

## Regulation and Protection

Coordinator - Holly Williams

Office of Fiscal Analysis

|  | Page # | Analyst | Actual FY 16       | Appropriation FY 17 | Agency Requested   |                    | Governor Recommended |                    | % Diff Gov - App FY 18 |
|--|--------|---------|--------------------|---------------------|--------------------|--------------------|----------------------|--------------------|------------------------|
|  |        |         |                    |                     | FY 18              | FY 19              | FY 18                | FY 19              |                        |
| <b>General Fund</b>                                    |        |         |                    |                     |                    |                    |                      |                    |                        |
| Department of Emergency Services and Public Protection | 2      | DC      | 185,723,649        | 174,420,300         | 190,573,490        | 195,043,318        | 188,155,609          | 190,382,696        | 7.87                   |
| Military Department                                    | 7      | JP      | 5,419,116          | 5,478,851           | 5,814,563          | 5,814,563          | 5,582,153            | 5,604,576          | 1.89                   |
| Department of Consumer Protection                      | 14     | JS      | 15,504,091         | 15,847,032          | 15,895,054         | 15,908,554         | 14,131,408           | 14,131,408         | (10.83)                |
| Commission on Human Rights and Opportunities           | 16     | MG      | 6,477,869          | 6,767,893           | 6,767,893          | 6,767,893          | 5,937,402            | 5,805,675          | (12.27)                |
| Protection and Advocacy for Persons with Disabilities  | 18     | MR      | 2,441,751          | 2,226,641           | -                  | -                  | -                    | -                  | (100.00)               |
| <b>Total - General Fund</b>                            |        |         | <b>215,566,476</b> | <b>204,740,717</b>  | <b>219,051,000</b> | <b>223,534,328</b> | <b>213,806,572</b>   | <b>215,924,355</b> | <b>4.43</b>            |
| <b>Insurance Fund</b>                                  |        |         |                    |                     |                    |                    |                      |                    |                        |
| Insurance Department                                   | 10     | CG      | 27,741,661         | 28,533,164          | 28,533,164         | 28,533,164         | 27,245,017           | 26,982,039         | (4.51)                 |
| Office of the Healthcare Advocate                      | 12     | HW      | 4,922,993          | 7,593,506           | 7,593,506          | 7,593,506          | 6,864,985            | 3,299,336          | (9.59)                 |
| <b>Total - Insurance Fund</b>                          |        |         | <b>32,664,654</b>  | <b>36,126,670</b>   | <b>36,126,670</b>  | <b>36,126,670</b>  | <b>34,110,002</b>    | <b>30,281,375</b>  | <b>(5.58)</b>          |
| <b>Workers' Compensation Fund</b>                      |        |         |                    |                     |                    |                    |                      |                    |                        |
| Workers' Compensation Commission                       | 20     | HW      | 19,910,312         | 22,691,719          | 22,193,507         | 21,450,237         | 20,240,205           | 20,578,202         | (10.80)                |
| <b>Total - Appropriated Funds</b>                      |        |         | <b>268,141,442</b> | <b>263,559,106</b>  | <b>277,371,177</b> | <b>281,111,235</b> | <b>268,156,779</b>   | <b>266,783,932</b> | <b>1.74</b>            |

## Department of Emergency Services and Public Protection

### DPS32000

#### Permanent Full-Time Positions

| Fund         | Actual<br>FY 16 | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|--------------|-----------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|              |                 |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| General Fund | 1,733           | 1,733                  | 1,739            | 1,739 | 1,736                | 1,736 | 0.17                       |

#### Budget Summary

| Account   | Actual<br>FY 16    | Appropriation<br>FY 17 | Agency Requested   |                    | Governor Recommended |                    | % Diff<br>Gov-App<br>FY 18 |
|---|--------------------|------------------------|--------------------|--------------------|----------------------|--------------------|----------------------------|
|   |                    |                        | FY 18              | FY 19              | FY 18                | FY 19              |                            |
| Personal Services                               | 146,232,420        | 136,557,561            | 145,899,207        | 148,157,881        | 146,714,985          | 148,840,423        | 7.44                       |
| Other Expenses                                  | 28,435,284         | 26,275,160             | 32,310,776         | 33,455,678         | 27,396,849           | 26,678,310         | 4.27                       |
| Equipment                                       | 87,003             | 86,580                 | 107,261            | 86,580             | -                    | -                  | (100.00)                   |
| <b>Other Current Expenses</b>                   |                    |                        |                    |                    |                      |                    |                            |
| Stress Reduction                                | 250                | 25,354                 | 25,354             | 25,354             | 25,354               | 25,354             | -                          |
| Fleet Purchase                                  | 5,979,054          | 6,394,806              | 6,789,243          | 7,718,494          | 6,518,502            | 6,897,277          | 1.93                       |
| Workers' Compensation Claims                    | 4,243,453          | 4,293,814              | 4,564,624          | 4,582,306          | 4,541,962            | 4,636,817          | 5.78                       |
| Criminal Justice Information System             | -                  | -                      | -                  | -                  | 2,392,840            | 2,739,398          | n/a                        |
| <b>Other Than Payments to Local Governments</b> |                    |                        |                    |                    |                      |                    |                            |
| Fire Training School - Willimantic              | 93,176             | 76,900                 | 76,900             | 136,900            | 20,000               | 20,000             | (73.99)                    |
| Maintenance of County Base Fire Radio Network   | 22,681             | 22,368                 | 22,368             | 22,368             | 21,698               | 21,698             | (3.00)                     |
| Maintenance of State-Wide Fire Radio Network    | 15,096             | 14,887                 | 14,887             | 14,887             | 14,441               | 14,441             | (3.00)                     |
| Police Association of Connecticut               | 99,660             | 177,683                | 177,683            | 177,683            | 172,353              | 172,353            | (3.00)                     |
| Connecticut State Firefighter's Association     | 133,077            | 182,087                | 182,087            | 182,087            | 176,625              | 176,625            | (3.00)                     |
| Fire Training School - Torrington               | 56,083             | 46,150                 | 76,150             | 96,150             | 20,000               | 20,000             | (56.66)                    |
| Fire Training School - New Haven                | 37,455             | 30,000                 | 30,000             | 30,000             | 20,000               | 20,000             | (33.33)                    |
| Fire Training School - Derby                    | 28,082             | 23,100                 | 23,100             | 83,100             | 20,000               | 20,000             | (13.42)                    |
| Fire Training School - Wolcott                  | 65,370             | 53,830                 | 53,830             | 53,830             | 20,000               | 20,000             | (62.85)                    |
| Fire Training School - Fairfield                | 46,706             | 37,700                 | 97,700             | 97,700             | 20,000               | 20,000             | (46.95)                    |
| Fire Training School - Hartford                 | 93,090             | 76,900                 | 76,900             | 76,900             | 20,000               | 20,000             | (73.99)                    |
| Fire Training School - Middletown               | 27,835             | 23,100                 | 23,100             | 23,100             | 20,000               | 20,000             | (13.42)                    |
| Fire Training School - Stamford                 | 27,875             | 22,320                 | 22,320             | 22,320             | 20,000               | 20,000             | (10.39)                    |
| <b>Agency Total - General Fund</b>              | <b>185,723,649</b> | <b>174,420,300</b>     | <b>190,573,490</b> | <b>195,043,318</b> | <b>188,155,609</b>   | <b>190,382,696</b> | <b>7.87</b>                |
| <b>Additional Funds Available</b>               |                    |                        |                    |                    |                      |                    |                            |
| Federal Funds                                   | 38,339,883         | 63,417,030             | 23,313,446         | 20,050,488         | 23,313,446           | 20,050,488         | (63.24)                    |
| Private Contributions & Other Restricted        | 33,441,727         | 36,079,443             | 37,070,448         | 37,384,772         | 37,070,448           | 37,384,772         | 2.75                       |
| Private Contributions                           | 2,262,700          | 2,605,016              | 2,367,683          | 2,367,683          | 2,367,683            | 2,367,683          | (9.11)                     |
| <b>Agency Grand Total</b>                       | <b>259,767,959</b> | <b>276,521,789</b>     | <b>253,325,067</b> | <b>254,846,261</b> | <b>250,907,186</b>   | <b>250,185,639</b> | <b>(9.26)</b>              |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |

## Policy Revisions

### Reallocate Funding for Criminal Justice Information System

|                                     |                  |                  |
|-------------------------------------|------------------|------------------|
| Criminal Justice Information System | 2,392,840        | 2,739,398        |
| <b>Total - General Fund</b>         | <b>2,392,840</b> | <b>2,739,398</b> |

#### Governor

Transfer Criminal Justice Information System (CJIS) funding of \$2,392,840 in FY 18 and \$2,739,398 in FY 19 from OPM to DESPP. DESPP assumed management of CJIS in July 2015.

### Reduce Overtime

|                             |                  |                  |
|-----------------------------|------------------|------------------|
| Personal Services           | (457,767)        | (457,767)        |
| Other Expenses              | 67,000           | 67,000           |
| <b>Total - General Fund</b> | <b>(390,767)</b> | <b>(390,767)</b> |

#### Governor

Reduce funding by \$390,767 in both FY 18 and FY 19 to reflect an overtime savings initiative. Reduce Personal Services by \$457,767 in both FY 18 and FY 19 through the utilization of the National Crime Information Center (NCIC) Peak Performance Project. The system allows for online training, validations and audits to be conducted on-site during the work day and thereby reducing staff overtime expenses normally used for training. This overtime savings is partially offset by purchasing and maintaining an online certification system for \$67,000.

### Increase Fire Prevention/Control Certification Testing Fees

|                             |                  |                  |
|-----------------------------|------------------|------------------|
| Other Expenses              | (130,000)        | (130,000)        |
| <b>Total - General Fund</b> | <b>(130,000)</b> | <b>(130,000)</b> |

#### Governor

Reduce funding by \$130,000 in both FY 18 and FY 19 to reflect the state savings from increasing certain Division of Fire Prevention and Control certification testing fees.

### Reduce Funding to Various Accounts

|                             |                  |                  |
|-----------------------------|------------------|------------------|
| Other Expenses              | (282,800)        | (282,800)        |
| Equipment                   | (83,983)         | (83,983)         |
| <b>Total - General Fund</b> | <b>(366,783)</b> | <b>(366,783)</b> |

#### Governor

Reduce funding by \$366,783 in both FY 18 and FY 19 in the Other Expenses and Equipment accounts to achieve savings.

### Annualize FY 17 Holdbacks

|   |             |             |
|---|-------------|-------------|
| Personal Services                             | (4,096,726) | (4,096,726) |
| Other Expenses                                | (262,751)   | (262,751)   |
| Equipment                                     | (2,597)     | (2,597)     |
| Fleet Purchase                                | (191,844)   | (191,844)   |
| Workers' Compensation Claims                  | (128,814)   | (128,814)   |
| Fire Training School - Willimantic            | (56,900)    | (56,900)    |
| Maintenance of County Base Fire Radio Network | (670)       | (670)       |
| Maintenance of State-Wide Fire Radio Network  | (446)       | (446)       |
| Police Association of Connecticut             | (5,330)     | (5,330)     |
| Connecticut State Firefighter's Association   | (5,462)     | (5,462)     |
| Fire Training School - Torrington             | (26,150)    | (26,150)    |
| Fire Training School - New Haven              | (10,000)    | (10,000)    |
| Fire Training School - Derby                  | (3,100)     | (3,100)     |
| Fire Training School - Wolcott                | (33,830)    | (33,830)    |
| Fire Training School - Fairfield              | (17,700)    | (17,700)    |
| Fire Training School - Hartford               | (56,900)    | (56,900)    |
| Fire Training School - Middletown             | (3,100)     | (3,100)     |
| Fire Training School - Stamford               | (2,320)     | (2,320)     |

| Account                     | Governor Recommended |                    |
|-----------------------------|----------------------|--------------------|
|                             | FY 18                | FY 19              |
| <b>Total - General Fund</b> | <b>(4,904,640)</b>   | <b>(4,904,640)</b> |

**Background**

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.

**Governor**

Reduce funding by \$4,904,640 in both FY 18 and FY 19 to annualize FY 17 holdbacks.

## Current Services

### FY 17 RSA transfer for NP-1 Contract

|                             |                  |                  |
|-----------------------------|------------------|------------------|
| Personal Services           | 8,478,586        | 8,478,586        |
| <b>Total - General Fund</b> | <b>8,478,586</b> | <b>8,478,586</b> |

**Background**

In FY 17, \$8,478,586 was transferred from the Reserve for Salary Adjustment account for the settlement of the NP-1 contract.

**Governor**

Transfer funding of \$8,478,586 in both FY 18 and FY 19 from the Reserve for Salary Adjustment (RSA) account to DESPP for the FY 17 wage and compensation costs of the settled NP-1 contract.

### Provide Funding for Wage Increases for the NP-1 Contract

|                             |                  |                  |
|-----------------------------|------------------|------------------|
| Personal Services           | 6,010,711        | 8,136,149        |
| <b>Total - General Fund</b> | <b>6,010,711</b> | <b>8,136,149</b> |

**Background**

The State Police NP-1 union contract expires in June 2018. The funds are now budgeted in the Department of Emergency Services and Public Protection Personal Services account.

**Governor**

Provide funding of \$6,010,711 in FY 18 and \$8,136,149 in FY 19 for the NP -1 Union contract settlement. This reflects the FY 18 COLAs and Annual Increments awarded in the contract.

### Provide Funding Related to Body Worn Camera Devices

|                                 |                |                |
|---------------------------------|----------------|----------------|
| Personal Services               | 222,620        | 222,620        |
| Other Expenses                  | 225,000        | 225,000        |
| <b>Total - General Fund</b>     | <b>447,620</b> | <b>447,620</b> |
| <b>Positions - General Fund</b> | <b>3</b>       | <b>3</b>       |

**Background**

PA 15-4 requires that the State Police use body worn cameras while interacting with the public in their law enforcement capacity. Bond funds were designated for the purchase of the cameras and did not include ongoing support and maintenance costs of the program.

**Governor**

Provide funding of \$447,920 in both FY 18 and FY 19 for three positions including a Forensic Scientist, an IT Analyst and a Paralegal to handle the video redaction workload associated with increased FOIA requests for body camera information. Funding includes \$225,000 for ongoing support and maintenance of the cameras and digital storage.

### Replace State Police Vehicles and Bulletproof Vests

|                             |                |                |
|-----------------------------|----------------|----------------|
| Other Expenses              | 436,014        | -              |
| Fleet Purchase              | 315,540        | 694,315        |
| <b>Total - General Fund</b> | <b>751,554</b> | <b>694,315</b> |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |

**Background**

The department's replacement schedule is for 250 patrol vehicles and 50 specialty/administrative vehicles annually. Bulletproof vests are replaced on a five year cycle.

**Governor**

Provide funding of \$436,014 in FY 18 for the replacement of bulletproof vests. Provide funding of \$315,540 in FY 18 and \$694,315 in 19 is provided for the replacement of State Police Vehicles.

**Provide Funding for a Trooper Class in FY18**

|                             |                |          |
|-----------------------------|----------------|----------|
| Other Expenses              | 438,200        | -        |
| <b>Total - General Fund</b> | <b>438,200</b> | <b>-</b> |

**Background**

A recruit trooper training class begins with 80 recruits.

**Governor**

Provide funding of \$438,200 for a Trooper Class in FY 18.

**Provide Funding for Workers' Compensation Claims**

|                              |                |                |
|------------------------------|----------------|----------------|
| Workers' Compensation Claims | 376,962        | 471,817        |
| <b>Total - General Fund</b>  | <b>376,962</b> | <b>471,817</b> |

**Background**

Historical increases for the Worker's Compensation account have been 6% per year.

**Governor**

Provide funding of \$376,962 in FY 18 and \$471,817 in FY 19 for projected increases in Workers' Compensation Claims.

**Provide Funding for Various IT Programs and Maintenance**

|                             |                |                |
|-----------------------------|----------------|----------------|
| Other Expenses              | 361,110        | 492,794        |
| <b>Total - General Fund</b> | <b>361,110</b> | <b>492,794</b> |

**Governor**

Provide funding of \$361,110 in FY 18 and \$492,794 in FY 19 for IT maintenance contract increases for the microwave radio network, Law Enforcement Administration Software as well as CADRMS mobility software and training audit/validation program for COLLECT users.

**Provide Funding for Lab Supplies**

|                             |                |                |
|-----------------------------|----------------|----------------|
| Other Expenses              | 269,916        | 293,907        |
| <b>Total - General Fund</b> | <b>269,916</b> | <b>293,907</b> |

**Governor**

Provide funding of \$269,916 in FY 18 and \$293,907 in FY 19 for lab supplies due to caseload growth.

**Totals**

| <b>Budget Components</b>      | <b>Governor Recommended</b> |                    |
|-------------------------------|-----------------------------|--------------------|
|                               | <b>FY 18</b>                | <b>FY 19</b>       |
| FY 17 Appropriation - GF      | 174,420,300                 | 174,420,300        |
| Policy Revisions              | (3,399,350)                 | (3,052,792)        |
| Current Services              | 17,134,659                  | 19,015,188         |
| <b>Total Recommended - GF</b> | <b>188,155,609</b>          | <b>190,382,696</b> |

| <b>Positions</b>              | <b>Governor Recommended</b> |              |
|-------------------------------|-----------------------------|--------------|
|                               | <b>FY 18</b>                | <b>FY 19</b> |
| FY 17 Appropriation - GF      | 1,733                       | 1,733        |
| Current Services              | 3                           | 3            |
| <b>Total Recommended - GF</b> | <b>1,736</b>                | <b>1,736</b> |

## Military Department MIL36000

### Permanent Full-Time Positions

| Fund         | Actual<br>FY 16 | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|--------------|-----------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|              |                 |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| General Fund | 42              | 42                     | 42               | 42    | 42                   | 42    | -                          |

### Budget Summary

| Account                                     | Actual<br>FY 16   | Appropriation<br>FY 17 | Agency Requested  |                   | Governor Recommended |                   | % Diff<br>Gov-App<br>FY 18 |
|---|-------------------|------------------------|-------------------|-------------------|----------------------|-------------------|----------------------------|
|   |                   |                        | FY 18             | FY 19             | FY 18                | FY 19             |                            |
| Personal Services                           | 2,799,547         | 2,863,407              | 2,883,407         | 2,883,407         | 2,711,254            | 2,711,254         | (5.31)                     |
| Other Expenses                              | 2,092,068         | 2,242,356              | 2,312,356         | 2,312,356         | 2,262,356            | 2,284,779         | 0.89                       |
| <b>Other Current Expenses</b>               |                   |                        |                   |                   |                      |                   |                            |
| Honor Guards                                | 451,300           | 326,329                | 525,000           | 525,000           | 515,210              | 515,210           | 57.88                      |
| Veteran's Service Bonuses                   | 76,200            | 46,759                 | 93,800            | 93,800            | 93,333               | 93,333            | 99.60                      |
| <b>Agency Total - General Fund</b>          | <b>5,419,116</b>  | <b>5,478,851</b>       | <b>5,814,563</b>  | <b>5,814,563</b>  | <b>5,582,153</b>     | <b>5,604,576</b>  | <b>1.89</b>                |
| <b>Additional Funds Available</b>           |                   |                        |                   |                   |                      |                   |                            |
| Federal Funds                               | 18,239,534        | 17,694,240             | 19,356,857        | 19,623,902        | 19,356,857           | 19,623,902        | 9.40                       |
| Private Contributions & Other<br>Restricted | 358,962           | 399,500                | 385,000           | 343,500           | 385,000              | 343,500           | (3.63)                     |
| Private Contributions                       | 143,304           | 96,500                 | 136,000           | 700               | 136,000              | 700               | 40.93                      |
| <b>Agency Grand Total</b>                   | <b>24,160,916</b> | <b>23,669,091</b>      | <b>25,692,420</b> | <b>25,782,665</b> | <b>25,460,010</b>    | <b>25,572,678</b> | <b>7.57</b>                |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |
|         |                      |       |

## *Policy Revisions*

### Annualize FY 17 Savings

|                             |                 |                 |
|-----------------------------|-----------------|-----------------|
| Personal Services           | (40,469)        | (40,469)        |
| Other Expenses              | (27,577)        | (27,577)        |
| <b>Total - General Fund</b> | <b>(68,046)</b> | <b>(68,046)</b> |

#### Background

Annualization refers to providing the amount of resources necessary for a 12 month period of operation.

#### Governor

Reduce funding by \$68,046 in both FY 18 and FY 19 to reflect full year savings for reduced overtime, attrition savings, and deferred maintenance.

### Annualize FY 2017 Holdbacks

|                             |                  |                  |
|-----------------------------|------------------|------------------|
| Personal Services           | (131,684)        | (131,684)        |
| Other Expenses              | (22,423)         | -                |
| Honor Guards                | (9,790)          | (9,790)          |
| Veteran's Service Bonuses   | (467)            | (467)            |
| <b>Total - General Fund</b> | <b>(164,364)</b> | <b>(141,941)</b> |

#### Background

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |

**Governor**

Reduce funding by \$163,364 in FY 18 and \$141,941 in FY 19 to annualize FY 17 holdbacks.

## Current Services

### Provide Full Year Funding for Honor Guards

|                             |                |                |
|-----------------------------|----------------|----------------|
| Honor Guards                | 198,671        | 198,671        |
| <b>Total - General Fund</b> | <b>198,671</b> | <b>198,671</b> |

**Background**

The Honor Guard account funds three member rifle squads for veteran funerals, which is a supplemental benefit to the federally required presentation of the flag and playing of "taps." PA 16-2, the Revised FY 17 Budget, provided funding of \$326,329 in FY 17. This level of funding was insufficient to provide a full year of supplemental funeral honors.

**Governor**

Provide funding of \$198,697 in both FY 18 and FY 19 to ensure full year funding of supplemental funeral honors. Total funding in FY 18 and FY 19 is \$515,210.

### Provide Funding for the Governor's Horse Guard Units

|                             |               |               |
|-----------------------------|---------------|---------------|
| Personal Services           | 20,000        | 20,000        |
| Other Expenses              | 70,000        | 70,000        |
| <b>Total - General Fund</b> | <b>90,000</b> | <b>90,000</b> |

**Background**

The Governor's Horse Guards, located in Avon and Newtown, are militia units that maintain herds of horses. Each unit has an associated nonprofit for fundraising purposes, and as of FY 17 the Military Department has been able to lease excess space in each of the horse barns.

**Governor**

Provide funding of \$90,000 in both FY 18 and FY 19 for support of the Governor's Horse Guard.

### Provide Funding for Veterans' Service Bonuses

|                             |               |               |
|-----------------------------|---------------|---------------|
| Veteran's Service Bonuses   | 47,041        | 47,041        |
| <b>Total - General Fund</b> | <b>47,041</b> | <b>47,041</b> |

**Background**

The Veterans' Service Bonus is a grant program awarded to Connecticut National Guard members on active duty in support of the global war on terrorism. Eligible participants in the program can receive \$50 per month up to \$500 for non-combat service and up to \$1,200 for combat service.

**Governor**

Provide funding of \$47,041 in FY 18 and FY 19 to reflect anticipated needs based on deployments.



**Totals**

| <b>Budget Components</b>      | <b>Governor Recommended</b> |                  |
|-------------------------------|-----------------------------|------------------|
|                               | <b>FY 18</b>                | <b>FY 19</b>     |
| FY 17 Appropriation - GF      | 5,478,851                   | 5,478,851        |
| Policy Revisions              | (232,410)                   | (209,987)        |
| Current Services              | 335,712                     | 335,712          |
| <b>Total Recommended - GF</b> | <b>5,582,153</b>            | <b>5,604,576</b> |

| <b>Positions</b>              | <b>Governor Recommended</b> |              |
|-------------------------------|-----------------------------|--------------|
|                               | <b>FY 18</b>                | <b>FY 19</b> |
| FY 17 Appropriation - GF      | 42                          | 42           |
| <b>Total Recommended - GF</b> | <b>42</b>                   | <b>42</b>    |

## Insurance Department DOI37500

### Permanent Full-Time Positions

| Fund           | Actual<br>FY 16 | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|----------------|-----------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|                |                 |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| Insurance Fund | 159             | 159                    | 159              | 159   | 151                  | 150   | (5.03)                     |

### Budget Summary

| Account                                     | Actual<br>FY 16   | Appropriation<br>FY 17 | Agency Requested  |                   | Governor Recommended |                   | % Diff<br>Gov-App<br>FY 18 |
|---|-------------------|------------------------|-------------------|-------------------|----------------------|-------------------|----------------------------|
|   |                   |                        | FY 18             | FY 19             | FY 18                | FY 19             |                            |
| Personal Services                           | 14,217,831        | 14,537,472             | 14,537,472        | 14,537,472        | 13,942,472           | 13,796,046        | (4.09)                     |
| Other Expenses                              | 2,228,919         | 1,899,807              | 1,899,807         | 1,899,807         | 1,727,807            | 1,727,807         | (9.05)                     |
| Equipment                                   | 95,000            | 52,500                 | 52,500            | 52,500            | 52,500               | 52,500            | -                          |
| <b>Other Current Expenses</b>               |                   |                        |                   |                   |                      |                   |                            |
| Fringe Benefits                             | 10,950,982        | 11,510,498             | 11,510,498        | 11,510,498        | 11,055,498           | 10,938,946        | (3.95)                     |
| Indirect Overhead                           | 248,930           | 532,887                | 532,887           | 532,887           | 466,740              | 466,740           | (12.41)                    |
| <b>Agency Total - Insurance Fund</b>        | <b>27,741,661</b> | <b>28,533,164</b>      | <b>28,533,164</b> | <b>28,533,164</b> | <b>27,245,017</b>    | <b>26,982,039</b> | <b>(4.51)</b>              |
| <b>Additional Funds Available</b>           |                   |                        |                   |                   |                      |                   |                            |
| Private Contributions & Other<br>Restricted | 390,719           | 404,000                | -                 | -                 | -                    | -                 | (100.00)                   |
| Private Contributions                       | 4,665             | 5,000                  | -                 | -                 | -                    | -                 | (100.00)                   |
| <b>Agency Grand Total</b>                   | <b>28,137,045</b> | <b>28,942,164</b>      | <b>28,533,164</b> | <b>28,533,164</b> | <b>27,245,017</b>    | <b>26,982,039</b> | <b>(5.86)</b>              |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |
|         |                      |       |

## *Policy Revisions*

### Transfer Funding to the Office of Health Strategy

|                                   |   |                  |
|-----------------------------------|---|------------------|
| Personal Services                 | - | (146,426)        |
| Fringe Benefits                   | - | (116,552)        |
| <b>Total - Insurance Fund</b>     | - | <b>(262,978)</b> |
| <b>Positions - Insurance Fund</b> | - | <b>(1)</b>       |

#### Background

The Office of Health Strategy is a new agency starting in FY 19 which combines programs from three agencies: the Department of Public Health, Department of Insurance and the Office of the Healthcare Advocate.

#### Governor

Transfer one position and associated funding of \$262,978 in FY 19 to the newly established Office of Health Strategy.

### Reduce Funds for Information Technology Contract with UConn

|                               |                  |                  |
|-------------------------------|------------------|------------------|
| Other Expenses                | (150,000)        | (150,000)        |
| <b>Total - Insurance Fund</b> | <b>(150,000)</b> | <b>(150,000)</b> |

#### Governor

Reduce funding for the information technology contract with UConn by \$150,000 in FY 18 and FY 19.

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |

**Reduce Funding for Lease Costs**

|                               |                 |                 |
|-------------------------------|-----------------|-----------------|
| Other Expenses                | (22,000)        | (22,000)        |
| <b>Total - Insurance Fund</b> | <b>(22,000)</b> | <b>(22,000)</b> |

**Governor**

Reduce funding by \$22,000 in FY 18 and FY 19 to reflect a new lease cost.

**Eliminate Vacant Positions**

|                                   |                    |                    |
|-----------------------------------|--------------------|--------------------|
| Personal Services                 | (595,000)          | (595,000)          |
| Fringe Benefits                   | (455,000)          | (455,000)          |
| <b>Total - Insurance Fund</b>     | <b>(1,050,000)</b> | <b>(1,050,000)</b> |
| <b>Positions - Insurance Fund</b> | <b>(8)</b>         | <b>(8)</b>         |

**Governor**

Reduce funding by \$1,050,000 in FY 18 and FY 19 to reflect the elimination of eight positions that are currently vacant.

*Current Services*

**Adjust Indirect Overhead**

|                               |                 |                 |
|-------------------------------|-----------------|-----------------|
| Indirect Overhead             | (66,147)        | (66,147)        |
| <b>Total - Insurance Fund</b> | <b>(66,147)</b> | <b>(66,147)</b> |

**Background**

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

**Governor**

Reduce funding by \$66,147 in FY 18 and FY 19 to reflect required funding for indirect overhead.

**Totals**

| Budget Components             | Governor Recommended |                   |
|-------------------------------|----------------------|-------------------|
|                               | FY 18                | FY 19             |
| FY 17 Appropriation - IF      | 28,533,164           | 28,533,164        |
| Policy Revisions              | (1,222,000)          | (1,484,978)       |
| Current Services              | (66,147)             | (66,147)          |
| <b>Total Recommended - IF</b> | <b>27,245,017</b>    | <b>26,982,039</b> |

| Positions                     | Governor Recommended |            |
|-------------------------------|----------------------|------------|
|                               | FY 18                | FY 19      |
| FY 17 Appropriation - IF      | 159                  | 159        |
| Policy Revisions              | (8)                  | (9)        |
| <b>Total Recommended - IF</b> | <b>151</b>           | <b>150</b> |

## Office of the Healthcare Advocate

### MCO39400

#### Permanent Full-Time Positions

| Fund           | Actual<br>FY 16 | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|----------------|-----------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|                |                 |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| Insurance Fund | 29              | 29                     | 29               | 29    | 29                   | 22    | -                          |

#### Budget Summary

| Account                              | Actual<br>FY 16  | Appropriation<br>FY 17 | Agency Requested |                  | Governor Recommended |                  | % Diff<br>Gov-App<br>FY 18 |
|--------------------------------------|------------------|------------------------|------------------|------------------|----------------------|------------------|----------------------------|
|                                      |                  |                        | FY 18            | FY 19            | FY 18                | FY 19            |                            |
| Personal Services                    | 1,874,765        | 2,488,457              | 2,488,457        | 2,488,457        | 2,263,457            | 1,683,355        | (9.04)                     |
| Other Expenses                       | 1,342,541        | 2,691,767              | 2,691,767        | 2,691,767        | 2,691,767            | 164,500          | -                          |
| Equipment                            | 14,883           | 15,000                 | 15,000           | 15,000           | 15,000               | 15,000           | -                          |
| <b>Other Current Expenses</b>        |                  |                        |                  |                  |                      |                  |                            |
| Fringe Benefits                      | 1,548,749        | 2,256,227              | 2,256,227        | 2,256,227        | 1,788,131            | 1,329,851        | (20.75)                    |
| Indirect Overhead                    | 142,055          | 142,055                | 142,055          | 142,055          | 106,630              | 106,630          | (24.94)                    |
| <b>Agency Total - Insurance Fund</b> | <b>4,922,993</b> | <b>7,593,506</b>       | <b>7,593,506</b> | <b>7,593,506</b> | <b>6,864,985</b>     | <b>3,299,336</b> | <b>(9.59)</b>              |
| <b>Additional Funds Available</b>    |                  |                        |                  |                  |                      |                  |                            |
| Federal Funds                        | 3,839,769        | 2,770,501              | -                | -                | 2,915,750            | 225,750          | 5.24                       |
| Private Contributions                | 133,870          | 86,480                 | -                | -                | 86,480               | -                | -                          |
| <b>Agency Grand Total</b>            | <b>8,896,632</b> | <b>10,450,487</b>      | <b>7,593,506</b> | <b>7,593,506</b> | <b>9,867,215</b>     | <b>3,525,086</b> | <b>(5.58)</b>              |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |
|         |                      |       |

## Policy Revisions

### Transfer SIM Program to Office of Health Strategy

|                                   |   |                    |
|-----------------------------------|---|--------------------|
| Personal Services                 | - | (580,102)          |
| Other Expenses                    | - | (2,527,267)        |
| Fringe Benefits                   | - | (458,280)          |
| <b>Total - Insurance Fund</b>     | - | <b>(3,565,649)</b> |
| <b>Positions - Insurance Fund</b> | - | <b>(7)</b>         |

#### Background

The Office of Health Strategy is a new agency starting in FY 19 which combines programs from three agencies: the Department of Public Health, Department of Insurance and the Office of the Healthcare Advocate.

The State Innovation Model Program (SIM) was initiated by the federal Affordable Care Act, through the Centers for Medicare and Medicaid Innovation (CMMI). The state received a planning grant in March of 2013 to develop SIM. The SIM's purpose is to align all healthcare payers in the state (e.g. Medicaid, the state employee and retiree health plan, Medicare, commercial and self-funded plans) around a value-based payment methodology, which focuses reimbursement on quality metrics, as opposed to simply volume and cost reduction measures, through various means.

#### Governor

Transfer funding of \$3,565,649 and seven position in FY 19 to the new Office of Health Strategy for the SIM program.

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |

## Current Services

### Reduce Personal Services Account

|                               |                  |                  |
|-------------------------------|------------------|------------------|
| Personal Services             | (225,000)        | (225,000)        |
| <b>Total - Insurance Fund</b> | <b>(225,000)</b> | <b>(225,000)</b> |

#### Governor

Reduce the Personal Services account by \$225,000 in both FY 18 and FY 19 to align payroll funding with current requirements.

### Adjust Fringe Benefits and Indirect Overhead

|                               |                  |                  |
|-------------------------------|------------------|------------------|
| Fringe Benefits               | (468,096)        | (468,096)        |
| Indirect Overhead             | (35,425)         | (35,425)         |
| <b>Total - Insurance Fund</b> | <b>(503,521)</b> | <b>(503,521)</b> |

#### Background

The fringe benefit costs for employees supported by funds other than the General Fund are budgeted for within their respective agencies, as opposed to the fringe benefit accounts within the Office of the State Comptroller. In addition, this agency is charged indirect overhead costs by the State Comptroller for utilizing certain centralized state agency services.

#### Governor

Reduce funding by \$503,521 in both FY 18 and FY 19 to reflect required funding for fringe benefits and indirect overhead.

## Totals

| Budget Components             | Governor Recommended |                  |
|-------------------------------|----------------------|------------------|
|                               | FY 18                | FY 19            |
| FY 17 Appropriation - IF      | 7,593,506            | 7,593,506        |
| Policy Revisions              | -                    | (3,565,649)      |
| Current Services              | (728,521)            | (728,521)        |
| <b>Total Recommended - IF</b> | <b>6,864,985</b>     | <b>3,299,336</b> |

| Positions                     | Governor Recommended |           |
|-------------------------------|----------------------|-----------|
|                               | FY 18                | FY 19     |
| FY 17 Appropriation - IF      | 29                   | 29        |
| Policy Revisions              | -                    | (7)       |
| <b>Total Recommended - IF</b> | <b>29</b>            | <b>22</b> |

## Department of Consumer Protection

### DCP39500

#### Permanent Full-Time Positions

| Fund         | Actual<br>FY 16 | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|--------------|-----------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|              |                 |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| General Fund | 239             | 241                    | 241              | 241   | 218                  | 218   | (9.54)                     |

#### Budget Summary

| Account                                     | Actual<br>FY 16   | Appropriation<br>FY 17 | Agency Requested  |                   | Governor Recommended |                   | % Diff<br>Gov-App<br>FY 18 |
|---|-------------------|------------------------|-------------------|-------------------|----------------------|-------------------|----------------------------|
|   |                   |                        | FY 18             | FY 19             | FY 18                | FY 19             |                            |
| Personal Services                           | 14,359,405        | 14,549,545             | 14,549,545        | 14,549,545        | 12,872,845           | 12,872,845        | (11.52)                    |
| Other Expenses                              | 1,144,687         | 1,297,487              | 1,345,509         | 1,359,009         | 1,258,563            | 1,258,563         | (3.00)                     |
| <b>Agency Total - General Fund</b>          | <b>15,504,091</b> | <b>15,847,032</b>      | <b>15,895,054</b> | <b>15,908,554</b> | <b>14,131,408</b>    | <b>14,131,408</b> | <b>(10.83)</b>             |
| <b>Additional Funds Available</b>           |                   |                        |                   |                   |                      |                   |                            |
| Federal Funds                               | 325,684           | 292,729                | 48,158            | 26,380            | 48,158               | 26,380            | (83.55)                    |
| Private Contributions & Other<br>Restricted | 7,224,236         | 7,874,036              | 7,874,036         | 7,874,036         | 7,874,036            | 7,874,036         | -                          |
| Private Contributions                       | 671,036           | 621,288                | 600,565           | 600,565           | 600,565              | 600,565           | (3.34)                     |
| <b>Agency Grand Total</b>                   | <b>23,725,047</b> | <b>24,635,085</b>      | <b>24,417,813</b> | <b>24,409,535</b> | <b>22,654,167</b>    | <b>22,632,389</b> | <b>(8.04)</b>              |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |
|         |                      |       |

## *Policy Revisions*

### Annualize FY 17 Holdbacks

|                             |                  |                  |
|-----------------------------|------------------|------------------|
| Personal Services           | (509,234)        | (509,234)        |
| Other Expenses              | (38,924)         | (38,924)         |
| <b>Total - General Fund</b> | <b>(548,158)</b> | <b>(548,158)</b> |

#### Background

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.

#### Governor

Reduce funding by \$548,158 in FY 18 and FY 19 to annualize FY 17 holdbacks.

### Eliminate Vacant Positions

|                                 |                    |                    |
|---------------------------------|--------------------|--------------------|
| Personal Services               | (1,167,466)        | (1,167,466)        |
| <b>Total - General Fund</b>     | <b>(1,167,466)</b> | <b>(1,167,466)</b> |
| <b>Positions - General Fund</b> | <b>(23)</b>        | <b>(23)</b>        |

#### Governor

Reduce funding of \$1,167,466 in FY 18 and FY 19 to reflect the elimination of 23 positions that are currently vacant.

**Totals**

| <b>Budget Components</b>      | <b>Governor Recommended</b> |                   |
|-------------------------------|-----------------------------|-------------------|
|                               | <b>FY 18</b>                | <b>FY 19</b>      |
| FY 17 Appropriation - GF      | 15,847,032                  | 15,847,032        |
| Policy Revisions              | (1,715,624)                 | (1,715,624)       |
| <b>Total Recommended - GF</b> | <b>14,131,408</b>           | <b>14,131,408</b> |

| <b>Positions</b>              | <b>Governor Recommended</b> |              |
|-------------------------------|-----------------------------|--------------|
|                               | <b>FY 18</b>                | <b>FY 19</b> |
| FY 17 Appropriation - GF      | 241                         | 241          |
| Policy Revisions              | (23)                        | (23)         |
| <b>Total Recommended - GF</b> | <b>218</b>                  | <b>218</b>   |

## Commission on Human Rights and Opportunities

### HRO41100

#### Permanent Full-Time Positions

| Fund         | Actual<br>FY 16 | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|--------------|-----------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|              |                 |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| General Fund | 85              | 85                     | 85               | 85    | 74                   | 74    | (12.94)                    |

#### Budget Summary

| Account                               | Actual<br>FY 16  | Appropriation<br>FY 17 | Agency Requested |                  | Governor Recommended |                  | % Diff<br>Gov-App<br>FY 18 |
|---------------------------------------|------------------|------------------------|------------------|------------------|----------------------|------------------|----------------------------|
|                                       |                  |                        | FY 18            | FY 19            | FY 18                | FY 19            |                            |
| Personal Services                     | 6,154,994        | 6,409,092              | 6,409,092        | 6,409,092        | 5,629,364            | 5,497,637        | (12.17)                    |
| Other Expenses                        | 318,292          | 352,640                | 352,640          | 352,640          | 302,061              | 302,061          | (14.34)                    |
| <b>Other Current Expenses</b>         |                  |                        |                  |                  |                      |                  |                            |
| Martin Luther King, Jr.<br>Commission | 4,582            | 6,161                  | 6,161            | 6,161            | 5,977                | 5,977            | (2.99)                     |
| <b>Agency Total - General Fund</b>    | <b>6,477,869</b> | <b>6,767,893</b>       | <b>6,767,893</b> | <b>6,767,893</b> | <b>5,937,402</b>     | <b>5,805,675</b> | <b>(12.27)</b>             |
| <b>Additional Funds Available</b>     |                  |                        |                  |                  |                      |                  |                            |
| Federal Funds                         | 35,760           | 56,348                 | 37,364           | 38,410           | 37,364               | 38,410           | (33.69)                    |
| Private Contributions                 | 6,194            | 6,380                  | 6,571            | 6,768            | 6,571                | 6,768            | 2.99                       |
| <b>Agency Grand Total</b>             | <b>6,519,823</b> | <b>6,830,621</b>       | <b>6,811,828</b> | <b>6,813,071</b> | <b>5,981,337</b>     | <b>5,850,853</b> | <b>(12.43)</b>             |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |
|         |                      |       |

## Policy Revisions

### Suspend the Municipal Set-Aside Program

|                                 |                  |                  |
|---------------------------------|------------------|------------------|
| Personal Services               | (395,183)        | (526,910)        |
| <b>Total - General Fund</b>     | <b>(395,183)</b> | <b>(526,910)</b> |
| <b>Positions - General Fund</b> | <b>(11)</b>      | <b>(11)</b>      |

#### Background

PA 15-5 JSS established contract set-aside requirements for certain municipal and quasi-public agency contracts funded at least partially by the state. The Commission on Human Rights and Opportunities (CHRO) is the administering agency for this program.

#### Governor

Reduce funding by \$395,183 in FY 18 (nine months) and \$526,910 in FY 19 and eliminate 11 positions to reflect the suspension of the Municipal Set-Aside Program

### Annualize FY 17 Holdbacks

|                                    |                  |                  |
|------------------------------------|------------------|------------------|
| Personal Services                  | (384,545)        | (384,545)        |
| Other Expenses                     | (10,579)         | (10,579)         |
| Martin Luther King, Jr. Commission | (184)            | (184)            |
| <b>Total - General Fund</b>        | <b>(395,308)</b> | <b>(395,308)</b> |

#### Background

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.



| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |

**Governor**

Reduce funding by \$395,308 in both FY 18 and FY 19 to annualize FY 17 holdbacks.

**Reduce Funding for Other Expenses**

|                             |                 |                 |
|-----------------------------|-----------------|-----------------|
| Other Expenses              | (40,000)        | (40,000)        |
| <b>Total - General Fund</b> | <b>(40,000)</b> | <b>(40,000)</b> |

**Governor**

Reduce funding by \$40,000 in both FY 18 and FY 19 to achieve savings.

**Totals**

| Budget Components             | Governor Recommended |                  |
|-------------------------------|----------------------|------------------|
|                               | FY 18                | FY 19            |
| FY 17 Appropriation - GF      | 6,767,893            | 6,767,893        |
| Policy Revisions              | (830,491)            | (962,218)        |
| <b>Total Recommended - GF</b> | <b>5,937,402</b>     | <b>5,805,675</b> |

| Positions                     | Governor Recommended |           |
|-------------------------------|----------------------|-----------|
|                               | FY 18                | FY 19     |
| FY 17 Appropriation - GF      | 85                   | 85        |
| Policy Revisions              | (11)                 | (11)      |
| <b>Total Recommended - GF</b> | <b>74</b>            | <b>74</b> |

## Protection and Advocacy for Persons with Disabilities

### OPA41200

#### Permanent Full-Time Positions

| Fund         | Actual<br>FY 16 | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|--------------|-----------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|              |                 |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| General Fund | 31              | 31                     | -                | -     | -                    | -     | (100.00)                   |

#### Budget Summary

| Account                            | Actual<br>FY 16  | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|------------------------------------|------------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|                                    |                  |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| Personal Services                  | 2,264,067        | 2,047,881              | -                | -     | -                    | -     | (100.00)                   |
| Other Expenses                     | 177,684          | 178,760                | -                | -     | -                    | -     | (100.00)                   |
| <b>Agency Total - General Fund</b> | <b>2,441,751</b> | <b>2,226,641</b>       | -                | -     | -                    | -     | (100.00)                   |
| <b>Additional Funds Available</b>  |                  |                        |                  |       |                      |       |                            |
| Federal Funds                      | 1,689,259        | 1,743,655              | -                | -     | -                    | -     | (100.00)                   |
| <b>Agency Grand Total</b>          | <b>4,131,010</b> | <b>3,970,296</b>       | -                | -     | -                    | -     | (100.00)                   |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |
|         |                      |       |

### Current Services

#### Reduce Funding for Privatization of Protection & Advocacy

|                                 |                    |                    |
|---------------------------------|--------------------|--------------------|
| Personal Services               | (1,086,044)        | (1,086,044)        |
| Other Expenses                  | (131,419)          | (131,419)          |
| <b>Total - General Fund</b>     | <b>(1,217,463)</b> | <b>(1,217,463)</b> |
| <b>Positions - General Fund</b> | <b>(19)</b>        | <b>(19)</b>        |

##### Background

PA 16-66, "AAC Various Revisions to the Public Health Statutes," eliminates the Office of Protection and Advocacy for Persons with Disabilities (OPA) effective July 1, 2017. The protection and advocacy ("P&A") functions of the agency will be redesignated as a non-profit entity, using federal funds.

##### Governor

Reduce funding by \$1,217,463 (\$1,086,044 in Personal Services and \$131,419 in Other Expenses) and 19 positions in both FY 18 and FY 19 to reflect the privatization of the protection and advocacy ("P&A") function of OPA.

#### Transfer Abuse Investigation Division to DORS

|                                 |                    |                    |
|---------------------------------|--------------------|--------------------|
| Personal Services               | (961,837)          | (961,837)          |
| Other Expenses                  | (47,341)           | (47,341)           |
| <b>Total - General Fund</b>     | <b>(1,009,178)</b> | <b>(1,009,178)</b> |
| <b>Positions - General Fund</b> | <b>(12)</b>        | <b>(12)</b>        |

##### Background

PA 16-66 includes provisions to transfer OPA's Abuse Investigation Division (AID) to the Department of Rehabilitation Services (DORS).

##### Governor

Reduce funding by \$1,009,178 (\$961,837 in Personal Services and \$47,341 in Other Expenses) in both FY 18 and FY 19 to reflect the transfer of AID and its 12 positions from OPA to DORS.

**Totals**

| <b>Budget Components</b>      | <b>Governor Recommended</b> |              |
|-------------------------------|-----------------------------|--------------|
|                               | <b>FY 18</b>                | <b>FY 19</b> |
| FY 17 Appropriation - GF      | 2,226,641                   | 2,226,641    |
| Current Services              | (2,226,641)                 | (2,226,641)  |
| <b>Total Recommended - GF</b> | -                           | -            |

| <b>Positions</b>              | <b>Governor Recommended</b> |              |
|-------------------------------|-----------------------------|--------------|
|                               | <b>FY 18</b>                | <b>FY 19</b> |
| FY 17 Appropriation - GF      | 31                          | 31           |
| Current Services              | (31)                        | (31)         |
| <b>Total Recommended - GF</b> | -                           | -            |

## Workers' Compensation Commission WCC42000

### Permanent Full-Time Positions

| Fund                       | Actual<br>FY 16 | Appropriation<br>FY 17 | Agency Requested |       | Governor Recommended |       | % Diff<br>Gov-App<br>FY 18 |
|----------------------------|-----------------|------------------------|------------------|-------|----------------------|-------|----------------------------|
|                            |                 |                        | FY 18            | FY 19 | FY 18                | FY 19 |                            |
| Workers' Compensation Fund | 117             | 117                    | 117              | 117   | 109                  | 109   | (6.84)                     |

### Budget Summary

| Account  | Actual<br>FY 16   | Appropriation<br>FY 17 | Agency Requested  |                   | Governor Recommended |                   | % Diff<br>Gov-App<br>FY 18 |
|--|-------------------|------------------------|-------------------|-------------------|----------------------|-------------------|----------------------------|
|  |                   |                        | FY 18             | FY 19             | FY 18                | FY 19             |                            |
| Personal Services                                | 9,313,544         | 10,240,361             | 10,240,361        | 10,240,361        | 9,905,669            | 9,905,669         | (3.27)                     |
| Other Expenses                                   | 2,922,910         | 3,819,747              | 3,362,535         | 2,619,265         | 2,111,669            | 2,449,666         | (44.72)                    |
| Equipment  | -                 | 41,000                 | -                 | -                 | 1                    | 1                 | (100.00)                   |
| <b>Other Current Expenses</b>                    |                   |                        |                   |                   |                      |                   |                            |
| Fringe Benefits                                  | 7,209,830         | 8,192,289              | 8,192,289         | 8,192,289         | 7,931,229            | 7,931,229         | (3.19)                     |
| Indirect Overhead                                | 464,028           | 398,322                | 398,322           | 398,322           | 291,637              | 291,637           | (26.78)                    |
| <b>Agency Total - Workers' Compensation Fund</b> | <b>19,910,312</b> | <b>22,691,719</b>      | <b>22,193,507</b> | <b>21,450,237</b> | <b>20,240,205</b>    | <b>20,578,202</b> | <b>(10.80)</b>             |
| <b>Additional Funds Available</b>                |                   |                        |                   |                   |                      |                   |                            |
| Private Contributions                            | 102,963           | 103,072                | 103,072           | 103,072           | 103,072              | 103,072           | -                          |
| <b>Agency Grand Total</b>                        | <b>20,013,275</b> | <b>22,794,791</b>      | <b>22,296,579</b> | <b>21,553,309</b> | <b>20,343,277</b>    | <b>20,681,274</b> | <b>(10.75)</b>             |

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |
|         |                      |       |

## Policy Revisions

### Close the Stamford District Office

|   |                  |                  |
|---|------------------|------------------|
| Other Expenses                            | (210,096)        | (210,099)        |
| <b>Total - Workers' Compensation Fund</b> | <b>(210,096)</b> | <b>(210,099)</b> |

#### Background

The Workers' Compensation Commission currently operates eight district offices in addition to the Central Office in Hartford. The eight district offices are located in Hartford, Norwich, New Haven, Bridgeport, Waterbury, New Britain, Middletown and Stamford. The Stamford Office currently has the lowest caseload of any district office.

#### Governor

Eliminate funding of \$210,099 in both FY 18 and FY 19 in the Other Expenses account to reflect the closure of the Stamford District Office effective July 1, 2017. The funding reflects the cost of rent and utilities. Employees of the office will refill vacancies within one of the remaining seven other district offices.

### Eliminate Funding for Commissioners' Salary increases

|   |                  |                  |
|---|------------------|------------------|
| Personal Services                         | (110,950)        | (110,950)        |
| Fringe Benefits                           | (88,760)         | (88,760)         |
| <b>Total - Workers' Compensation Fund</b> | <b>(199,710)</b> | <b>(199,710)</b> |

#### Governor

Eliminate funding of \$199,710 in both FY 18 and FY 19 to reflect delaying Workers' Compensation Commissioners' salary increases for the biennium. The increases were effective July 1, 2017 (FY 18) and will be delayed until July 1, 2019 (FY 20).

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |

### Reduce Funding for eCourt to Reflect Use of Carryforward

|   |                    |          |
|---|--------------------|----------|
| Other Expenses                            | (1,040,770)        | -        |
| <b>Total - Workers' Compensation Fund</b> | <b>(1,040,770)</b> | <b>-</b> |

#### Background

Funding was provided in PA 13-184 to facilitate the implementation of E-File at the Workers' Compensation Commission (WCC) and to complete the commission's computer data conversion project. The project has been delayed due to vendor changes. The WCC is in the process of implementing the eCourt system currently utilized by the Division of Criminal Justice.

#### Governor

Reduce funding by \$1,040,770 in FY 18 to reflect the use of carryforward funds for the eCourt Migration Project in FY 18.

### Eliminate Vacant Positions

|   |                  |                  |
|---|------------------|------------------|
| Personal Services                             | (334,692)        | (334,692)        |
| Fringe Benefits                               | (261,060)        | (261,060)        |
| <b>Total - Workers' Compensation Fund</b>     | <b>(595,752)</b> | <b>(595,752)</b> |
| <b>Positions - Workers' Compensation Fund</b> | <b>(8)</b>       | <b>(8)</b>       |

#### Governor

Reduce funding by \$595,752 in both FY 18 and FY 19 to reflect the elimination of eight positions that are currently vacant.

## Current Services

### Provide Funding for Commissioners' Salary Increases

|   |                |                |
|---|----------------|----------------|
| Personal Services                         | 110,950        | 110,950        |
| Fringe Benefits                           | 88,760         | 88,760         |
| <b>Total - Workers' Compensation Fund</b> | <b>199,710</b> | <b>199,710</b> |

#### Governor

Provide funding of \$199,710 in both FY 18 and FY 19 for Workers' Compensation Commissioners' salary increases. The salary increases are delayed for both FY 18 and FY 19 in a separate policy write-up.

### Adjust Funding for eCourt Migration Project

|   |                  |                    |
|---|------------------|--------------------|
| Other Expenses                            | (502,212)        | (1,262,982)        |
| <b>Total - Workers' Compensation Fund</b> | <b>(502,212)</b> | <b>(1,262,982)</b> |

#### Background

Funding was provided in PA 13-184 to facilitate the implementation of E-File at the Workers' Compensation Commission (WCC) and to complete the commission's computer data conversion project. The project has been delayed due to vendor changes. The WCC is in the process of implementing the eCourt system currently utilized by the Division of Criminal Justice.

#### Governor

Reduce funding by \$502,212 in FY 18 and \$1,262,982 in FY 19 for the eCourt project to reflect an updated cost estimate and delayed implementation. Total program costs for FY 18 of \$1,040,770 will be funded out of carryforward originally appropriated for the eCourt project. This adjustment is reflected in a separate policy write-up. Total project funding for FY 19 is \$280,000.

### Adjust Funding for Other Expenses and Equipment

|   |              |               |
|---|--------------|---------------|
| Other Expenses                            | 45,000       | 103,000       |
| Equipment                                 | (40,999)     | (40,999)      |
| <b>Total - Workers' Compensation Fund</b> | <b>4,001</b> | <b>62,001</b> |

#### Governor

Eliminate funding of \$40,999 in both FY 18 and FY 19 in the Equipment account to reflect the agency not requiring any small equipment funding for the biennium. Provide funding of \$45,000 in FY 18 and \$103,000 in FY 19 in the Other Expenses account

| Account | Governor Recommended |       |
|---------|----------------------|-------|
|         | FY 18                | FY 19 |

for replacement and new equipment. To support the eCourt project, the WCC will need to purchase ten production scanners in FY 18 which have the capacity to scan and catalogue large volumes of documents (each scanner is approximately \$4,200). The WCC computers are scheduled for their five year upgrade at a cost of \$103,000 in FY 19.

### Adjust Indirect Overhead

|   |                  |                  |
|---|------------------|------------------|
| Indirect Overhead                         | (106,685)        | (106,685)        |
| <b>Total - Workers' Compensation Fund</b> | <b>(106,685)</b> | <b>(106,685)</b> |

#### Background

The fringe benefit costs for employees supported by funds other than the General Fund are budgeted for within their respective agencies, as opposed to the fringe benefit accounts within the Office of the State Comptroller. In addition, this agency is charged indirect overhead costs by the State Comptroller for utilizing certain centralized state agency services.

#### Governor

Reduce funding by \$106,685 in both FY 18 and FY 19 to reflect required funding for indirect overhead.

### Totals

| Budget Components             | Governor Recommended |                   |
|-------------------------------|----------------------|-------------------|
|                               | FY 18                | FY 19             |
| FY 17 Appropriation - WF      | 22,691,719           | 22,691,719        |
| Policy Revisions              | (2,046,328)          | (1,005,561)       |
| Current Services              | (405,186)            | (1,107,956)       |
| <b>Total Recommended - WF</b> | <b>20,240,205</b>    | <b>20,578,202</b> |

| Positions                     | Governor Recommended |            |
|-------------------------------|----------------------|------------|
|                               | FY 18                | FY 19      |
| FY 17 Appropriation - WF      | 117                  | 117        |
| Policy Revisions              | (8)                  | (8)        |
| <b>Total Recommended - WF</b> | <b>109</b>           | <b>109</b> |