

FINANCE ADVISORY COMMITTEE

AGENDA

June 5, 2014

Room 1E, Legislative Office Building – 1:00 P.M.

1. Minutes of the May 1, 2014 meeting.
2. New transactions as follows:

2014-20	Office of the State Comptroller	\$30,000.00
2014-21	Division of Criminal Justice	\$482,000.00
2014-22	Department of Insurance	\$370,000.00
2014-23	Office of the Healthcare Advocate	\$25,000.00
2014-24	Energy & Environmental Protection	\$220,000.00
2014-25	Office of Chief Medical Examiner	\$372,239.00
2014-26	Department of Social Services	\$500,000.00
2014-27	Office of Higher Education	Increase authorized position count
2014-28	Department of Correction	\$3,200,000.00
2014-29	Department of Children & Families	\$1,640,738.00
2014-30	Public Defender Services Commission	\$355,000.00

MINUTES OF THE MEETING
OF THE FINANCE ADVISORY COMMITTEE

Held in Room 1E at the Legislative Office Building, on May 1, 2014.

PRESENT: Lieutenant Governor Nancy Wyman
Deputy Comptroller Martha Carlson
Senator Beth Bye
Representative Mae Flexer
Senator Robert Kane
Deputy Secretary Karen Buffkin
Office of Policy and Management, Finance Advisory Committee Clerk

Lieutenant Governor Wyman called the meeting to order at 4:04 p.m.

The minutes of the March 6, 2014 meeting were adopted.

The following new transactions were considered by the committee:

FAC 2014-12 – Commission on Children. Request to transfer \$7,000 from Personal Services to Other Expenses to cover the costs of various initiatives.

This item was approved.

FAC 2014-13 – Department of Banking. Request to transfer \$350,000 from Personal Services to the Fringe Benefit account to cover actual costs.

Senator Kane asked about the definition of “actual costs” for fringe benefits. Deputy Secretary Buffkin responded that the budget assumed a 70% rate but the actual rate is 77%. Senator Kane asked why the budget did not include the correct rate. Deputy Comptroller Carlson responded that the budget is developed before the final fringe rate is calculated.

This item was approved.

FAC 2014-14 – Office of Consumer Counsel. Request to transfer \$80,000 from Personal Services to the Other Expenses and Indirect Overhead accounts to facilitate IT related upgrades and to cover actual indirect recoveries.

This item was approved.

FAC 2014-15 – Department of Housing. Request to transfer \$46,000 from the Personal Services account to the Other Expenses account to cover program-related costs and various operational expenses.

This item was approved.

FAC 2014-16 – Department of Developmental Services. Request to transfer \$8,582,155 from the Personal Services account to various other accounts to meet year end requirements.

Senator Bye stated that she has had many questions from families regarding assistance for families in need and asked if the transfer of funds to Family Support Grants and Community Residential Services would help support family members’ needs. Deputy Secretary Buffkin responded affirmatively and

stated that Family Support Grants will allow for additional family members to receive temporary support.

This item was approved.

FAC 2014-17 – Department of Mental Health and Addiction Services. Request to transfer \$4,300,000 from the TBI Community Services, Home & Community Based and Behavioral Health Medication accounts to the Workers' Compensation Claims, Professional Services and Other Expenses accounts, to cover year end requirements.

Senator Kane asked whether the lapse associated with the TBI Community Services account, due to savings achieved in placing several clients on the ABI waiver, was related to ABI Waiver I or ABI Waiver II. Commissioner Rehmer responded that the savings were associated with the current waiver. Senator Kane asked whether people on the waiting list were being served, or whether others were being served, bypassing the waiting list. Commissioner Rehmer responded that people on the waiting list were being served.

This item was approved.

FAC 2014-18 – Department of Social Services. Request to transfer \$16,950,000 to meet year end expenditure requirements and an adjustment to the agency's authorized position count.

Senator Kane asked about enrollment growth in the State Administered General Assistance (SAGA) program. Mike Gilbert, DSS Chief Fiscal Officer, responded that the growth may be due to the Medicaid expansion under the Affordable Care Act. As low-income adults are enrolling in Medicaid, they are also being found eligible for cash assistance under the SAGA program.

This item was approved.

FAC 2014-19 – Department of Education. Request to transfer \$9,690,600 from several accounts to the Magnet Schools and Excess Cost – Student Based accounts to cover projected shortfalls.

Senator Kane asked about the availability of funds from the Open Choice account. Commissioner Pryor and SDE CFO Kathy Demsey explained that the available funds are a result of not being able to fill as many seats as were anticipated in the budget. They also confirmed that the Open Choice amount for FY 2015 was adjusted accordingly.

This item was approved.

The meeting was adjourned at 4:16 p.m.

Respectfully submitted,



Karen Buffkin
Clerk

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DOCUMENT NUMBER	
14-06	

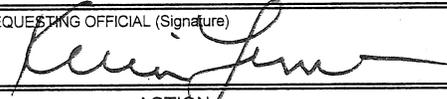
ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY					FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER	
EFFECTIVE DATE					2014-20	OSC	14-06	
AGENCY NO.		AGENCY NAME						
OSC/5000		Office of the State Comptroller						
F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER	
2014	12001	OSC15910	12011	2014	Alotted (\$30,000.00)	Alotment	Social Security- Transportation Fund	
2014	12001	OSC15910	12005	2014		\$30,000.00	Unemployment- Transportation Fund	

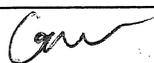
REASON FOR ADJUSTMENT

Excess funds available in Employer's Social Security account due to the effects of hiring delays and fewer positions than was budgeted in FY2014 budget.
Claims activity in Unemployment – Transportation Fund account running above what was included in FY2014 budget.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
4-87		State Comptroller	5/15/2014

ACTION

UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
	5/15/14		
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		
			

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 5/2008

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

PAGE	OF
DOCUMENT NUMBER	
2014-5	

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY		EFFECTIVE DATE	FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
			2014-21	DCJ30000	2014-5
AGENCY NO.	AGENCY NAME				
DCJ30000	Division of Criminal Justice				

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Allotted</u>	<u>Allotted</u>	
2014	11000	DCJ30000	10010	2014	\$482,000.00		Personal Services
2014	11000	DCJ30000	10020	2014		\$350,000.00	Other Expenses
2014	11000	DCJ30000	12097	2014		\$20,000.00	Training & Education
2014	11000	DCJ30000	12537	2014		\$45,000.00	Cold Case Unit
2014	11000	DCJ30000	12538	2014		\$67,000.00	Shooting Task Force

REASON FOR ADJUSTMENT

To transfer \$482,000 in allotted funds from personal services to cover expenses which are anticipated to exceed current appropriations in the Other Expenses account, and in the OCE accounts for Training & Education, Cold Case Unit and Shooting Task Force. The savings in personal services derive from delays in filling new positions which result in retaining 15-25 vacancies all fiscal year, 19 terminations through retirements and resignations, and a voluntary leave program. The reasons for these adjustments in the other accounts are outlined in the attached.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>Catherine DeWitt</i>	(Title) Director of Financial Services	DATE SIGNED 5/15/2014
ACTION			
UNALLOTTED / AVAILABLE BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE	
REVIEWED BY (Analyst) <i>J. M. Jaramilla</i>	DATE 5/21/14	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL

Division of Criminal Justice FAC Transfer Request 2014-5 (addendum to B-107)

Transfer \$482,000 from personal services to various accounts (10020, 12097, 12537, 12538)

Expected savings in personal services is a result of:

- retaining between 15-25 vacancies all year;
- delays in filling positions, especially prosecutor positions which require action by the Criminal Justice Commission when transfers do not occur; and delays in back-filling vacant prosecutor positions if transfers do occur;
- greater than anticipated retirements (14) and resignations (5); and
- a voluntary leave program which is expected to generate approximately \$330,000 in annual savings.

The accounts expected to exceed appropriation levels and reasons for same are:

10020 (Other Expenses)

Expenses which are exceeding last year's levels are generally for expenses that could not be anticipated - such as translation and transcription services (related to court transcripts and testimonies); repair to motor vehicles; costs of software maintenance and support for new Microsoft environment (formerly covered by grants); increased postage costs; new lease cost for Litchfield State's Attorney's Office; greater than anticipated snow removal costs; replacement of torn and dangerous 30-year old carpet in Hartford State's Attorney's Office; records storage and disposal costs; software for litigation support on Skakel and other cases requiring organization of scanned documents; installation of new DSL line to permit the State's Attorney's office inspector staff to communicate with the Hartford Police Department's new information system; cost of new internet service at the Chief State's Attorney's Office to permit off-site monitoring of the building's HVAC system and allow outside trainers to access the internet without using the Division's secure system. Inflation affecting such items as fuel, travel costs associated with extraditions, investigations, out-of-state witnesses, and the need for temporary help services to support critical clerical functions in field offices also contribute to rising costs.

12097 (Training & Education)

The FY14 appropriation in this account was more than \$21,000 less than actual expenditures for FY13. Activity in this account has increased over past years as efforts to offer a greater variety of training opportunities have intensified to meet the statutory and bargaining unit contractual training obligations, and to address audit recommendations. A concerted effort has been made this fiscal year to reduce training costs by developing co-sponsorships of events with other state agencies and non-profit organizations, by using state facilities as training sites and state employees as trainers, by using grant funds whenever appropriate, and to limit attendance at out-of-state training events. Nevertheless, the budget of \$51,000 for a workforce of approximately 500 (including professional, paraprofessional and law enforcement employees) has been inadequate to meet the critical training needs required by statute and labor agreements.

12537 (Cold Case Unit)

Expenses in this account which are expected to exceed appropriation levels include fuel for the vehicles assigned to this unit; replacement of a vehicle for an inspector in the unit; investigative costs for cold cases such as travel for interviews with witnesses; and electronic equipment for enhanced case analysis. It should be noted that this was the first full fiscal year of activity in this

account. Newly established in FY13, the unit didn't become fully active until the second quarter of FY13.

12538 (Shooting Task Force)

This was also the first full fiscal year of activity in this account, newly created in FY13. Fuel and motor vehicle repairs and maintenance for the fleet used by the inspectors in the unit, transcripts associated with a grand jury, replacement of vehicles for two inspectors in the unit, and day-to-day supplies and equipment are expected to exceed available funds. This unit operates with resources from other state agencies and municipal police departments in a collaborative effort to identify and prosecute criminal cases involving shootings.

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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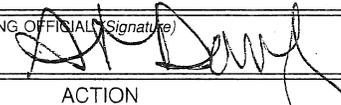
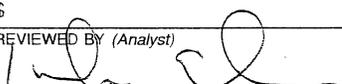
COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
DOI 37500		2014-22	14-1
AGENCY NO.	AGENCY NAME		
DOI 37500	Connecticut Insurance Department		

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
				<u>Unencumbered Balance</u>	<u>Fourth Quarter Allotment</u>	
14	12004	DOI37500	10010	\$370,000.00		Personal Services
14	12004	DOI37500	12244		\$370,000.00	Fringe Benefits

REASON FOR ADJUSTMENT

See Attached Document

Bond Commission Date: _____

STATUTORY AUTHORITY (For adjustments in appropriations) 4-87 C.G.S.	REQUESTING OFFICIAL (Signature) 	(Title) Deputy Commissioner	DATE SIGNED 5/12/14
UNALLOTTED/UNENCUMBERED BALANCE \$	ACTION		DATE
REVIEWED BY (Analyst) 	DATE 5/19/14	APPROVED (Secretary, Office of Policy and Management)	DATE
RECOMMENDED (Undersecretary, Bud., & Fin. Mgmt.)	DATE	APPROVED (Governor)	DATE

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
**USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

CID FAC
2014
FRINGE BENEFITS

The Fringe Benefits percentage of Personal Services has increased significantly during FY 14. CID budgeted an approximate rate of 70% of personal services but the actual rate has been closer to 78% of personal services (primarily due to the increase in the SER's account). The CID is projecting to have a deficit in this account due to this significant percentage increase. Resources are available in the Personal Services Account due to turnover and lower vacation and sick time accumulated leave payouts for retirees.

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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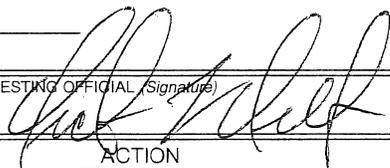
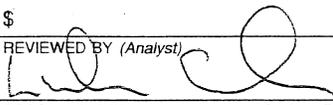
COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2014-23	14-2
AGENCY NO.	AGENCY NAME		
MCO 39400	Office of the Healthcare Advocate		

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
				<u>Unencumbered Balance</u>	<u>Fourth Quarter Allotment</u>	
14	12004	MCO39400	10010	\$25,000.00		Personal Services
14	12004	MCO39400	12244		\$25,000.00	Fringe Benefits

REASON FOR ADJUSTMENT

See Attached Paperwork

Bond Commission Date: _____

STATUTORY AUTHORITY (For adjustments in appropriations) 4-87 C.G.S.	REQUESTING OFFICIAL (Signature) 	(Title) Healthcare Advocate	DATE SIGNED 5/13/14
UNALLOTTED/UNENCUMBERED BALANCE \$	ACTION	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) 	DATE 5/12/14	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud., & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
**USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

**OHA FAC
2014
FRINGE BENEFITS**

The Fringe Benefits percentage of Personal Services has increased significantly during FY 14. OHA budgeted an approximate rate of 70% of personal services but the actual rate has been closer to 80% of personal services (primarily due to the increase in the SER's account). The OHA is projecting to have a deficit in this account due to this significant percentage increase. Resources are available in the Personal Services Account due to turnover and lower vacation and sick time accumulated leave payouts for retirees.

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER
DEEP1157

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2014-24		4117

AGENCY NO.	AGENCY NAME
DEP43000	DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
2014	12006	DEP43000	10010		Unencumbered Balance \$220,000.00		PUC Assessment Personal Services
2014	12006	DEP43000	12244			Allotment \$220,000.00	PUC Assessment Fringe Benefit

REASON FOR ADJUSTMENT

FAC Adjustment - The Department of Energy and Environmental Protection (DEEP) is requesting FAC action to transfer \$220,000 from Personal Services to Fringe Benefits. Funds are available in Personal Services due to unfilled vacancies; and currently, there is a projected PS lapse of approximately \$700,000. The Agency does not have sufficient Fringe Benefit funds due to the FB rate increase in FY14. The budget for the Fringe Benefit line item was developed in FY12, and it has proven insufficient to cover the actual FB charges during the current fiscal year.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) CGS Sec. 4-87	REQUESTING OFFICIAL (Signature) 	(Title) CFAS 1	DATE SIGNED 5/14/2014
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UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) 	DATE 5/15/14	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER
OPM0553

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2014-25		14-18B

AGENCY NO.	AGENCY NAME
CME49500	Office of Policy and Management for the Office of the Chief Medical Examiner

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>ALLOTMENT</u>	<u>ALLOTMENT</u>	
2014	11000	CME49500	10010	2014	-\$367,634.00		Personal Services
2014	11000	CME49500	10050	2014	-\$4,605.00		Equipment
2014	11000	CME49500	10020	2014		\$372,239.00	Other Expenses

REASON FOR ADJUSTMENT

After a deficiency appropriation of \$100,000 pursuant to PA 14-47, a shortfall of \$372,239 is anticipated in Other Expenses due to higher than anticipated toxicology costs due to a backlog of cases. Funding of \$367,634 is available for transfer from Personal Services due to a delay in refilling vacancies, and \$4,605 is available for transfer from Equipment due to lower than anticipated costs.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
CGS 4-87		Exec. Budget Officer	27 MAY 14

ACTION

UNALLOTTED / AVAILABLE BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst)	APPROVED (Governor)	DATE
		5-27-2014
RECOMMENDED (Undersecretary, Bug. & Fin. Mgmt.)		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

DSS14096

COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
		2014-26	DSS	2014-096

AGENCY NO.	AGENCY NAME
DSS60000	DEPARTMENT OF SOCIAL SERVICES

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE *	ACCOUNT TITLE/PROJECT NUMBER
2014	11000	DSS60000	16020	2014	<u>ALLOTTED</u> \$500,000.00	<u>ALLOTMENT</u>	Medicaid
2014	11000	DSS60000	16061	2014		\$500,000.00	Old Age Assistance
					\$500,000.00	\$500,000.00	

REASON FOR ADJUSTMENT

Funds are being transferred to meet anticipated needs in Old Age Assistance.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
CGS-4-87(a)	<i>[Signature]</i>	Asst. Director of Financial Services	5/23/2014

ACTION

UNALLOTTED / AVAILABLE BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst)	APPROVED (Governor)	DATE
<i>[Signature]</i>		5/27/14
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

SFY 2014 June FAC

16061 Old Age Assistance	\$500,000
16020 Medicaid	(\$500,000)

Funds are being transferred to the Old Age Assistance account to meet year-end needs. The department is currently projecting that up to \$500,000 may be needed in this account to meet anticipated expenses through the end of this year. While actual caseloads remained relatively steady from July 2013 to March 2014, the program experienced both higher cost-per-case and caseload growth in April, with total expenditures for the month the highest of the year.

Funds are available in the Medicaid account to cover the shortfall.

FAC 2014-27
Office of Higher Education

The Office of Higher Education requests a revision to its authorized position count to more accurately reflect the number of staff supported through General Fund appropriations.

When the agency was created, a number of federally funded programs were divided between the Office of Financial and Academic Affairs for Higher Education (OFAAHE—the predecessor name of this agency) and the Board of Regents for Higher Education. The remaining mix of 33 staff in OHE—both federally funded as well as those funded from the General Fund—were not accurately reflected in the agency's authorized position level. Thus, this request seeks to revise the agency's authorized General Fund position count to more accurately reflect the number of staff who are supported through appropriated resources.

Current FY 2014 Authorized Position Count	21
Requested Change	<u>6</u>
Revised FY 2014 Authorized Position Count	27

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY	PAGE 1 OF 1
DOC. TYPE	DOCUMENT NUMBER
	DOC0141

COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2014-28	14-29
AGENCY NO.	AGENCY NAME		
DOC88000	DEPT OF CORRECTION		

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>Allotment</u>	<u>Allotment</u>	
2014	11000	DOC88000	12235	\$1,250,000.00		WORKERS COMPENSATION
2014	11000	DOC88000	12242	\$1,200,000.00		INMATE MEDICAL SERVICES
2014	11000	DOC88000	12302	\$750,000.00		BOARD OF PARDONS AND PAROLES
2014	11000	DOC88000	10010		\$2,700,000.00	PERSONAL SERVICES
2014	11000	DOC88000	10020		\$500,000.00	OTHER EXPENSES

REASON FOR ADJUSTMENT

BUDGET REFERENCE 2014

To transfer allotted funds in accordance with CGS 4-87 to meet the operational needs of the Agency. (See attached memorandum)

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
CGS 4-87	<i>M. C. Savell</i>	Director of Fiscal Services	5/20/2014

ACTION

UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
\$		
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)
<i>Chris J. [Signature]</i>	5/21/14	
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE	DATE

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND

** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM



STATE OF CONNECTICUT
DEPARTMENT OF CORRECTION
24 WOLCOTT HILL ROAD
WETHERSFIELD, CONNECTICUT 06109

May 20, 2014

Subject: Finance Advisory Committee Request

The Department of Correction is requesting the following FAC action to transfers funds from the "Workers Compensation Claims" and "Inmate Medical Services" accounts to cover the operational needs of the Agency for the remainder of the fiscal year.

The Department requests a transfer of \$2.7 million into the "Personal Services" account. The deficiency is primarily driven by the costs to cover direct custody supervisory posts on overtime. The agency is experiencing an escalation of hazardous duty retirements and consequential depletion in officer positions available to fill mandated posts to maintain safety and security.

The Department requests a transfer of \$500,000 into the "Other Expenses" account to meet essential procurement of commodities and services. The deficit is primarily driven by rising food costs and increasing building maintenance related expenses to address physical plant and equipment repairs to numerous correctional facilities.

The funds are available from anticipated surpluses in the "Workers Compensation Claims", "Inmate Medical Services" and the "Board of Pardons & Paroles" other current expense accounts.

Attachment: Appropriation Adjustment Request (B-107) #DOC010141

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2014-29	DCF	2014-3
AGENCY NO.	AGENCY NAME					
DCF91000	Department Of Children And Families					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Unencumbered Balance</u>	<u>Allotment</u>	
2014	11000	DCF91000	10010	2014	\$1,640,738.00		Personal Services
2014	11000	DCF91000	10020	2014		\$1,640,738.00	Other Expenses

REASON FOR ADJUSTMENT

The Department of Children and Families requests approval to transfer funds anticipated to lapse in the Department's Personal Services account. The lapse in Personal Services is due to a delay in filling positions. The Department is requesting these lapsing funds be transferred to Other Expenses, in the amount of \$1,076,618, for unanticipated costs for private security guards, due to a labor agreement, \$423,382 for costs related to the consolidation of the Norwalk and Stamford offices and \$140,738 to replace two way radios at the Connecticut Juvenile Training School.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
4-87 (a) CGS	<i>Cindy S. Crawford</i>	Chief Fiscal Officer	6/4/14
UNALLOTTED / AVAILABLE BALANCE	APPROVED (Secretary, Office of Policy and Management)		DATE
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
<i>[Signature]</i>	6/4/14		
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
 ** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

- ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Advisory Committee action

COMPT. USE ONLY	PAGE	OF
DOC. TYPE	DOCUMENT NUMBER	
	036613	

COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2014-30	14-1

AGENCY NO.	AGENCY NAME
PDS98500	PUBLIC DEFENDER SERVICES COMMISSION

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				ALLOTTED BALANCE	ALLOTTED BALANCE	
2014	11000	PDS98500	10010	(350,000)		PERSONAL SERVICES
2014	11000	PDS98500	12418	(5,000)		CONTRACTED ATTORNEYS RELATED EXPENSES
2014	11000	PDS98500	10020		55,000	OTHER EXPENSES
2014	11000	PDS98500	12090		140,000	EXPERT WITNESSES
2014	11000	PDS98500	12417		160,000	ASSIGNED COUNSEL - CHILD PROTECTION

REASON FOR ADJUSTMENT

Funding is necessary in the Expert Witnesses account due to costs associated with a high profile capital case that recently completed trial . Funding is necessary in the Assigned Counsel - Child Protection account due to the carrying over of \$698,000 of child protection attorney bills, in addition to various budget cuts in the FY 14 Midterm Adjustments. Funding is necessary in the Other Expenses account in order to pay for various infrastructure costs, including re-carpeting costs in our Bridgeport GA office.

Funding is available in the Contracted Attorneys Related Expenses account due to lower than anticipated miscellaneous expenses in child protection cases. Funding is available in the Personal Services account due to delayed hirings and freezing of vacant positions.

Bond Commission Date: _____

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>Seth W. Foley</i>	(Title) Chief Public Defender	DATE SIGNED 5/19/14
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UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) <i>[Signature]</i>	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bfd. & Fin. Mgmt) <i>[Signature]</i>		

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