

Analysis of Finance Advisory Committee Meeting Items

May 6, 2021 Agenda



OFFICE OF FISCAL ANALYSIS

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FAC 2021-14 Division of Criminal Justice

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	46,809,521	(963,095)	(230,000)	-	45,616,426
Expert Witnesses	135,413	-	(105,000)	-	30,413
Medicaid Fraud Control	1,254,282	-	(65,000)	-	1,189,282
Witness Protection	164,148	-	-	100,000	264,148
Cold Case Unit	228,213	-	-	60,000	288,213
Shooting Taskforce	1,127,052	-	-	240,000	1,367,052
TOTAL - General Fund			(400,000)	400,000	

Funding is available for transfer from these accounts due to the following:

- Personal Services - Delays in filling vacant positions, especially prosecutor positions which require action by the Criminal Justice Commission.
- Expert Witnesses - Expenditures in this account vary year to year, dependent on the types of trials during the year. Utilization of this account has been lower than expected.
- Medicaid Fraud Control - The unit has experienced up to three vacancies during the fiscal year. Currently there is one vacancy, resulting in lower expenditures and funds available for transfer.

Funding is needed for transfer to these accounts due to the following:

- Witness Protection - Expenditures in this account vary year to year, dependent on utilization from the number of arrests and types of cases through the year. Utilization of this program in FY 21 has been higher than anticipated.
- Cold Case Unit - Due to turnover in the unit, the agency has filled vacancies in the unit with more senior employees (with higher salaries), resulting in higher expenditures.
- Shooting Taskforce - Due to turnover in the unit, the agency has filled vacancies in the unit with more senior employees (with higher salaries), resulting in higher expenditures.

Holdbacks and lapses:

The transfer from Personal Services will not affect the agency's ability to achieve the holdback of \$500,000.

¹ Prior policy actions may include holdbacks (forced lapses), rescissions, and transfers.

FAC 2021-15 Department of Emergency Services and Public Protection

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Workers' Compensation Claims	4,136,817	-	(450,000)	-	3,686,817
Other Expenses	28,349,417	(557,112)	-	450,000	28,242,305
TOTAL - General Fund			(450,000)	450,000	

Funding is available for transfer from this account due to the following:

- Workers' Compensation Claims - there is \$450,000 available to transfer in Workers' Compensation Claims due to lower than average claims for FY 21.

Funding is needed for transfer to this account due to the following:

- Other Expenses - additional funding is required for materials and supplies (uniforms, law enforcement equipment, motor vehicle repairs and maintenance, office supplies, etc.) for the trooper trainee class that graduated in FY 21. Funding was not included for this class in the FY 21 budget due to no adjustments being made because of the pandemic-shortened session.

Holdbacks and lapses:

There are no holdbacks or rescissions in the Workers' Compensation Account.

FAC 2021-16 Department of Developmental Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	209,745,951	(6,001,327)	(650,000)	-	203,094,624
Other Expenses	15,069,356	(75,347)	-	650,000	15,644,009
TOTAL - General Fund			(650,000)	650,000	

Funding is available for transfer from this account due to the following:

- Personal Services - Attrition and lower than expected overtime. DDS has decreased overtime through increased use of temporary staff. DDS anticipates spending \$3.0 million on temporary staff in FY 21, compared with \$2.0 million in FY 20. DDS projects FY 21 overtime at \$37.2 million, compared with overtime expenditures of \$38.4 in FY 20.

Funding is needed for transfer to this account due to the following:

- Other Expenses - Funding in the FY 21 Budget was not adjusted to reflect the level required to support individuals directly cared for by the agency in state facilities and residential settings. This account also required an FAC transfer in FY 20. The FY 2022 - 2023 Governor's Recommended Budget and the Appropriation Committee budget have included funding to address this shortfall.

Holdbacks and lapses:

This transfer will not affect the agency's ability to achieve a \$4 million Personal Services holdback and a \$2,057,460 rescission.

FAC 2021-17 Department of Mental Health and Addiction Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	213,878,173	(12,396,762)	(5,000,000)	-	196,481,411
Professional Services	12,900,697	2,103,245	-	3,700,000	18,703,942
Workers' Compensation Claims	15,021,165	-	-	1,300,000	16,321,165
TOTAL - General Fund			(5,000,000)	5,000,000	

Funding is available for transfer from this account due to the following:

- Personal Services - Delays in hiring and a reduction in overtime. There are currently approximately 368 vacancies within the agency.

Funding is needed for transfer to these accounts due to the following:

- Professional Services - Costs for contracted doctors and nurses while the agency recruits for full-time staff.
- Workers' Compensation Claims - Claims carried forward from FY 20 in addition to higher than budgeted costs.

Holdbacks and Lapses

The transfer from Personal Services will not affect the agency's ability to achieve a \$300,000 holdback to the account. The transfer to Professional Services will enable the agency to achieve the associated holdback of \$96,755.

FAC 2021-18 Department of Education

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Charter Schools	124,678,750	-	(1,529,737)	-	123,149,013
Education Equalization Grants	2,092,033,975	-	-	1,529,737	2,093,563,712
TOTAL - General Fund			(1,529,737)	1,529,737	

Funding is available for transfer from this account due to the following:

- Charter Schools - Lower than budgeted enrollment.

Funding is needed for transfer to this account due to the following:

- Education Equalization Grants - Adjustments to Education Cost Sharing (ECS) entitlements and final grant amounts result in a deficiency in this account. The ECS formula data are updated annually, and these updates produced a higher FY 21 total grant amount than was appropriated in the FY 20 and FY 21 Budget. The net effect of entitlement changes and other ECS adjustments is an increase of \$1,529,737 from the appropriation of \$2,092,033,975.

Holdbacks and lapses:

There are no holdbacks associated with these accounts.

FAC 2021-19 Teachers' Retirement Board

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Retirees Health Service Cost	29,849,400	-	(1,806,000)	-	28,043,400
Retirement Contributions	1,248,029,000	-	-	1,806,000	1,249,835,000
TOTAL - General Fund			(1,806,000)	1,806,000	

Funding is available for transfer from this account due to the following:

- Retirees Health Service Cost - Funding in the FY 21 Budget was not adjusted to reflect savings from lower than budgeted costs in both the retiree health and prescription drug program.

Funding is needed for transfer to this account due to the following:

- Retirement Contributions - The June 30, 2018 valuation which established the state's Actuarially Determined Employer Contribution (ADEC) for FY 20 and FY 21 was revised pursuant to the PA 19-117. The amount budgeted was based on an actuarial estimate of the revision and the actual revised ADEC was higher than the amount budgeted. The \$1.8 million shortfall is .1% of the available appropriation.

Holdbacks and lapses:

There are no holdbacks for this agency.

FAC 2021-20 Department of Children and Families

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	279,496,655	(73,107)	(700,000)	-	278,723,548
No Nexus Special Education	1,952,268	-	-	700,000	2,652,268
TOTAL - General Fund			(700,000)	700,000	

Funding is available for transfer from this account due to the following:

- Personal Services - Lower than expected overtime due to: (1) reduced call volume to the DCF Careline since the beginning of the COVID-19 pandemic and (2) the agency's enhanced ability to provide certain services remotely which has reduced employee travel and working extended hours. Over the past five years (FY 16 – FY 20) DCF overtime expenditures averaged \$18 million through April 30th. This year overtime costs are \$8.5 million through April 30th.

Funding is needed for transfer to this account due to the following:

- No Nexus Special Education - enrollments increased from 49 distinct students in FY 19 up to 61 in FY 20 having “no nexus”. A midterm budget adjustment was proposed for FY 21 but COVID-19 shut down the 2020 legislative session. Children with “no nexus” reside in private residential institutions, parent/guardian rights have been terminated, and parent/guardian whereabouts are unknown.

Holdbacks and lapses:

This transfer will not affect the agency's ability to meet its holdbacks.

Appendix A: Projected Account Balances for Agencies on this Agenda (After Proposed FAC Transfer(s))

Division of Criminal Justice				
	Original Appropriation ²	Available Funding ³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	46,809,521	45,616,426	45,569,521	46,905
Other Expenses	2,394,240	2,364,312	2,361,283	3,029
Witness Protection	164,148	264,148	264,148	-
Training And Education	27,398	27,398	27,398	-
Expert Witnesses	135,413	30,413	30,413	-
Medicaid Fraud Control	1,254,282	1,189,282	1,189,282	-
Criminal Justice Commission	409	409	409	-
Cold Case Unit	228,213	288,213	288,213	-
Shooting Taskforce	1,127,052	1,367,052	1,367,052	-
TOTAL - General Fund	52,140,676	51,147,653	51,097,719	49,934

Department of Emergency Services and Public Protection				
	Original Appropriation ²	Available Funding ³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	145,635,390	156,796,374	158,290,738	(1,494,364)
Other Expenses	28,349,417	28,242,305	28,242,305	-
Stress Reduction	25,354	25,354	25,354	-
Fleet Purchase	5,581,737	5,381,737	5,381,737	-
Workers' Compensation Claims	4,136,817	3,686,817	3,686,817	-
Criminal Justice Information System	2,684,610	2,550,380	2,550,380	-
Fire Training School - Willimantic	150,076	150,076	150,076	-
Maintenance of County Base Fire Radio Network	19,528	19,528	19,528	-
Maintenance of State-Wide Fire Radio Network	12,997	12,997	12,997	-
Police Association of Connecticut	172,353	172,353	172,353	-
Connecticut State Firefighter's Association	176,625	176,625	176,625	-
Fire Training School - Torrington	81,367	81,367	81,367	-
Fire Training School - New Haven	48,364	48,364	48,364	-
Fire Training School - Derby	37,139	37,139	37,139	-
Fire Training School - Wolcott	100,162	100,162	100,162	-
Fire Training School - Fairfield	70,395	70,395	70,395	-
Fire Training School - Hartford	169,336	169,336	169,336	-
Fire Training School - Middletown	68,470	68,470	68,470	-
Fire Training School - Stamford	55,432	55,432	55,432	-
TOTAL - General Fund	187,575,569	197,845,211	199,339,575	(1,494,364)

Department of Developmental Services				
	Original Appropriation ²	Available Funding ³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	209,745,951	203,094,624	199,745,951	3,348,673
Other Expenses	15,069,356	15,644,009	15,644,009	-
Housing Supports and Services	1,400,000	1,400,000	1,400,000	-
Family Support Grants	3,700,840	3,687,887	3,687,887	-
Clinical Services	2,337,724	2,329,533	2,329,533	-
Workers' Compensation Claims	15,404,040	15,404,040	15,404,040	-

² Includes appropriated accounts from all appropriated funds.

³ Includes all anticipated and enacted holdbacks (forced lapses), rescissions, and transfers.

Department of Developmental Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Behavioral Services Program	22,571,979	21,362,725	17,362,725	4,000,000
Supplemental Payments for Medical Services	3,008,132	2,996,815	2,996,815	-
ID Partnership Initiatives	1,529,000	1,447,198	1,447,198	-
Emergency Placements	5,630,000	5,328,795	4,828,795	500,000
Rent Subsidy Program	4,782,312	4,765,574	4,765,574	-
Employment Opportunities and Day Services	289,183,217	288,304,447	288,304,447	-
TOTAL - General Fund	574,362,551	565,765,647	557,916,974	7,848,673

Department of Mental Health and Addiction Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	213,878,173	196,481,411	196,481,411	-
Other Expenses	25,171,554	30,582,767	30,582,767	-
Housing Supports and Services	22,966,163	22,885,781	22,885,781	-
Managed Service System	56,333,880	56,138,146	56,138,146	-
Legal Services	706,179	706,179	706,179	-
Connecticut Mental Health Center	7,848,323	7,848,323	7,848,323	-
Professional Services	12,900,697	18,703,942	18,703,942	-
General Assistance Managed Care	40,722,054	40,580,733	40,580,733	-
Workers' Compensation Claims	15,021,165	16,321,165	16,321,165	-
Nursing Home Screening	652,784	652,784	652,784	-
Young Adult Services	77,970,521	77,702,158	77,702,158	-
TBI Community Services	8,452,441	8,423,093	8,423,093	-
Behavioral Health Medications	6,720,754	6,720,754	6,720,754	-
Medicaid Adult Rehabilitation Option	4,184,260	4,169,615	4,169,615	-
Discharge and Diversion Services	24,216,478	27,131,720	27,131,720	-
Home and Community Based Services	22,220,669	21,347,239	21,147,239	200,000
Nursing Home Contract	409,594	409,594	409,594	-
Katie Blair House	15,150	15,150	15,150	-
Forensic Services	10,275,522	10,240,014	10,240,014	-
Grants for Substance Abuse Services	17,913,225	17,850,529	17,850,529	-
Grants for Mental Health Services	66,316,598	66,084,490	66,084,490	-
Employment Opportunities	8,791,514	8,760,744	8,760,744	-
TOTAL - General Fund	643,687,698	639,756,331	639,556,331	200,000

Department of Education				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	17,534,577	16,567,231	16,567,231	-
Other Expenses	3,035,381	2,994,064	2,994,064	-
Development of Mastery Exams Grades 4, 6, and 8	10,490,334	10,411,962	10,411,962	-
Primary Mental Health	345,288	345,288	345,288	-
Leadership, Education, Athletics in Partnership (LEAP)	312,211	280,990	280,990	-
Adult Education Action	194,534	194,534	194,534	-
Connecticut Writing Project	20,250	-	-	-
Neighborhood Youth Centers	613,866	552,479	552,479	-
Sheff Settlement	10,277,534	10,277,534	10,277,534	-
Parent Trust Fund Program	267,193	240,474	240,474	-
Regional Vocational-Technical School System	140,398,647	138,898,647	138,898,647	-

Department of Education				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Commissioner's Network	10,009,398	9,929,351	9,929,351	-
Local Charter Schools	690,000	690,000	690,000	-
Bridges to Success	27,000	27,000	27,000	-
Talent Development	2,183,986	2,167,752	2,167,752	-
School-Based Diversion Initiative	900,000	900,000	900,000	-
Technical High Schools Other Expenses	22,668,577	22,498,563	22,498,563	-
EdSight	1,100,273	1,096,438	1,096,438	-
Sheff Transportation	45,781,798	45,781,798	45,781,798	-
Curriculum and Standards	2,215,782	2,199,164	2,199,164	-
American School For The Deaf	8,357,514	7,932,514	7,932,514	-
Regional Education Services	262,500	262,500	262,500	-
Family Resource Centers	5,802,710	5,802,710	5,802,710	-
Charter Schools	124,678,750	123,149,013	123,149,013	-
Child Nutrition State Match	2,354,000	2,354,000	2,354,000	-
Health Foods Initiative	4,151,463	4,151,463	4,151,463	-
Vocational Agriculture	15,124,200	15,124,200	15,124,200	-
Adult Education	20,383,960	20,383,960	20,383,960	-
Health and Welfare Services Pupils Private Schools	3,438,415	3,438,415	3,438,415	-
Education Equalization Grants	2,092,033,975	2,093,563,712	2,093,563,712	-
Bilingual Education	3,177,112	3,177,112	3,177,112	-
Priority School Districts	30,818,778	30,818,778	30,818,778	-
Interdistrict Cooperation	1,537,500	1,537,500	1,537,500	-
School Breakfast Program	2,158,900	2,158,900	2,158,900	-
Excess Cost - Student Based	140,619,782	140,619,782	140,619,782	-
Open Choice Program	27,682,027	27,682,027	25,982,027	1,700,000
Magnet Schools	306,033,302	306,033,302	295,033,302	11,000,000
After School Program	5,750,695	5,750,695	5,750,695	-
Extended School Hours	2,919,883	2,919,883	2,919,883	-
School Accountability	3,412,207	3,412,207	3,412,207	-
TOTAL - General Fund	3,069,764,302	3,066,325,942	3,053,625,942	12,700,000

Teachers' Retirement Board				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	1,722,838	1,714,960	1,584,960	130,000
Other Expenses	544,727	542,003	517,003	25,000
Retirement Contributions	1,248,029,000	1,249,835,000	1,249,835,000	-
Retirees Health Service Cost	29,849,400	28,043,400	24,499,400	3,544,000
Municipal Retiree Health Insurance Costs	5,535,640	5,535,640	5,085,640	450,000
TOTAL - General Fund	1,285,681,605	1,285,671,003	1,281,522,003	4,149,000

Department of Children and Families				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	279,496,655	278,723,548	265,723,548	13,000,000
Other Expenses	29,160,237	28,797,201	28,797,201	-
Workers' Compensation Claims	10,158,413	10,158,413	8,658,413	1,500,000
Family Support Services	946,451	946,451	946,451	-
Differential Response System	15,812,975	15,767,055	14,467,055	1,300,000
Regional Behavioral Health Consultation	1,646,024	1,640,263	1,640,263	-

Department of Children and Families				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Health Assessment and Consultation	1,415,723	1,410,768	1,410,768	-
Grants for Psychiatric Clinics for Children	16,182,464	16,125,825	16,125,825	-
Day Treatment Centers for Children	7,275,589	7,250,124	7,250,124	-
Child Abuse and Neglect Intervention	9,874,101	9,839,542	8,939,542	900,000
Community Based Prevention Programs	7,527,785	7,501,438	7,501,438	-
Family Violence Outreach and Counseling	3,745,395	3,732,286	3,732,286	-
Supportive Housing	19,886,064	19,816,463	19,326,463	490,000
No Nexus Special Education	1,952,268	2,652,268	2,652,268	-
Family Preservation Services	6,593,987	6,570,908	6,570,908	-
Substance Abuse Treatment	8,629,640	8,599,436	8,599,436	-
Child Welfare Support Services	2,560,026	2,551,066	2,551,066	-
Board and Care for Children - Adoption	104,750,134	104,750,134	102,788,961	1,961,173
Board and Care for Children - Foster	135,981,796	135,981,796	114,041,796	21,940,000
Board and Care for Children - Short-term and Residential	88,983,554	88,983,554	84,433,554	4,550,000
Individualized Family Supports	5,885,205	5,864,607	3,693,776	2,170,831
Community Kidcare	44,103,938	43,949,162	43,249,162	700,000
Covenant to Care	161,412	161,412	161,412	-
Juvenile Review Boards	1,315,147	1,315,147	1,315,147	-
Youth Transition and Success Programs	450,000	405,000	405,000	-
Youth Service Bureaus	2,626,772	2,626,772	2,626,772	-
Youth Service Bureau Enhancement	1,093,973	1,093,973	1,093,973	-
Total - General Fund	808,215,728	807,214,612	758,702,608	48,512,004