

## FY 14 and FY 15 Budgeted Lapses and Agency Holdbacks

The FY 14 and FY 15 Budget include \$183.7 million in FY 14 and \$169.5 million in FY 15 lapses. This is \$56.2 million above the FY 13 Revised Budget lapses. The lapses are identified below with a brief explanation.

### Budgeted Lapses

Lapse	FY 14 \$	FY 15 \$	Explanation
<b>General Fund Lapses</b>			
GAAP Lapse	(5,500,000)	(7,500,000)	This reduction reflects an adjustment across GAAP Accrual accounts in agencies to reflect a re-estimate of GAAP increases over the biennium.
General Lapse <sup>1</sup>	(14,243,700)	(14,243,700)	This reduction reflects savings in a manner to be determined by OPM. All General Fund agency accounts could be subject to this reduction.
Unallocated Lapse <sup>1</sup>	(102,104,969)	(102,104,969)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across all General Fund agencies and accounts.
Transfer GAAP Funding	(40,000,000)	-	This reduction reflects the utilization of \$40 million from the Tobacco Master Settlement Agreement to help fulfill the requirements of GAAP.
Municipal Opportunities & Regional Efficiencies	-	(10,000,000)	Savings to be achieved in FY 15 with a reduction in municipal aid as a result of various municipal saving initiatives and efficiencies.
General Other Expenses <sup>1</sup>	(4,000,000)	(4,000,000)	This reduction reflects savings in a manner to be determined by OPM such as the curtailing and delaying of purchases and various other as yet undetermined reductions. All General Fund agency Other Expenses accounts could be subject to this reduction.
Statewide Hiring Reduction <sup>1</sup>	(6,796,754)	(20,688,736)	Savings anticipated to be achieved by hiring reductions and other savings initiatives in a manner to be determined by OPM. All General Fund agency Personal Services accounts could be subject to this reduction.
<b>Total GF Lapse</b>	<b>(172,645,423)</b>	<b>(158,537,405)</b>	
<b>Transportation Fund Lapses</b>			
Unallocated Lapse	(11,000,000)	(11,000,000)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across all Transportation Fund agencies and accounts.
<b>Total TF Lapse</b>	<b>(11,000,000)</b>	<b>(11,000,000)</b>	
<b>TOTAL LAPSES</b>	<b>(183,645,423)</b>	<b>(169,537,405)</b>	

<sup>1</sup>The amounts shown are totals by category but the budget act contains a distribution of each by branch of government.

## FY 14 Budget Allocated Lapses (Holdbacks)

Sections 11, 12 and 49 of PA 13-184 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The following three lapses are allocated to state agencies via holdbacks by OPM: (1) the General Lapse is \$14,243,700, of which \$14,106,164 is allocated to state agencies, (2) the General Other Expenses Lapse is \$4,000,000, of which \$3,999,999 is allocated to state agencies and (3) the Statewide Hiring Reduction Lapse is \$6,796,754, of which \$6,790,827 is allocated to state agencies. The following schedules identify the allocation (holdback) by lapse category, agency and account.

### FY 14 General Allocated Lapses<sup>1</sup>

Agency	Account	FY 14 Holdback \$
Agricultural Experiment Station	Personal Services	24,867
Agricultural Experiment Station	Other Expenses	3,741
Agricultural Experiment Station	Mosquito Control	1,983
Attorney General	Personal Services	131,310
Attorney General	Other Expenses	4,737
Board of Regents for Higher Education	Charter Oak State College	9,950
Board of Regents for Higher Education	Community Tech College System	622,485
Board of Regents for Higher Education	Connecticut State University	622,011
Board of Regents for Higher Education	Board of Regents	2,775
Commission on Human Rights and Opportunities	Personal Services	23,328
Commission on Human Rights and Opportunities	Other Expenses	1,267
Council on Environmental Quality	Other Expenses	8
Department of Administrative Services	Personal Services	204,446
Department of Administrative Services	Other Expenses	148,863
Department of Agriculture	Personal Services	15,040
Department of Agriculture	Other Expenses	2,997
Department of Children and Families	Personal Services	1,107,710
Department of Children and Families	Other Expenses	147,162
Department of Consumer Protection	Personal Services	61,742
Department of Consumer Protection	Other Expenses	4,955
Department of Correction	Personal Services	1,788,000
Department of Correction	Other Expenses	308,181
Department of Correction	Board of Pardons and Paroles	25,840
Department of Developmental Services	Personal Services	1,064,851
Department of Developmental Services	Other Expenses	92,569
Department of Developmental Services	Cooperative Placements Program	96,623
Department of Economic and Community Development	Personal Services	32,968

<b>Agency</b>	<b>Account</b>	<b>FY 14 Holdback \$</b>
Department of Economic and Community Development	Other Expenses	2,435
Department of Education	Personal Services	73,514
Department of Education	Other Expenses	14,357
Department of Education	Basic Skills Exam Teachers in Training	5,134
Department of Education	Development of Mastery Exams Grades 4, 6 and 8	84,316
Department of Education	School Accountability	7,770
Department of Education	Sheff Settlement	55,489
Department of Education	Regional Vocational-Technical School System	613,306
Department of Emergency Services and Public Protection	Personal Services	547,099
Department of Emergency Services and Public Protection	Other Expenses	124,807
Department of Energy and Environmental Protection	Personal Services	126,899
Department of Energy and Environmental Protection	Other Expenses	16,168
Department of Energy and Environmental Protection	Mosquito Control	1,059
Department of Energy and Environmental Protection	Emergency Spill Response	30,494
Department of Energy and Environmental Protection	Solid Waste Management	16,026
Department of Energy and Environmental Protection	Underground Storage Tank	3,986
Department of Energy and Environmental Protection	Clean Air	18,643
Department of Energy and Environmental Protection	Environmental Conservation	38,759
Department of Energy and Environmental Protection	Environmental Quality	41,953
Department of Housing	Personal Services	7,985
Department of Housing	Other Expenses	581
Department of Mental Health and Addiction Services	Personal Services	750,846
Department of Mental Health and Addiction Services	Young Adult Services	292,703
Department of Mental Health and Addiction Services	TBI Community Services	64,016
Department of Mental Health and Addiction Services	Jail Diversion	18,481
Department of Mental Health and Addiction Services	Prison Overcrowding	27,705
Department of Mental Health and Addiction Services	Home and Community Based Services	392,973
Department of Motor Vehicles	Other Expenses	790
Department of Public Health	Personal Services	144,553
Department of Public Health	Other Expenses	27,274
Department of Public Health	Children's Health Initiatives	8,584
Department of Public Health	Breast and Cervical Cancer Detection and Treatment	9,248
Department of Public Health	Medicaid Administration	11,038
Department of Revenue Services	Personal Services	241,673
Department of Revenue Services	Other Expenses	39,057
Department of Social Services	Other Expenses	978,498
Department of Veterans' Affairs	Personal Services	91,689
Department of Veterans' Affairs	Other Expenses	23,276
Division of Criminal Justice	Personal Services	187,875

<b>Agency</b>	<b>Account</b>	<b>FY 14 Holdback \$</b>
Division of Criminal Justice	Other Expenses	10,220
Division of Criminal Justice	Medicaid Fraud Control	5,948
Division of Criminal Justice	Shooting Taskforce	4,225
Governor's Office	Personal Services	9,689
Governor's Office	Other Expenses	899
Judicial Department	Personal Services	359,198
Labor Department	Personal Services	35,392
Labor Department	Other Expenses	4,003
Labor Department	CETC Workforce	3,196
Labor Department	Jobs First Employment Services	78,788
Labor Department	Apprenticeship Program	2,493
Legislative Management	Personal Services	46,602
Legislative Management	Other Expenses	9,649
Lieutenant Governor's Office	Other Expenses	308
Military Department	Personal Services	12,346
Military Department	Other Expenses	11,754
Military Department	Honor Guards	1,973
Office of Early Childhood	Personal Services	10,596
Office of Early Childhood	Other Expenses	2,449
Office of Governmental Accountability	Other Expenses	325
Office of Governmental Accountability	Citizens' Election Fund Admin	7,362
Office of Governmental Accountability	Elections Enforcement Commission	5,917
Office of Governmental Accountability	Office of State Ethics	5,926
Office of Governmental Accountability	Freedom of Information Commission	6,736
Office of Governmental Accountability	Office of the Child Advocate	2,132
Office of Governmental Accountability	Office of the Victim Advocate	1,816
Office of Higher Education	Personal Services	6,921
Office of Higher Education	Other Expenses	444
Office of Policy and Management	Personal Services	48,063
Office of Policy and Management	Other Expenses	8,787
Office of Policy and Management	Justice Assistance Grants	4,507
Office of the Chief Medical Examiner	Personal Services	18,557
Office of the Chief Medical Examiner	Other Expenses	3,671
Protection and Advocacy for Persons with Disabilities	Personal Services	9,304
Protection and Advocacy for Persons with Disabilities	Other Expenses	843
Psychiatric Security Review Board	Other Expenses	131
Public Defender Services Commission	Expert Witnesses	17,748
Public Defender Services Commission	Contracted Attorneys Related Expenses	25,000
Secretary of the State	Personal Services	11,318
Secretary of the State	Other Expenses	6,492

Agency	Account	FY 14 Holdback \$
Secretary of the State	Commercial Recording Division	22,785
Secretary of the State	Board of Accountancy	1,131
State Comptroller	Personal Services	95,488
State Comptroller	Other Expenses	17,607
State Department on Aging	Personal Services	9,248
State Department on Aging	Other Expenses	812
State Dept of Rehabilitation	Personal Services	47,507
State Library	Personal Services	20,867
State Library	Other Expenses	2,888
State Library	Interlibrary Loan Delivery Service	1,082
State Treasurer	Personal Services	14,726
State Treasurer	Other Expenses	690
Teachers' Retirement Board	Personal Services	6,793
Teachers' Retirement Board	Other Expenses	2,338
University of Connecticut	Operating Expenses	845,634
University of Connecticut Health Center	Operating Expenses	523,372
<b>TOTAL</b>		<b>14,106,164</b>

<sup>1</sup>PA 13-184 (as adjusted by PA 13-247) included a General Fund lapse of \$14,243,700 of which \$14,106,164 is allocated to agencies.

### FY 14 General Fund Other Expenses Account Allocated Lapses<sup>1</sup>

Agency	FY 14 Holdback \$
African-American Affairs Commission	406
Agricultural Experiment Station	7,384
Asian Pacific American Affairs Commission	708
Attorney General	9,349
Auditors of Public Accounts	11,703
Commission on Aging	612
Commission on Children	1,123
Commission on Human Rights and Opportunities	2,501
Council on Environmental Quality	15
Department of Administrative Services	293,797
Department of Agriculture	5,915
Department of Children and Families	290,438
Department of Consumer Protection	9,780
Department of Correction	608,227
Department of Developmental Services	182,694
Department of Economic and Community Development	4,806
Department of Education	28,335

<b>Agency</b>	<b>FY 14 Holdback \$</b>
Department of Emergency Services and Public Protection	246,319
Department of Energy and Environmental Protection	31,910
Department of Housing	1,147
Department of Mental Health and Addiction Services	255,795
Department of Motor Vehicles	1,559
Department of Public Health	53,828
Department of Revenue Services	77,082
Department of Social Services	994,459
Department of Veterans' Affairs	45,938
Division of Criminal Justice	20,170
Governor's Office	1,775
Judicial Department	489,718
Labor Department	7,899
Latino and Puerto Rican Affairs Commission	854
Legislative Management	123,941
Lieutenant Governor's Office	607
Military Department	23,197
Office of Early Childhood	4,833
Office of Governmental Accountability	640
Office of Higher Education	876
Office of Policy and Management	17,342
Office of the Chief Medical Examiner	7,246
Permanent Commission on the Status of Women	653
Protection and Advocacy for Persons with Disabilities	1,664
Psychiatric Security Review Board	258
Public Defender Services Commission	58,282
Secretary of the State	12,813
State Comptroller	34,749
State Department on Aging	1,602
State Dept of Rehabilitation	13,375
State Library	5,699
State Treasurer	1,362
Teachers' Retirement Board	4,614
<b>TOTAL</b>	<b>3,999,999</b>

<sup>1</sup>PA 13-184 (as adjusted by PA 13-247) included a General Fund Other Expenses Accounts' lapse of \$4,000,000 of which \$3,999,999 is allocated to agencies.

**FY 14 Statewide Hiring Reduction:  
Personal Services Account Allocated Lapses<sup>1</sup>**

Agency	FY 14 Holdback \$
African-American Affairs Commission	808
Agricultural Experiment Station	17,540
Asian Pacific American Affairs Commission	525
Attorney General	92,618
Auditors of Public Accounts	34,983
Commission on Aging	1,226
Commission on Children	1,954
Commission on Human Rights and Opportunities	16,454
Department of Administrative Services	144,204
Department of Agriculture	10,608
Department of Children and Families	781,315
Department of Consumer Protection	43,549
Department of Correction	1,261,152
Department of Developmental Services	751,084
Department of Economic and Community Development	23,254
Department of Education	51,852
Department of Emergency Services and Public Protection	385,892
Department of Energy and Environmental Protection	89,507
Department of Housing	5,632
Department of Mental Health and Addiction Services	757,536
Department of Public Health	101,960
Department of Revenue Services	170,462
Department of Social Services	334,768
Department of Veterans' Affairs	64,672
Division of Criminal Justice	132,516
Governor's Office	6,834
Judicial Department	1,008,266
Labor Department	24,964
Latino and Puerto Rican Affairs Commission	1,241
Legislative Management	147,569
Military Department	8,708
Office of Early Childhood	7,474
Office of Higher Education	4,881
Office of Policy and Management	33,901
Office of the Chief Medical Examiner	13,089
Permanent Commission on the Status of Women	2,003
Protection and Advocacy for Persons with Disabilities	6,562

<b>Agency</b>	<b>FY 14 Holdback \$</b>
Public Defender Services Commission	119,995
Secretary of the State	7,983
State Comptroller	67,352
State Department on Aging	6,523
State Dept of Rehabilitation	17,514
State Library	14,718
State Treasurer	10,387
Teachers' Retirement Board	4,792
<b>TOTAL</b>	<b>6,790,827</b>

<sup>1</sup>PA 13-184 (as adjusted by PA 13-247) included a statewide hiring reduction lapse of \$6,796,754 of which \$6,790,827 is allocated to agencies.