

**Transportation**  
**Coordinator - Anne Bordieri**  
Office of Fiscal Analysis

	Page #	Analyst	Actual Expenditures FY 13	Governor's Estimated FY 14	Original Appropriated FY 15	Governor Revised FY 15	Difference Gov - Orig FY 15	Percent Change Gov - Orig FY 15
<b>General Fund</b>								
Department of Motor Vehicles	1	AB	431,756	400,324	439,819	439,643	(176)	-
<b>Total - General Fund</b>			<b>431,756</b>	<b>400,324</b>	<b>439,819</b>	<b>439,643</b>	<b>(176)</b>	-
<b>Special Transportation Fund</b>								
Department of Motor Vehicles	1	AB	52,893,052	59,178,346	62,226,720	62,908,973	682,253	1.1
Department of Transportation	3	AB	553,792,452	550,060,719	580,051,137	583,347,310	3,296,173	0.6
<b>Total - Special Transportation Fund</b>			<b>606,685,504</b>	<b>609,239,065</b>	<b>642,277,857</b>	<b>646,256,283</b>	<b>3,978,426</b>	0.6
<b>Total - All Appropriated Funds</b>			<b>607,117,260</b>	<b>609,639,389</b>	<b>642,717,676</b>	<b>646,695,926</b>	<b>3,978,250</b>	0.6

## Department of Motor Vehicles DMV35000

### Position Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Difference Gov- Orig FY 15	% Diff Gov - Orig/Orig
Permanent Full-Time - GF	3	3	4	4	0	0.00
Permanent Full-Time - TF	572	577	596	596	0	0.00

### Budget Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Difference Gov- Orig FY 15	% Diff Gov - Orig/Orig
Personal Services	241,623	209,950	244,342	244,342	0	0.00
Other Expenses	190,133	190,374	194,722	194,722	0	0.00
<b>Nonfunctional - Change to Accruals</b>	<b>0</b>	<b>0</b>	<b>755</b>	<b>579</b>	<b>(176)</b>	<b>(23.31)</b>
<b>Agency Total - General Fund</b>	<b>431,756</b>	<b>400,324</b>	<b>439,819</b>	<b>439,643</b>	<b>(176)</b>	<b>(0.04)</b>
Personal Services	38,551,088	43,238,195	46,037,478	46,441,689	404,211	0.88
Other Expenses	13,305,016	14,814,529	15,171,471	15,401,071	229,600	1.51
Equipment	600,000	648,153	514,000	514,000	0	0.00
<b>Other Current Expenses</b>						
Real Time Online Registration System	220,820	0	0	0	0	n/a
Commercial Vehicle Information Systems and Networks Project	216,128	205,445	208,666	208,666	0	0.00
<b>Nonfunctional - Change to Accruals</b>	<b>0</b>	<b>272,024</b>	<b>295,105</b>	<b>343,547</b>	<b>48,442</b>	<b>16.42</b>
<b>Agency Total - Special Transportation Fund</b>	<b>52,893,052</b>	<b>59,178,346</b>	<b>62,226,720</b>	<b>62,908,973</b>	<b>682,253</b>	<b>1.10</b>
<b>Total - Appropriated Funds</b>	<b>53,324,808</b>	<b>59,578,670</b>	<b>62,666,539</b>	<b>63,348,616</b>	<b>682,077</b>	<b>1.09</b>

Account	Governor Revised	
	FY 15	
	Pos.	\$

### Current Services

#### Adjust Funding for Revised Estimated GAAP Requirements

Nonfunctional - Change to Accruals	0	(176)
<b>Total - General Fund</b>	<b>0</b>	<b>(176)</b>
Nonfunctional - Change to Accruals	0	48,442
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>48,442</b>

#### Background

Each agency's budget includes a line item account called "Nonfunctional - Change to Accruals." This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Reduce funding by \$176 in the General Fund and provide funding of 48,442 in the Transportation Fund to reflect updated estimates of accruals to comply with GAAP. Across all appropriated funds, there is a net GAAP reduction of \$33.8 million.

#### Annualize Funding for the Undocumented Driver Program

Personal Services	0	404,211
Other Expenses	0	129,600
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>533,811</b>

Account	Governor Revised	
	FY 15	
	Pos.	\$

**Background**

PA 13-184, the FY 14 and FY 15 Budget Act, provided funding of \$404,211 for eighteen positions and funding to start January 2015 for the Undocumented Driver Program. PA 13-89, "AAC The Issuance of Motor Vehicle Operator's Licenses", created the Undocumented Driver Program which will allow individuals who cannot provide the Department of Motor Vehicles (DMV) with proof of legal residence in the U.S. or a Social Security Number to obtain a driver's license for driving purposes only. The license cannot be used for federal identification purposes or as proof of identity in order to vote. DMV must also issue driver only cards to these individuals which cost approximately \$4.00 per card. An estimated 32,400 cards are expected to be issued.

**Governor**

Provide funding of \$404,211 in the Personal Services account to reflect the annualization of eighteen positions and provide funding of \$129,600 in the Other Expenses account to reflect the estimated cost of driver only cards.

**Restore Funding for Registration Plate Purchases**

Other Expenses	0	100,000
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>100,000</b>

**Background**

PA 13-184, the FY 14 and FY 15 Budget Act, reduced funding in the Other Expenses account to achieve savings. The reduction was targeted at commodities-resale manufacturing, which covers the purchase of license plates for new registrations. An estimated 42,000 new vehicle registrations are issued each year.

**Governor**

Restore funding of \$100,000 for license plates for new registrations.

**Totals**

Budget Components	Governor Revised	
	FY 15	
	Pos.	\$
<b>Original Appropriation - GF</b>	<b>4</b>	<b>439,819</b>
Current Services	0	(176)
<b>Total Recommended - GF</b>	<b>4</b>	<b>439,643</b>
<b>Original Appropriation - TF</b>	<b>596</b>	<b>62,226,720</b>
Current Services	0	682,253
<b>Total Recommended - TF</b>	<b>596</b>	<b>62,908,973</b>

## Department of Transportation

### DOT57000

#### Position Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Difference Gov- Orig FY 15	% Diff Gov - Orig/Orig
Permanent Full-Time - TF	2,976	3,085	3,085	3,188	103	3.34

#### Budget Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Difference Gov- Orig FY 15	% Diff Gov - Orig/Orig
Personal Services	146,219,753	158,016,528	166,723,924	167,778,934	1,055,010	0.63
Other Expenses	56,347,478	51,831,318	51,642,318	53,494,517	1,852,199	3.59
Equipment	1,876,250	1,416,949	1,389,819	1,336,113	(53,706)	(3.86)
Minor Capital Projects	421,686	439,639	449,639	449,639	0	0.00
Highway and Bridge Renewal-Equipment	15,171,863	5,376,942	5,376,942	0	(5,376,942)	(100.00)
<b>Other Current Expenses</b>						
Highway Planning And Research	3,567,107	3,155,986	3,246,823	3,246,823	0	0.00
Rail Operations	137,917,549	143,419,140	147,720,554	149,979,937	2,259,383	1.53
Bus Operations	140,594,871	143,424,847	146,972,169	146,972,169	0	0.00
Highway and Bridge Renewal	4,015,510	0	0	0	0	n/a
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,500,000	1,500,000	0	0.00
ADA Para-transit Program	28,820,833	30,252,234	32,935,449	32,935,449	0	0.00
Non-ADA Dial-A-Ride Program	576,361	576,361	576,361	576,361	0	0.00
Pay-As-You-Go Transportation Projects	16,763,191	9,700,000	19,700,000	19,700,000	0	0.00
CAA Related Funds	0	0	0	3,272,322	3,272,322	n/a
<b>Nonfunctional - Change to Accruals</b>	<b>0</b>	<b>950,775</b>	<b>1,817,139</b>	<b>2,105,046</b>	<b>287,907</b>	<b>15.84</b>
<b>Agency Total - Special Transportation Fund</b>	<b>553,792,452</b>	<b>550,060,719</b>	<b>580,051,137</b>	<b>583,347,310</b>	<b>3,296,173</b>	<b>0.57</b>

Account	Governor Revised	
	FY 15	
	Pos.	\$

#### Current Services

##### Provide Funding for a Safety and Maintenance Program

Rail Operations	0	1,500,000
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>1,500,000</b>

#### Background

The Department of Transportation (DOT) and Metro-North have contracted with the Transportation Technology Center, Inc. (TTCI) to implement a Safety and Maintenance Program due to the New Haven Rail Line derailment in May 2013 and other incidents on the Metro North Rail Line. Funding will be used for DOT's portion of a proposed ongoing program based on the assessment of the track maintenance program by TTCI, further information from the National Transportation Strategy Board investigation and public hearings in October 2013.

#### Governor

Provide funding of \$1.5 million to reflect a Safety and Maintenance Program due to the derailment on the New Haven Rail Line in May 2013 and other incidents on the Metro North Rail Line.

##### Adjust Funding for Revised Estimated GAAP Requirements

Nonfunctional - Change to Accruals	0	287,907
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>287,907</b>

Account	Governor Revised	
	FY 15	
	Pos.	\$

**Background**

Each agency's budget includes a line item account called "Nonfunctional - Change to Accruals." This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$287,907 to reflect updated estimates of accruals to comply with GAAP. Across all appropriated funds, there is a net GAAP reduction of \$33.8 million.

**Provide Funding for New Maintenance Facilities**

Other Expenses	0	72,880
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>72,880</b>

**Governor**

Provide funding of \$72,880 to reflect utilities costs for new maintenance facilities in Old Saybrook and Colchester.

**Provide Funding for IT Infrastructure**

Other Expenses	0	475,055
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>475,055</b>

**Background**

The Department of Transportation's (DOT) information technology infrastructure includes the storage area network provider and the data communications network provider, which stores all DOT data and provides the main network connection across the department.

**Governor**

Provide funding of \$475,055 to reflect maintenance and support for DOT's information technology infrastructure that is no longer covered by the initial purchase warranty.

**Provide Funding for Security Contract Costs**

Other Expenses	0	150,840
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>150,840</b>

**Background**

The State of Connecticut's Standard Wage Laws became effective July 1, 2013, and has required the Department of Administrative Services contracts for security personnel services to be increased by an hourly rate of \$9.00. The Department of Transportation currently contracts a total of 16,760 hours of security services at its facilities per year.

**Governor**

Provide funding of \$150,840 to reflect increased security contractual costs due to the Standard Wage Law.

**Provide Funding for Federal Mandates**

Other Expenses	0	1,356,500
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>1,356,500</b>

**Background**

The Federal highway legislation, Moving Ahead for Progress in the 21st Century (MAP 21), requires the Department of Transportation (DOT) to implement a Comprehensive Asset Management Plan which supports infrastructure preservation, operation, and improvement. MAP 21 also contains new reporting and monitoring requirements for entities receiving federal funding. In order to meet these requirements, DOT needs to implement the Disadvantaged Business Enterprise tracking software to track prompt payment to subcontractors, goal achievement, submission of certified payroll, and wage payment.

**Governor**

Provide funding of \$925,000 for a Comprehensive Asset Management Plan and \$431,500 for Disadvantage Business Enterprise tracking software to comply with federal mandates.

**Restore Funding for Highway Supplies**

Other Expenses	0	250,000
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>250,000</b>

Account	Governor Revised	
	FY 15	
	Pos.	\$

**Background**

PA 13-184, the FY 14 and FY 15 Budget Act, reduced funding in the Other Expenses account to achieve savings. The reduction was targeted at highway supplies.

**Governor**

Provide funding of \$250,000 in the Other Expenses account for highway supplies.

**Establish OSHA Mandated Hearing Conservation Program**

Personal Services	1	84,400
Other Expenses	0	85,000
Equipment	0	130,000
<b>Total - Special Transportation Fund</b>	<b>1</b>	<b>299,400</b>

**Background**

The Federal Occupational Safety and Health Administration's (OSHA) Hearing Conservation Program focuses on recognition, evaluation and control of noise within the work environment. Recent inspections conducted by the OSHA Division of the Department of Labor have indicated that the Department of Transportation (DOT) is not compliant with federal regulation. The Hearing Conservation Program requires DOT to conduct noise exposure monitoring, perform audiometric testing on workers that are exposed, provide hearing protection to workers, conduct employee training and retain records of all related activities.

**Governor**

Provide funding of \$299,400 for one full-time occupational hygienist position, equipment, staff training and medical support services from the UConn Health Center.

**Provide Funding for New Positions for Design Build**

Personal Services	16	301,550
<b>Total - Special Transportation Fund</b>	<b>16</b>	<b>301,550</b>

**Background**

PA 12-70, "AAC Department of Transportation Project Delivery and Project Labor Agreements for Certain Public Work Projects," authorized the Department of Transportation (DOT) to designate that highway construction projects be built using either a; (1) construction-manager-at-risk contract with a guaranteed maximum price; or (2) design-build contract, as alternatives to the department's traditional "design-bid-build" process. The Act requires the commissioner of DOT to use departmental employees when possible to conduct development and inspection work to reduce the need for consultants.

**Governor**

Provide funding of \$301,550 and 16 positions to reflect the reduction in the use of consultants for DOT's project delivery.

**Provide Funding for CT Fastrak**

Personal Services	4	161,600
<b>Total - Special Transportation Fund</b>	<b>4</b>	<b>161,600</b>

**Background**

The CT Fastrak (New Britain to Hartford Busway) is a 9.4 mile dedicated roadway for bus transit between New Britain and Hartford, which is expected to begin operation in January 2015. Four full time positions will be used to maintain the roadway infrastructure including bridges, pavement, signals, drainage systems, signs, pavement markings, retaining walls, fencing, guide rails and vegetation.

**Governor**

Provide funding of \$161,600 for four new positions for CT Fastrak maintenance.

**Policy Revisions****Provide Funding for Rail Car Storage**

Rail Operations	0	759,383
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>759,383</b>

Account	Governor Revised	
	FY 15	
	Pos.	\$

**Background**

As of January 31, 2014, there are 318 M-8 rail cars in service on the Metro North Rail Line. Due to operation of the new M-8 rail cars there are 40 M-2 and 33 M-4 rail cars that are being removed from daily service and placed in storage facilities. The storage of these 73 rail cars is estimated to cost \$38 per day for each rail car.

**Governor**

Provide funding of \$759,383 for the storage of M-2 and M-4 rail cars.

**Transfer the Highway and Bridge Equipment Account to Bonding**

Highway and Bridge Renewal-Equipment	0	(5,376,942)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(5,376,942)</b>

**Background**

The Highway and Bridge Renewal Equipment account is used for the purchase of snow and ice removal equipment, highway and bridge maintenance equipment such as bucket trucks, utility platform trucks, tractor mowers and chippers as well as various equipment used in maintenance, bridge, lab and engineering work.

**Governor**

Reduce funding by \$5,376,942 to reflect the transfer of the Highway and Bridge Renewal Equipment account to the Capital Budget.

**Consolidate General Aviation Funding**

Personal Services	0	(2,250,540)
Other Expenses	0	(838,076)
Equipment	0	(183,706)
CAA Related Funds	0	3,272,322
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>

**Background**

PA 11-84, "AAC the Connecticut Airport Authority," created the Connecticut Airport Authority (CAA) and requires the Department of Transportation to transfer any funds appropriated for general aviation to the CAA.

**Governor**

Consolidate funding of \$3,272,322 to reflect a new CAA Related Funds account from the following: (1) Personal Services account (\$2,250,540); (2) Other Expenses account (\$838,076); and (3) Equipment account (\$183,706).

**Implement a Project Management System**

Other Expenses	0	300,000
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>300,000</b>

**Background**

The Federal highway legislation, Moving Ahead for Progress in the 21st Century (MAP-21), requires the Department of Transportation to monitor and report to the Federal Highway Administration on specific performance measures. The Comprehensive Project Management System will replace the current DOT system to track project delivery from the beginning of a project to the end of construction.

**Governor**

Provide funding of \$300,000 to reflect the implementation of a Comprehensive Project Management System.

**Provide Funding for Transit Oriented Development**

Personal Services	7	658,000
<b>Total - Special Transportation Fund</b>	<b>7</b>	<b>658,000</b>

**Background**

Transit Oriented Development (TOD), is a type of community development that includes a mixture of housing, office, retail and/or other amenities integrated into a walkable neighborhood located within close proximity to public transportation. The Department of Transportation has proposed TOD initiatives along the New Haven-Hartford Springfield Rail Line, CT Fastrak stations, and the New London Pier.

**Governor**

Provide funding of \$658,000 to reflect seven new positions and the expansion of statewide Transit Oriented Development initiatives along the New Haven-Hartford Springfield Rail Line, CT Fastrak stations, and the New London Pier.

Account	Governor Revised	
	FY 15	
	Pos.	\$

### Provide Funding for 75 New Positions for Capital Plan

Personal Services	75	2,100,000
<b>Total - Special Transportation Fund</b>	<b>75</b>	<b>2,100,000</b>

#### Background

The 75 new positions consist of 66 engineers, 5 property agents, 2 clerical and 2 financial positions to implement the Department of Transportation's (DOT) Long Term Expanded Capital Program.

#### Governor

Provide funding of \$2.1 million to reflect 75 new positions for delivery of DOT's Long Term Expanded Capital Program.

### Totals

Budget Components	Governor Revised	
	FY 15	
	Pos.	\$
<b>Original Appropriation - TF</b>	<b>3,085</b>	<b>580,051,137</b>
Current Services	21	4,855,732
Policy Revisions	82	(1,559,559)
<b>Total Recommended - TF</b>	<b>3,188</b>	<b>583,347,310</b>