

Analysis of Finance Advisory Committee Meeting Items

June 2, 2016 Agenda



OFFICE OF FISCAL ANALYSIS

Room 5200, Legislative Office Building
Hartford, CT 06106 • (860) 240-0200

E-Mail: ofa@cga.ct.gov

www.cga.ct.gov/ofa

OFA STAFF

Neil Ayers, Director

Christine Ashburn, Section Chief

Sarah Bourne, Principal Analyst	Elementary Education, Office of Higher Education, Town Education Grants
Rachel Della Pietra, Principal Analyst	Children and Families, Public Health, Medical Examiner, Dept. of Veterans' Affairs
Christina Gellman, Principal Analyst	Banking, Dept. of Insurance, Dept. of Developmental Services, Teachers' Retirement, Dept. of Rehabilitation Services
Alan Shepard, Principal Analyst	Board of Regents for Higher Education, UConn, UConn Health Center, Consumer Protection,

Michael Murphy, Section Chief

Evelyn Arnold, Associate Analyst	Economic Development, Housing, Culture and Tourism
Anne Bordieri, Associate Analyst	Energy Funds, Consumer Counsel, Transportation Fund, Transportation Bonding, Motor Vehicles, Dept. of Transportation, Soldiers, Sailors & Marines'
Grant Gager, Associate Analyst	Attorney General, Treasurer, Debt Service, Bonding
William Lederman, Principal Analyst	Budget Information System, Income Tax Modeling
Chris Wetzel, Principal Analyst	Dept. of Labor, Tax Policy & Revenue Analysis, Dept. of Revenue Services, Spending Cap

Chris Perillo, Section Chief

Don Chaffee, Principal Analyst	Legislative Management, Emergency Services & Public Protection, Office of Governmental Accountability, Secretary of the State, State Personnel
Dan Dilworth, Associate Analyst	Office of Policy & Mgmt., Grants to Towns (PILOTS, Pequot), Municipal Funds, Federal Funds
Meghan Green, Analyst I	Dept. of Administrative Services, State Personnel, Statewide Issues
Marcy Ritsick, Principal Analyst	Environment, Agriculture, Agriculture Experiment Station, Council on Environmental Quality, Comm. on Human Rights & Opportunities

Rob Wysock, Section Chief

Jonathan Palmer, Associate Analyst	Military, Corrections, Budget Information System
Phoenix Ronan, Principal Analyst	Dept. of Insurance, Criminal Justice, Judicial, Public Defender, Probate
Emily Shepard, Principal Analyst	Dept. of Social Services, Mental Health and Addiction Services, Psychiatric Security Review Board, Office of Early Childhood
Holly Williams, Associate Analyst	State Comptroller, Dept. of Social Services, Office of Health Care Advocate, State Employee Fringe Benefits, Workers' Compensation

Administrative Staff

Laurie L. Wysock, Sr. Executive Secretary
Theresa Kelly, Senior Legislative Secretary
Lisa Kiro, Staff Assistant/Fiscal Note Coordinator

Legislative Office Building, Room 5200, Hartford, CT 06106

Phone: (860) 240-0200

E-Mail: ofa@cga.ct.gov; Web: www.cga.ct.gov/ofa

FAC 2016-03 Division of Criminal Justice

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Training And Education	56,499	(8,825)	(10,000)	-	37,674
Expert Witnesses	330,000	(47,395)	(35,000)	-	247,605
Medicaid Fraud Control	1,323,438	(111,615)	(94,000)	-	1,117,823
Cold Case Unit	277,119	(45,468)	(50,000)	-	181,651
Shooting Taskforce	1,115,406	(26,476)	(16,000)	-	1,072,930
Other Expenses	2,561,355	(146,148)	-	145,000	2,560,207
Witness Protection	180,000	(11,880)	-	60,000	228,120
TOTAL - General Fund			(205,000)	205,000	

Funding is available for transfer from these accounts due to the following:

- **Expert Witnesses** - This account resulted in less utilization than anticipated. Utilization varies year to year depending on the number of trials and complexity of trials throughout the year. In FY 15, total expenditures were approximately \$125,000 for 37 expert witnesses. In FY 16 to date, total expenditures have been \$98,346 for 28 expert witnesses.
- **Training And Education** - Limiting attendance at training seminars and conferences.
- **Medicaid Fraud Control** - Two vacancies, as a result of the hiring freeze. Additional savings are due to not purchasing equipment related to vacant positions.
- **Cold Case Unit** - Delay in hiring one position, which has now been filled.
- **Shooting Taskforce** - Delay in hiring for two positions, which have now been filled.

Funding is needed in these accounts due to the following:

- **Other Expenses** - The FY 16 appropriation (post deficit mitigation reductions) for this account is approximately \$298,000 less than the actual expenditures in FY 15. The agency incurred unanticipated expenses in this account such as repairs at the central office in Rocky Hill and software maintenance and license agreements, which were previously paid out of federal grants that are no longer available.
- **Witness Protection** - Expenditures in this account vary depending on the number of witnesses that come forward to help law enforcement solve crimes. Funds are used to temporarily house witnesses, relocate them to alternative locations for safety, and provide food and incidental allowances. The FY 16 appropriation (post deficit mitigation reductions) for this account is \$168,120 while the year to date expenditures is approximately \$177,000.

Holdbacks and Lapses

This transfer will not affect the agency's ability to achieve the Expert Witnesses holdback of \$4,950, the Training and Education holdback of \$847, the Medicaid Fraud Control holdback of \$32,210, Cold Case holdback of \$4,642, or Shooting Taskforce holdback of \$11,590.

Rescissions/Reductions to appropriations

PA 15-1 and 16-1, the FY 16 Deficit Mitigation bills, reduced Training and Education by a total of \$7,978, Expert Witnesses by \$42,445, Medicaid Fraud Control by \$79,405, Cold Case Unit by \$40,826, and Shooting Taskforce by \$14,886. In addition, the FY 16 Deficit Mitigation bills reduced the Other

¹ Prior policy actions may include holdbacks (forced lapses), rescissions, and transfers.

Expenses account by a total of \$107,728 and the Witness Protection account by \$9,180, which the agency will not be able to meet and is part of the \$205,000 needed in this transfer.

FAC 2016-04 Military Department

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	3,146,928	(111,150)	(65,000)	-	2,970,778
Honor Guards	350,000	(1,048)	-	60,000	408,952
Veteran's Service Bonuses	72,000	(720)	-	5,000	76,280
TOTAL - General Fund			(65,000)	65,000	

Funding is available for transfer from this account due to the following:

- **Personal Services** - Due to delayed filling of vacancies, approximately \$75,000 is available for transfer from this account.

Funding is needed in these accounts due to the following:

- **Honor Guards** - This account provides military funeral honors for certain armed forces veterans. Approximately 3,000 funerals are provided an honor guard annually. Current expenditures are exceeding budgeted levels. Funding was reduced for this account by \$119,533 in the FY 16 budget. The total adjusted appropriation before this policy action was \$348,952 or \$127,748 lower than FY 15 expenditures.
- **Veteran's Service Bonuses** - This account is an entitlement account for active duty members of the Connecticut National Guard serving on or after September 11, 2001. Current expenditures are exceeding budgeted levels. Funding was reduced for this account by \$22,000 in the FY 16 budget.

Holdback and Lapses

This policy action will not affect the agency's ability to meet the \$76,016 holdback in the Personal Services Account.

Rescissions/Reductions to appropriations

PA 15-1 and 16-1, the FY 16 Deficit Mitigation bills, reduced the Personal Services account by a total of \$35,134 and the Veteran's Service Bonuses by \$720.

Deficiency Issues

This policy action is expected to fully eliminate deficiencies in the Honor Guard and Veteran's Service Bonuses accounts.

Carry Forwards

The Honor Guard account has a carry forward appropriation of \$45,500.

FAC 2016-05 Insurance Department

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	15,037,381	-	(280,000)	-	14,757,381
Other Expenses	1,949,807	-	-	280,000	2,229,807
TOTAL - Insurance Fund			(280,000)	280,000	

Funding is available for transfer from this account due to the following:

- **Personal Services** - A delay in refilling various vacancies is the reason that \$280,000 is available for transfer. The amount represents 1.9% of the available appropriation prior to the FAC.

Funding is needed in this account due to the following:

- **Other Expenses** - 1) The FY 16 appropriation of \$1,949,807 is \$229,053 less than FY 15 actual expenditures of \$2,178,860 and 2) increased expenditures including contractual cleaning services and office equipment.

Holdbacks and Lapses

The agency has no budgeted holdbacks or lapses.

FAC 2016-06 Department of Developmental Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	262,989,799	(14,761,944)	(1,700,000)	-	246,527,855
Behavioral Services Program	29,731,164	(450,993)	-	1,700,000	30,980,171
TOTAL - General Fund			(1,700,000)	1,700,000	

Funding is available for transfer from this account due to the following:

- **Personal Services** - Limiting the refilling of vacancies and managing overtime expenses. The amount represents 0.7% of the available appropriation prior to the FAC.

Funding is needed in this account due to the following:

- **Behavioral Services Program** - Higher than budgeted expenditures for services to children and youth resulted in a \$1.7 million shortfall in this account. This is 5.8% of the available appropriation. While the majority of children and youth receive services in their family home with an average annual cost of \$38,700 there has been an increase in expenditures for more costly residential school placements that average over \$200,000 annually. There was a decrease of 33 children and youth served in the Behavioral Services Program (BSP) from the FY 15 to FY 16. However, expenditures for residential school placements are \$1 million greater in FY 16 (\$6.5 million) than in FY 15 (\$5.5 million). The FY 16 available appropriation for BSP of \$29.3 million prior to the FAC is \$1.8 million lower than the FY 15 actual expenditure of \$31.1 million.

Holdbacks and Lapses

This transfer from the Personal Services account will not affect the agency's ability to achieve the \$7,086,079 holdback in this account.

Rescissions/Reductions to appropriations

PA 15-1 of the December Special Session (DSS) reduced appropriations for the Personal Services account by \$5,675,865 and for the BSP account by \$297,312. PA 16-1 reduced appropriations for the Personal Services account by \$2.0 million and for the BSP account by \$153,681. The total reduction to appropriations for the Personal Services account is \$7,675,865 and for the BSP account is \$450,993. It should be noted that pursuant to section 32 of PA 16-2, May Special Session (FY 17 Revised Budget) DDS Personal Services for FY 16 is reduced by \$11.8 million. It is anticipated that OPM will release the holdbacks and reductions to appropriations described above in order to achieve the amount.

FAC 2016-07 Department of Mental Health and Addiction Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
TBI Community Services	10,400,667	(170,996)	(700,000)	-	9,529,671
Home and Community Based Services	19,612,854	(398,733)	(2,265,000)	-	16,949,121
Other Expenses	28,716,563	(811,687)	-	1,100,000	29,004,876
Professional Services	11,488,898	(153,236)	-	750,000	12,085,662
Behavioral Health Medications	5,783,527	(85,738)	-	1,115,000	6,812,789
TOTAL - General Fund			(2,965,000)	2,965,000	

Funding is available for transfer from these accounts due to the following:

- TBI Community Services - Lower than anticipated costs for clients placed in the community as well as the continued placement of clients on the Acquired Brain Injury (ABI) waivers has resulted in reduced caseload for the TBI community services account. This represents 6.7% of the original appropriation. The May 2015 FAC reduced this account by \$3.2 million.
- Home and Community Based Services - Fewer than anticipated clients, as well as lower than anticipated expenditures for clients transferring to the community has resulted in a decreased need for support from the Home and Community Based Services (HCBS) account. This represents 11.5% of the original appropriation. The May 2015 FAC reduced this account by \$800,000.

Funding is needed in these accounts due to the following:

- Other Expenses - Increased security, electricity, IT, and translation and interpretation costs represent the majority of the deficiency in the Other Expenses account. This represents 3.8% of the original appropriation. The May 2015 FAC transferred \$1.5 million to this account.
- Professional Services - Increased use of contracted medical services and lab costs for inpatient clients under the Professional Services account. This represents 6.5% of the original appropriation. The May 2015 FAC transferred \$600,000 to this account.
- Behavioral Health Medications - Unanticipated costs for Hepatitis C treatment for inpatient clients at Connecticut Valley Hospital. This represents 19.3% of the original appropriation.

Holdbacks and Lapses

The transfers from TBI Community Services and Home and Community Based Services will not affect the agency's ability to meet the holdbacks and budgeted lapses in these accounts, \$31,144 and \$64,308 respectively.

Rescissions/Reductions to Appropriations

PA 15-1 of the December Special Session (DSS) and PA 16-1, the deficit mitigation plans (DMP), reduced appropriations to TBI Community Services by \$139,852 and Home and Community Based Services by \$334,425. This transfer will not affect the agency's ability to meet the rescissions to these accounts. The December and March deficit mitigation plans also reduced appropriations to Other Expenses by \$287,165, Professional Services by \$153,236, and Behavioral Health Medications by \$68,420, contributing to the deficiencies in these accounts. It is assumed this transfer will enable the agency to meet the DMP reductions to Other Expenses, Professional Services and Behavioral Health Medications.

FAC 2016-08 Department of Transportation

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	177,942,169	(2,266,343)	(8,100,000)	-	167,575,826
Bus Operations	150,802,948	-	-	6,800,000	157,602,948
ADA Para-transit Program	34,928,044	-	-	1,300,000	36,228,044
TOTAL - Special Transportation Fund			(8,100,000)	8,100,000	

Funding is available for transfer from this account due to the following:

- **Personal Services** - A delay in hiring 212 positions, resulting in available funding of \$8,100,000.

Funding is needed in these accounts due to the following:

- **ADA Para-transit Program**- Higher than budgeted expenditures for ADA services resulted in a \$1.3 million shortfall in this account. There was an increase in utilization of transit services which represented a 5.4% growth from FY 15, or 51,447 more individuals who were eligible for this program. The average expenditure growth for FY 12-FY 15 for this program was 8% which is mainly driven by utilization.
- **Bus Operations**- Higher than budgeted expenditures for expanded local service in Waterbury and New Britain and various transit station maintenance costs resulted in a \$6.8 million shortfall in this account. Also, of the shortfall, funding is needed to cover a \$1.4 million increase for the Department of Transportation's (DOT) municipal transit grant program. The FY 16 total grant amount is \$4.6 million, and was budgeted for \$3.2 million.

Holdback and Lapses

The transfer has no impact on holdbacks or lapses for this agency.

Rescissions/Reductions to appropriations

PA 15-1 of the December Special Session (DSS) allowed the Office of Policy and Management to reduce appropriations within the Special Transportation Fund. Appropriations within DOT for the Personal Services account was reduced by \$2,266,343, Other Expenses by \$29,308, Equipment by \$759,080, Highway Planning and Research by \$1,800,000, Rail Operations by \$1,542,187, Pay-As-You-Go Transportation Projects by \$17,600,000, and Non-ADA Dial-A-Ride by \$200,000.

FAC 2016-09 Department of Social Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	134,527,508	(4,319,160)	(2,356,000)	-	127,852,348
Temporary Assistance to Families - TANF	99,425,380	(4,994,253)	(9,200,000)	-	85,231,127
Other Expenses	148,435,174	(5,133,993)	-	10,400,000	153,701,181
State-Funded Supplemental Nutrition Assistance Program	483,100	(5,696)	-	65,000	542,404
Old Age Assistance	37,944,440	-	-	360,000	38,304,440
Connecticut Home Care Program	43,430,000	(519,325)	-	731,000	43,641,675
TOTAL - General Fund			(11,556,000)	11,556,000	

Funding is available for transfer from these accounts due to the following:

- **Personal Services-** Limiting the refilling of vacancies, managing overtime expenses and additional retirements. Estimated FY 16 overtime expenditures are \$3.2 million less than FY 15 expenditures. The transfer amount represents 1.8% of the available appropriation.
- **Temporary Assistance to Families - TANF** - Lower than anticipated caseload. Caseload has decreased by over ten percent when compared to FY 15 levels. Based on April 2016 data, Temporary Family Assistance (TFA) supports an average of 15,803 unduplicated cases each month with an average cost per case of \$481.

Funding is needed in these accounts due to the following:

- **Other Expenses** - Increased administrative costs, primarily attributable to Access Health call center costs that are due to Medicaid clients. The \$10.4 million shortfall represents 6.8% of the available appropriation.
- **State-Funded Supplemental Nutrition Assistance Program** - Higher than anticipated caseload (approximately 3.4% above budgeted) and cost per case (approximately 9.1% above budgeted). Based on April 2016 data, State-Funded SNAP supports an average of 307 unduplicated cases each month with an average cost per case of \$155.
- **Old Age Assistance-** Higher than anticipated costs (approximately 3.5%). Based on April 2016 data, Old Age Assistance supports an average of 4,828 unduplicated cases each month with an average cost per case of \$654.
- **Connecticut Home Care Program-** Higher than anticipated caseload and cost per case. Average monthly expenditures for the Connecticut Home Care Program (CHCP) are approximately 2% higher than originally budgeted.

Holdbacks and Lapses

After an anticipated release of \$556,000 of the Personal Services holdback, the transfers from the Personal Services and Temporary Family Assistance - accounts will not affect the agency's ability to achieve holdbacks.

Rescissions/Reductions to appropriations

PA 15-1 of the December Special Session (DSS) reduced appropriations for the Personal Services account by \$1,172,637, Other Expenses by \$2 million, State-Funded SNAP by \$4,831, TFA by \$994,253, and the CHCP by \$434,300. PA 16-1 additionally reduced appropriations for Personal Services by \$145,000, Other Expenses by \$462,994, TFA by \$4 million, and CHCP by \$85,025.

FAC 2016-10 Department of Education

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Commissioner's Network	12,800,000	(1,263,372)	(172,563)	-	11,364,065
Excess Cost - Student Based	139,805,731	-	-	172,563	139,978,294
TOTAL - General Fund			(172,563)	172,563	

Funding is available for transfer from this account due to the following:

- **Commissioner's Network** - The approved budgets of the Commissioner's Network schools were less than anticipated based on the needs of each school.

Funding is needed in this account due to the following:

- **Excess Cost-Student Based** - February payments for several grantees were more than required. It is unlikely the agency will recover such payments prior to when the agency must meet its statutory payment obligations.

Holdbacks and Lapses

The transfer from the Commissioner's Network account will not affect the agency's ability to achieve holdbacks.

Rescissions/Reductions to appropriations

PA 15-1 of the December Special Session (DSS) reduced the appropriation for the Commissioner's Network account by \$128,000. PA 16-1 further reduced the appropriation to the Commissioner's Network account by \$1,135,372.

FAC 2016-11 Office of Early Childhood

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	8,785,880	(719,035)	(112,067)	-	7,954,778
Child Care Services	18,701,942	(1,745,452)	(400,000)	-	16,556,490
Early Head Start-Child Care Partnership	1,300,000	(512,500)	(500,000)	-	287,500
School Readiness	83,399,834	(164,741)	(2,000,000)	-	81,235,093
Children's Trust Fund	11,206,751	(207,612)	-	112,067	11,111,206
Care4Kids TANF/CCDF	120,930,084	-	-	2,900,000	123,830,084
TOTAL - General Fund			(3,012,067)	3,012,067	

Funding is available for transfer from this account due to the following:

- **Personal Services** - A delay in hiring and less than anticipated retirement related accumulated leave payouts. The transfer amount represents 1.4% of the available appropriation.
- **Child Care Services**- Lower than anticipated utilization of the program. Available funding reflects the annual reconciliation of prior year slots (FY 16 payments are adjusted for FY 15 utilization).
- **Early Head Start-Child Care Partnership** - Slower than anticipated enrollment in the first year of the program across the three providers.
- **School Readiness** - Lower than anticipated utilization of seats in priority districts. This represents 2.5% of the available appropriation.

Funding is needed in this account due to the following:

- **Children's Trust Fund** - Point of Service (POS) contract obligations require the additional \$112,067 or 1% of the available appropriation.
- **Care4Kids TANF/CCDF** - An increase in SEIU rates, per the collective bargaining agreement and a change in the timing of eligibility redeterminations. The SEIU rates increased by approximately 4.5% and the change from conducting redeterminations every 12 months instead of every eight months resulted in increasing caseload by approximately 4% above originally budgeted levels.

Holdbacks and Lapses

The transfers from the Personal Services and Child Care Services accounts will not affect the agency's ability to achieve the holdbacks in these accounts of \$180,035 and \$187,019, respectively.

Rescissions/Reductions to appropriations

PA 15-1 of the December Special Session (DSS) reduced appropriations for the Personal Services account by \$100,000, Children's Trust Fund by \$172,067, and Child Care Services by \$187,019. PA 16-1 reduced appropriations for Personal Services by \$148,000, Children's Trust Fund by \$35,545, Child Care Services by \$1,558,433, Early Head Start- Child Care Partnership by \$512,500 and for the School Readiness account by \$305,753.

FAC 2016-12 University of Connecticut Health Center

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Operating Expenses	124,347,180	(1,314,397)	(1,107,888)	-	121,924,895
Workers' Compensation Claims	7,016,044	(116,115)	-	1,107,888	8,007,817
TOTAL - General Fund			(1,107,888)	1,107,888	

Funding is available for transfer from this account due to the following:

- **Operating Expenses** - The release of holdbacks totaling \$1,107,888 will provide funds for the transfer.

Funding is needed in this account due to the following:

- **Workers' Compensation Claims** - FY 16 is the first that the legislature appropriated funds directly to the constituent units of higher education to pay for the costs of workers' compensation claims. The total cost of claims is anticipated to be \$8.0 million while the UConn Health Center was only appropriated \$6.9 million for such claims.

Holdbacks and Lapses

The holdback of \$564,397 in Operating Expenses is released.

Reductions to Appropriations

PA 16-1, the March Deficit Mitigation bill, reduced the Operating Expenses account by \$750,000 which the agency will only be able to meet \$106,509 as \$543,491 is needed for this transfer.

FAC 2016-13 Department of Correction

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Board of Pardons and Paroles	7,123,925	(742,164)	(818,380)	-	5,563,381
Workers' Compensation Claims	25,704,971	(681,620)	-	818,380	25,841,731
TOTAL - General Fund			(818,380)	818,380	

Funding is available for transfer from this account due to the following:

- **Board of Pardons and Paroles** - A delay in filling vacancies as well as implementation of expansions contained in the budget results in available funding of approximately \$825,000

Funding is needed in this account due to the following:

- **Workers' Compensation Claims** - Actual claim experience has exceeded budgeted levels for six of the nine periods in FY 16. Year to date expenditures have fallen 2.8% over the previous fiscal year, but the FY 16 budget included a reduction in appropriations to this account of approximately 4.1%.

Holdback and Lapses

The transfer from Board of Pardons and Paroles will not affect the agency's ability to meet the holdback of \$38,885 in this account.

Reductions to Appropriations

PA 15-1 DSS, the Deficit Mitigation bill, reduced appropriations in the Board of Pardons and Parole account by \$71,239 and the Workers' Compensation Claims account by \$257,049.

Deficiency Issues

OFA's April Budget Projections contained a deficiency of \$838,997 in the Workers' Compensation Claims account. This transfer is expected to reduce the deficiency.

Carry Forwards

Neither account involved in this transfer has carry forward appropriations.

FAC 2016-14 Department of Children and Families

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	291,047,234	(8,444,103)	(2,701,656)	-	279,901,475
Board and Care for Children - Short-term and Residential	107,830,694	(1,864,945)	(1,150,624)	-	104,815,125
Workers' Compensation Claims	10,540,045	(174,437)	-	2,701,656	13,067,264
Board and Care for Children - Foster	125,158,543	(296,270)	-	1,150,624	126,012,897
TOTAL - General Fund			(3,852,280)	3,852,280	

Funding is available for transfer from these accounts due to the following:

- **Personal Services** - Overtime expenses are down 16% from FY 15 resulting in \$2.7 million available for transfer. This amount represents 1% of the account's available appropriation.
- **Board and Care for Children - Short-term and Residential** - The average monthly census has decreased by approximately 70 placements from the beginning of the fiscal year. This has resulted in funding of \$1.2 million available for transfer.

Funding is needed in these accounts due to the following:

- **Workers' Compensation Claims** - Increased claims at the Connecticut Juvenile Training School have resulted in a deficit of \$2.7 million in this account.
- **Board and Care for Children - Foster** - An increase of 207 placements from last fiscal year has resulted in a deficit of \$1.2 million in this account.

Holdback and Lapses

The transfer into the Workers' Compensation account will allow the agency to meet its \$158,100 holdback. The transfer into the No Nexus Special Education account will allow the agency to meet its \$29,000 holdback. The transfer from the Personal Services (PS) account will not affect its ability to meet a \$6.7 million holdback.

Rescissions/Reductions to Appropriations

PA 15-1 DSS reduced the appropriation for the PS account by \$1,250,000 and the No Nexus Special Education account by \$99,667. PA16-1 further reduced the appropriations of these account by \$500,000 for the PS account and \$3,597 for the No Nexus Special Education account. In addition, it reduced the Workers' Compensation Claims account appropriation by \$16,337, the Board and Care for Children - Foster account by \$296,270 and the Board and Care for Children - Short-term and Residential account by \$1,864,945.

Appendix A: Projected Account Balances for Agencies on this Agenda (After Proposed FAC Transfer(s))

Division of Criminal Justice				
	Original Appropriation ²	Available Funding ³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	48,985,592	47,347,666	47,347,666	-
Other Expenses	2,561,355	2,560,207	2,560,207	-
Witness Protection	180,000	228,120	228,120	-
Training And Education	56,499	37,674	36,824	850
Expert Witnesses	330,000	247,605	244,190	3,415
Medicaid Fraud Control	1,323,438	1,117,823	1,117,274	549
Criminal Justice Commission	481	406	155	251
Cold Case Unit	277,119	181,651	180,506	1,145
Shooting Taskforce	1,115,406	1,072,930	1,050,993	21,937
TOTAL - General Fund	54,829,890	52,794,082	52,765,935	28,147

Military Department				
	Original Appropriation ²	Available Funding ³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	3,146,928	2,970,778	2,970,778	-
Other Expenses	2,595,180	2,462,286	2,462,286	-
Honor Guards	350,000	408,952	408,952	-
Veteran's Service Bonuses	72,000	76,280	76,280	-
TOTAL - General Fund	6,164,108	5,918,296	5,918,296	-

Insurance Department				
	Original Appropriation ²	Available Funding ³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	15,037,381	14,757,381	14,437,381	320,000
Other Expenses	1,949,807	2,229,807	2,229,807	-
Equipment	95,000	95,000	95,000	-
Fringe Benefits	11,729,157	11,729,157	10,779,157	950,000
Indirect Overhead	248,930	248,930	248,930	-
TOTAL - Insurance Fund	29,060,275	29,060,275	27,790,275	1,270,000

Department of Developmental Services				
	Original Appropriation ²	Available Funding ³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	262,989,799	246,527,855	246,527,855	-
Other Expenses	20,619,455	19,529,838	19,529,838	-
Family Support Grants	3,738,222	3,700,840	3,700,840	-
Cooperative Placements Program	24,544,841	23,925,896	23,925,896	-
Clinical Services	3,440,085	3,398,553	3,398,553	-
Workers' Compensation Claims	14,994,475	14,744,531	14,744,475	56
Autism Services	2,802,272	2,774,250	2,774,250	-
Behavioral Services Program	29,731,164	30,980,171	30,980,171	-
Supplemental Payments for Medical Services	4,908,116	4,469,035	4,458,107	10,928
Rent Subsidy Program	5,130,212	5,078,910	5,078,910	-
Employment Opportunities and Day Services	227,626,162	225,349,901	225,349,901	-

² Includes appropriated accounts from all appropriated funds.

³ Includes all anticipated and enacted holdbacks (forced lapses), rescissions, and transfers.

Department of Developmental Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Community Residential Services	483,871,682	481,171,682	481,171,682	-
TOTAL - General Fund	1,084,396,485	1,061,651,462	1,061,640,478	10,984

Department of Mental Health and Addiction Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	205,578,670	189,485,445	189,485,445	-
Other Expenses	28,716,563	29,004,876	29,004,876	-
Housing Supports and Services	23,221,576	22,942,973	22,942,973	-
Managed Service System	62,596,523	61,576,455	61,576,455	-
Legal Services	995,819	983,886	983,886	-
Connecticut Mental Health Center	8,398,341	8,314,358	8,314,358	-
Professional Services	11,488,898	12,085,662	12,085,662	-
General Assistance Managed Care	41,991,862	41,328,551	41,328,551	-
Workers' Compensation Claims	11,792,289	11,479,205	11,479,205	-
Nursing Home Screening	591,645	584,618	584,618	-
Young Adult Services	80,206,667	77,971,048	77,971,048	-
TBI Community Services	10,400,667	9,529,671	9,529,671	-
Jail Diversion	4,595,351	4,521,371	4,521,371	-
Behavioral Health Medications	5,783,527	6,812,789	6,812,789	-
Prison Overcrowding	6,330,189	6,228,806	6,228,806	-
Medicaid Adult Rehabilitation Option	4,816,334	4,687,974	4,687,974	-
Discharge and Diversion Services	24,447,924	24,125,800	24,125,800	-
Home and Community Based Services	19,612,854	16,949,121	16,949,121	-
Persistent Violent Felony Offenders Act	675,235	666,805	666,805	-
Nursing Home Contract	485,000	457,298	457,298	-
Pre-Trial Account	689,750	680,891	680,891	-
Grants for Substance Abuse Services	22,667,934	22,180,312	22,180,312	-
Grants for Mental Health Services	72,280,480	71,433,809	71,433,809	-
Employment Opportunities	10,417,204	9,769,310	9,769,310	-
TOTAL - General Fund	658,781,302	633,801,034	633,801,034	-

Department of Transportation				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	177,942,169	167,575,826	167,575,826	-
Other Expenses	56,169,517	56,140,209	56,140,209	-
Equipment	1,629,076	869,996	869,996	-
Minor Capital Projects	449,639	449,639	427,487	22,152
Highway Planning And Research	3,246,823	1,446,823	1,446,823	-
Rail Operations	181,071,446	179,529,259	179,529,259	-
Bus Operations	150,802,948	157,602,948	157,602,948	-
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,500,000	-
ADA Para-transit Program	34,928,044	36,228,044	36,228,044	-
Non-ADA Dial-A-Ride Program	576,361	376,361	376,361	-
Pay-As-You-Go Transportation Projects	29,572,153	11,972,153	11,972,153	-
CAA Related Funds	3,272,322	3,272,322	3,272,322	-
Port Authority	119,506	119,506	119,506	-
TOTAL - Special Transportation Fund	641,280,004	617,083,086	617,060,934	22,152

Department of Social Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	134,527,508	127,852,348	127,852,348	-
Other Expenses	148,435,174	153,701,181	153,701,181	-
HUSKY Performance Monitoring	182,043	170,923	170,923	-
Genetic Tests in Paternity Actions	120,236	82,012	82,012	-
State-Funded Supplemental Nutrition Assistance Program	483,100	542,404	542,404	-
HUSKY B Program	6,550,000	6,484,500	6,484,500	-
Medicaid	2,468,415,500	2,394,570,159	2,394,570,159	-
Old Age Assistance	37,944,440	38,304,440	38,304,440	-
Aid To The Blind	750,550	743,045	743,045	-
Aid To The Disabled	61,115,585	61,115,585	61,115,585	-
Temporary Assistance to Families - TANF	99,425,380	85,231,127	85,231,127	-
Emergency Assistance	1	1	1	-
Food Stamp Training Expenses	11,250	10,600	10,600	-
Healthy Start	1,251,522	1,186,026	1,186,026	-
DMHAS-Disproportionate Share	108,935,000	108,935,000	108,935,000	-
Connecticut Home Care Program	43,430,000	43,641,675	43,641,675	-
Human Resource Development-Hispanic Programs	886,630	839,539	839,539	-
Protective Services to the Elderly	476,599	471,834	471,834	-
Safety Net Services	2,462,943	2,430,555	2,430,555	-
Refunds Of Collections	110,625	101,840	101,840	-
Services for Persons With Disabilities	526,762	492,955	492,955	-
Nutrition Assistance	449,687	420,947	420,947	-
State Administered General Assistance	23,154,540	22,922,995	22,922,995	-
Connecticut Children's Medical Center	14,605,500	13,963,390	13,963,390	-
Community Services	1,100,730	1,040,333	1,040,333	-
Human Service Infrastructure Community Action Program	3,021,660	2,826,941	2,826,941	-
Teen Pregnancy Prevention	1,607,707	1,511,245	1,511,245	-
Family Programs - TANF	541,600	514,520	514,520	-
Domestic Violence Shelters	5,210,676	5,158,570	5,158,570	-
Human Resource Development-Hispanic Programs - Municipality	5,029	4,964	4,964	-
Teen Pregnancy Prevention - Municipality	120,598	118,779	118,779	-
Community Services - Municipality	78,526	74,309	74,309	-
TOTAL - General Fund	3,165,937,101	3,075,464,742	3,075,464,742	-

Department of Education				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	20,397,903	18,705,615	18,005,615	700,000
Other Expenses	3,926,142	3,894,151	3,894,151	-
Development of Mastery Exams Grades 4, 6, and 8	15,149,111	12,525,022	12,325,022	200,000
Primary Mental Health	427,209	401,577	401,577	-
Leadership, Education, Athletics in Partnership (LEAP)	681,329	647,263	647,263	-
Adult Education Action	240,687	227,009	227,009	-
Connecticut Pre-Engineering Program	246,094	221,486	221,486	-
Connecticut Writing Project	69,375	65,248	65,248	-
Resource Equity Assessments	157,560	149,043	149,043	-

Department of Education				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Neighborhood Youth Centers	1,129,425	1,070,262	1,070,262	-
Longitudinal Data Systems	1,190,700	1,128,895	1,128,895	-
School Accountability	1,500,000	1,355,508	1,355,508	-
Sheff Settlement	11,861,044	11,032,475	10,632,475	400,000
CommPACT Schools	350,000	329,175	329,175	-
Parent Trust Fund Program	468,750	421,069	421,069	-
Regional Vocational-Technical School System	167,029,468	163,008,650	163,008,650	-
Wrap Around Services	19,375	-	-	-
Commissioner's Network	12,800,000	11,364,065	10,964,065	400,000
New or Replicated Schools	339,000	319,446	319,446	-
Bridges to Success	242,479	189,643	189,643	-
K-3 Reading Assessment Pilot	2,869,949	2,717,655	2,717,655	-
Talent Development	9,302,199	8,154,537	7,854,537	300,000
Common Core	5,906,250	5,013,283	4,713,283	300,000
Alternative High School and Adult Reading Incentive Program	185,000	174,688	174,688	-
Special Master	1,483,909	1,357,685	1,357,685	-
School-Based Diversion Initiative	1,000,000	990,000	990,000	-
American School For The Deaf	9,992,840	9,889,412	9,889,412	-
Regional Education Services	1,093,150	1,005,062	1,005,062	-
Family Resource Centers	8,161,914	8,041,751	8,041,751	-
Youth Service Bureau Enhancement	715,300	544,207	544,207	-
Child Nutrition State Match	2,354,000	2,348,084	2,348,084	-
Health Foods Initiative	4,326,300	4,248,055	4,248,055	-
Vocational Agriculture	11,017,600	11,017,600	11,017,600	-
Transportation of School Children	23,329,451	22,329,451	22,329,451	-
Adult Education	21,035,200	21,035,200	21,035,200	-
Health and Welfare Services Pupils Private Schools	3,867,750	3,618,668	3,618,668	-
Education Equalization Grants	2,155,833,601	2,148,428,425	2,147,628,425	800,000
Bilingual Education	2,991,130	2,971,936	2,971,936	-
Priority School Districts	43,747,208	43,747,208	43,747,208	-
Young Parents Program	229,330	216,462	216,462	-
Interdistrict Cooperation	7,164,885	6,821,595	6,821,595	-
School Breakfast Program	2,379,962	2,378,796	2,378,796	-
Excess Cost - Student Based	139,805,731	139,978,294	139,978,294	-
Non-Public School Transportation	3,451,500	3,416,985	3,416,985	-
Youth Service Bureaus	2,839,805	2,832,798	2,832,798	-
Open Choice Program	38,296,250	36,769,970	36,269,970	500,000
Magnet Schools	328,419,980	322,419,980	322,419,980	-
After School Program	5,363,286	5,285,472	5,285,472	-
TOTAL - General Fund	3,075,389,131	3,044,808,861	3,041,208,861	3,600,000

Office of Early Childhood				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	8,785,880	7,954,778	7,954,778	-
Other Expenses	349,943	572,647	572,647	-
Children's Trust Fund	11,206,751	11,111,206	11,111,206	-
Early Childhood Program	10,840,145	10,550,900	10,550,900	-
Birth to Three	24,686,804	30,930,270	30,930,270	-

Office of Early Childhood

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Community Plans for Early Childhood	703,125	659,580	659,580	-
Improving Early Literacy	140,625	133,306	133,306	-
Child Care Services	18,701,942	16,556,490	16,556,490	-
Evenstart	445,312	438,940	438,940	-
Head Start Services	5,630,593	5,609,002	5,609,002	-
Care4Kids TANF/CCDF	120,930,084	123,830,084	123,830,084	-
Child Care Quality Enhancements	3,107,472	3,025,162	3,025,162	-
Head Start - Early Childhood Link	693,875	648,824	648,824	-
Early Head Start-Child Care Partnership	1,300,000	287,500	287,500	-
School Readiness Quality Enhancement	4,111,135	3,847,911	3,847,911	-
School Readiness	83,399,834	81,235,093	81,235,093	-
TOTAL - General Fund	295,033,520	297,391,693	297,391,693	-

University of Connecticut Health Center

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Operating Expenses	124,347,180	121,924,895	121,924,895	-
AHEC	427,576	399,546	399,546	-
Workers' Compensation Claims	7,016,044	8,007,817	8,007,817	-
Bioscience	12,500,000	12,458,333	12,458,333	-
TOTAL - General Fund	144,290,800	142,790,591	142,790,591	-

Department of Correction

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	448,395,804	440,166,516	440,166,516	-
Other Expenses	77,736,830	75,153,487	75,153,487	-
Stress Management	-	65,000	65,000	-
Workers' Compensation Claims	25,704,971	25,841,731	25,841,731	-
Inmate Medical Services	91,742,350	86,746,265	86,746,265	-
Board of Pardons and Paroles	7,123,925	5,563,381	5,563,381	-
Program Evaluation	289,781	259,074	259,074	-
Aid to Paroled and Discharged Inmates	8,462	7,899	7,899	-
Legal Services To Prisoners	827,065	815,986	815,986	-
Volunteer Services	154,410	138,823	138,823	-
Community Support Services	41,440,777	40,097,555	40,097,555	-
TOTAL - General Fund	693,424,375	674,855,717	674,855,717	-

Department of Children and Families

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	291,047,234	279,901,475	278,904,575	996,900
Other Expenses	35,383,854	34,186,759	34,186,759	-
Workers' Compensation Claims	10,540,045	13,067,264	13,067,264	-
Family Support Services	974,752	916,268	916,268	-
Homeless Youth	2,515,707	2,364,765	2,278,767	85,998
Differential Response System	8,286,191	8,093,631	8,093,631	-
Regional Behavioral Health Consultation	1,696,875	1,615,294	1,615,294	-
Health Assessment and Consultation	1,015,002	984,552	984,552	-
Grants for Psychiatric Clinics for Children	15,865,893	15,389,917	15,389,917	-
Day Treatment Centers for Children	6,995,792	6,855,876	6,855,876	-

Department of Children and Families

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Juvenile Justice Outreach Services	12,464,608	11,534,434	11,080,121	454,313
Child Abuse and Neglect Intervention	9,426,096	8,958,215	8,958,215	-
Community Based Prevention Programs	7,996,992	7,595,501	7,595,501	-
Family Violence Outreach and Counseling	2,113,938	2,071,659	2,071,659	-
Supportive Housing	16,955,158	16,446,504	16,446,504	-
No Nexus Special Education	1,933,340	1,804,076	1,804,076	-
Family Preservation Services	6,052,611	5,813,936	5,813,936	-
Substance Abuse Treatment	10,092,881	9,959,733	9,959,733	-
Child Welfare Support Services	2,501,872	2,406,841	2,406,841	-
Board and Care for Children - Adoption	94,611,756	94,391,126	94,391,126	-
Board and Care for Children - Foster	125,158,543	126,012,897	126,012,897	-
Board and Care for Children - Short-term and Residential	107,830,694	104,815,125	104,227,727	587,398
Individualized Family Supports	9,413,324	8,848,525	8,187,317	661,208
Community Kidcare	40,126,470	38,818,277	38,186,195	632,082
Covenant to Care	159,814	147,030	147,030	-
Neighborhood Center	250,414	232,885	232,885	-
Total - General Fund	821,409,856	803,232,565	799,814,666	3,417,899