

Analysis of Finance Advisory Committee  
Meeting Items

February 3, 2011 Agenda

**OFFICE OF FISCAL ANALYSIS**

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## FAC 2011-7 Commission on Children

Account	Original Appropriation	Prior Policy Actions <sup>1</sup>	Proposed FAC Transfer		Available Funding Post-FAC Action
			FROM (Decrease)	TO (Increase)	
Other Expenses	72,675	(1,532)	(60,000)	-	11,143
Personal Services	457,745	(171,781)	-	60,000	345,964
<b>TOTAL</b>			(60,000)	60,000	

*Funding is available for transfer from this account due to the following:*

- Other Expenses - Significantly reduced spending in this account (for example, the commission eliminated printing of brochures and pamphlets).

*Funding is needed in this account due to the following:*

- Personal Services - To make payroll. The commission's FY 11 budget is approximately 50% lower than its FY 09 appropriation. All seven of the CCY employees are working on reduced schedules, with at least one furlough day per week.

### *Holdbacks and Lapses*

This transfer does not affect the agency's ability to meet its holdbacks.

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<sup>1</sup> Prior policy actions may include holdbacks (forced lapses), rescissions, de-appropriations, and transfers.

## FAC 2011-8 Department of Public Health

Account	Original Appropriation	Prior Policy Actions	Proposed FAC Transfer		Available Funding Post-FAC Action
			FROM (Decrease)	TO (Increase)	
Community Health Services	6,986,052	-	(442,690)	-	6,543,362
Fetal and Infant Mortality Review	315,000	-	(315,000)	-	-
Medicaid Administration	3,782,177	-	(193,638)	-	3,588,539
Genetic Diseases Programs	877,416	-	(48,672)	-	828,744
X-Ray Screening and Tuberculosis Care	379,899	-	-	1,000,000	1,379,899
<b>TOTAL</b>			(1,000,000)	1,000,000	

*Funding is available for transfer from these accounts due to the following:*

- Community Health Services- DPH limited the value of FY 11 contracts under this account to FY 10 actual expenditure levels (\$6,399,331) in anticipation of a shortfall in the X-Ray Screening and Tuberculosis (TB) account. A lapse of \$586,721 is anticipated.
- Fetal and Infant Mortality Review- To date, FY 11 contracts for this program have not been executed and a lapse of its total appropriated budget (\$315,000) is projected. (Contracts for this program were not executed in FY 10.)
- Medicaid Administration- Due to three unfilled vacancies and one position budgeted at full-time that was subsequently reduced to part-time, this account is anticipated to lapse \$226,681.
- Genetic Diseases Programs- Similarly, contracts within this account were limited to approximately the FY 10 appropriated level in order to lapse \$48,672.

*Funding is needed in these accounts due to the following:*

- X-Ray Screening and Tuberculosis- A \$1 million deficiency is projected. Funding in this account was reduced by \$379,900 (50%) in each of FY 10 and FY 11 in anticipation of an increase in federal reimbursement for tuberculosis payments, due to enhanced information sharing between the Department of Social Services and DPH. To date, federal reimbursements have not been forthcoming. In FY 10, the TB account was deficient by \$810,976, which was covered by a transfer of funds approved by the Finance Advisory Committee at its January 2010 meeting.

### *Holdback and Lapses*

This transfer does not affect the agency's ability to meet \$248,036 in Personal Services and \$4,009,506 in Other Expenses holdbacks.

## FAC 2011-09 Department of Transportation

Account	Original Appropriation	Prior Policy Actions	Proposed FAC Transfer		Available Funding Post-FAC Action
			FROM (Decrease)	TO (Increase)	
Rail Operations	137,901,327	-	(4,470,000)	-	133,431,327
Other Expenses	46,926,685	-	-	4,470,000	51,396,685
<b>TOTAL</b>			(4,470,000)	4,470,000	

*Funding is available for transfer from these accounts due to the following:*

- Rail Operations - (1) A 0.5% increase in ridership on New Haven Line (NHL) through November 2010, resulting in the state's share of \$3.69 million in higher revenue compared to the NHL calendar year 2010 budget; and (2) operating efficiencies implemented by Metropolitan Transit Authority on NHL operations which have resulted in lower-than-budgeted subsidy requirements for rail operations.

*Funding is needed in these accounts due to the following:*

- Other Expenses - To mitigate shortfalls incurred due to increased snow and ice removal expenditures. This is attributable to increased costs to maintain and repair equipment and trucks; and from higher prices for highway supplies, motor vehicle fuel, electricity and other utilities as well as from replenishment of salt and chloride inventories. The record snowfall of the past month has further depleted funds and increased shortfall projections.

The table below indicates that 84% of the agency's budget for snow and ice expenses has been exhausted by the end of January. It should be noted that the table does not include expenditures for the 2/1 and 2/2 storms.

SNOW & ICE BUDGET FY 11			
	Budget	Expended YTD (1/31/11)	%
Number of Storms	13	10	76%
Highway Snow & Ice Budget	\$ 26,604,438	\$ 22,286,546	84%
Commodities & Truck Rental	\$ 11,657,871	\$ 10,736,743	92%
Overtime	\$ 10,681,871	\$ 8,070,459	76%
Regular Salaries	\$ 4,264,696	\$ 3,479,344	82%
	\$ 26,604,438	\$ 22,286,546	84%
General Aviation Airports	185,758	148,287	80%
Total DOT - STF	\$ 26,790,196	\$ 22,434,833	84%

*Questions:*

- Is the \$4.47 million amount sufficient to cover snow and ice expenses through the end of

March?

- How much were the expenditures for the recent (2/1 and 2/2) storms?

*Holdback and Lapses*

There are no holdbacks at this time.

**Appendix A: Projected Account Balances for Agencies on This Agenda (After Proposed FAC Transfer(s))**

<b>Commission on Children</b>				
	<u>Appropriation<sup>2</sup></u>	<u>Available Funding<sup>3</sup></u>	<u>Estimated Expenditures</u>	<u>Estimated Surplus/(Deficiency)</u>
Personal Services	457,745	345,964	345,964	-
Other Expenses	72,675	11,143	11,143	-
<b>General Fund TOTAL</b>	<b>530,420</b>	<b>357,107</b>	<b>357,107</b>	<b>-</b>

<b>Department of Public Health</b>				
	<u>Appropriation</u>	<u>Available Funding<sup>3</sup></u>	<u>Estimated Expenditures</u>	<u>Estimated Surplus/(Deficiency)</u>
Personal Services	30,919,398	30,671,362	31,909,188	(1,237,826)
Other Expenses	7,826,574	3,817,068	4,817,068	(1,000,000)
Equipment	1	1	-	1
Needle and Syringe Exchange Program	455,072	455,072	455,072	-
Children's Health Initiatives	1,481,766	1,481,766	1,481,766	-
Childhood Lead Poisoning	1,098,172	1,098,172	1,098,172	-
AIDS Services	4,952,598	4,952,598	4,952,598	-
Breast and Cervical Cancer Detection and Treatment	2,426,775	2,426,775	2,410,233	16,542
Children with Special Health Care Needs	1,271,627	1,271,627	1,271,627	-
Medicaid Administration	3,782,177	3,588,539	3,555,496	33,043
Fetal and Infant Mortality Review	315,000	-	-	-
Community Health Services	6,986,052	6,543,362	6,399,331	144,031
Rape Crisis	439,684	439,684	439,684	-
X-Ray Screening and Tuberculosis Care	379,899	1,379,899	1,379,899	-
Genetic Diseases Programs	877,416	828,744	828,744	-
Immunization Services	9,044,950	9,044,950	9,044,950	-
Local and District Departments of Health	4,264,470	4,264,470	4,458,810	(194,340)
Venereal Disease Control	195,210	195,210	195,210	-
School Based Health Clinics	10,440,646	10,440,646	10,028,054	412,592
<b>General Fund TOTAL</b>	<b>87,157,487</b>	<b>82,899,945</b>	<b>84,725,902</b>	<b>(1,825,957)</b>

<sup>2</sup> Includes appropriated accounts from all appropriated funds.

<sup>3</sup> Includes all anticipated and enacted holdbacks (forced lapses), rescissions, de-appropriations, and transfers.

<b>Department of Transportation</b>				
	<u>Appropriation</u>	<u>Available Funding</u>	<u>Estimated Expenditures</u>	<u>Estimated Surplus/(Deficiency)</u>
Personal Services	148,049,749	155,913,961	155,913,961	-
Other Expenses	46,926,685	51,396,685	51,396,685	-
Equipment	1,911,500	1,911,500	1,911,500	-
Minor Capital Projects	332,500	332,500	332,500	-
Highway and Bridge Renewal- Equipment	6,000,000	6,000,000	6,000,000	-
Highway Planning and Research	2,819,969	2,819,969	2,819,969	-
Rail Operations	137,901,327	133,431,327	133,431,327	-
Bus Operations	132,955,915	132,955,915	132,955,915	-
Highway and Bridge Renewal	12,402,843	12,402,843	12,402,843	-
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,500,000	-
ADA Para-transit Program	25,565,960	25,565,960	25,565,960	-
Non-ADA Dial-A-Ride Program	576,361	576,361	576,361	-
<b>Special Transportation Fund TOTAL</b>	<b>516,942,809</b>	<b>524,807,021</b>	<b>524,807,021</b>	<b>-</b>