

FINANCE ADVISORY COMMITTEE

REVISED AGENDA

MEETING RESCHEDULED

May 14, 2012

Room 1E, Legislative Office Building - 1:00 P.M.

1. Minutes of the March 1, 2012 meeting.

2. New transactions as follows:

2012-9	Asian Pacific American Affairs Commission	\$14,200.00
2012-10	Department of Construction Services	\$1,800,000.00
2012-11	Division of Criminal Justice	\$ 300,000.00
2012-12	Department of Banking	\$ 235,000.00
2012-13	Department of Developmental Services	\$1,789,282.00
2012-14	Department of Transportation	\$1,660,000.00
2012-15	Department of Social Services	\$43,325,000.00
2012-16	Department of Children & Families	\$ 800,000.00
2012-17	Public Defender Services Commission	\$1,450,000.00

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 5/2008

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

PAGE OF  
DOCUMENT NUMBER  
**APC00001**

ALLOTMENT adjustment  
 APPROPRIATION adjustment  
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2012-9	APCM1	2012-3
AGENCY NO.	AGENCY NAME					
APC11950	Asian Pacific American Affairs Commission					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Unencumbered</u>	<u>Allotment</u>	
2012	11000	APC11950	10010		\$14,200.00		Personal Services
2012	11000	APC11950	10020			\$14,200.00	Other Expenses

REASON FOR ADJUSTMENT

To transfer funding from Personal Services to Other Expenses to complete Commission initiative per attached request.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) <b>CGS 4-87</b>	REQUESTING OFFICIAL (Signature) 	(Title) <b>Financial Administrator</b>	DATE SIGNED <b>3/9/12</b>
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ACTION

UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) <i>Linde Federal C7</i>	DATE <b>3/27/12</b>	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM



# STATE OF CONNECTICUT

## ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION



18-20 Trinity Street  
Room 202  
Hartford, CT 06106  
Tel: 860-240-0080  
Fax: 860-240-0315  
Email: [apaac@cga.ct.gov](mailto:apaac@cga.ct.gov)  
Website:  
<http://ctapaac.com>

*Chair*

William A. Howe

*Vice-Chair*

M. Angela Rola

*Secretary*

Jack K. Hasegawa

*Treasurer*

Sarah A. Aziz

*Commissioners*

M. Saud Anwar

Arlene Avery

Amarjit S. Buttar

Richard S. Cho

Bela Chowdhury

Theodore Feng

Laurie Julian

Henry C. Lee

(Honorary)

Arvind Shaw

Kanwaljit Singh

*Executive Director*

Mui Mui Hin-McCormick

March 9, 2012

Mr. John Harnick  
Financial Administrator  
Office of Legislative Management  
300 Capitol Ave, Room 5100  
Hartford, CT 06106

Dear Mr. Harnick:

On behalf of the Commission I respectfully request approval to transfer funds in the amount of \$14,200 from Personal Services to Other Expenses. We have a surplus in Salaries and Wages due to vacant positions since the Executive Director position was filled on February 14, 2012 and the Legislative Analyst position has been vacant since September 2011. The Commission will utilize the funding to support the outreach conducted by the Commission which would include printing costs for brochures and informational material in addition to translation of documents and materials to distribute to the community. If you need additional information or would like to discuss further, please contact me at 860-240-0329. I thank you in advance for your consideration in this matter.

Sincerely,

Mui Mui Hin-McCormick  
Executive Director  
Asian Pacific American Affairs Commission

CC:  
Susan Skehan  
APAAC Executive Committee

18-20 Trinity Street, Hartford, CT 06106  
Tel. (860) 240-0080, Fax (860) 240-0315  
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Web Site - <http://ctapaac.com/>

PAGE OF  
DOCUMENT NUMBER  
**DCS 0002**

ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2012-10		FY12-57

AGENCY NO.	AGENCY NAME
DPW 27000	Department of Construction Services

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE *	ACCOUNT TITLE/PROJECT NUMBER
					<u>Allotment</u>	<u>Allotment</u>	
2012	11000	DPW27000	10020	2012	\$1,800,000.00		Other Expenses
2012	11000	DPW27000	10010	2012		\$1,800,000.00	Personal Services

REASON FOR ADJUSTMENT

To correct the appropriation and reflect the agency's actual needs.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
4-87	<i>V. Jean Michael</i>	Dir. Of Fiscal & Admin. Svcs.	5/10/12

UNALLOTTED / AVAILABLE BALANCE	ACTION	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
<i>Linda Allen</i>	5/10/12		
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM

## ***Department of Construction Services FAC***

### **FAC Description**

The Department of Construction Services (DCS) is requesting an FAC to transfer \$1,800,000 from other expenses to personal services. These funds will be used to pay for the salaries of staff until the end of the fiscal year.

### **Funding Explanation**

There are funds available in other expenses because the funds were included in other expenses rather than personal services during the creation of the Department of Construction Services.

The agency does not have sufficient funds in personal services to pay for all of the remaining payrolls.

A midterm adjustment has been included in to transfer \$1,800,000 from other expenses to personal services to correct the funding allocation.

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 3/96

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment  
 APPROPRIATION adjustment  
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY		PAGE	OF
DOC. TYPE		DOCUMENT NUMBER	
EFFECTIVE DATE		REQUEST NUMBER	
		12-3	

COMPT. USE ONLY		FAC NUMBER		REQUEST NUMBER	
		2012-11		12-3	
AGENCY NO.	AGENCY NAME				
DCJ30000	DIVISION OF CRIMINAL JUSTICE				

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>ALLOTTED</u>	<u>ALLOTTED</u>	
12	11000	DCJ30000	12117	\$110,000.00		MEDICAID FRAUD CONTROL
12	11000	DCJ30000	10020		\$110,000.00	OTHER EXPENSES
				<u>UNALLOTTED</u>	<u>ALLOTTED</u>	
12	11000	DCJ30000	10010	\$190,000.00		PERSONAL SERVICES
12	11000	DCJ30000	10020		\$190,000.00	OTHER EXPENSES

REASON FOR ADJUSTMENT

To transfer funds to meet expenditures in Other Expenses account which are expected to exceed appropriation level.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>Catherine Dierker</i>	(Title) Director of Financial Services	DATE SIGNED 4/26/2012
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ACTION

UNALLOTTED / UNENCUMBERED BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
\$			
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE 5/1/12	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND

\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

**JUSTIFICATION FOR FAC TRANSFER REQUEST – April, 2012  
DIVISION OF CRIMINAL JUSTICE**

**TRANSFER FROM:**

\$110,000 MEDICAID FRAUD CONTROL UNIT (12117)  
\$190,000 PERSONAL SERVICES (10010)

**TRANSFER TO:**

\$300,000 OTHER EXPENSES (10020)

**DESCRIPTION OF TRANSFER REQUEST:**

The Division of Criminal Justice is requesting a transfer of \$300,000 from its Medicaid Fraud Control and Personal Services appropriations to its Other Expenses account to cover operational expenses which will exceed the current available General Fund appropriation. We would appreciate consideration of this request at the May, 2012 meeting of the Finance Advisory Committee.

**JUSTIFICATION:**

Expenses for commodities, supplies and services are expected to exceed available funds in the Other Expenses account. Some of the contributing factors are: inflation affecting such items as fuel, travel costs associated with extraditions and out-of-state witnesses; the need for temporary help services to support clerical functions in field offices without adequate personnel resources; and repair and maintenance of aging fleet vehicles. However, the Division's FY 2012 \$2.1 million appropriation in Other Expenses was lower than the \$2.5 million average expenditure in this account for at least the last 14 years. Despite concerted and successful efforts to control expenses in some accounts, they could not compensate for the inadequacy of the approved funding level.

We request a transfer from the Medicaid Fraud Control Unit account which is expected to have a surplus as a result of unexpected retirements of two personnel and the normal delay in the refill of these positions (which is complete at this time), as well as the delay in filling three new positions approved for the Unit in FY 2102. Two of the new positions were filled in early April and one is expected to be filled in May.

Funding to support the requested transfer from the Personal Services account is available from the unallotted appropriation.

ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER  
**DOB0001**

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2012-12		2012-01
AGENCY NO.	AGENCY NAME					
DOB37000	Department of Banking					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
12	12003	DOB37000	10010	2012	\$235,000.00		Personal Services
12	12003	DOB37000	10020	2012		\$175,000.00	Other Expenses
12	12003	DOB37000	10050	2012		\$60,000.00	Equipment

REASON FOR ADJUSTMENT

The excess in Personal Services appropriation is due to the SEBAC salary concessions and staff vacancies in FY 12. The transfer is to cover the lease costs for three months, and the costs of replacing the data room switch. Attached you will find a detailed description of the transfer requested.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) <b>CGS Sec 4-87</b>	REQUESTING OFFICIAL (Signature) <i>Donna A. Pol</i>	(Title) <b>Commissioner</b>	DATE SIGNED <b>3/15/12</b>
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UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) <i>Melissa Spich</i> <i>MS</i>	DATE <b>3/16/12</b>	APPROVED (Governor)	DATE
RECOMMENDED (Under Secretary, Bud. & Fin. Mgmt.)	DATE		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND



STATE OF CONNECTICUT  
DEPARTMENT OF BANKING  
260 CONSTITUTION PLAZA – HARTFORD, CT 06103-1800



**Fiscal Advisory Committee  
May 3, 2012**

**The Department of Banking requests the transfer of Personal Services funds to Other Expenditures and Equipment.**

**The Personal Services account is anticipated to have a surplus of approximately \$800,000 in Fiscal year 2012. This surplus is due to the SEBAC wage freeze and several vacancies not filled until January 2012. Transferring \$235,000 in FY 12 will still leave the agency with excess funding in Personal Services.**

**The Other Expenses account is deficient due to the anticipated move of the agency to state space in Fiscal year 12. This move is not presently an option, and the agency will remain at 260 Constitution Plaza. The anticipated move transferred funds from Other Expenses to Indirect Costs in FY12, causing a three month deficiency in Premises Rent Expense equaling \$175,000.**

**In addition, the Equipment account is deficient due to the emergency replacement of our Cisco switch in our data room; this is at a cost of \$60,000.**

TEL: (860) 240-8299 • FAX: (860) 240-8178

Website: <http://www.ct.gov/dob>

*An Affirmative Action/Equal Opportunity Employer*

ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER  
**DDS4011**

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2012-13	DDSM1	12-011
AGENCY NO.	AGENCY NAME					
DDS50000	Department of Developmental Services					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Allotted</u>	<u>Allotment</u>	
2012	11000	DDS50000	10010	2012	\$1,609,282.00		Personal Services
2012	11000	DDS50000	16104	2012	\$29,656.00		Family Reunion
2012	11000	DDS50000	16122	2012	\$150,344.00		Community Residential Services
2012	11000	DDS50000	10020	2012		\$750,000.00	Other Expenses
2012	11000	DDS50000	12185	2012		\$359,282.00	Clinical Services
2012	11000	DDS50000	12235	2012		\$500,000.00	Workers' Compensation
2012	11000	DDS50000	16069	2012		\$180,000.00	Rent Subsidy
				Total	\$1,789,282.00	\$1,789,282.00	

REASON FOR ADJUSTMENT

Transfer of funds necessary to meet end of year requirements.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) <b>CGS 4-87</b>	REQUESTING OFFICIAL (Signature) <i>Terence W. Macpherson</i>	(Title) <b>Commissioner</b>	DATE SIGNED <b>4/24/2012</b>
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UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) <i>Alison H. Hehe</i>	DATE <b>4/24/12</b>	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

**Finance Advisory Committee  
Meeting May 3, 2012**

The Department of Developmental Services (Department) requests the transfer of \$1,789,282 from its Personal Services, Family Reunion and Community Residential Services appropriations to cover needs in its Other Expenses, Clinical Services, Rent Subsidy, and Worker's Compensation appropriations.

The available appropriations are broken down as follows:

Personal Services (10010) – Funding of \$1,609,282 is available in the Department's Personal Services appropriation largely due to retirements and delays in filling certain positions. This \$1,609,282 is in excess of a planned lapse of \$3,800,000.

Family Reunion (16104) – Funding of \$29,656 is available in the Family Reunion accounts due to a decrease in the number of participating families.

Community Residential Services (16122) – Funding of \$150,344 is available in the Community Residential Services account as a result of delayed residential placements.

The aforementioned amounts are being transferred to cover the following needs:

Other Expenses (10020) – A transfer of \$750,000 is necessary because the Department experienced a variety of increased costs that support direct services. Gasoline, electricity, natural gas, food and beverages, medical supplies, personal hygiene items, and premises repair and maintenance are the major cost drivers this year.

Clinical Services (12135) – A transfer of \$359,282 is needed to replenish the appropriation, which has been impacted by the increased use of contracted nurses. In addition to contracted nursing services, the Clinical Services appropriation supports medical services and a variety of therapies for individuals served in DDS-operated settings. Contracted nurses have been temporarily employed to replace the nurses who have retired, transferred or left the agency for some other reason. The agency is in the process of filling these positions to eliminate the strain on this account in the future.

Rent Subsidy (16069) – A transfer of \$180,000 is necessary because of an increase in new placements this year. This program is associated with the provision of residential services and enables these services to be provided in an apartment or a home.

Worker's Compensation (12235) – A transfer of \$500,000 is needed because of the increase in high cost injuries this year. The Department will continue to focus on training and reminding workers of safe practices to reduce the strain on this account in the future.

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 5/2008

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER
<b>DOT5127</b>

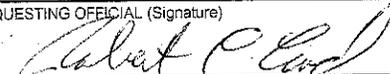
COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2012-14	DOT	10475
AGENCY NO.	AGENCY NAME					
57000	Department of Transportation					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Allotment</u>	<u>Allotment</u>	
2012	12001	DOT57000	10010	2012	\$1,160,000.00		Personal Services
2012	12001	DOT57000	10020	2012		\$1,160,000.00	Other Expenses
2012	12001	DOT57000	12175	2012	\$500,000.00		Bus Operations
2012	12001	DOT57000	12378	2012		\$500,000.00	ADA Para-transit Program

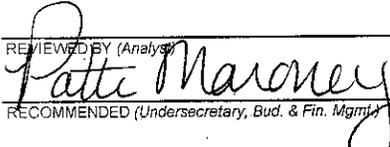
REASON FOR ADJUSTMENT

See attached

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) <b>Sec. 4-87</b>	REQUESTING OFFICIAL (Signature) 	(Title) <b>Interim Bureau Chief</b>	DATE SIGNED <b>4-26-12</b>
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ACTION

UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) 	DATE <b>4/26/12</b>	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt)	DATE		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM

**Finance Advisory Committee  
Meeting May 3, 2012**

The Department of Transportation (Department) requests the transfer of \$1,160,000 to its Other Expenses appropriation from the Department's Personal Services appropriation, and the transfer of \$500,000 to its ADA Para-transit Program Appropriation from the Department's Bus Operations Appropriation.

The transfer of \$1,160,000 is required to support the Department's Other Expenses appropriation primarily due to higher than budgeted expenditures for motor vehicle fuel. This transfer will allow the Department to continue to make the purchases required to maintain the safety of the traveling public.

- Motor Vehicle Fuel – The average price per gallon has risen more than 50% since June 2010, when the Department submitted its FY 2012 operating budget request. Current projections indicate that expenditures (net of reimbursements from Other State Agencies) will exceed budget by about \$1 million. Of note, the Department must encumber and pay up front for the fuel that supplies the state's 84 fuel facilities used by all state agencies, therefore it is critical that this transfer take place as soon as possible to ensure continued availability of funds.

Funding is available in the Personal Services appropriation due to retirements, delays in hiring, and savings in overtime resulting from the mildness of the winter season.

The transfer of \$500,000 to the Department's ADA Para-transit Program Appropriation is required to cover higher than budgeted expenditures that have resulted from increased ADA demand. (Note: ADA transportation services are mandated in areas where regular route service is provided. To comply with the federal mandate, the Department must fund complementary ADA services.)

<u>FUND - SID</u>	<u>Appropriation Title</u>	<u>Transfer Amount</u>
12001-10020	Other Expenses	\$ 1,160,000
12001 -12378	ADA Para-Transit Program	\$ 500,000
	Total Transfers In	\$ 1,660,000
12001-10010	Personal Services	\$(1,160,000)
12001 -12175	Bus Operations	\$ (500,000)
	Total Transfers Out	\$(1,660,000)

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 5/2008

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment  
 APPROPRIATION adjustment  
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

PAGE OF  
DOCUMENT NUMBER  
**DSS12157**

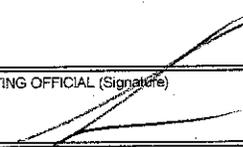
COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2012-15	DSS	2012-157
AGENCY NO.	AGENCY NAME					
DSS60000	DEPARTMENT OF SOCIAL SERVICES					

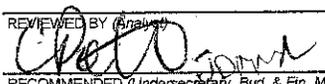
F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE *	ACCOUNT TITLE/PROJECT NUMBER
					<u>ALLOTTED</u>	<u>ALLOTMENT</u>	
2012	11000	DSS60000	10010	2012	\$5,000,000.00		Personal Services
2012	11000	DSS60000	12202	2012	\$450,000.00		State Food Stamp Supplement
2012	11000	DSS60000	12239	2012	\$10,700,000.00		HUSKY Program
2012	11000	DSS60000	16077	2012	\$300,000.00		Aid to the Disabled
2012	11000	DSS60000	16090	2012	\$10,200,000.00		Temporary Assistance to Families-TANF
2012	11000	DSS60000	16100	2012	\$375,000.00		ConnPACE
2012	11000	DSS60000	16114	2012	\$13,600,000.00		Connecticut Home Care Program
2012	11000	DSS60000	16149	2012	\$2,700,000.00		Housing/Homeless Services
2012	11000	DSS60000	10020	2012		\$10,250,000.00	Other Expenses
2012	11000	DSS60000	16020	2012		\$27,000,000.00	Medicaid
2012	11000	DSS60000	16061	2012		\$1,900,000.00	Old Age Assistance
2012	11000	DSS60000	16071	2012		\$25,000.00	Aid to the Blind
2012	11000	DSS60000	16147	2012		\$3,600,000.00	Child Care Services
2012	11000	DSS60000	16157	2012		\$550,000.00	State Administered General Assistance
					\$43,325,000.00	\$43,325,000.00	

REASON FOR ADJUSTMENT

Funds are being transferred to meet anticipated end of year requirements in various accounts

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
CGS-4-87(a)		Director	4/26/2012

UNALLOTTED / AVAILABLE BALANCE		ACTION	
		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
	4/26/12		
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM

## SFY 2012 Thursday, May 3, 2012 FAC

10020 Other Expenses	\$10,250,000
16020 Medicaid	\$27,000,000
16061 Old Age Assistance	\$1,900,000
16071 Aid to the Blind	\$25,000
16147 Child Care Services	\$3,600,000
16157 State Administered General Assistance	\$550,000
10010 Personal Services	(\$5,000,000)
12202 State Supplemental Nutrition Assistance	(\$450,000)
12239 HUSKY Program	(\$10,700,000)
16077 Aid to the Disabled	(\$300,000)
16090 Temporary Family Assistance	(\$10,200,000)
16100 ConnPACE	(\$375,000)
16114 Connecticut Home Care Program	(\$13,600,000)
16149 Housing/Homeless Services	(\$2,700,000)

Funds are being transferred to the Other Expenses, Medicaid, Old Age Assistance, Aid to the Blind, Child Care Services and State Administered General Assistance accounts to meet year-end requirements. Funds are being transferred from Personal Services, State Supplemental Nutrition Assistance program, HUSKY B, Aid to the Disabled, Temporary Family Assistance, ConnPACE, State Funded Home Care, and Housing/Homeless Services accounts.

### OTHER EXPENSES

Funds in the amount of \$10,250,000 are being transferred from various accounts into the Other Expenses account to ensure sufficient funding is available to meet year-end requirements. The department has incurred additional expenses this year associated with electronic Health Information Technology (HIT) activities and other technology upgrades. The additional costs needed for HIT are 90% federally reimbursable. The department has also experienced additional contractual services costs under the Other Expenses account for administering the department's medical programs and in transitioning from managed care to the Administrative Services Organization (ASO).

### MEDICAID

The department has experienced increases in Medicaid expenditures in recent months. We have observed an upward trend in medical expenditures along most categories of service beginning in January. With the transition from managed care to an ASO at about the same time, the department is focusing its review on utilization and cost data as the data becomes available to get a better sense of how much if any of the increases would be permanent. The department will continue to analyze the data over the next several months

for any potential impact on SFY 2013. The current projected deficiency in SFY 2012 for Medicaid is \$120 million.

### **OLD AGE ASSISTANCE**

Funds are being transferred to the Aid to the Aged account to ensure sufficient funding is available to meet year-end requirements. A \$1.9 million deficiency is currently projected. The average cost per case for Boarding Homes was 3% higher than anticipated for SFY 2012. In addition, Social Security gave its first cost of living adjustment in two years on January 1, 2012, which was 3.6%, or 2% higher than originally anticipated. The same increases are applied to this account in accordance with statute.

### **AID TO THE BLIND**

Funds are being transferred to the Aid to the Blind account to meet year-end needs. A \$25,000 deficiency in the account is projected. As noted above, the Social Security cost of living adjustment on January 1, 2012 was 3.6%, or 2% higher than originally anticipated. This increase is applied to this account in accordance with statute.

### **CHILD CARE SERVICES**

Funds are being transferred from various accounts to Child Care Services to meet year-end needs. The department is projecting a \$3.6 million shortfall in the Child Care Services account. The overall caseloads for Child Care Services are 7% higher than originally projected for SFY 2012.

### **STATE ADMINISTERED GENERAL ASSISTANCE**

The department is currently projecting a \$500,000 deficiency in the State Administered General Assistance (SAGA) account. This is primarily the result of a reduction in reimbursements for clients found retroactively eligible for SSI. The reimbursements are an offset to gross expenditures, and have declined from an average of 16.2% of gross expenditures in SFY 2011 to an estimated 9.4% in SFY 2012.

### **SURPLUS ACCOUNTS**

#### **PERSONAL SERVICES**

A surplus of \$5 million is projected in the Personal Services account due primarily to additional turnover savings resulting from higher than anticipated retirements this year.

### **STATE-FUNDED SUPPLEMENTAL NUTRITION ASSISTANCE**

A projected surplus in the State-Funded Supplemental Nutrition Assistance Program (SNAP) for SFY 2012 is the result of lower than anticipated caseload growth and transfers of clients from the state funded to federally funded SNAP program. 145 non-citizens transferred this year, having met the 5-year residence waiting period to qualify for federal SNAP. Original monthly caseload projections averaged 755 with an average cost per case of \$158. The updated projected average caseload for SFY 2012 is 571, a difference of 184 cases. The current average cost per case is \$142, resulting in a projected surplus of \$450,000 in this account.

### **HUSKY B**

The department is currently projecting a \$10.7 million surplus in the HUSKY B account. Caseload reductions account for most of the surplus. The combined enrollments in Bands 1 and 2 dropped from 13,657 in June 2011 to 12,963 in March. The SFY 2012 original appropriation of \$37.7 million included funding for enrollments at a level reaching 15,353 at the end of the year for Bands 1 and 2, or 20% higher than our current projected end of year enrollments of 12,878.

### **AID TO THE DISABLED**

The department is projecting a small surplus of \$300,000 in the Aid to the Disabled account that will be used to offset deficiencies in the department's other Public Assistance accounts.

### **TEMPORARY FAMILY ASSISTANCE**

The department is projecting a \$10.2 million surplus in the Temporary Family Assistance account due to smaller than expected caseload increases in this account. The department had anticipated an average caseload of 21,011 for SFY 2012. This estimate has been revised to 19,227 for SFY 2012, a decrease of 8%.

### **CONNPACE**

The department is projecting a surplus of approximately \$375,000 in the ConnPACE program. ConnPACE coverage ended July 1, 2011 for most individuals who are eligible for Medicare, as they would now qualify for additional benefits under the Medicare Savings Programs. Funding can be adjusted downward for the year based upon the current enrollment level of 144 clients in March 2012.

### **CONNECTICUT HOME CARE PROGRAM**

The state-funded Connecticut Home Care program is anticipated to have a surplus of \$13.6 million in SFY 2012, based on current projected costs of \$48 million. The original projection assumed enrollment of 5,000 members for SFY 2012. Average actual enrollment in SFY 2012 is 4,300 members and is estimated to remain at this level through the end of the fiscal year.

## **HOUSING/HOMELESS SERVICES**

A surplus of \$1.75 million is currently projected for the State Rental Assistance Program, the result of lower than anticipated utilization of rental unit subsidies. An additional \$944,000 is projected to be available in the Security Deposit program due to reduced expenditures for this program.

ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER  
**DCF08**

COMPT. USE ONLY		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
EFFECTIVE DATE		2012-16	DCF	2012-8

AGENCY NO.	AGENCY NAME
DCF91000	Department Of Children And Families

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Unencumbered Balance</u>	<u>Allotment</u>	
2012	11000	DCF91000	10010	2012	\$800,000.00		Personal Services
2012	11000	DCF91000	12235	2012		\$800,000.00	Workers Compensation

REASON FOR ADJUSTMENT

The Department of Children & Families requests approval to transfer \$800,000 in funds anticipated to lapse in Personal Services to cover anticipated deficits in Workers Compensation of \$800,000.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) <b>4-87 (a) CGS</b>	REQUESTING OFFICIAL (Signature) <i>Andy J. [Signature]</i>	(Title) <b>Chief Financial Officer</b>	DATE SIGNED <b>4/10/12</b>
UNALLOTTED / AVAILABLE BALANCE	APPROVED (Secretary, Office of Policy and Management)		
REVIEWED BY (Analyst) <i>J. Saulsky</i>	DATE <b>4/11/12</b>	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

<b>FAC 2012 Department of Children and Families</b>					
<b>Account</b>	<b>Original Appropriation</b>	<b>Prior Policy Actions</b>	<b>Proposed FAC Transfer</b>		<b>Available Funding Post-FAC Action</b>
			<b>FROM (Decrease)</b>	<b>TO (Increase)</b>	
Personal Services	300,803,182	(34,283,012)	(800,000)		265,720,170
Workers' Compensation Claims	10,391,768			800,000	11,191,768
<b>TOTAL</b>			<b>(800,000)</b>	<b>800,000</b>	

*Funding is available for transfer from this account due to the following:*

Personal Services - DCF has had lower than anticipated expenditures as a result of unexpected agency vacancies.

*Funding is needed in this account due to the following:*

Workers Compensation - DCF experienced higher claims in Workers Compensation in both the areas of indemnity and medical costs.

*Holdback and Lapses:*

The transfers in this FAC do not affect the holdback of \$34,283,012 in the Personal Services account.

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 3/96

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Advisory Committee action

COMPT. USE ONLY	PAGE	OF
DOC. TYPE	DOCUMENT NUMBER	
	036613	

COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2012-17	12-6

AGENCY NO.	AGENCY NAME
PDS98500	PUBLIC DEFENDER SERVICES COMMISSION

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				UNALLOTTED BALANCE	ALLOTTED BALANCE	
2012	11000	PDS98500	10010	(1,450,000)		PERSONAL SERVICES
2012	11000	PDS98500	12065		400,000	SPECIAL PUBLIC DEFENDER CONTRACTUAL
2012	11000	PDS98500	12090		350,000	EXPERT WITNESSES
2012	11000	PDS98500	12076		700,000	SPECIAL PUBLIC DEFENDER NON-CONTRACTUAL

REASON FOR ADJUSTMENT

Funding is necessary in the Special Public Defender - Non Contractual account due to greater than expected case assignments and billed hours, in addition to \$586,195 in FY 11 obligation carried forward into the current fiscal year.

Funding is necessary in the Special Public Defender - Contractual account due to greater than expected case assignments and billed hours, in addition to an effort by the agency to eliminate a 3 month backlog in bolls, which has created an extra cost during this fiscal year. The effort to eliminate this backlog was done in order to comply with GAAP accounting principles and increase agency efficiencies.

Funding is necessary in the Expert Witnesses account due to increased costs of high profile capital cases on trial this fiscal year.

Funding is available for transfer in the Personal Services account due to the release of SEBAC holdbacks.

Bond Commission Date: \_\_\_\_\_

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>Sesale O. Stolle</i>	(Title) Chief Public Defender	DATE SIGNED 5-9-2012
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UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) <i>J. M. [Signature]</i>	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt) <i>[Signature]</i>		DATE 5/9/12

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND