

Department of Correction DOC88000

Permanent Full-Time Positions

Fund	Actual FY 14	Actual FY 15	Governor Estimated FY 16	Original Appropriation FY 17	Governor Revised FY 17	Final FY 17	Difference Fin-Gov FY 17
General Fund	6,352	6,352	6,216	6,141	6,117	6,117	-

Budget Summary

Account	Actual FY 14	Actual FY 15	Governor Estimated FY 16	Original Appropriation FY 17	Governor Revised FY 17	Final FY 17	Difference Fin-Gov FY 17
Personal Services	440,353,293	444,431,489	448,395,804	445,690,859	-	399,926,993	399,926,993
Other Expenses	74,695,098	77,718,060	77,736,830	76,433,227	-	71,015,325	71,015,325
Other Current Expenses							
Stress Management	9,673	9,234	-	-	-	-	-
Workers' Compensation Claims	25,588,167	28,218,144	25,704,971	25,704,971	-	23,677,850	23,677,850
Inmate Medical Services	88,513,923	85,967,101	91,742,350	92,877,416	-	85,297,457	85,297,457
Board of Pardons and Paroles	5,298,165	5,226,840	7,123,925	7,204,143	-	7,165,288	7,165,288
Distance Learning	54,209	-	-	-	-	-	-
Program Evaluation	-	39,516	289,781	297,825	-	254,669	254,669
Agency Operations	-	-	-	-	780,371,403	-	(780,371,403)
Other Than Payments to Local Governments							
Aid to Paroled and Discharged Inmates	1,050	2,738	8,462	8,575	-	7,623	7,623
Legal Services To Prisoners	827,065	827,065	827,065	827,065	-	773,446	773,446
Volunteer Services	127,500	121,500	154,410	154,410	-	137,180	137,180
Community Support Services	41,275,777	41,275,776	41,440,777	41,440,777	-	34,803,726	34,803,726
Nonfunctional - Change to Accruals	2,538,185	(129,162)	-	-	-	-	-
Agency Total - General Fund	679,282,106	683,708,301	693,424,375	690,639,268	780,371,403	623,059,557	(157,311,846)
Additional Funds Available							
Carry Forward Funding	-	-	-	-	-	86,401	86,401
Agency Grand Total	679,282,106	683,708,301	693,424,375	690,639,268	780,371,403	623,145,958	(157,225,445)

Account	Governor Revised FY 17	Final FY 17	Difference from Governor
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Policy Revisions

Consolidate Appropriations for Agency Operations

Personal Services	(421,353,720)	-	421,353,720
Other Expenses	(73,666,440)	-	73,666,440
Workers' Compensation Claims	(25,319,397)	-	25,319,397
Inmate Medical Services	(90,501,281)	-	90,501,281
Board of Pardons and Paroles	(7,165,288)	-	7,165,288
Program Evaluation	(272,324)	-	272,324
Agency Operations	660,601,134	-	(660,601,134)
Aid to Paroled and Discharged Inmates	(8,152)	-	8,152
Legal Services To Prisoners	(827,065)	-	827,065

Account	Governor Revised FY 17	Final FY 17	Difference from Governor
Volunteer Services	(146,690)	-	146,690
Community Support Services	(41,340,777)	-	41,340,777
Total - General Fund	-	-	-

Background

Currently, state agency appropriations are distributed between multiple line items. The Governor's Revised FY 17 budget consolidates funding by collapsing most or all appropriations into a new "Agency Operations" line item. Certain major line items such as Education Cost Sharing (ECS) grants, entitlements, pension and debt service payments, remain as separate line items within their respective agencies and are not consolidated.

Governor

Consolidate all agency appropriations into one account.

Final

Maintain existing appropriated accounts.

Reduce Funding for Various Accounts

Personal Services	-	(19,714,883)	(19,714,883)
Other Expenses	-	(2,651,115)	(2,651,115)
Workers' Compensation Claims	-	(1,641,547)	(1,641,547)
Inmate Medical Services	-	(4,203,824)	(4,203,824)
Program Evaluation	-	(17,655)	(17,655)
Agency Operations	(37,984,565)	-	37,984,565
Aid to Paroled and Discharged Inmates	-	(529)	(529)
Legal Services To Prisoners	-	(53,619)	(53,619)
Volunteer Services	-	(9,510)	(9,510)
Community Support Services	-	(6,537,051)	(6,537,051)
Total - General Fund	(37,984,565)	(34,829,733)	3,154,832

Background

The Governor reduces funding in the new Agency Operations accounts across state agencies by \$267.9 million.

Governor

Reduce funding by \$37,984,565 to reflect a 5.75% reduction.

Final

Reduce funding by \$34,829,733.

Reduce Overtime and Inmate Medical due to Closing a Prison

Personal Services	(13,474,871)	(13,474,871)	-
Other Expenses	(1,367,962)	(1,367,962)	-
Inmate Medical Services	-	(1,000,000)	(1,000,000)
Total - General Fund	(14,842,833)	(15,842,833)	(1,000,000)
Positions - General Fund	(24)	(24)	-

Background

Based on current prison population predications by the Office of Policy and Management's Criminal Justice Policy and Planning division and new policy changes, the prison population is expected to decline by 1,150 to 1,400 in 2016. Recommended policy changes contained in SB 18, An Act Concerning A Second Chance Society, include speeding up parole hearings due to recent Parole Board appointments, changes to juvenile justice, and bail reform for low level offenders. There are currently 16 Correctional Institutes and Centers operated by the Department of Correction. The projected reduction in population would allow the agency to close a full facility in FY 17.

Governor

Reduce funding by \$14,842,833 and 24 positions to reflect the closure of a prison.

Final

Reduce funding by \$15,842,833 and 24 positions to reflect the closure of a prison.

Account	Governor Revised FY 17	Final FY 17	Difference from Governor
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Increase Attrition Savings

Personal Services	-	(1,711,844)	(1,711,844)
Total - General Fund	-	(1,711,844)	(1,711,844)

Final

Reduce funding by \$1,711,844 to reflect increased savings due to attrition from retiring staff.

Rollout of FY 16 DMP

Inmate Medical Services	(1,000,000)	(1,000,000)	-
Program Evaluation	(25,501)	(25,501)	-
Aid to Paroled and Discharged Inmates	(423)	(423)	-
Volunteer Services	(7,720)	(7,720)	-
Community Support Services	(100,000)	(100,000)	-
Total - General Fund	(1,133,644)	(1,133,644)	-

Background

PA 15-1 December Special Session (AA Making Certain Structural Changes to the State Budget and Adjustments to the State Budget for the Biennium Ending June 30, 2017) made FY 16 General Fund expenditure modifications of \$195.8 million in various agencies and accounts. The Governor's FY 17 budget includes the rollout of \$90.5 million of the FY 16 DMP across various agencies.

Governor

Reduce funding by \$1,133,644 in FY 17 to reflect the rollout of expenditure reductions in PA 15-1 DSS.

Final

Same as Governor

Distribute Lapses

Personal Services	(10,862,268)	(10,862,268)	-
Other Expenses	(1,398,825)	(1,398,825)	-
Workers' Compensation Claims	(385,574)	(385,574)	-
Inmate Medical Services	(1,376,135)	(1,376,135)	-
Board of Pardons and Paroles	(38,855)	(38,855)	-
Total - General Fund	(14,061,657)	(14,061,657)	-

Background

The Original FY 16 - 17 Biennial Budget included bottom line savings targets (lapses) totaling \$95,110,616 in FY 16 and \$100,816,745 in FY 17. These savings targets were allocated to agencies via holdbacks in FY 16.

Governor

Reduce funding by \$14,061,657 to reflect the allocation of these lapses in the FY 17 revised budget.

Final

Same as Governor

Transfer Funding to Agencies for Fringe Benefits

Agency Operations	157,754,834	-	(157,754,834)
Total - General Fund	157,754,834	-	(157,754,834)

Background

Funding for fringe benefits for General Fund and Special Transportation Fund supported employees are budgeted centrally in the State Comptroller-Fringe Benefit accounts.

Governor

Transfer funding of \$157,754,834 from the Office of the State Comptroller to the Agency Operations account to support fringe benefit costs for employers' social security and Medicare taxes, active employee health, and the normal retirement costs for employees whose earnings are supported by the General Fund and the Special Transportation Fund.

Final

Maintain funding for fringe benefits within the Office of the State Comptroller.

Account	Governor Revised FY 17	Final FY 17	Difference from Governor
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Carry Forward

Carry Forward for Stress Management

Stress Management	-	86,401	86,401
Total - Carry Forward Funding	-	86,401	86,401

Background

The Stress Management account is contractually mandated and provides funding for programs and services for correction officers.

Final

Pursuant to Article 29 of the NP-4 Contract, an estimated \$86,401 is carried forward into FY 16 in the Stress Management account.

Totals

Budget Components	Governor Revised FY 17	Final FY 17	Difference from Governor
Original Appropriation - GF	690,639,268	690,639,268	-
Policy Revisions	89,732,135	(67,579,711)	(157,311,846)
Total Recommended - GF	780,371,403	623,059,557	(157,311,846)

Positions	Governor Revised FY 17	Final FY 17	Difference from Governor
Original Appropriation - GF	6,141	6,141	-
Policy Revisions	(24)	(24)	-
Total Recommended - GF	6,117	6,117	-

Other Significant Legislation

PA 16-2, An Act Adjusting the State Budget for the Biennium Ending June 30, 2017

Sections 26, 34, 35, and 36 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 17 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 16-2. This includes an Unallocated Lapse of \$6,230,590 and a Targeted Lapse of \$4,462,652. See the FY 17 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	399,926,993	(3,999,269)	395,927,724	1.00%
Other Expenses	71,015,325	(1,950,430)	69,064,895	2.75%
Workers' Compensation Claims	23,677,850	(710,335)	22,967,515	3.00%
Inmate Medical Services	85,297,457	(2,558,923)	82,738,534	3.00%

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Board of Pardons and Paroles	7,165,288	(214,958)	6,950,330	3.00%
Program Evaluation	254,669	(179,669)	75,000	70.55%
Aid to Paroled and Discharged Inmates	7,623	(4,623)	3,000	60.65%
Legal Services To Prisoners	773,446	(23,203)	750,243	3.00%
Volunteer Services	137,180	(7,720)	129,460	5.63%
Community Support Services	34,803,726	(1,044,112)	33,759,614	3.00%