

FINANCE ADVISORY COMMITTEE

AGENDA

January 3, 2013

Room 1E, Legislative Office Building - 1:00 P.M.

1. Minutes of the August 2, 2012 meeting.
2. New transactions as follows:

2013-3	Asian Pacific American Affairs Commission	\$ 1,500.00
2013-4	Department of Transportation	\$ 413,214.00
2013-5	Department of Social Services	\$ 38,525,000.00

PAGE OF
DOCUMENT NUMBER
APC00001

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

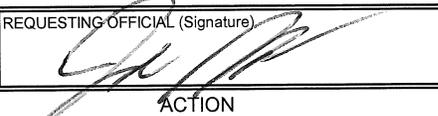
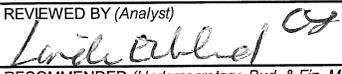
COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2013-3	APCM1	2013-2
AGENCY NO.	AGENCY NAME					
APC11950	Asian Pacific American Affairs Commission					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Unencumbered</u>	<u>Allotment</u>	
2013	11000	APC11950	10050		\$1,500.00		Equipment
2013	11000	APC11950	10020			\$1,500.00	Other Expenses

REASON FOR ADJUSTMENT

To transfer funding from Equipment to Other Expenses to cover prior year expenses incurred to complete Commission initiative per attached request.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) CGS 4-87	REQUESTING OFFICIAL (Signature) 	(Title) Financial Administrator	DATE SIGNED 8/8/12
ACTION			
UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) 	DATE 8/20/12	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
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STATE OF CONNECTICUT

ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION



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August 2, 2012

Dear Members of the Finance Advisory Committee,

On behalf of the Asian Pacific American Affairs Commission, I respectfully request approval to transfer funds in the amount of \$1,500 from Equipment to Other Expenses.

Chair

William A. Howe

Vice-Chair

M. Angela Rola

Secretary

Jack K. Hasegawa

Treasurer

Sarah A. Aziz

Commissioners

M. Saud Anwar

Arlene Avery

Sheila Charnoy

Richard Cho

Bela Chowdhury

Theodore Feng

Ted Hsu

Laurie Julian

Thomas Nguyen-Phuoc

Arvind Shaw

Kanwaljit Singh

Henry C. Lee

(Honorary)

Executive Director

Mui Mui Hin-McCormick

Legislative Analyst

Jonathan Weeks

1. Explain in detail why there is a shortfall in a particular account.

In May of fiscal year 2012, Asian Pacific American Affairs Commission (APAAC) was approved for an FAC request to transfer approximately \$14K from Personal Services (PS) to Other Expenses (OE). This request freed available dollars to pay for initiatives requested by the Commissioners. With so little time before the end of the fiscal year, it was difficult to accomplish all of the goals and utilize the funds.

The Commission held an event, "Vincent Chin 30: Standing Up Then and Now" on June 28, 2012, in which a speaker was hired as part of the program. The cost of the speaker exceeded \$2,000. The encumbrance was incurred in FY '12 however payment will be processed in FY '13. This obligation exceeds the Commission's 1st quarter allotment, not to mention the other regular business operating expenses incurred in the 1st quarter, such as telephone and copier charges; therefore, we are requesting the transfer of the \$1,500 of Equipment funds to OE to help satisfy this payable. We are aware of the budget limitations for FY 2013 and have begun the process of developing a list of potential outside sources for additional funding to meet the Commission's objectives.

2. Explain in detail why funds are available in any accounts.

We do not need to purchase any equipment items this fiscal year.

3. Discuss the timing of the need to go to FAC (Why this month? Can it wait?).

The Commission is requesting use of the funds as soon as possible, in order to meet it's current liabilities outlined in #1 above.

Thank you for your consideration in this matter,

Sincerely,

Mui Mui Hin-McCormick
Executive Director
Asian Pacific American Affairs Commission

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Web Site - <http://ctapaac.com/>

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

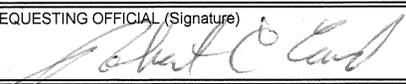
DOCUMENT NUMBER
DOT5462

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2013-4	DOT	10760
AGENCY NO.	AGENCY NAME					
DOT57000	DEPARTMENT OF TRANSPORTATION-BUREAU OF AVIATION					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE *	ACCOUNT TITLE/PROJECT NUMBER
					<u>UNALLOTTED</u>	<u>ALLOTMENT</u>	
2013	12001	DOT57000	10010	2013	\$413,214.00		Personal Services
2013	12001	DOT57000	10020	2013		\$413,214.00	Other Expenses

REASON FOR ADJUSTMENT

See attached for justification.

STATUTORY AUTHORITY (for adjustments in appropriations) Sec. 4-87	REQUESTING OFFICIAL (Signature) 	(Title) Bureau Chief	DATE SIGNED 7/12/2012
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ACTION

UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

**Finance Advisory Committee
Meeting January 3, 2013**

The Department of Transportation (Department) requests the transfer of \$413,214 to its Other Expenses Appropriation from the Department's Personal Services Appropriation (PS), to replenish Other Expenses for the transfer of funds to the Connecticut Airport Authority (CAA) made in accordance with the "Second Memorandum of Understanding Concerning the Operation, Governance, Management and Development of State Owned Airports," and Public Act 11-84, Section 12(b), (which was codified in Connecticut General Statutes 15-120aa through 15-120pp).

Funding for positions that serve the General Aviation Airports is provided in the Department's Special Transportation Fund Personal Services Appropriation. Funding of \$413,214 is available in the FY 2013 Personal Services appropriation due to the implementation of a decreased allocation of costs to the Personal Services appropriation and corresponding increased allocation of payroll costs to the Bradley Enterprise fund for certain positions in the Bureau of Aviation that provide support services to both Bradley and the General Aviation Airports. This reallocation of costs was approved by CAA Resolution 2012-07.

Section 12 (b) of Public Act 11-84 provides that the Commissioner of Transportation shall make available any funds appropriated for use in connection with the general aviation airports to the Connecticut Airport Authority for use by the authority in support of its functions with respect to the general aviation airports. Subsequently, the "Second Memorandum of Understanding Concerning the Operation, Governance, Management and Development of State Owned Airports," which was signed by the Department, the Office of the State Treasurer and the CAA, provides for the transfer to the CAA of \$413,214 from the Department. This transfer of funds was initiated on July 11, 2012 from the Department's Other Expenses funds.

<u>FUND - SID</u> <u>Appropriation Title</u>	<u>Transfer Amount</u>
12001 - 10020 Other Expenses	\$ 413,214
Total Transfers In	\$ 413,214
 12001 – 10010 Personal Services	 \$(413,214)
Total Transfers Out	\$(413,214)

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

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DOCUMENT NUMBER
DSS13083

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2013-5	DSS	2013-083
AGENCY NO.	AGENCY NAME					
DSS60000	DEPARTMENT OF SOCIAL SERVICES					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE *	ACCOUNT TITLE/PROJECT NUMBER
					<u>UNALLOTTED</u>	<u>ALLOTMENT</u>	
2013	11000	DSS60000	16224	2013	\$38,525,000.00		Medicaid -Nursing Home Facilities
2013	11000	DSS60000	12494	2013		\$3,625,000.00	Charter Oak Health Plan
2013	11000	DSS60000	16226	2013		\$25,000,000.00	Medicaid - Admin & Adjustments
						<u>UNALLOTTED</u>	
2013	11000	DSS60000	16226	2013		\$9,900,000.00	Medicaid - Admin & Adjustments
					<u>\$38,525,000.00</u>	<u>\$38,525,000.00</u>	

REASON FOR ADJUSTMENT
Funds are being transferred to meet anticipated needs in the Charter Oak Health Plan and in Medicaid - Admin & Adjustments

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) CGS-4-87(a)	REQUESTING OFFICIAL (Signature) <i>John G. Colly</i>	(Title) Commissioner	DATE SIGNED 12/21/2012
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UNALLOTTED / AVAILABLE BALANCE		ACTION	
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE 12/21/12	APPROVED (Secretary, Office of Policy and Management)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE	APPROVED (Governor)	DATE

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM

SFY 2013 January 3, 2013 FAC

12494 Charter Oak Health Plan	\$3,625,000
16226 Medicaid – Admin and Adjustments	\$34,900,000
16224 Medicaid – Nursing Home Facilities	(\$38,525,000)

Charter Oak Health Plan

Funds are being transferred to the Charter Oak Health Plan account to cover projected needs to the end of the year. The appropriation of \$3.35 million falls short of the current projected SFY 2013 expenditures of \$6.97 million. The \$537 average per member per month (pmpm) cost based on the first five months of this year is \$112 higher than the \$425 pmpm on which the appropriation was based. Annual updates to client premiums are required to reflect changes in the cost of services over time. New client premium rates have been calculated and will go into effect January 1st. These are calculated to offset expenses beginning January 2013.

Medicaid – Admin and Adjustments

Funds are being transferred to the Medicaid Administrative Services and Adjustments account to meet projected needs through the end of the year. The current projection of \$83.5 million exceeds the appropriation of \$48.6 million, including carryforward funds and as reduced by Bill 7001, by \$34.9 million. Funds are needed to meet contractual obligations for the department's administrative services organizations and other Medicaid program contracts.

Medicaid – Nursing Home Facilities

Funds in the amount of \$38.525 million are being transferred from the Medicaid – Nursing Home Facilities account to cover the projected shortfalls in the Charter Oak and Medicaid – Admin and Adjustments accounts. Please note that this amount represents only 3.2% of the \$1.195 billion Medicaid – Nursing Home Facilities appropriation. Funds are being transferred from this account to address cash flow needs in other accounts. Once a deficiency bill is passed, funding will be restored to this account as needed to meet program requirements.