

Analysis of Finance Advisory Committee Meeting Items

March 4, 2021 Agenda



OFFICE OF FISCAL ANALYSIS

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FAC 2021-05 Commission on Women, Children, Seniors, Equity and Opportunity

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	636,000	(18,788)	(25,000)	-	592,212
Other Expenses	60,000	-	-	25,000	85,000
TOTAL - General Fund			(25,000)	25,000	

Funding is available for transfer from this account due to the following:

- Personal Services - The Commission was unable to fill certain vacancies that would have allowed for staff assistance for the designed Parent Leadership Training Institute (PLTI), a strategy and curriculum to promote parent leaders.

Funding is needed for transfer to this account due to the following:

- Other Expenses - Funding is needed to extend an existing contractual service agreement with the PLTI for specific tasks necessary for addressing the mandates of the Commission (CGS Sec. 2-128). These funds will support a contract extension for ongoing deliverables, to be completed on a monthly basis. The PLTI program is a two-generation strategy to bolster parental involvement while promoting the lifelong health, safety and learning of children. The program integrates child development leadership and democracy skills into a parent curriculum.

Holdbacks and lapses:

This transfer will not affect the agency's ability to attain the holdback of \$18,788 in Personal Services.

¹ Prior policy actions may include holdbacks (forced lapses), rescissions, and transfers.

FAC 2021-06 Department of Administrative Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	51,482,515	(3,969,194)	(851,877)	-	46,661,444
Rents and Moving	10,571,577	(5,960,592)	(2,357,852)	-	2,253,133
Other Expenses	31,181,530	(81,988)	-	809,559	31,909,101
IT Services	16,325,576	(655,367)	-	2,400,170	18,070,379
TOTAL - General Fund			(3,209,729)	3,209,729	

Funding is available for transfer from these accounts due to the following:

- Personal Services - There is \$851,877 available in PS to transfer because DAS has had delays in filling numerous positions.
- Rents and Moving - There is \$2.4 million available in Rents & Moving account to transfer because DAS is ending the office space leases at 55 Elm Street and 765 Asylum Street in Hartford. There is also funding available to transfer because office moving costs were lower than budgeted.

Funding is needed for transfer to these accounts due to the following:

- Other Expenses - Funding of \$809,959 is to support the human resources consolidation. These funds will be utilized for consultants to provide services that perform financial analysis, agency data collection, project management, and workforce development.
- IT Services - IT optimization initiative/ statewide IT licenses of \$2,400,170. DAS entered into a Statewide licensing agreement for Microsoft 365, a subscription-based, cloud software. This five year agreement beginning in FY 19 eliminated a dozen separate agency agreements; resulting in lower licensing costs and eliminated disjointed software upgrades.

Holdbacks and lapses:

As funds were carried forward from Fiscal Year 20 to provide funding for various statewide initiatives, holdbacks and lapses should have no impact on FY 21's budget.

FAC 2021-07 Office of Consumer Counsel

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	1,414,178	-	(14,985)	-	1,399,193
Indirect Overhead	40,568	-	-	14,985	55,553
TOTAL - Consumer Counsel and Public Utility Control Fund			(14,985)	14,985	

Funding is available for transfer from this account due to the following:

- Personal Services - A delay in filling one vacant position. The agency currently has 13 total positions and an FY 21 Personal Services appropriation of \$1,414,178.

Funding is needed for transfer to this account due to the following:

- Indirect Overhead - OCC's invoice for indirect overhead to the Office of the State Comptroller was not paid in a timely manner in FY 20, and therefore a transfer of \$14,985 is required to help cover the costs of payments for both FY 20 and FY 21.

Holdbacks and Lapses

This agency has no holdbacks or lapses in FY 21.

FAC 2021-08 Department of Transportation

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	196,012,288	325,000	(4,870,700)	-	191,466,588
Other Expenses	53,346,796	-	-	4,870,700	58,217,496
TOTAL - Special Transportation Fund			(4,870,700)	4,870,700	

Funding is available for transfer from this account due to the following:

- Personal Services - A delay in filling vacant positions (311 positions were vacant as of early February).

Funding is needed for transfer to this account due to the following:

- Other Expenses - Higher than budgeted expenses for several items including highway/electrical supplies, equipment repair, IT expenses, and contractor trucks (due to pandemic-related staffing shortages).

Holdbacks and lapses:

There are no holdbacks or lapses associated with these accounts.

FAC 2021-09 Public Defender Services Commission

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Assigned Counsel - Criminal	22,442,284	(1,070,522)	(400,000)	-	20,971,762
Expert Witnesses	2,875,604	(100,000)	(140,310)	-	2,635,294
Other Expenses	1,181,163	-	-	540,310	1,721,473
TOTAL - General Fund			(540,310)	540,310	

Funding is available for transfer from these accounts due to the following:

- Assigned Counsel - Criminal - PDS has seen a significant decrease in the number of cases due to the COVID pandemic. In FY 20, the agency was responsible for 106,252, while in FY 19 the number of cases was 129,333. The FY 21 year to date number of cases is 69,522.
- Expert Witnesses - Trials in the past year have been very limited and the majority of funds from this account are part of cases that go to trial.

Funding is needed for transfer to this account due to the following:

- Other Expenses - PDS is on the Judicial Department's email server and utilizes Judicial's network infrastructure. The Judicial Department is upgrading the server and email system to Microsoft 365. Funding is needed to for PDS to also upgrade to Microsoft 365 and continue on the email platform and infrastructure.

Holdbacks and lapses:

This transfer will not affect the agency's ability to achieve the Assigned Counsel - Criminal holdback of \$557,272 and rescission of \$513,250 and the rescission of \$100,000 in Expert Witnesses.

FAC 2021-10 Insurance Department

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Fringe Benefits	13,898,634	-	(355,238)	-	13,543,396
Other Expenses	1,725,916	-	-	170,000	1,895,916
Indirect Overhead	228,468	-	-	185,238	413,706
TOTAL - Insurance Fund			(355,238)	355,238	

Funding is available for transfer from this account due to the following:

- Fringe Benefits - Expenditures for Fringe benefits are projected to be lower than the appropriated amounts due to the actual FY 2021 fringe rate being lower than budgeted. The fringe rate was budgeted at 89.7% of Personal Services but the actual rate year-to-date is 88.0%. Delays in refilling five vacancies have also contributed to the excess funds. The requested transfer reflects 2.6% of the Fringe Benefits appropriation.

Funding is needed for transfer to these accounts due to the following:

- Other Expenses - The Insurance Department has implemented a temporary staffing contract to assist with actuarial job functions due to retirements at the agency. The total contract value is \$250,000. This additional expense is partially offset in the account by cost savings associated with remote working and no employee reimbursements or travel. The requested transfer amount equals 9.8% of the account's appropriation.
- Indirect Overhead - As last year's legislative session ended without revisions to the FY 21 budget, Indirect Overhead was not funded at the Comptroller's projected amount of \$413,706, requiring a transfer of \$185,238.

Holdbacks and lapses:

There are no holdbacks on these accounts. The transfer will reduce our projected lapse in the Fringe Benefits account by the amount of the transfer.

Appendix A: Projected Account Balances for Agencies on this Agenda (After Proposed FAC Transfer(s))

Commission on Women, Children, Seniors, Equity and Opportunity				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	636,000	592,212	367,212	225,000
Other Expenses	60,000	85,000	85,000	-
TOTAL - General Fund	696,000	677,212	452,212	225,000

Department of Administrative Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	51,482,515	46,661,444	46,661,444	-
Other Expenses	31,181,530	31,909,101	31,909,101	-
Tuition Reimbursement - Training and Travel	-	397,000	-	397,000
Labor - Management Fund	-	75,000	-	75,000
Loss Control Risk Management	92,634	88,003	88,003	-
Employees' Review Board	17,611	17,611	17,611	-
Surety Bonds for State Officials and Employees	73,500	69,825	69,825	-
Quality of Work-Life	-	200,000	-	200,000
Refunds Of Collections	21,453	20,381	20,381	-
Rents and Moving	10,571,577	2,253,133	2,253,133	-
W. C. Administrator	5,000,000	5,000,000	5,000,000	-
State Insurance and Risk Mgmt Operations	12,239,855	12,239,855	12,239,855	-
IT Services	16,325,576	18,070,379	18,070,379	-
Firefighters Fund	400,000	400,000	400,000	-
TOTAL - General Fund	127,406,251	117,401,732	116,729,732	672,000

Office of Consumer Counsel				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	1,414,178	1,399,193	1,002,307	396,886
Other Expenses	332,907	332,907	202,543	130,364
Equipment	2,200	2,200	1,100	1,100
Fringe Benefits	1,286,902	1,286,902	964,792	322,110
Indirect Overhead	40,568	55,553	55,553	-
TOTAL - Consumer Counsel and Public Utility Control Fund	3,076,755	3,076,755	2,226,295	850,460

Department of Transportation				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	196,012,288	191,466,588	191,466,588	-
Other Expenses	53,346,796	58,217,496	58,217,496	-
Equipment	1,341,329	1,341,329	1,341,329	-
Minor Capital Projects	449,639	449,639	449,639	-
Highway Planning And Research	3,060,131	3,060,131	3,060,131	-
Rail Operations	215,927,417	215,927,417	215,927,417	-
Bus Operations	201,522,710	201,522,710	201,522,710	-

² Includes appropriated accounts from all appropriated funds.

³ Includes all anticipated and enacted holdbacks (forced lapses), rescissions, and transfers.

Department of Transportation				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
ADA Para-transit Program	44,819,461	44,819,461	44,819,461	-
Non-ADA Dial-A-Ride Program	576,361	576,361	576,361	-
Pay-As-You-Go Transportation Projects	13,676,378	13,676,378	13,676,378	-
Port Authority	400,000	400,000	400,000	-
Transportation to Work	2,370,629	2,370,629	2,370,629	-
TOTAL - Special Transportation Fund	733,503,139	733,828,139	733,828,139	-

Public Defender Services Commission				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	42,299,163	42,299,163	42,299,163	-
Other Expenses	1,181,163	1,721,473	1,721,473	-
Assigned Counsel - Criminal	22,442,284	20,971,762	20,940,012	31,750
Expert Witnesses	2,875,604	2,635,294	2,525,604	109,690
Training And Education	119,748	119,748	119,748	-
TOTAL - General Fund	68,917,962	67,747,440	67,606,000	141,440

Insurance Department				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	15,496,303	15,496,303	15,050,000	446,303
Other Expenses	1,725,916	1,895,916	1,895,916	-
Equipment	52,500	52,500	52,156	344
Fringe Benefits	13,898,634	13,543,396	13,306,423	236,973
Indirect Overhead	228,468	413,706	413,706	-
Total - Insurance Fund	31,401,821	31,401,821	30,718,201	683,620