

Office of Higher Education

DHE66500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	21	27	27	27	27	27

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	1,642,006	1,712,774	1,800,433	1,800,433	1,800,433	1,800,433
Other Expenses	131,912	105,586	100,307	100,307	173,987	100,307
Equipment	0	1	0	0	0	0
Other Current Expenses						
Minority Advancement Program	1,294,979	2,181,737	2,188,526	2,188,526	2,188,526	2,188,526
Alternate Route to Certification	85,721	92,840	0	0	97,720	97,720
National Service Act	191,431	325,210	315,756	315,756	295,904	299,969
International Initiatives	66,453	66,500	0	0	0	0
Minority Teacher Incentive Program	401,779	447,806	447,806	447,806	447,806	447,806
English Language Learner Scholarship	0	95,000	0	0	0	0
Other Than Payments to Local Governments						
Awards to Children of Deceased/ Disabled Veterans	0	3,800	0	0	0	0
Governor's Scholarship	39,642,374	42,023,498	37,423,498	34,423,498	39,638,381	41,023,498
Nonfunctional - Change to Accruals	123,784	13,109	0	0	0	0
Agency Total - General Fund	43,580,439	47,067,861	42,276,326	39,276,326	44,642,757	45,958,259
Additional Funds Available						
Carry Forward Funding	0	0	0	0	4,346,211	0
Agency Grand Total	43,580,439	47,067,861	42,276,326	39,276,326	48,988,968	45,958,259

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

*Current Services***Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	87,659	0	87,659	0	0	0	0
Minority Advancement Program	0	6,789	0	6,789	0	0	0	0
Alternate Route to Certification	0	4,880	0	4,880	0	0	0	0
National Service Act	0	6,806	0	6,806	0	0	0	0
International Initiatives	0	2,512	0	2,512	0	0	0	0
Total - General Fund	0	108,646	0	108,646	0	0	0	0

Governor

Provide funding of \$108,646 in both FY 16 and FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Other Expenses	0	2,030	0	4,622	0	0	0	0
Total - General Fund	0	2,030	0	4,622	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$2,030 in FY 16 and an additional \$2,592 in FY 17 (for a cumulative total of \$4,622 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions

Reduce Funding for the Governor's Scholarship Program

Governor's Scholarship	0	(2,385,117)	0	(1,000,000)	0	2,214,883	0	6,600,000
Total - General Fund	0	(2,385,117)	0	(1,000,000)	0	2,214,883	0	6,600,000

Background

PA 13-247 established the Governor's Scholarship Program as a single, consolidated state financial aid program for Connecticut residents who are undergraduates at in-state public and private higher education institutions. The program replaced the state's previous undergraduate student aid programs: Connecticut Aid to Public College Students (CAPCS), Connecticut Independent College Student Grant (CICSG), the Capitol Scholarship, and Connecticut Aid to Charter Oak State College.

In FY 14, 11,328 eligible students received grants totaling \$25,573,155, with an average award of \$2,256 per student. An additional 21,015 eligible students did not receive funding.

Governor

Reduce funding by \$4.6 million in FY 16 and \$7.6 million in FY 17 for the Governor's Scholarship Program. The reduction will be achieved by eliminating new awards to students attending private institutions of higher education.

Legislative

Reduce funding by \$2,385,117 in FY 16 and \$1.0 million in FY 17 for the Governor's Scholarship Program.

Provide Funding for Remedial Education Study

Other Expenses	0	75,000	0	0	0	75,000	0	0
Total - General Fund	0	75,000	0	0	0	75,000	0	0

Legislative

Provide funding of \$75,000 in FY 16 to contract with an independent evaluator to study the issue of remedial education within the Community College System.

Eliminate Various Programs

International Initiatives	0	(69,012)	0	(69,012)	0	0	0	0
English Language Learner Scholarship	0	(95,000)	0	(95,000)	0	0	0	0
Awards to Children of Deceased/Disabled Veterans	0	(3,800)	0	(3,800)	0	0	0	0
Total - General Fund	0	(167,812)	0	(167,812)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

- International Initiatives is an exchange program providing opportunities for college and university students from all disciplines to receive credit for studies at institutions in the partner-state, and promotes the larger goals of economic development and cultural understanding.
- The English Language Learner program was established in Section 222 of PA 12-1, JSS the budget implementer. The program provides scholarships up to \$5,000 to students pursuing degrees as teachers who receive training in English language learners.
- The Awards to Children of Deceased/Disabled Veterans program provides assistance to any needy student between the ages of 16 and 23 who is the child of a person who served in the armed forces in the time of war, and who was killed or died as a result of accident or illness sustained while performing such duty, has been rated totally and permanently disabled or is missing in action in Vietnam. Annual grant amounts are \$400 per student. Such aid is paid directly to the designated institution with vouchers provided by the Office of Higher Education.

Governor

Reduce funding of \$167,812 in both FY 16 and FY 17 to reflect the elimination of the following programs: International Initiatives, English Language Learners, and Awards to Children of Deceased/Disabled Veterans.

Legislative

Same as Governor

Adjust Funding for Alternate Route to Certification

Alternate Route to Certification	0	0	0	0	0	97,720	0	97,720
Total - General Fund	0	0	0	0	0	97,720	0	97,720

Background

The Alternate Route to Certification (ARC) is a teacher preparation program that annually prepares 220 adults who are looking to change careers and enter the teaching profession.

The ARC program generates revenue from program fees and pays for instructors, facility costs, administrative support, and accreditation. The current balance of the ARC account is approximately \$690,000.

Governor

Reduce funding by \$97,720 in both FY 16 and FY 17, for the Alternate Route to Certification Program. The difference in funding will be paid using student tuition and fees.

Legislative

Maintain funding of \$97,720 in both FY 16 and FY 17 for the Alternate Route to Certification program..

Reduce Funding for the National Service Act

National Service Act	0	(15,787)	0	(15,787)	0	(15,787)	0	(15,787)
Total - General Fund	0	(15,787)	0	(15,787)	0	(15,787)	0	(15,787)

Background

The National Service Act account provides a federal match for administrative expenses and support of Connecticut's Commission on National and Community Service. State funds are also awarded to programs to help meet the 15% community organization share of the program participation costs. State funds underwrite implementation, administration, outreach, and evaluation of Connecticut's State Employee Mentoring and Tutoring program and additional efforts to create mentoring and tutoring initiatives.

Legislative

Reduce funding by \$15,787 in both FY 16 and FY 17 to reflect a 5% reduction.

Rollout of FY 15 Rescissions and Various Other Accounts

Other Expenses	0	(6,599)	0	(5,279)	0	(1,320)	0	0
National Service Act	0	(20,325)	0	(16,260)	0	(4,065)	0	0
Total - General Fund	0	(26,924)	0	(21,539)	0	(5,385)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$21,539 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$21,539 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$5,385 in FY 16.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(13,109)	0	(13,109)	0	0	0	0
Total - General Fund	0	(13,109)	0	(13,109)	0	0	0	0

Governor

Reduce funding by \$13,109 in FY 16 and \$13,109 in FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(2,030)	0	(4,622)	0	0	0	0
Total - General Fund	0	(2,030)	0	(4,622)	0	0	0	0

Governor

Reduce Other Expenses by \$2,030 in FY 16 and \$4,622 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward**Carry Forward for Minority Advancement**

Minority Advancement Program	0	825,192	0	0	0	825,192	0	0
Total - Carry Forward Funding	0	825,192	0	0	0	825,192	0	0

Legislative

Pursuant to CGS 4-89(f), \$825,192 is carried forward from FY 15 into FY 16 for purposes of the program.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward for Financial Assistance

Governor's Scholarship	0	3,521,019	0	0	0	3,521,019	0	0
Total - Carry Forward Funding	0	3,521,019	0	0	0	3,521,019	0	0

Legislative

Pursuant to CGS 4-89(f) \$3,521,019 is carried forward from FY 15 into FY 16 for the Governor's Scholarship Program, for purposes of student financial assistance.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	27	47,067,861	27	47,067,861	0	0	0	0
Current Services	0	110,676	0	113,268	0	0	0	0
Policy Revisions	0	(2,535,780)	0	(1,222,870)	0	2,366,431	0	6,681,933
Total Recommended - GF	27	44,642,757	27	45,958,259	0	2,366,431	0	6,681,933

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$11,913 and a General Employee Lapse of \$10,688. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$2,609. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	1,800,433	(15,140)	1,785,293	0.84%
Other Expenses	173,987	(2,609)	171,378	1.50%
Minority Advancement Program	2,188,526	(7,461)	2,181,065	0.34%