

# Analysis of Finance Advisory Committee Meeting Items

May 2, 2019 Agenda



## **OFFICE OF FISCAL ANALYSIS**

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## FAC 2019-12 Department of Administrative Services

| Account                                  | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                  | Available Funding Post FAC Action |
|--|------------------------|-----------------------------------|-----------------------|------------------|-----------------------------------|
|  |                        |                                   | FROM (Decrease)       | TO (Increase)    |                                   |
| Personal Services                        | 45,853,884             | (269,808)                         | (1,800,000)           | -                | 43,784,076                        |
| Rents and Moving                         | 11,318,952             | (1,550,000)                       | (850,000)             | -                | 8,918,952                         |
| State Insurance and Risk Mgmt Operations | 10,917,391             | 1,650,000                         | -                     | 1,650,000        | 14,217,391                        |
| IT Services                              | 11,759,563             | -                                 | -                     | 1,000,000        | 12,759,563                        |
| <b>TOTAL - General Fund</b>              |                        |                                   | <b>(2,650,000)</b>    | <b>2,650,000</b> |                                   |

*Funding is available for transfer from these accounts due to the following:*

- **Personal Services** - There have been delays in filling vacant positions; currently the agency has 186 vacant positions.
- **Rents and Moving** - Two leased properties have been terminated, located at One Constitution Plaza and 90B Weston Street.

*Funding is needed for transfer to these accounts due to the following:*

- **State Insurance and Risk Mgmt Operations** - There have been several large fleet liability claim settlements that were outside of the Board's standard projections. In addition, there are cases scheduled for trial soon, which may result in additional exposure for this fiscal year. These large fleet liability claims create the anticipated shortfall in the Insurance and Risk Management (IRM) account. The remainder of the deficiency in the IRM account (\$900,000) is anticipated to be handed by HB 7148, the FY 19 deficiency bill, as favorably reported by the Appropriations Committee.
- **IT Services** - This funding is part of an overall initiative to work with other executive branch agencies to make a coordinated effort by consolidating a number of agencies' information technology software and security needs and the State will be able to utilize economies of scale in order to obtain the best pricing schedule.

*Holdbacks and lapses:*

This transfer will not affect the agency's ability to achieve the Personal Services holdback of \$229,270.

<sup>1</sup> Prior policy actions may include holdbacks (forced lapses), rescissions, and transfers.

## FAC 2019-13 Department of Banking

| Account                     | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                | Available Funding Post FAC Action |
|-----------------------------|------------------------|-----------------------------------|-----------------------|----------------|-----------------------------------|
|                             |                        |                                   | FROM (Decrease)       | TO (Increase)  |                                   |
| Personal Services           | 10,984,235             | 165,969                           | (578,326)             | -              | 10,571,878                        |
| Fringe Benefits             | 8,787,388              | -                                 | -                     | 427,903        | 9,215,291                         |
| Indirect Overhead           | 291,192                | -                                 | -                     | 150,423        | 441,615                           |
| <b>TOTAL - Banking Fund</b> |                        |                                   | <b>(578,326)</b>      | <b>578,326</b> |                                   |

*Funding is available for transfer from this account due to the following:*

- **Personal Services** - Lower than budgeted expenditures due to delays in filling vacant positions.

*Funding is needed for transfer to these accounts due to the following:*

- **Fringe Benefits/Indirect Overhead** - To cover the increase in the actual recovery rate set by the Office of the State Comptroller from the amounts that were originally budgeted.

*Holdbacks and lapses:*

*There are no holdbacks associated with these accounts.*

## FAC 2019-14 Office of Consumer Counsel

| Account   | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |               | Available Funding Post FAC Action |
|---|------------------------|-----------------------------------|-----------------------|---------------|-----------------------------------|
|   |                        |                                   | FROM (Decrease)       | TO (Increase) |                                   |
| Personal Services   | 1,288,453              | 19,051                            | (87,533)              | -             | 1,219,971                         |
| Fringe Benefits   | 1,056,988              | -                                 | -                     | 20,000        | 1,076,988                         |
| Indirect Overhead   | 100                    | -                                 | -                     | 67,533        | 67,633                            |
| <b>TOTAL - Consumer Counsel and Public Utility Control Fund</b> |                        |                                   | <b>(87,533)</b>       | <b>87,533</b> |                                   |

*Funding is available for transfer from this account due to the following:*

- **Personal Services** - Lower than budgeted expenditures due to delays in filling vacant positions.

*Funding is needed for transfer to these accounts due to the following:*

- **Fringe Benefits/Indirect Overhead** - To cover the increase in the actual recovery rate set by the Office of the State Comptroller from the amounts that were originally budgeted.

*Holdbacks and lapses:*

There are no holdbacks associated with these accounts.

## FAC 2019-15 Workers' Compensation Commission

| Account                                   | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                | Available Funding Post FAC Action |
|---|------------------------|-----------------------------------|-----------------------|----------------|-----------------------------------|
|   |                        |                                   | FROM (Decrease)       | TO (Increase)  |                                   |
| Personal Services                         | 10,240,361             | 179,150                           | (798,657)             | -              | 9,620,854                         |
| Fringe Benefits                           | 8,192,289              | -                                 | -                     | 650,000        | 8,842,289                         |
| Indirect Overhead                         | 291,637                | -                                 | -                     | 148,657        | 440,294                           |
| <b>TOTAL - Workers' Compensation Fund</b> |                        |                                   | <b>(798,657)</b>      | <b>798,657</b> |                                   |

*Funding is available for transfer from this account due to the following:*

- **Personal Services** - Due to (1) one employee being out on unpaid FMLA leave, (2) four vacant funded positions, and (3) utilizing temporary worker retirees to fill critical staff positions.

*Funding is needed for transfer to these accounts due to the following:*

- **Fringe Benefits** - The FY 19 enacted budget did not include technical adjustments to reflect the Comptroller's FY 19 fringe recovery rates which are 97%, compared to the FY 18 rate of 91%.
- **Indirect Overhead** - The FY 19 enacted budget did not include technical adjustments to reflect the Comptroller's FY 19 indirect overhead recovery amount which is \$440,294, compared to the FY 18 amount of \$291,637.

*Holdbacks and lapses:*

There are no holdbacks applied to the agency's accounts for FY 19.

## FAC 2019-16 Department of Developmental Services

| Account                      | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                  | Available Funding Post FAC Action |
|------------------------------|------------------------|-----------------------------------|-----------------------|------------------|-----------------------------------|
|                              |                        |                                   | FROM (Decrease)       | TO (Increase)    |                                   |
| Personal Services            | 201,093,871            | 4,099,216                         | (1,900,000)           | -                | 203,293,087                       |
| Other Expenses               | 15,757,513             | -                                 | -                     | 1,200,000        | 16,957,513                        |
| Workers' Compensation Claims | 13,823,176             | -                                 | -                     | 700,000          | 14,523,176                        |
| <b>TOTAL - General Fund</b>  |                        |                                   | <b>(1,900,000)</b>    | <b>1,900,000</b> |                                   |

*Funding is available for transfer from this account due to the following:*

- **Personal Services** - The FY 19 closure of two public group homes and the conversion of eight group homes, from public operation to private provider services has resulted in a lapse available to transfer. This amount represents approximately 1% of the available appropriation.

*Funding is needed for transfer to these accounts due to the following:*

- **Other Expenses** - The Revised FY 19 Budget did not annualize the FY 18 Other Expenses account deficiency appropriation of \$1.5 million. The FY 19 Other Expenses expenditures are an estimated \$16.9 million, which is approximately 2.3% percent lower than FY 18 expenditures of \$17.3 million. The \$1.2 million shortfall is 7.6% of the available appropriation.
- **Workers' Compensation Claims** - Increased medical costs associated with inpatient hospital care, outpatient care and prescription drug coverage and unanticipated costs associated with a Stipulated Agreement results in a \$700,000 shortfall. This represents 5% of the available appropriation. Utilization of this account varies year to year, dependent on the number of employees with claims and the complexity of the claims.

*Holdbacks and lapses:*

There are no holdbacks associated with these accounts.

## FAC 2019-17 Department of Mental Health and Addiction Services

| Account                             | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                  | Available Funding Post FAC Action |
|-------------------------------------|------------------------|-----------------------------------|-----------------------|------------------|-----------------------------------|
|                                     |                        |                                   | FROM (Decrease)       | TO (Increase)    |                                   |
| General Assistance Managed Care     | 41,339,713             | 67,690                            | (2,100,000)           | -                | 39,307,403                        |
| TBI Community Services              | 8,596,174              | 68,428                            | (500,000)             | -                | 8,164,602                         |
| Home and Community Based Services   | 23,746,667             | 28,570                            | (4,000,000)           | -                | 19,775,237                        |
| Grants for Substance Abuse Services | 17,788,229             | 124,996                           | (550,000)             | -                | 17,363,225                        |
| Grants for Mental Health Services   | 65,874,535             | 442,063                           | (850,000)             | -                | 65,466,598                        |
| Employment Opportunities            | 8,723,779              | 67,735                            | (200,000)             | -                | 8,591,514                         |
| Personal Services                   | 179,918,858            | 4,351,034                         | -                     | 1,100,000        | 185,369,892                       |
| Other Expenses                      | 23,191,753             | -                                 | -                     | 2,700,000        | 25,891,753                        |
| Professional Services               | 11,200,697             | -                                 | -                     | 2,000,000        | 13,200,697                        |
| Workers' Compensation Claims        | 11,405,512             | -                                 | -                     | 2,400,000        | 13,805,512                        |
| <b>TOTAL - General Fund</b>         |                        |                                   | <b>(8,200,000)</b>    | <b>8,200,000</b> |                                   |

*Funding is available for transfer from these accounts due to the following:*

- **General Assistance Managed Care** - Lower than budgeted expenditures. This represents approximately 5.1% of the original appropriation.
- **TBI Community Services** - Lower than budgeted expenditures. This represents approximately 5.8% of the original appropriation.
- **Home and Community Based Services** - Fewer than anticipated clients as well as lower than budgeted expenditures. This represents approximately 16.8% of the original appropriation.
- **Grants for Substance Abuse Services** - Lower than budgeted payments to providers. This represents approximately 3.1% of the appropriation.
- **Grants for Mental Health Services** - Lower than budgeted payments to providers. This represents approximately 1.3% of the appropriation.
- **Employment Opportunities** - Lower than budgeted payments to providers. This represents approximately 2.3% of the appropriation.

*Funding is needed in these accounts due to the following:*

- **Personal Services** - Higher than budgeted overtime costs, primarily at Connecticut Valley Hospital (CVH), and increased staffing and associated costs at CVH and Whiting Forensic Hospital.
- **Other Expenses** - Increased expenditures to support security and safety upgrades at CVH. This represents 11.4% of the total FY 19 available appropriation. In addition, the available appropriation is approximately \$1.7 million below FY 18 total expenditures of \$24.9 million.
- **Professional Services** - Increased use of contracted medical services. This represents 17.9% of the total FY 19 available appropriation. The available appropriation is approximately \$2 million below FY 18 total expenditures of \$13.2 million.
- **Workers' Compensation Claims** - The department is experiencing higher than budgeted workers' compensation claims. This represents 19.3% of the total FY 19 available appropriation. In addition, the available appropriation is approximately \$2.4 million below FY 18 total expenditures of \$13.8

*Holdbacks and lapses:*

The transfer to Personal Services will enable the agency to achieve the \$899,595 holdback to that account.



## FAC 2019-18 Department of Transportation

| Account                                    | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                  | Available Funding Post FAC Action |
|--|------------------------|-----------------------------------|-----------------------|------------------|-----------------------------------|
|  |                        |                                   | FROM (Decrease)       | TO (Increase)    |                                   |
| Personal Services                          | 175,874,964            | (2,622,593)                       | (3,000,000)           | -                | 170,252,371                       |
| Pay-As-You-Go Transportation Projects      | 13,629,769             | 4,900,000                         | -                     | 3,000,000        | 21,529,769                        |
| <b>TOTAL - Special Transportation Fund</b> |                        |                                   | <b>(3,000,000)</b>    | <b>3,000,000</b> |                                   |

*Funding is available for transfer from this account due to the following:*

- **Personal Services** - A delay in refilling 489 vacancies.

*Funding is needed for transfer to this account due to the following:*

- **Pay-As-You-Go Transportation Projects** - \$3 million in unbudgeted emergency efforts to combat the statewide tree mortality issue partly due to the emerald ash borer beetle in which contractor services were needed.

*Holdbacks and lapses:*

There are no holdbacks associated with these accounts.

## FAC 2019-19 Department of Education

| Account                     | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                | Available Funding Post FAC Action |
|-----------------------------|------------------------|-----------------------------------|-----------------------|----------------|-----------------------------------|
|                             |                        |                                   | FROM (Decrease)       | TO (Increase)  |                                   |
| Commissioner's Network      | 10,009,398             | -                                 | (400,000)             | -              | 9,609,398                         |
| Other Expenses              | 3,098,843              | -                                 | -                     | 400,000        | 3,498,843                         |
| <b>TOTAL - General Fund</b> |                        |                                   | <b>(400,000)</b>      | <b>400,000</b> |                                   |

*Funding is available for transfer from this account due to the following:*

- **Commissioner's Network** - The final grant amount for projects is \$400,000 lower than originally anticipated.

*Funding is needed in this account due to the following:*

- **Other Expenses** - The State Department of Education (SDE) entered into a Memorandum of Agreement (MOA) with the Windham Board of Education to provide funding to support the expansion and development of appropriate programming for students impacted by the closure of Path Academy Charter School. The MOA and corresponding funding will allow Windham Public Schools to find appropriate placement for these students to complete their high school education.

*Holdbacks and lapses:*

There are no holdbacks associated with these accounts.

## FAC 2019-20 Department of Correction

| Account                      | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                   | Available Funding Post FAC Action |
|------------------------------|------------------------|-----------------------------------|-----------------------|-------------------|-----------------------------------|
|                              |                        |                                   | FROM (Decrease)       | TO (Increase)     |                                   |
| Personal Services            | 371,925,062            | 16,576,111                        | (24,250,000)          | -                 | 364,251,173                       |
| Workers' Compensation Claims | 26,871,594             | -                                 | (1,750,000)           | -                 | 25,121,594                        |
| Other Expenses               | 63,378,930             | -                                 | -                     | 5,000,000         | 68,378,930                        |
| Inmate Medical Services      | 72,383,992             | 1,128,253                         | -                     | 21,000,000        | 94,512,245                        |
| <b>TOTAL - General Fund</b>  |                        |                                   | <b>(26,000,000)</b>   | <b>26,000,000</b> |                                   |

*Funding is available for transfer from these accounts due to the following:*

- **Personal Services** - this account is currently deficient by approximately \$14.4 million and this FAC would increase the deficiency to \$38.6 million.
- **Workers' Compensation Claims** - this account has a \$1.75 million surplus due to the total amount of claims being 5% lower than the previous five year average.

*Funding is needed for transfer to these accounts due to the following:*

- **Other Expenses** - The shortfall in this account is due to it being unable to meet its appropriation reduction. Compared to FY 18, the appropriation for this account decreased 6%, while anticipated expenditures have increased 5%. DOC has witnessed higher electricity rates and air conditioning utilization due to hot weather along with energy cost increases in natural gas and fuel oil.
- **Inmate Medical Services** - The shortfall in this account is due to it being unable to meet its appropriation reduction and having incurred increased overtime costs. The primary factors contributing to increased overtime are inadequate staffing levels and difficulties filling open positions. The transfer of Inmate Medical Services from UConn Healthcare to DOC resulted in numerous vacancies. Transition costs and carry-forward expenses from UConn Healthcare are also contributing to the deficiency.

*Holdbacks and lapses:*

There are no holdbacks associated with these accounts.

## FAC 2019-21 Judicial Department

| Account                      | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |               | Available Funding Post FAC Action |
|------------------------------|------------------------|-----------------------------------|-----------------------|---------------|-----------------------------------|
|                              |                        |                                   | FROM (Decrease)       | TO (Increase) |                                   |
| Personal Services            | 325,017,550            | (3,350,000)                       | (2,926,066)           | -             | 318,741,484                       |
| Other Expenses               | 59,839,025             | (226,037)                         | -                     | 990,135       | 60,603,123                        |
| Workers' Compensation Claims | 6,042,106              | -                                 | -                     | 1,935,931     | 7,978,037                         |
| <b>TOTAL - General Fund</b>  |                        |                                   | (2,926,066)           | 2,926,066     |                                   |

*Funding is available for transfer from this account due to the following:*

- **Personal Services** - There are currently approximately 350 funded vacancies from retirements, attrition, and delays in filling positions.

*Funding is needed for transfer to these accounts due to the following:*

- **Other Expenses** - The FY 19 appropriation is \$428,963 less than the actual expenditures in FY 18. In addition, unanticipated expenses of approximately \$150,000 were incurred when the agency was forced to relocate the Court Support Services Administrative offices from Wethersfield to Glastonbury when ownership of the leased building changed. Other expenses that increased include interpreter services and water utility costs.
- **Workers' Compensation Claims** - Expenditures in this account totaled \$6.1 million in FY 18. Year-to-date expenditures in this account for FY 19 are approximately \$6 million. The number of juvenile detention employees out on leave has increased in FY 19 from about 12 per month in FY 18 to about 27 per month.

The transfer of juvenile justice youths has resulted in more incidences that result in workers' compensation claims at the juvenile detention centers. The population at the detention center has increased by approximately 30 youths from the transfer. Prior juvenile detention population was about 70; the current population is approximately 100.

*Holdbacks and lapses:*

This transfer will not affect the agency's ability to achieve the Personal Services holdback of \$3,350,000.

## FAC 2019-22 Debt Service - State Treasurer

| Account                     | Original Appropriation | Prior Policy Actions <sup>1</sup> | Proposed FAC Transfer |                  | Available Funding Post FAC Action |
|-----------------------------|------------------------|-----------------------------------|-----------------------|------------------|-----------------------------------|
|                             |                        |                                   | FROM (Decrease)       | TO (Increase)    |                                   |
| UConn 2000 - Debt Service   | 210,955,639            | -                                 | (1,155,148)           | -                | 209,800,491                       |
| Municipal Restructuring     | 20,000,000             | -                                 | -                     | 1,155,148        | 21,155,148                        |
| <b>TOTAL - General Fund</b> |                        |                                   | <b>(1,155,148)</b>    | <b>1,155,148</b> |                                   |

*Funding is available for transfer from this account due to the following:*

- **UConn 2000 - Debt Service** - Bonds issued for the UConn 2000 program were both less in amount (\$276.1 million instead of \$300 million) and at a lower rate (4.71% instead of 5%) than initially anticipated, resulting in lower debt service requirements.

*Funding is needed for transfer to this account due to the following:*

- **Municipal Restructuring** - The funding provided under this account was less than the contractually obligated requirement in the Contract Assistance Agreement with the City of Hartford.

*Holdbacks and lapses:*

There are no holdbacks associated with these accounts.

## Appendix A: Projected Account Balances for Agencies on this Agenda (After Proposed FAC Transfer(s))

| Department of Administrative Services          |  |                                   |                           |                                   |
|--|--|-----------------------------------|---------------------------|-----------------------------------|
|  | Original<br>Appropriation <sup>2</sup> | Available<br>Funding <sup>3</sup> | Estimated<br>Expenditures | Estimated<br>Surplus/(Deficiency) |
| Personal Services                              | 45,853,884                             | 43,784,076                        | 43,784,076                | -                                 |
| Other Expenses                                 | 27,377,295                             | 28,077,295                        | 28,077,295                | -                                 |
| Tuition Reimbursement - Training and Travel    | -                                      | 382,000                           | 382,000                   | -                                 |
| Labor - Management Fund                        | -                                      | 75,000                            | 75,000                    | -                                 |
| Loss Control Risk Management                   | 92,634                                 | 92,634                            | 92,634                    | -                                 |
| Employees' Review Board                        | 17,611                                 | 17,611                            | 17,611                    | -                                 |
| Placement And Training Fund                    | -                                      | 2,527                             | 2,527                     | -                                 |
| Surety Bonds for State Officials and Employees | 147,524                                | 147,524                           | 147,524                   | -                                 |
| Quality of Work-Life                           | -                                      | 200,000                           | 200,000                   | -                                 |
| Refunds Of Collections                         | 21,453                                 | 21,453                            | 21,453                    | -                                 |
| Rents and Moving                               | 11,318,952                             | 8,918,952                         | 8,918,952                 | -                                 |
| W. C. Administrator                            | 5,000,000                              | 5,000,000                         | 5,000,000                 | -                                 |
| State Insurance and Risk Mgmt Operations       | 10,917,391                             | 14,217,391                        | 15,117,391                | (900,000)                         |
| IT Services                                    | 11,759,563                             | 12,759,563                        | 12,759,563                | -                                 |
| Firefighters Fund                              | 400,000                                | 400,000                           | -                         | 400,000                           |
| <b>TOTAL - General Fund</b>                    | <b>112,906,307</b>                     | <b>114,096,026</b>                | <b>114,596,026</b>        | <b>(500,000)</b>                  |

| Department of Banking       |  |                                   |                           |                                   |
|-----------------------------|--|-----------------------------------|---------------------------|-----------------------------------|
|                             | Original<br>Appropriation <sup>2</sup> | Available<br>Funding <sup>3</sup> | Estimated<br>Expenditures | Estimated<br>Surplus/(Deficiency) |
| Personal Services           | 10,984,235                             | 10,571,878                        | 10,571,878                | -                                 |
| Other Expenses              | 1,478,390                              | 1,478,390                         | 1,478,390                 | -                                 |
| Equipment                   | 44,900                                 | 44,900                            | 44,900                    | -                                 |
| Fringe Benefits             | 8,787,388                              | 9,215,291                         | 9,514,690                 | (299,399)                         |
| Indirect Overhead           | 291,192                                | 441,615                           | 441,615                   | -                                 |
| <b>TOTAL - Banking Fund</b> | <b>21,586,105</b>                      | <b>21,752,074</b>                 | <b>22,051,473</b>         | <b>(299,399)</b>                  |

| Office of Consumer Counsel                                      |  |                                   |                           |                                   |
|---|--|-----------------------------------|---------------------------|-----------------------------------|
|   | Original<br>Appropriation <sup>2</sup> | Available<br>Funding <sup>3</sup> | Estimated<br>Expenditures | Estimated<br>Surplus/(Deficiency) |
| Personal Services   | 1,288,453                              | 1,219,971                         | 1,219,971                 | -                                 |
| Other Expenses  | 332,907                                | 332,907                           | 332,907                   | -                                 |
| Equipment   | 2,200                                  | 2,200                             | 2,200                     | -                                 |
| Fringe Benefits   | 1,056,988                              | 1,076,988                         | 1,076,988                 | -                                 |
| Indirect Overhead   | 100                                    | 67,633                            | 67,633                    | -                                 |
| <b>TOTAL - Consumer Counsel and Public Utility Control Fund</b> | <b>2,680,648</b>                       | <b>2,699,699</b>                  | <b>2,699,699</b>          | <b>-</b>                          |

<sup>2</sup> Includes appropriated accounts from all appropriated funds.

<sup>3</sup> Includes all anticipated and enacted holdbacks (forced lapses), rescissions, and transfers.

| <b>Workers' Compensation Commission</b>   |   |                                      |                               |                                       |
|---|---|--------------------------------------|-------------------------------|---------------------------------------|
|   | <b>Original Appropriation<sup>2</sup></b> | <b>Available Funding<sup>3</sup></b> | <b>Estimated Expenditures</b> | <b>Estimated Surplus/(Deficiency)</b> |
| Personal Services                         | 10,240,361                                | 9,620,854                            | 9,029,511                     | 591,343                               |
| Other Expenses                            | 2,659,765                                 | 2,659,765                            | 2,549,765                     | 110,000                               |
| Equipment                                 | 1   | 1                                    | 1                             | -                                     |
| Fringe Benefits                           | 8,192,289                                 | 8,842,289                            | 8,842,289                     | -                                     |
| Indirect Overhead                         | 291,637                                   | 440,294                              | 440,294                       | -                                     |
| <b>TOTAL - Workers' Compensation Fund</b> | <b>21,384,053</b>                         | <b>21,563,203</b>                    | <b>20,861,860</b>             | <b>701,343</b>                        |

| <b>Department of Developmental Services</b> |   |                                      |                               |                                       |
|---|---|--------------------------------------|-------------------------------|---------------------------------------|
|   | <b>Original Appropriation<sup>2</sup></b> | <b>Available Funding<sup>3</sup></b> | <b>Estimated Expenditures</b> | <b>Estimated Surplus/(Deficiency)</b> |
| Personal Services                           | 201,093,871                               | 203,293,087                          | 201,443,087                   | 1,850,000                             |
| Other Expenses                              | 15,757,513                                | 16,957,513                           | 16,957,513                    | -                                     |
| Housing Supports and Services               | 350,000                                   | 350,000                              | -                             | 350,000                               |
| Family Support Grants                       | 3,700,840                                 | 3,700,840                            | 3,700,840                     | -                                     |
| Clinical Services                           | 2,365,359                                 | 2,365,359                            | 2,365,359                     | -                                     |
| Workers' Compensation Claims                | 13,823,176                                | 14,523,176                           | 14,523,176                    | -                                     |
| Behavioral Services Program                 | 22,028,926                                | 22,811,877                           | 21,311,877                    | 1,500,000                             |
| Supplemental Payments for Medical Services  | 3,686,196                                 | 3,686,196                            | 3,435,548                     | 250,648                               |
| ID Partnership Initiatives                  | 1,529,000                                 | 1,529,000                            | 1,529,000                     | -                                     |
| Emergency Placements                        | 5,000,000                                 | 5,000,000                            | 5,000,000                     | -                                     |
| Rent Subsidy Program                        | 4,782,312                                 | 4,782,312                            | 4,782,312                     | -                                     |
| Employment Opportunities and Day Services   | 250,382,413                               | 258,351,531                          | 258,351,531                   | -                                     |
| <b>TOTAL - General Fund</b>                 | <b>524,499,606</b>                        | <b>537,350,891</b>                   | <b>533,400,243</b>            | <b>3,950,648</b>                      |

| <b>Department of Mental Health and Addiction Services</b> |   |                                      |                               |                                       |
|---|---|--------------------------------------|-------------------------------|---------------------------------------|
|   | <b>Original Appropriation<sup>2</sup></b> | <b>Available Funding<sup>3</sup></b> | <b>Estimated Expenditures</b> | <b>Estimated Surplus/(Deficiency)</b> |
| Personal Services   | 179,918,858                               | 185,369,892                          | 189,269,892                   | (3,900,000)                           |
| Other Expenses  | 23,191,753                                | 25,891,753                           | 25,891,753                    | -                                     |
| Housing Supports and Services                             | 22,804,287                                | 22,966,163                           | 22,966,163                    | -                                     |
| Managed Service System                                    | 55,325,363                                | 55,931,028                           | 55,931,028                    | -                                     |
| Legal Services  | 700,144                                   | 706,179                              | 706,179                       | -                                     |
| Connecticut Mental Health Center                          | 7,848,323                                 | 7,848,323                            | 7,848,323                     | -                                     |
| Professional Services                                     | 11,200,697                                | 13,200,697                           | 13,200,697                    | -                                     |
| General Assistance Managed Care                           | 41,339,713                                | 39,307,403                           | 39,307,403                    | -                                     |
| Workers' Compensation Claims                              | 11,405,512                                | 13,805,512                           | 13,805,512                    | -                                     |
| Nursing Home Screening                                    | 623,625                                   | 623,625                              | 623,625                       | -                                     |
| Young Adult Services                                      | 75,125,743                                | 75,823,757                           | 75,823,757                    | -                                     |
| TBI Community Services                                    | 8,596,174                                 | 8,164,602                            | 8,164,602                     | -                                     |
| Jail Diversion  | 95,000                                    | 95,000                               | 95,000                        | -                                     |
| Behavioral Health Medications                             | 6,720,754                                 | 6,720,754                            | 6,720,754                     | -                                     |
| Medicaid Adult Rehabilitation Option                      | 4,184,260                                 | 4,184,260                            | 4,184,260                     | -                                     |
| Discharge and Diversion Services                          | 24,043,142                                | 24,216,478                           | 24,216,478                    | -                                     |
| Home and Community Based Services                         | 23,746,667                                | 19,775,237                           | 19,775,237                    | -                                     |
| Nursing Home Contract                                     | 409,594                                   | 409,594                              | 409,594                       | -                                     |
| Katie Blair House   | 15,000                                    | 15,150                               | 15,150                        | -                                     |
| Forensic Services   | 9,922,892                                 | 10,013,086                           | 10,013,086                    | -                                     |
| Grants for Substance Abuse Services                       | 17,788,229                                | 17,363,225                           | 17,363,225                    | -                                     |
| Grants for Mental Health Services                         | 65,874,535                                | 65,466,598                           | 65,466,598                    | -                                     |
| Employment Opportunities                                  | 8,723,779                                 | 8,591,514                            | 8,591,514                     | -                                     |
| <b>TOTAL - General Fund</b>                               | <b>599,604,044</b>                        | <b>606,489,830</b>                   | <b>610,389,830</b>            | <b>(3,900,000)</b>                    |

| <b>Department of Transportation</b>        |   |                                      |                               |                                       |
|--|---|--------------------------------------|-------------------------------|---------------------------------------|
|  | <b>Original Appropriation<sup>2</sup></b> | <b>Available Funding<sup>3</sup></b> | <b>Estimated Expenditures</b> | <b>Estimated Surplus/(Deficiency)</b> |
| Personal Services                          | 175,874,964                               | 170,252,371                          | 170,252,371                   | -                                     |
| Other Expenses                             | 53,214,223                                | 56,693,129                           | 56,693,129                    | -                                     |
| Equipment                                  | 1,341,329                                 | 1,341,329                            | 1,341,329                     | -                                     |
| Minor Capital Projects                     | 449,639                                   | 449,639                              | 449,639                       | -                                     |
| Highway Planning And Research              | 3,060,131                                 | 3,060,131                            | 3,060,131                     | -                                     |
| Rail Operations                            | 211,673,193                               | 210,173,193                          | 210,173,193                   | -                                     |
| Bus Operations                             | 191,687,787                               | 195,938,185                          | 195,938,185                   | -                                     |
| ADA Para-transit Program                   | 41,839,446                                | 39,089,048                           | 39,089,048                    | -                                     |
| Non-ADA Dial-A-Ride Program                | 1,576,361                                 | 1,576,361                            | 1,576,361                     | -                                     |
| Pay-As-You-Go Transportation Projects      | 13,629,769                                | 21,529,769                           | 21,529,769                    | -                                     |
| Port Authority                             | 400,000                                   | 400,000                              | 400,000                       | -                                     |
| Transportation to Work                     | 2,370,629                                 | 2,370,629                            | 2,370,629                     | -                                     |
| <b>TOTAL - Special Transportation Fund</b> | <b>697,117,471</b>                        | <b>702,873,784</b>                   | <b>702,873,784</b>            | <b>-</b>                              |

| <b>Department of Education</b>                         |   |                                      |                               |                                       |
|--|---|--------------------------------------|-------------------------------|---------------------------------------|
|  | <b>Original Appropriation<sup>2</sup></b> | <b>Available Funding<sup>3</sup></b> | <b>Estimated Expenditures</b> | <b>Estimated Surplus/(Deficiency)</b> |
| Personal Services                                      | 15,811,046                                | 16,001,164                           | 16,001,164                    | -                                     |
| Other Expenses   | 3,098,843                                 | 3,498,843                            | 3,498,843                     | -                                     |
| Admin - Adult Education                                | -   | 4,402                                | 4,402                         | -                                     |
| Development of Mastery Exams Grades 4, 6, and 8        | 10,410,723                                | 10,436,130                           | 10,436,130                    | -                                     |
| Primary Mental Health                                  | 345,288                                   | 345,288                              | 345,288                       | -                                     |
| Leadership, Education, Athletics in Partnership (LEAP) | 312,211                                   | 312,211                              | 312,211                       | -                                     |
| Adult Education Action                                 | 194,534                                   | 194,534                              | 194,534                       | -                                     |
| Connecticut Writing Project                            | 20,250                                    | 20,250                               | 20,250                        | -                                     |
| Neighborhood Youth Centers                             | 438,866                                   | 438,866                              | 438,866                       | -                                     |
| Longitudinal Data Systems                              | 1,091,650                                 | 1,093,877                            | 1,093,877                     | -                                     |
| Sheff Settlement                                       | 11,027,361                                | 11,038,756                           | 11,038,756                    | -                                     |
| Parent Trust Fund Program                              | 267,193                                   | 267,193                              | 267,193                       | -                                     |
| Regional Vocational-Technical School System            | 130,188,101                               | 133,188,256                          | 133,188,256                   | -                                     |
| Commissioner's Network                                 | 10,009,398                                | 9,609,398                            | 9,509,398                     | 100,000                               |
| Local Charter Schools                                  | 540,000                                   | 492,000                              | 492,000                       | -                                     |
| Bridges to Success                                     | 27,000                                    | 27,000                               | 27,000                        | -                                     |
| K-3 Reading Assessment Pilot                           | 2,215,782                                 | 2,215,782                            | 2,215,782                     | -                                     |
| Talent Development                                     | 2,150,000                                 | 2,156,897                            | 2,156,897                     | -                                     |
| School-Based Diversion Initiative                      | 900,000                                   | 900,000                              | 900,000                       | -                                     |
| Technical High Schools Other Expenses                  | 22,668,577                                | 22,668,577                           | 22,668,577                    | -                                     |
| American School For The Deaf                           | 7,857,514                                 | 7,432,514                            | 7,432,514                     | -                                     |
| Regional Education Services                            | 262,500                                   | 262,500                              | 262,500                       | -                                     |
| Family Resource Centers                                | 5,802,710                                 | 5,802,710                            | 5,802,710                     | -                                     |
| Charter Schools  | 116,964,132                               | 116,964,132                          | 114,864,132                   | 2,100,000                             |
| Youth Service Bureau Enhancement                       | 583,973                                   | 583,973                              | 583,973                       | -                                     |
| Child Nutrition State Match                            | 2,354,000                                 | 2,354,000                            | 2,354,000                     | -                                     |
| Health Foods Initiative                                | 4,151,463                                 | 4,151,463                            | 4,151,463                     | -                                     |
| Vocational Agriculture                                 | 13,759,589                                | 13,759,589                           | 13,759,589                    | -                                     |
| Adult Education  | 20,383,960                                | 20,383,960                           | 20,383,960                    | -                                     |
| Health and Welfare Services Pupils Private Schools     | 3,438,415                                 | 3,438,415                            | 3,438,415                     | -                                     |
| Education Equalization Grants                          | 2,016,728,682                             | 2,016,728,682                        | 2,016,728,682                 | -                                     |



| <b>Department of Education</b> |   |                                      |                               |                                       |
|--------------------------------|---|--------------------------------------|-------------------------------|---------------------------------------|
|                                | <b>Original Appropriation<sup>2</sup></b> | <b>Available Funding<sup>3</sup></b> | <b>Estimated Expenditures</b> | <b>Estimated Surplus/(Deficiency)</b> |
| Bilingual Education            | 3,177,112                                 | 3,177,112                            | 3,177,112                     | -                                     |
| Priority School Districts      | 37,150,868                                | 37,150,868                           | 37,150,868                    | -                                     |
| Young Parents Program          | 71,657                                    | 71,657                               | 71,657                        | -                                     |
| Interdistrict Cooperation      | 1,537,500                                 | 1,537,500                            | 1,537,500                     | -                                     |
| School Breakfast Program       | 2,158,900                                 | 2,158,900                            | 2,158,900                     | -                                     |
| Excess Cost - Student Based    | 140,619,782                               | 140,619,782                          | 140,619,782                   | -                                     |
| Youth Service Bureaus          | 2,598,486                                 | 2,598,486                            | 2,598,486                     | -                                     |
| Open Choice Program            | 39,138,373                                | 39,138,373                           | 39,138,373                    | -                                     |
| Magnet Schools                 | 326,508,158                               | 326,508,158                          | 326,508,158                   | -                                     |
| After School Program           | 4,720,695                                 | 4,720,695                            | 4,720,695                     | -                                     |
| <b>TOTAL - General Fund</b>    | <b>2,961,685,292</b>                      | <b>2,964,452,893</b>                 | <b>2,962,252,893</b>          | <b>2,200,000</b>                      |

| <b>Department of Correction</b>       |   |                                      |                               |                                       |
|---------------------------------------|---|--------------------------------------|-------------------------------|---------------------------------------|
|                                       | <b>Original Appropriation<sup>2</sup></b> | <b>Available Funding<sup>3</sup></b> | <b>Estimated Expenditures</b> | <b>Estimated Surplus/(Deficiency)</b> |
| Personal Services                     | 371,925,062                               | 364,251,173                          | 402,877,267                   | (38,626,094)                          |
| Other Expenses                        | 63,378,930                                | 68,378,930                           | 68,146,421                    | 232,509                               |
| Workers' Compensation Claims          | 26,871,594                                | 25,121,594                           | 25,121,594                    | -                                     |
| Inmate Medical Services               | 72,383,992                                | 94,512,245                           | 94,268,399                    | 243,846                               |
| Board of Pardons and Paroles          | 6,260,389                                 | 6,375,941                            | 6,375,941                     | -                                     |
| STRIDE                                | 73,342                                    | 73,342                               | 73,342                        | -                                     |
| Aid to Paroled and Discharged Inmates | 3,000                                     | 3,000                                | 3,000                         | -                                     |
| Legal Services To Prisoners           | 797,000                                   | 797,000                              | 797,000                       | -                                     |
| Volunteer Services                    | 87,385                                    | 87,725                               | 87,725                        | -                                     |
| Community Support Services            | 33,909,614                                | 34,129,544                           | 34,129,544                    | -                                     |
| <b>TOTAL - General Fund</b>           | <b>575,690,308</b>                        | <b>593,730,494</b>                   | <b>631,880,233</b>            | <b>(38,149,739)</b>                   |

| <b>Judicial Department</b>                               |   |                                      |                               |                                       |
|--|---|--------------------------------------|-------------------------------|---------------------------------------|
|  | <b>Original Appropriation<sup>2</sup></b> | <b>Available Funding<sup>3</sup></b> | <b>Estimated Expenditures</b> | <b>Estimated Surplus/(Deficiency)</b> |
| Personal Services  | 325,017,550                               | 318,741,484                          | 318,701,490                   | 39,994                                |
| Other Expenses   | 59,839,025                                | 60,603,123                           | 60,603,123                    | -                                     |
| Forensic Sex Evidence Exams                              | 1,348,010                                 | 1,248,010                            | 1,248,010                     | -                                     |
| Alternative Incarceration Program                        | 49,452,837                                | 49,823,733                           | 49,823,733                    | -                                     |
| Justice Education Center, Inc.                           | 466,217                                   | 469,714                              | 469,714                       | -                                     |
| Juvenile Alternative Incarceration                       | 19,919,286                                | 19,313,056                           | 19,313,056                    | -                                     |
| Probate Court  | 4,350,000                                 | 4,350,000                            | 4,350,000                     | -                                     |
| Workers' Compensation Claims                             | 6,042,106                                 | 7,978,037                            | 7,978,037                     | -                                     |
| Youthful Offender Services                               | 9,653,277                                 | 9,725,677                            | 9,725,677                     | -                                     |
| Victim Security Account                                  | 8,792                                     | 8,792                                | 8,792                         | -                                     |
| Children of Incarcerated Parents                         | 490,053                                   | 493,728                              | 493,728                       | -                                     |
| Legal Aid  | 1,397,144                                 | 1,397,144                            | 1,397,144                     | -                                     |
| Youth Violence Initiative                                | 1,925,318                                 | 1,939,758                            | 1,925,318                     | 14,440                                |
| Youth Services Prevention                                | 3,187,174                                 | 3,211,078                            | 3,187,174                     | 23,904                                |
| Children's Law Center                                    | 92,445                                    | 92,445                               | 92,445                        | -                                     |
| Juvenile Planning  | 208,620                                   | 208,620                              | 208,620                       | -                                     |
| Juvenile Justice Outreach Services                       | 10,566,795                                | 10,646,046                           | 10,646,046                    | -                                     |
| Board and Care for Children - Short-term and Residential | 6,285,334                                 | 6,332,474                            | 6,332,474                     | -                                     |
| <b>TOTAL - General Fund</b>                              | <b>500,249,983</b>                        | <b>496,582,919</b>                   | <b>496,504,581</b>            | <b>78,338</b>                         |

| <b>Debt Service - State Treasurer</b> |   |  |                                   |   |
|---------------------------------------|---|--|-----------------------------------|---|
|                                       | <b>Original<br/>Appropriation<sup>2</sup></b> | <b>Available<br/>Funding<sup>3</sup></b> | <b>Estimated<br/>Expenditures</b> | <b>Estimated<br/>Surplus/(Deficiency)</b> |
| Debt Service                          | 1,858,767,569                                 | 1,858,767,569                            | 1,858,167,569                     | 600,000                                   |
| UConn 2000 - Debt Service             | 210,955,639                                   | 209,800,491                              | 207,340,360                       | 2,460,131                                 |
| CHEFA Day Care Security               | 5,500,000                                     | 5,500,000                                | 4,070,000                         | 1,430,000                                 |
| Pension Obligation Bonds - TRB        | 118,400,521                                   | 118,400,521                              | 118,400,521                       | -   |
| Municipal Restructuring               | 20,000,000                                    | 21,155,148                               | 21,155,148                        | -   |
| <b>Total - General Fund</b>           | <b>2,213,623,729</b>                          | <b>2,213,623,729</b>                     | <b>2,209,133,598</b>              | <b>4,490,131</b>                          |