovation	37,386
Chrysalis Center, Inc.	Improvement	467,008
Clifford W. Beers Guidance Clinic, Inc.	Improvement - Roof	334,500

<b>Organization</b>	<b>Project</b>	<b>Amount \$</b>
Columbus House, Inc.	Renovation	198,775
Communicare, Inc.	IT Central Office	119,165
Community Partners in Action	Upgraded Energy Efficient HVAC	188,000
Community Partners in Action, Inc.	Upgraded Security	86,000
Community Partners in Action, Inc.	Vehicle Replacement	143,000
Community Renewal Team, Inc.	Energy Improvements	225,660
Community Renewal Team, Inc.	Energy & Conservation	51,700
Community Renewal Team, Inc.	Vehicle	98,350
Connecticut Junior Republic Association, Inc.	HVAC - Prospect Street	500,000
Connecticut Junior Republic Association, Inc.	Vehicle	135,300
Connecticut Legal Services, Inc.	Improvement	25,300
Connecticut Renaissance, Inc.	Generator	58,080
Covenant to Care, Inc.	Vehicle	53,954
CW Resources, Inc.	Improvement	69,505
CW Resources, Inc.	Improvement Myrtle Street	78,444
CW Resources, Inc.	Improvement Auto Doors Opener	76,083
CW Resources, Inc..	Vehicles	220,000
CW Resources	Parking lot improvements	106,665
Easter Seals Capital Region & Eastern Connecticut, Inc.	Vehicles	452,000
Easter Seals Goodwill Industries Rehabilitation Center	IT	25,000
Easter Seals Goodwill Industries Rehabilitation Center	Vehicle	32,000
Education Connection	EMR	75,405
Education Connection	Vehicles	59,508
ElderHouse, Inc.	IT	25,400
Families in Crisis, Inc.	IT	35,000
Family & Children's Agency	IT	207,938
Family Centers, Inc.	IT	242,925
Foodshare, Inc.	IT	160,027
FSW, Inc. CT	IT	171,430
Futures, Inc.	Vehicle	117,820
Greater New Britain Teen Pregnancy Prevention, Inc.	Vehicle	34,000
Hartford Dispensary	Renovation - Willimantic Clinic	250,000
Hebrew Community Services, Inc.	Vehicles	211,344
Hockanum Valley Community Council, Inc.	IT	71,980
Holy Family Home and Shelter, Inc.	Improvement	28,774
Holy Family Home and Shelter, Inc.	Vehicle	36,000
Independence Northwest Center for Independent Living	HVAC Improvement Main Center	45,538
Inspirica, Inc.	Generator - Franklin Common	300,000
Inspirica, Inc.	Improvement	170,000
Inspirica, Inc.	Safety	150,000
Key Human Services, Inc.	Generator	10,319

<b>Organization</b>	<b>Project</b>	<b>Amount \$</b>
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	9,819
Kids in Crisis, Inc.	Renovation	38,600
Klingberg Comprehensive Program Services, Inc.	Vehicles	38,644
Klingberg Comprehensive Program Services, Inc.	Vehicle (Webster House)	35,340
Klingberg Comprehensive Program Services, Inc.	Facility Improvement	100,000
Klingberg Comprehensive Program Services, Inc.	Improvement (elevator)	120,000
Klingberg Comprehensive Program Services, Inc.	EMR	225,500
Liberty Community Services, Inc.	Improvement - Roof	158,000
Marc, Inc. of Manchester	Vehicle	98,990
Marc, Inc. of Manchester	Renovation	218,800
Marrakech, Inc.	IT - Electronic Document System	51,590
Mid-Fairfield AIDS Project, Inc.	Renovation Moore Place	52,000
Mid-Fairfield Child Guidance Center, Inc.	EMR	64,160
Mid-Fairfield Child Guidance Center, Inc.	Vehicle	142,500
New Reach	Renovation	404,100
New Seasons, Inc.	Renovation Scott Drive	114,600
New Seasons, Inc.	Generator	78,500
New Seasons, Inc.	Improvement - Equipment	68,169
New Seasons, Inc.	Renovation Marian Drive	48,000
New Seasons, Inc.	Vehicles	191,356
Operation Fuel, Inc.	IT	114,225
Opportunity House, Inc.	Vehicles	79,656
Reliance House, Inc..	Improvement Smith Avenue	28,550
Reliance House, Inc.	Renovations/Bathroom Smith Avenue	30,000
Safe Futures, Inc.	Improvement	29,780
Safe Futures, Inc.	Roof Replacement	43,975
Safe Futures, Inc.	Improvement	39,300
SARAH, Inc.	Building Renovations	142,434
SARAH, Inc.	IT	30,242
Shelter for the Homeless, Inc.	Shelter Improvement	153,000
Southwestern CT Agency on Aging, Inc.	IT	541,700
St. Vincent DePaul Mission of Bristol, Inc.	Improvement	87,600
St. Vincent DePaul Mission of Bristol, Inc.	Renovation - Shelter	33,040
Star, Inc.	14 Vehicles	342,600
Star, Inc.	Improvement - Sprinkler System	209,500
Staywell Health Care, Inc.	Improvement	44,000
The Access Agency, Inc.	Renovation	103,500
The Bridge Family Center, Inc.	Renovation - counseling rooms	270,760

<b>Organization</b>	<b>Project</b>	<b>Amount \$</b>
The Bridge Family Center, Inc.	IT	27,930
The Center for Women and Families of Eastern Fairfield County, Inc.	Improvement	162,000
The Children's Center of Hamden, Inc.	Improvements	117,000
The Connection Inc.	Howe Street Improvements	852,250
The Connection, Inc.	Generator	14,000
The Connection, Inc.	Generator - Liberty Street	14,000
The Curtis Home	Vehicle	38,403
The Kennedy Center, Inc.	Generator	260,083
The Kennedy Center, Inc.	Improvements - Roof	42,498
The Village for Families & Children, Inc.	HVAC	500,000
Training Education and Manpower, Inc. DBA/Team, Inc.	Vehicles	79,112
Training Education and Manpower, Inc. DBA/Team, Inc.	IT	27,555
United Community and Family Services, Inc.	Generator	100,000
United Way of Connecticut, Inc.	IT	339,000
Vantage Group, Inc.	Vehicle	42,061
Vantage Group, Inc.	Generators	31,255
Vantage Group, Inc.	Renovation	66,000
Vinfen of Connecticut, Inc.	Renovation	290,000
Vinfen of Connecticut, Inc.	Improvement	110,000
Vista Vocational and Life Skill Center, Inc.	IT	123,260
Waterbury YMCA	Energy Upgrade	500,000
Waterbury Youth Services System, Inc.	Renovation	56,000
Wheeler Clinic, Inc.	IT	877,500
Whole Life, Inc.	Generator	202,400
<b>TOTAL</b>		<b>19,844,200</b>



## Appendix I

### DAS Infrastructure Repairs and Improvements

PROJECT NAME	Design Cost \$	Design Date	Construction Cost \$
410 Capitol Ave., Elevator Upgrades	250,000	TBD	2,500,000
410 Capitol Ave., Restroom Renovations	50,000	TBD	500,000
474 Capitol Ave., EMS Upgrade	10,000	TBD	50,000
410-450 Capitol Ave., Bldg. Exterior Upgrade	25,000	TBD	150,000
410-450 Capitol Ave., Bldg. Interior Upgrade	30,000	TBD	200,000
460-470 Capitol Ave., Roof Replacement, Ext. Repairs	105,000	TBD	1,050,000
410-474 Capitol Ave., Design/Replace Transformers	Funded	2/29/08	300,000
450 Capitol Ave., Interior Improvements	Funded	NA	800,000
474 Capitol Ave., Structural/Roof Repairs	Funded	2/24/11	1,125,000
10 Clinton St., Building Demolition	Funded	8/31/12	2,000,000
79 Elm St., Exterior Renovations	Funded	1/30/12	4,000,000
55 Farmington Ave., Chiller Boiler Replacement	Funded	9/5/14	1,700,000
55 Farmington Ave., EMS System Upgrade	50,000	TBD	500,000
55 Farmington Ave., Elevator Upgrades	450,000	TBD	4,500,000
50-55 Farmington Ave., Lighting Upgrades	10,000	TBD	100,000
50-55 Farmington Ave., Heat Exch/Balancing/Drains	10,000	TBD	100,000
50-55 Farmington Ave., Garage Sprinkler Repairs	240,000	TBD	2,400,000
92 Farmington Ave., HVAC/Boiler Upgrade	10,000	TBD	100,000
92 Farmington Ave., Exterior Façade Repairs	20,000	TBD	200,000
92 Farmington Ave., Design Roof Replacement	Funded	4/27/12	500,000
505 Hudson St., Replace Parking Lot	Funded	2/28/14	1,100,000
505 Hudson St., Standby Generator	10,000	TBD	100,000
505 Hudson St., Replace Air Handlers	Funded	3/13/13	900,000
110 Sherman St., Exterior Bldg. Improvements	200,000	TBD	2,500,000
110 Sherman St., Chiller Replacement	80,000	TBD	400,000
25 Sigourney St., Ext. Garage & Ext. Repairs	Funded	3/16/10	950,000
25 Sigourney St., Plaza Repairs	Funded	12/10/10	3,427,400
18-20 Trinity St., Water Main Replacement	Funded	11/29/14	175,000
18-20/30 Trinity St., Misc. Interior Repairs	15,000	TBD	150,000
39 Woodland St., Chimney Repairs	NA	NA	120,000
39 Woodland St., Boiler Replacement & EMS Improvements	75,000	TBD	600,000
61 Woodland St., Replace Stone Coping at Roof	Funded	11/19/14	300,000

## Appendix J

### DAS/BEST HIPPA Allocations

Name	Description	Duration	Cost \$	Benefits	Resources	Agencies
Risk Analysis of Covered Entities	Professional Services and In-house staff. Remediation projects, prioritized selections depend upon results of completed Risk Assessments.	1.5 yrs. Started: Sep 2013 Scheduled Completion: Apr 2015	1,146,300 Note: all remaining funds are encumbered.	Cyclical federal mandate. Provides road map for progress toward HIPAA Rule compliance.	Professional Services: 2 Security Compliance Mgrs. (3600 hrs.), 1 Security Project Mgr. (2700 hrs.), 2 Technical Resources (3900 hrs.) Note: Several critical Common Controls were discovered to be deficient via Risk Assessment technical verification procedures. Resources were re-allocated in order to immediately address high priority findings.	All Covered Entity agencies except TRB: DAS/BEST DMHAS DSS DDS DCF UCHC UCONN DVA DPH DOC

<b>Name</b>	<b>Description</b>	<b>Duration</b>	<b>Cost \$</b>	<b>Benefits</b>	<b>Resources</b>	<b>Agencies</b>
HIPAA training and policy updates	Targeted training identified in prior Risk assessment finding. 2013 Omnibus requires update and creation of policies and documented processes that align with NIST SP800-37, Risk Management Framework.	6 mos. Started: Sep 2013 Completed: Jan 2014	140,000 Funds have been expended.	Addresses HIPAA Rule (Privacy, Security, HITECH, Omnibus, etc.) documentation requirements. Establishes enterprise governance and Risk Management Framework for FISMA based federal regulatory environments.	Third party training and Professional Services provided through SANS ( <a href="http://www.sans.org/about/">http://www.sans.org/about/</a> )	All Covered Entity agencies: TRB DAS/BEST DMHAS DSS DDS DCF UCHC UCONN DVA DPH
Make necessary improvements to the Enterprise Novell electronic Directory (eDirectory) environment	Improve functionality for HIPAA customers Virtualize application for migration to the Groton Data Center	2 mo.	35,520	NIST-140-2 Compliant Reduces ongoing infrastructure maintenance costs	Professional Services 6 Virtual Machine licenses	DAS/BEST DPH

<b>Name</b>	<b>Description</b>	<b>Duration</b>	<b>Cost \$</b>	<b>Benefits</b>	<b>Resources</b>	<b>Agencies</b>
Enterprise Identity and Access Management (IAM) Program – Phase 1	Design architecture to expand the Health Insurance Exchange for the Enterprise. Enterprise access management solution includes, but is not limited to federated identity and multi-factor authentication.	1 yr.	1,200,000	Lays architectural groundwork to address HIPAA Security Rule, Access Control requirements as defined in NIST SP800-53.	Professional Services: 1 Program Manager 5 Project Manager 2 10 Subject Matter Experts 2 Admin. Assistants User account licenses	State Wide
Enterprise Identity and Access Management Program – Phase 2	Implementation of enterprise access management solution including, but is not limited to federated identity and multi-factor authentication.	1 yr.	1,800,000	Implementation of HIPAA Security Rule compliant Access Controls consistent with NIST SP800-53.	Professional Services: 1 Program Manager 5 Project Managers 5 Technical Analysts 5 Business Analysts 2 Admin. Assistants User account licenses	State Wide

<b>Name</b>	<b>Description</b>	<b>Duration</b>	<b>Cost \$</b>	<b>Benefits</b>	<b>Resources</b>	<b>Agencies</b>
Enterprise Security Information and Event Management	<p>Expand implementation of QRADAR SIEM solution to include HIPAA Covered Entities.</p> <p>Requires:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Licenses;</li> <li><input type="checkbox"/> Appliances;</li> <li><input type="checkbox"/> Professional services.</li> </ul> <p>License fee based on log events/sec. Implement mandated continuous monitoring controls including Data Loss Prevention and Change Management</p>	6 mo.	795,000	<p>Establishes critical, required HIPAA Rule compliance with Information System Activity Review, Response and Reporting, and Audit Logs. Includes agency level event management business and technical requirements development.</p>	<p>Professional services - QRADAR Subject Matter Expert(s) Software Licenses Hardware - log file accumulators</p>	<p>7 Covered Entity agencies  DAS/BEST (will be provided by HIX)  DMHAS  DSS (has SIEM already)  DDS  DCF  UCHC  UCONN  DVA  DPH  TRB (Can be configured with DAS/BEST)</p>

<b>Name</b>	<b>Description</b>	<b>Duration</b>	<b>Cost \$</b>	<b>Benefits</b>	<b>Resources</b>	<b>Agencies</b>
Remediation of Covered Entity agencies' highest priority HIPAA compliance deficiencies	Depends on results of Risk Analysis	Varies by project	4,552,180	Project prioritization is a collaborative process between Covered Entity and DAS/BEST	Varies by remediation project	All Covered Entity agencies DAS/BEST DMHAS DSS DDS DCF UCHC UCONN DVA DPH DOC TRB
<b>TOTAL</b>			<b>9,669,000</b>			

## Appendix K

### DAS: ADA Projects

Project Name	Design Cost \$	Design Date	Construction Cost \$
55 West Main Street, Waterbury; ADA Water Fountains	NA	NA	14,800
395 West Main Street, Waterbury; ADA Toilet Room	8,500	ADA Funds	30,000
50-55 Farmington Ave, Hartford; Various Building/Site ADA Improvements	NA	NA	66,573
410-470 Capitol Avenue Complex, Hartford; ADA Signage	NA	NA	15,000
410-470 Capitol Avenue Complex, Hartford; ADA Compliant Emergency Call Towers	NA	NA	2,450
410-470 Capitol Avenue Complex, Hartford; ADA Compliant Door Hardware	NA	NA	10,000
410 Capitol Avenue Complex, Hartford; Toilet Room Renovations	TBD	ADA Funds	250,000
410/450 Capitol Avenue Complex, Hartford; ADA Elevator Cab Phones (4) Elev @ 410 Capitol; (2) Elev @ 450 Capitol	NA	NA	18,950
470 Capitol Avenue Complex, Hartford; Renovate Existing Ramp/Entrance	TBD	ADA Funds	25,000
505 Hudson Street, Hartford; Elevators: Audible Indicators	NA	NA	TBD
61 Woodland Street, Hartford: ADA Parking Improvements	40,000	9/12/14	300,000
Various State Facilities; ADA Improvements to Toilet Rooms	50,000	9/10/14	500,000
<b>TOTAL</b>	<b>98,500</b>		<b>1,232,773</b>

## Appendix L

### Connecticut State University Summary

The Connecticut State University System is a part of the newly established Board of Regents for Higher Education. The state universities offer baccalaureate, graduate, and professional degrees in over one hundred and sixty subject areas. There are four colleges serving students across the state. The General Fund budgeted appropriation for the Connecticut State University System within the Board of Regents for Higher Education in FY 14 is \$148.6 million and the Operating Fund budget is \$417.9 million.

The below provides a summary for each state university, including enrollment, full time faculty, and the top five completions for each institution.

	Central (New Britain)	Eastern (Willimantic)	Southern (New Haven)	Western (Danbury)
<b>Headcount Enrollment<sup>7</sup></b>	12,037	5,287	10,825	5,952
<b>Full Time Faculty<sup>8</sup></b>	447	211	429	215
<b>Part Time Faculty</b>	550	280	567	432
<b>Completions: Top 5 Programs</b>	Psychology	General Studies	Business Admin	Justice and Law Admin
	Educational Leadership	Psychology	Liberal Studies	Nursing
	Criminology	Business Admin	Psychology	Management Psychology
	Accounting	Communication	Special Education	Psychology
	Communication	Sociology	Social Work	Communication

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<sup>7</sup>Data for fall 2013 are census-date counts, are not official until reported to IPEDS in spring 2014. The total headcount enrollment for fall 2013 is 34,062. The figure does not include students who are enrolled in non-credit courses.

<sup>8</sup>Faculty counts are of fall 2012. The CSU-AAUP includes counselors, coaches, and librarians but these individuals are not included above.



## Appendix M

### Community College Summary

The Connecticut Community College System is part of the newly established Board of Regents for Higher Education. The community colleges offer two year associate degrees, short-term certificates programs, skill-building and personal interest courses in over one hundred career areas. There are twelve colleges serving student across the state. The General Fund budgeted appropriation for the Community College System within the Board of Regents for Higher Education in FY 14 is \$148.7 million and the Operating Fund budget is \$188.8 million. The table below provides a summary of each community college, including: enrollment, full time faculty, part time faculty, and the top five completions for each institution.

	Asnuntuck (Enfield)	Capital (Hartford)	Gateway (New Haven)	Housatonic (Bridgeport)	Manchester	Middlesex (Middletown)	Naugatuck Valley (Waterbury)	Northwestern Connecticut (Winsted)	Norwalk	Quinebaug Valley (Danielson)	Three Rivers (Norwich)	Tunxis (Farmington)
<b>Headcount Enrollment<sup>9</sup></b>	1,603	4,075	8,200	5,286	7,300	3,005	7,102	1,614	6,363	1,883	4,530	4,193
<b>Full Time Faculty<sup>10</sup></b>	27	74	108	74	108	47	107	30	101	29	83	64
<b>Part Time Faculty</b>	108	297	239	332	412	196	552	89	344	121	390	283
<b>Completions: Top 5 Programs<sup>8</sup></b>	Precision Production	Health Professions	Liberal Arts/ Genl Studies	Liberal Arts/ Genl Studies	Liberal Arts/ Genl Studies	Liberal Arts/ Genl Studies	Liberal Arts/ Genl Studies	Health Professions	Liberal Arts/ Genl Studies	Liberal Arts/ Genl Studies	Liberal Arts/ Genl Studies	Business Admin.
	Liberal Arts/ Genl Studies	Liberal Arts/ Genl Studies	Health Professions	Business Admin	Health Professions	Health Professions	Health Professions	Liberal Arts/ Genl Studies	Business Admin.	Health Professions	Health Professions	Liberal Arts/ Genl Studies
	Business Admin.	Social Service	Business Admin.	Health Professions	Business Admin.	Business Admin	Business Admin.	Business Admin.	Health Professions	Business Admin.	Engineering Technologies	Health Professions
	Engineering Technologies	Business Admin.	Engineering Technologies	Law Enforcement	Law Enforcement	Law Enforcement	Engineering Technologies	Law Enforcement	Education	Visual and Performing Arts	Business Admin.	Family and Consumer Sciences
	Law Enforcement	Education	Education	Education	Culinary	Education	Law Enforcement	Visual and Performing Arts	Law Enforcement	Information Science	Law Enforcement	Visual and Performing Arts

<sup>9</sup>Data for fall 2013 are census-date counts, are not official until reported to IPEDS in spring 2014. The total headcount enrollment for fall 2013 is 56,976. The figure does not include students who are enrolled in non-credit courses.

<sup>7</sup>Faculty counts as of Fall 2012

<sup>8</sup>Based on the CIP codes of 2012-2013 awards.

## Appendix N

### Community-Technical College System: Infrastructure Projects

**Alterations, renovations and improvements to facilities, including fire, safety, energy, conservation and code compliance.** The agency utilizes these funds to support projects that cost under \$2 million at all community college facilities. The projects are coordinated, planned and administered by Board of Regents staff. Larger deferred-maintenance projects include replacing roofs, parking lots and major building systems. Smaller projects address office and classroom renovations, fire safety, life safety, and ADA code compliance. All of the unallocated funds have been earmarked for specific projects and are in various stages of design, bidding, contract negotiation, or submission to the State Bond Commission.

**Asnuntuck Community College – Mechanical and Code Improvements**  
Complete.

**Asnuntuck Community College – Infrastructure Improvements, Complete.**

**Housatonic Community College: Parking Garage Repairs, Complete.**

**Naugatuck Valley Community College: Ventilation Improvements to Fume Hoods, Complete.**

**Naugatuck Valley Community College: New technology building claim, Complete.**

**Norwalk Community College: Air Handler Unit Replacement Project, Complete.**

**Norwalk Community College: HVAC repairs and adjustments at East Campus Library, Complete.**

**Norwalk Community College: HVAC upgrades and compressor replacement at East Campus, Complete.**

**Three Rivers Community College: Boiler Replacement Project, Complete.**

**Deferred Maintenance** (\$168,374), these funds will support minor repairs and maintenance projects as needed for the community colleges including minor maintenance projects due to failure and damages; a request for funding is anticipated to be submitted to the SBC in FY15.

**Emergency Minor Capital Projects: Ongoing program.**

**ADA Improvements: Ongoing program.**

**Code Improvements: Ongoing program.**

**Hazardous and Regulated Materials: Ongoing program.**

**On-Call Consultant Fees for Agency Administered Projects: Ongoing program.**