

**Higher Education**  
Coordinator - Alan Shepard  
Office of Fiscal Analysis

	Page #	Analyst	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov - App FY 18
					FY 18	FY 19	FY 18	FY 19	
<b>General Fund</b>									
Office of Higher Education	2	SB	47,113,031	41,604,805	41,604,805	41,604,805	-	-	(100.00)
University of Connecticut	5	JS	239,665,397	229,917,913	284,975,570	295,633,705	213,375,781	213,375,781	(7.19)
University of Connecticut Health Center	7	JS	143,872,686	134,539,312	136,428,293	139,788,516	127,042,332	130,402,555	(5.57)
Connecticut State Colleges and Universities	9	JS	350,665,978	332,662,476	333,483,443	336,797,689	310,023,787	310,023,787	(6.81)
<b>Total - General Fund</b>			<b>781,317,093</b>	<b>738,724,506</b>	<b>796,492,111</b>	<b>813,824,715</b>	<b>650,441,900</b>	<b>653,802,123</b>	<b>(11.95)</b>
<b>Total - Appropriated Funds</b>			<b>781,317,093</b>	<b>738,724,506</b>	<b>796,492,111</b>	<b>813,824,715</b>	<b>650,441,900</b>	<b>653,802,123</b>	<b>(11.95)</b>

## Office of Higher Education DHE66500

### Permanent Full-Time Positions

Fund	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov-App FY 18
			FY 18	FY 19	FY 18	FY 19	
General Fund	27	27	27	27	-	-	(100.00)

### Budget Summary

Account	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov-App FY 18
			FY 18	FY 19	FY 18	FY 19	
Personal Services	2,053,774	1,634,530	1,634,530	1,634,530	-	-	(100.00)
Other Expenses	75,770	77,738	77,738	77,738	-	-	(100.00)
<b>Other Current Expenses</b>							
Minority Advancement Program	2,690,972	1,845,041	1,845,041	1,845,041	-	-	(100.00)
Alternate Route to Certification	53,489	47,883	47,883	47,883	-	-	(100.00)
National Service Act	272,522	268,964	268,964	268,964	-	-	(100.00)
Minority Teacher Incentive Program	362,544	366,705	366,705	366,705	-	-	(100.00)
<b>Other Than Payments to Local Governments</b>							
Roberta B. Willis Scholarship Fund	41,603,960	37,363,944	37,363,944	37,363,944	-	-	(100.00)
<b>Agency Total - General Fund</b>	<b>47,113,031</b>	<b>41,604,805</b>	<b>41,604,805</b>	<b>41,604,805</b>	-	-	<b>(100.00)</b>
<b>Additional Funds Available</b>							
Federal Funds	3,027,419	3,075,950	3,136,450	3,196,950	-	-	(100.00)
Private Contributions & Other Restricted	19,863	25,000	-	-	-	-	(100.00)
Private Contributions	554,573	375,000	380,000	385,000	-	-	(100.00)
<b>Agency Grand Total</b>	<b>50,714,886</b>	<b>45,080,755</b>	<b>45,121,255</b>	<b>45,186,755</b>	-	-	<b>(100.00)</b>

Account	Governor Recommended	
	FY 18	FY 19

## Policy Revisions

### Transfer the Office of Higher Education

Personal Services	(1,578,180)	(1,578,180)
Other Expenses	(69,964)	(69,964)
Minority Advancement Program	(1,789,690)	(1,789,690)
National Service Act	(260,896)	(260,896)
Minority Teacher Incentive Program	(355,704)	(355,704)
Roberta B. Willis Scholarship Fund	(35,123,826)	(32,923,826)
<b>Total - General Fund</b>	<b>(39,178,260)</b>	<b>(36,978,260)</b>
<b>Positions - General Fund</b>	<b>(27)</b>	<b>(27)</b>

#### Background

The Office of Higher Education seeks to advance the promise of postsecondary education for all state residents. Key state responsibilities, in addition to student financial aid administration, include: the licensure and accreditation of Connecticut's independent colleges and universities (programmatic and institutional; non-profit and for-profit), licensure of in-state academic programs offered by out-of-state institutions, regulation of more than 150 postsecondary career schools and operation of the Alternate Route to Certification. The Office also serves as the portal agency in the administration of Connecticut's State

Account	Governor Recommended	
	FY 18	FY 19

Authorization Reciprocity Agreements (SARA). Major federal responsibilities include AmeriCorps, Veterans Program Approval, and the Teacher Quality Partnership Grant Program.

#### Governor

Transfer the Office of Higher Education, along with twenty-seven positions and corresponding funding of \$39,178,260 in FY 18 and \$36,978,260 in FY 19, to the State Department of Education.

### Reduce Funding for the Roberta B. Willis Scholarship Program

Roberta B. Willis Scholarship Fund	(1,119,200)	(3,319,200)
<b>Total - General Fund</b>	<b>(1,119,200)</b>	<b>(3,319,200)</b>

#### Background

PA 13-247 established the Governor's Scholarship Program as a single, consolidated state financial aid program for Connecticut residents who are undergraduates at in-state public and private higher education institutions. The program replaced the state's previous undergraduate student aid programs: Connecticut Aid to Public College Students (CAPCS), Connecticut Independent College Student Grant (CICSG), the Capitol Scholarship, and Connecticut Aid to Charter Oak State College. PA 16-179 changed the name of the account to the Roberta B. Willis Scholarship.

In FY 16 the Roberta B. Willis Scholarship awarded approximately \$10.8 million in need-merit based aid, to 2,400 students, with an average award of \$4,500. Additionally, the program awarded approximately \$29.5 million in need based aid, to 14,900 students, with an average award of \$2,000.

#### Governor

Reduce funding for the Roberta B. Willis Scholarship Program by \$1,119,200 in FY 18 and \$3,319,200 in FY 19.

The reduction in funding will result in fewer scholarships awarded. The scope of the reduction is currently unknown, as FY 18 applications have yet to be submitted. However, it is anticipated that the Office of Higher Education will be able to fully fund FY 18 college seniors and juniors (who received scholarship funds in FY 17), and will fulfill the requests of all sophomore students until all appropriated funds are awarded.

### Annualize FY 17 Holdbacks

Personal Services	(49,036)	(49,036)
Other Expenses	(2,332)	(2,332)
Minority Advancement Program	(55,351)	(55,351)
Alternate Route to Certification	(1,436)	(1,436)
National Service Act	(8,068)	(8,068)
Minority Teacher Incentive Program	(11,001)	(11,001)
Roberta B. Willis Scholarship Fund	(1,120,918)	(1,120,918)
<b>Total - General Fund</b>	<b>(1,248,142)</b>	<b>(1,248,142)</b>

#### Background

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.

#### Governor

Reduce funding by \$1,248,142 in both FY 18 and FY 19 to annualize FY 17 holdbacks.

### Reduce Funding for Various Line Items

Personal Services	(7,314)	(7,314)
Other Expenses	(5,442)	(5,442)
Alternate Route to Certification	(46,447)	(46,447)
<b>Total - General Fund</b>	<b>(59,203)</b>	<b>(59,203)</b>

#### Governor

Reduce funding by \$59,203 in both FY 18 and FY 19 to achieve savings.

### Totals

Budget Components	Governor Recommended	
	FY 18	FY 19
FY 17 Appropriation - GF	41,604,805	41,604,805
Policy Revisions	(41,604,805)	(41,604,805)
<b>Total Recommended - GF</b>	-	-

Positions	Governor Recommended	
	FY 18	FY 19
FY 17 Appropriation - GF	27	27
Policy Revisions	(27)	(27)
<b>Total Recommended - GF</b>	-	-

## University of Connecticut UOC67000

### Permanent Full-Time Positions

Fund	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov-App FY 18
			FY 18	FY 19	FY 18	FY 19	
General Fund	2,413	2,413	2,626	2,712	2,413	2,413	-

### Budget Summary

Account	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov-App FY 18
			FY 18	FY 19	FY 18	FY 19	
<b>Other Current Expenses</b>							
Operating Expenses	218,081,088	207,699,685	211,537,188	211,919,527	192,839,983	192,839,983	(7.15)
Workers' Compensation Claims	2,080,095	3,045,682	3,045,682	3,045,682	2,827,782	2,827,782	(7.15)
Next Generation Connecticut	19,104,214	19,072,546	70,292,700	80,568,496	17,708,016	17,708,016	(7.15)
<b>Other Than Payments to Local Governments</b>							
Kirklyn M. Kerr Grant Program	400,000	100,000	100,000	100,000	-	-	(100.00)
<b>Agency Total - General Fund</b>	<b>239,665,397</b>	<b>229,917,913</b>	<b>284,975,570</b>	<b>295,633,705</b>	<b>213,375,781</b>	<b>213,375,781</b>	<b>(7.19)</b>
<b>Additional Funds Available</b>							
University of Connecticut Operating Fd	937,228,604	933,096,867	1,008,915,638	1,054,630,251	1,008,915,638	1,054,630,251	8.13
UCONN Research Foundation	35,849,764	35,617,513	35,682,125	36,695,748	35,682,125	36,695,748	0.18
Federal Funds	105,731,232	120,771,965	123,587,500	126,469,358	123,587,500	126,469,358	2.33
<b>Agency Grand Total</b>	<b>1,318,474,997</b>	<b>1,319,404,258</b>	<b>1,453,160,833</b>	<b>1,513,429,062</b>	<b>1,381,561,044</b>	<b>1,431,171,138</b>	<b>4.71</b>

Account	Governor Recommended	
	FY 18	FY 19

## Policy Revisions

### Reduce Funding for Various Line Items

Operating Expenses	(8,628,712)	(8,628,712)
Workers' Compensation Claims	(126,530)	(126,530)
Next Generation Connecticut	(792,354)	(792,354)
Kirklyn M. Kerr Grant Program	(4,154)	(4,154)
<b>Total - General Fund</b>	<b>(9,551,750)</b>	<b>(9,551,750)</b>

#### Background

Agency funding has four line items: (1) Operating Expenses which for this agency is the block grant, (2) Workers' Compensation Claims, (3) Next Generation Connecticut, and (4) the Kirklyn M. Kerr Grant Program. Next Generation Connecticut is a multi-year initiative to improve facilities, increase the numbers of students and faculty, and raise academic quality. See below for information on the Kerr grant program.

#### Governor

Reduce funding by \$9,551,750 in both FY 18 and FY 19 to achieve savings.

Account	Governor Recommended	
	FY 18	FY 19

### Eliminate the Kirklyn M. Kerr Veterinary Scholarship Program

Kirklyn M. Kerr Grant Program	(92,846)	(92,846)
<b>Total - General Fund</b>	<b>(92,846)</b>	<b>(92,846)</b>

#### Background

The Kirklyn M. Kerr Program provides slots for Connecticut veterinary students at Iowa State University. The program was established by PA 11-70.

Program funding was reduced from \$400,000 to \$100,000 in FY 17. In prior fiscal years, the program provided five students in each incoming class with \$20,000 annually for the four-year veterinary program. In FY 17, program funding was split among three incoming students, who received funding for their first year of study and part of the second.

#### Governor

Repeal C.G.S. Sec. 10a-19g and h to eliminate the program and achieve cost savings of \$92,846 in both FY 18 and FY 19.

### Annualize FY 17 Holdbacks

Operating Expenses	(6,230,990)	(6,230,990)
Workers' Compensation Claims	(91,370)	(91,370)
Next Generation Connecticut	(572,176)	(572,176)
Kirklyn M. Kerr Grant Program	(3,000)	(3,000)
<b>Total - General Fund</b>	<b>(6,897,536)</b>	<b>(6,897,536)</b>

#### Background

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.

#### Governor

Reduce funding by \$6,897,536 in both FY 18 and FY 19 to annualize FY 17 holdbacks.

## Totals

Budget Components	Governor Recommended	
	FY 18	FY 19
FY 17 Appropriation - GF	229,917,913	229,917,913
Policy Revisions	(16,542,132)	(16,542,132)
<b>Total Recommended - GF</b>	<b>213,375,781</b>	<b>213,375,781</b>

Positions	Governor Recommended	
	FY 18	FY 19
FY 17 Appropriation - GF	2,413	2,413
<b>Total Recommended - GF</b>	<b>2,413</b>	<b>2,413</b>

## University of Connecticut Health Center UHC72000

### Permanent Full-Time Positions

Fund	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov-App FY 18
			FY 18	FY 19	FY 18	FY 19	
General Fund	1,698	1,698	1,698	1,698	1,698	1,698	-

### Budget Summary

Account	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov-App FY 18
			FY 18	FY 19	FY 18	FY 19	
<b>Other Current Expenses</b>							
Operating Expenses	123,032,783	115,911,785	115,932,640	115,936,556	107,846,204	107,850,120	(6.96)
AHEC	399,546	406,723	406,723	406,723	378,349	378,349	(6.98)
Workers' Compensation Claims	7,982,024	6,910,804	8,204,101	8,446,934	7,721,978	7,964,811	11.74
Bioscience	12,458,333	11,310,000	11,884,829	14,998,303	11,095,801	14,209,275	(1.89)
<b>Agency Total - General Fund</b>	<b>143,872,686</b>	<b>134,539,312</b>	<b>136,428,293</b>	<b>139,788,516</b>	<b>127,042,332</b>	<b>130,402,555</b>	<b>(5.57)</b>
<b>Additional Funds Available</b>							
Private Contributions & Other Restricted	765,718,731	802,352,004	821,418,028	837,547,251	821,418,028	837,547,251	2.38
<b>Agency Grand Total</b>	<b>909,591,417</b>	<b>936,891,316</b>	<b>957,846,321</b>	<b>977,335,767</b>	<b>948,460,360</b>	<b>967,949,806</b>	<b>1.23</b>

Account	Governor Recommended	
	FY 18	FY 19

## Policy Revisions

### Reduce Funding for Various Line Items

Operating Expenses	(4,609,083)	(4,609,083)
AHEC	(16,173)	(16,173)
Workers' Compensation Claims	(274,799)	(274,799)
Bioscience	(449,728)	(449,728)
<b>Total - General Fund</b>	<b>(5,349,783)</b>	<b>(5,349,783)</b>

#### Background

The agency budget includes Operating Expenses, Workers' Compensation Claims, Bioscience, and Area Health Education Centers (AHEC). AHECs recruit minority students into health professions, provide continuing education, and work on health issues in Bridgeport, Hartford, Waterbury, and Willimantic. See below for information on Bioscience.

#### Governor

Reduce funding by \$5,349,783 in both FY 18 and FY 19 to achieve savings.

### Annualize FY 17 Holdbacks

Operating Expenses	(3,477,353)	(3,477,353)
AHEC	(12,201)	(12,201)
Workers' Compensation Claims	(207,324)	(207,324)
Bioscience	(339,300)	(339,300)
<b>Total - General Fund</b>	<b>(4,036,178)</b>	<b>(4,036,178)</b>

Account	Governor Recommended	
	FY 18	FY 19

**Background**

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.

**Governor**

Reduce funding by \$4,036,178 in both FY 18 and FY 19 to annualize FY 17 holdbacks.

## Current Services

### Adjust Funding for Bioscience Initiative

Bioscience	574,829	3,688,303
<b>Total - General Fund</b>	<b>574,829</b>	<b>3,688,303</b>

**Background**

The Bioscience Initiative is a multi-year effort, begun in 2011, to support the bioscience industry and the health center. The initiative involves construction, hiring, equipment, and community programs. The Governor's budget recommends Bioscience increases in FY 18 and FY 19. However, holdbacks and reductions result in a \$214,199 net decrease in FY 18 and a \$2,899,275 net increase in FY 19 to Bioscience funding.

**Governor**

Increase funding by \$574,829 in FY 18 and \$3,688,303 in FY 19.

### Increase Funding for Workers' Compensation Claims

Operating Expenses	20,855	24,771
Workers' Compensation Claims	1,293,297	1,536,130
<b>Total - General Fund</b>	<b>1,314,152</b>	<b>1,560,901</b>

**Background**

In FY 17, \$6,910,804 was appropriated for Workers' Compensation Claims at the UConn Health Center. The agency anticipates needing additional funding to cover expenses.

**Governor**

Increase funding for Workers' Compensation Claims by \$1,314,152 in FY 18 and \$1,560,901 in FY 19.

## Totals

Budget Components	Governor Recommended	
	FY 18	FY 19
FY 17 Appropriation - GF	134,539,312	134,539,312
Policy Revisions	(9,385,961)	(9,385,961)
Current Services	1,888,981	5,249,204
<b>Total Recommended - GF</b>	<b>127,042,332</b>	<b>130,402,555</b>

Positions	Governor Recommended	
	FY 18	FY 19
FY 17 Appropriation - GF	1,698	1,698
<b>Total Recommended - GF</b>	<b>1,698</b>	<b>1,698</b>



## Connecticut State Colleges and Universities

### BOR77700

#### Permanent Full-Time Positions

Fund	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov-App FY 18
			FY 18	FY 19	FY 18	FY 19	
General Fund	4,625	4,633	-	-	4,633	4,633	-

#### Budget Summary

Account	Actual FY 16	Appropriation FY 17	Agency Requested		Governor Recommended		% Diff Gov-App FY 18
			FY 18	FY 19	FY 18	FY 19	
<b>Other Current Expenses</b>							
Operating Expenses	-	-	-	-	306,701,286	306,701,286	n/a
Workers' Compensation Claims	3,737,996	3,571,674	3,571,674	3,571,674	3,322,501	3,322,501	(6.98)
Charter Oak State College	2,689,233	2,424,330	2,424,330	2,424,330	-	-	(100.00)
Community Tech College System	161,936,816	161,446,565	162,225,091	162,225,091	-	-	(100.00)
Connecticut State University	162,485,587	153,640,756	153,683,197	156,997,443	-	-	(100.00)
Board of Regents	524,777	446,390	446,390	446,390	-	-	(100.00)
Transform CSCU	19,291,569	-	-	-	-	-	n/a
Developmental Services	-	9,469,836	9,469,836	9,469,836	-	-	(100.00)
Outcomes-Based Funding Incentive	-	1,662,925	1,662,925	1,662,925	-	-	(100.00)
<b>Agency Total - General Fund</b>	<b>350,665,978</b>	<b>332,662,476</b>	<b>333,483,443</b>	<b>336,797,689</b>	<b>310,023,787</b>	<b>310,023,787</b>	<b>(6.81)</b>
<b>Additional Funds Available</b>							
Federal Funds	144,930,499	136,841,881	135,831,958	135,574,325	135,831,958	135,574,325	(0.74)
Private Contributions & Other Restricted	771,576,714	792,409,618	806,315,195	819,305,131	806,315,195	819,305,131	1.75
Private Contributions	156,342	5,400	5,400	5,400	5,400	5,400	-
<b>Agency Grand Total</b>	<b>1,267,329,533</b>	<b>1,261,919,375</b>	<b>1,275,635,996</b>	<b>1,291,682,545</b>	<b>1,252,176,340</b>	<b>1,264,908,643</b>	<b>(0.77)</b>

Account	Governor Recommended	
	FY 18	FY 19

## Policy Revisions

### Reduce Funding for Various Line Items

Workers' Compensation Claims	(142,024)	(142,024)
Charter Oak State College	(112,227)	(112,227)
Community Tech College System	(7,473,697)	(7,473,697)
Connecticut State University	(6,109,326)	(6,109,326)
Board of Regents	(66,124)	(66,124)
Developmental Services	(17,573)	(17,573)
Outcomes-Based Funding Incentive	(376,556)	(376,556)
<b>Total - General Fund</b>	<b>(14,297,527)</b>	<b>(14,297,527)</b>

### Background

The agency budget includes multiple line items for public colleges and universities, the Board of Regents for Higher Education, Workers' Compensation Claims, and two additional line items: (1) the Developmental Services line item provides the community technical colleges and Connecticut State Universities with dedicated funding to provide enhanced remedial education and (2) the Outcomes-Based Funding Incentive line item was established in the final FY 17 budget to support the goals of the Planning Commission for Higher Education.

Account	Governor Recommended	
	FY 18	FY 19

**Governor**

Reduce funding by \$14,297,527 in both FY 18 and FY 19 to achieve savings.

**Consolidate Appropriations for Agency Operations**

Operating Expenses	306,701,286	306,701,286
Charter Oak State College	(2,263,617)	(2,263,617)
Community Tech College System	(150,743,937)	(150,743,937)
Connecticut State University	(142,922,208)	(142,922,208)
Board of Regents	(366,875)	(366,875)
Developmental Services	(9,168,168)	(9,168,168)
Outcomes-Based Funding Incentive	(1,236,481)	(1,236,481)
<b>Total - General Fund</b>	-	-

**Background**

Currently, state appropriations for Connecticut State Colleges and Universities are distributed among multiple line items. The Governor's FY 18 and FY 19 budget collapses all line items except Workers' Compensation Claims into a single Operating Expenses item.

**Governor**

Consolidate multiple agency appropriations into one account.

**Annualize FY 17 Holdbacks**

Workers' Compensation Claims	(107,149)	(107,149)
Charter Oak State College	(48,486)	(48,486)
Community Tech College System	(3,228,931)	(3,228,931)
Connecticut State University	(4,609,222)	(4,609,222)
Board of Regents	(13,391)	(13,391)
Developmental Services	(284,095)	(284,095)
Outcomes-Based Funding Incentive	(49,888)	(49,888)
<b>Total - General Fund</b>	<b>(8,341,162)</b>	<b>(8,341,162)</b>

**Background**

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.

**Governor**

Reduce funding by \$8,341,162 in both FY 18 and FY 19 to annualize FY 17 holdbacks.

**Totals**

Budget Components	Governor Recommended	
	FY 18	FY 19
FY 17 Appropriation - GF	332,662,476	332,662,476
Policy Revisions	(22,638,689)	(22,638,689)
<b>Total Recommended - GF</b>	<b>310,023,787</b>	<b>310,023,787</b>

Positions	Governor Recommended	
	FY 18	FY 19
FY 17 Appropriation - GF	4,633	4,633
<b>Total Recommended - GF</b>	<b>4,633</b>	<b>4,633</b>