

## Office of Governmental Accountability

## OGA17000

## Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	89	89	90	90	92	92

## Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	615,219	798,528	846,468	857,351	826,468	837,351
Other Expenses	102,099	72,220	82,220	84,720	57,220	59,720
Equipment	0	1	0	0	0	0
<b>Other Current Expenses</b>						
Child Fatality Review Panel	88,849	101,255	107,668	107,915	107,668	107,915
Information Technology Initiatives	31,588	31,588	40,000	40,000	31,588	31,588
Citizens' Election Fund Admin	1,528,274	1,948,699	0	0	0	0
Elections Enforcement Commission	1,511,793	1,491,161	3,631,915	3,695,456	3,624,215	3,675,456
Office of State Ethics	1,363,901	1,505,762	1,629,844	1,671,905	1,580,644	1,600,405
Freedom of Information Commission	1,490,674	1,657,036	1,734,020	1,747,450	1,726,320	1,735,450
Contracting Standards Board	124,812	302,263	314,368	314,932	314,368	302,932
Judicial Review Council	113,084	140,863	146,265	148,294	146,265	148,294
Judicial Selection Commission	81,498	89,956	93,100	93,279	93,100	93,279
Office of the Child Advocate	463,603	542,593	562,664	563,559	714,642	712,546
Office of the Victim Advocate	398,003	443,338	462,544	465,972	462,544	460,972
Board of Firearms Permit Examiners	81,214	120,591	127,959	128,422	127,959	128,422
<b>Nonfunctional - Change to Accruals</b>	<b>90,517</b>	<b>54,374</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Agency Total - General Fund</b>	<b>8,085,128</b>	<b>9,300,228</b>	<b>9,779,035</b>	<b>9,919,255</b>	<b>9,813,001</b>	<b>9,894,330</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	4,040	0
<b>Agency Grand Total</b>	<b>8,085,128</b>	<b>9,300,228</b>	<b>9,779,035</b>	<b>9,919,255</b>	<b>9,817,041</b>	<b>9,894,330</b>

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

## Adjust Funding to Reflect Wage &amp; Compensation Related Costs

Personal Services	0	47,940	0	58,823	0	0	0	0
Child Fatality Review Panel	0	6,413	0	6,660	0	0	0	0
Citizens' Election Fund Admin	0	87,507	0	111,371	0	0	0	0
Elections Enforcement Commission	0	91,500	0	106,259	0	0	0	0
Office of State Ethics	0	87,582	0	106,643	0	0	0	0
Freedom of Information Commission	0	81,984	0	95,414	0	0	0	0
Contracting Standards Board	0	14,105	0	14,669	0	0	0	0
Judicial Review Council	0	6,902	0	8,931	0	0	0	0
Judicial Selection Commission	0	4,644	0	4,823	0	0	0	0
Office of the Child Advocate	0	23,071	0	23,966	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Office of the Victim Advocate	0	22,706	0	26,134	0	0	0	0
Board of Firearms Permit Examiners	0	3,422	0	3,553	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>477,776</b>	<b>0</b>	<b>567,246</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$477,776 in FY 16 and \$567,246 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirements**

Other Expenses	0	15,000	0	17,500	0	0	0	0
Citizens' Election Fund Admin	0	14,816	0	33,010	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>29,816</b>	<b>0</b>	<b>50,510</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$29,816 in FY 16 and \$50,510 in FY 17 in various accounts to reflect anticipated expenditure requirements.

**Legislative**

Same as Governor

**Adjust Funding for Ethics Initiatives**

Office of State Ethics	0	0	0	0	0	(41,500)	0	(59,500)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(41,500)</b>	<b>0</b>	<b>(59,500)</b>

**Governor**

Provide funding of \$41,500 in FY 16 and \$59,500 in FY 17 to the Office of State Ethics for education programs and lobbyist registration initiatives.

**Legislative**

Do not provide funding for anniversary, education, and lobbyist registration initiatives in the Office of State Ethics.

**Provide Funding for Position Reclassification**

Citizens' Election Fund Admin	0	3,232	0	9,956	0	0	0	0
Board of Firearms Permit Examiners	1	5,446	1	5,778	0	0	0	0
<b>Total - General Fund</b>	<b>1</b>	<b>8,678</b>	<b>1</b>	<b>15,734</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide one position and funding of \$8,678 in FY 16 and \$15,734 in FY 17 to reflect a reclassification of one position from part-time to full-time in the Board of Firearms Permit Examiners and also the reclassification of an elections enforcement trainee.

**Legislative**

Same as Governor

**Adjust Funding for Lobbyist Registration**

Information Technology Initiatives	0	0	0	0	0	(8,412)	0	(8,412)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(8,412)</b>	<b>0</b>	<b>(8,412)</b>

**Governor**

Provide funding of \$8,412 in both FY 16 and FY 17 in IT Initiatives to reflect anticipated expenditure requirements. These costs include upgrades to the lobbyist registration system.

**Legislative**

Do not provide funding for upgrades to the lobbyist registration system.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Apply Inflationary Increases

Other Expenses	0	1,666	0	3,795	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,666</b>	<b>0</b>	<b>3,795</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

### Governor

Increase funding for Other Expenses by \$1,666 in FY 16 and an additional \$2,129 in FY 17 (for a cumulative total of \$3,795 in the second year) to reflect inflationary increases.

### Legislative

Same as Governor

### Policy Revisions

### Reduce Accounts in Excess of Current Services

Personal Services	0	(20,000)	0	(20,000)	0	(20,000)	0	(20,000)
Other Expenses	0	(25,000)	0	(25,000)	0	(25,000)	0	(25,000)
Elections Enforcement Commission	0	(7,700)	0	(20,000)	0	(7,700)	0	(20,000)
Office of State Ethics	0	(7,700)	0	(12,000)	0	(7,700)	0	(12,000)
Freedom of Information Commission	0	(7,700)	0	(12,000)	0	(7,700)	0	(12,000)
Contracting Standards Board	0	0	0	(12,000)	0	0	0	(12,000)
Office of the Child Advocate	0	0	0	(5,000)	0	0	0	(5,000)
Office of the Victim Advocate	0	0	0	(5,000)	0	0	0	(5,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(68,100)</b>	<b>0</b>	<b>(111,000)</b>	<b>0</b>	<b>(68,100)</b>	<b>0</b>	<b>(111,000)</b>

### Legislative

Reduce funding in various accounts by \$68,100 in FY 16 and \$110,000 in FY 17 to achieve savings targets.

### Transfer Funding to Reflect Consolidation of Accounts

Citizens' Election Fund Admin	0	(2,049,254)	0	(2,098,036)	0	0	0	0
Elections Enforcement Commission	0	2,049,254	0	2,098,036	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Governor

Transfer funding of \$2,049,254 in FY 16 and \$2,098,036 in FY 17 to reflect the consolidation of Elections Enforcement Commission accounts.

### Legislative

Same as Governor

### Provide Funding for Positions in OCA

Office of the Child Advocate	2	151,978	2	153,987	2	151,978	2	153,987
<b>Total - General Fund</b>	<b>2</b>	<b>151,978</b>	<b>2</b>	<b>153,987</b>	<b>2</b>	<b>151,978</b>	<b>2</b>	<b>153,987</b>

### Legislative

Provide funding of \$151,978 in FY 16 and \$153,987 in FY 17 for one clinical specialist position and one attorney position in the Office of the Child Advocate.

### Eliminate Inflationary Increases

Other Expenses	0	(1,666)	0	(3,795)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,666)</b>	<b>0</b>	<b>(3,795)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce various accounts by \$1,666 in FY 16 and \$3,795 in FY 17 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Reduce Funding to Reflect Procurement Savings**

Other Expenses	0	(5,000)	0	(5,000)	0	0	0	0
Contracting Standards Board	0	(2,000)	0	(2,000)	0	0	0	0
Judicial Review Council	0	(1,500)	0	(1,500)	0	0	0	0
Judicial Selection Commission	0	(1,500)	0	(1,500)	0	0	0	0
Office of the Child Advocate	0	(3,000)	0	(3,000)	0	0	0	0
Office of the Victim Advocate	0	(3,500)	0	(3,500)	0	0	0	0
Board of Firearms Permit Examiners	0	(1,500)	0	(1,500)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(18,000)</b>	<b>0</b>	<b>(18,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$18,000 in both FY 16 and FY 17 in various accounts to reflect anticipated savings due to cooperative purchasing practices.

**Legislative**

Same as Governor

**Reduce Funding to Reflect Watchdog Savings Initiatives**

Citizens' Election Fund Admin	0	(5,000)	0	(5,000)	0	0	0	0
Office of State Ethics	0	(5,000)	0	0	0	0	0	0
Freedom of Information Commission	0	(5,000)	0	(5,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(15,000)</b>	<b>0</b>	<b>(10,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$15,000 in FY 16 and \$10,000 in FY 17 to reflect implementation of savings initiatives by the Office of State Ethics, Freedom of Information Commission, and Elections Enforcement Commission.

**Legislative**

Same as Governor

**Obtain Equipment through the CEPF**

Equipment	0	(1)	0	(1)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1)</b>	<b>0</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

**Governor**

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(54,374)	0	(54,374)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(54,374)</b>	<b>0</b>	<b>(54,374)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$54,374 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

#### Legislative

Same as Governor

### Carry Forward

#### Carry Forward For Information Technology Services

Citizens' Election Fund Admin	0	4,040	0	0	0	4,040	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>4,040</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,040</b>	<b>0</b>	<b>0</b>

#### Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$4,040 is carried forward from FY 15 into FY 16 in the Elections Enforcement account to fund payment of invoices for information technology services.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	89	9,300,228	89	9,300,228	0	0	0	0
Current Services	1	517,936	1	637,285	0	(49,912)	0	(67,912)
Policy Revisions	2	(5,163)	2	(43,183)	2	83,878	2	42,987
<b>Total Recommended - GF</b>	<b>92</b>	<b>9,813,001</b>	<b>92</b>	<b>9,894,330</b>	<b>2</b>	<b>33,966</b>	<b>2</b>	<b>(24,925)</b>

### Other Significant Legislation

#### SA 15-14, An Act Establishing A Pilot Program For Municipal Campaign Finance Filings.

The act establishes a temporary pilot program, administered by the State Elections Enforcement Commission, to assist with the filing of municipal campaign finance forms for up to twenty municipalities. The pilot program terminates on April 6, 2018.

#### PA 15-244, An Act Concerning The State Budget For The Biennium Ending June 30, 2017, And Making Appropriations Therefor, And Other Provisions Related To Revenue, Deficiency Appropriations And Tax Fairness And Economic Development.

Section 34 transfers \$182,000 in FY 16 and \$332,000 in FY 17 from the Citizens' Election Fund to the Secretary of the State for various election related purposes. Also, section 55 transfers \$2.25 million in FY 15 from the Citizens' Election Fund to the General Fund.

### **PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$28,988, a General Employee Lapse of \$42,829, and Overtime Savings of \$421. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

### **PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State**

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$134,792. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	826,468	(8,558)	817,910	1.04%
Other Expenses	57,220	(858)	56,362	1.50%
Child Fatality Review Panel	107,668	(3,482)	104,186	3.23%
Information Technology Initiatives	31,588	(473)	31,115	1.50%
Elections Enforcement Commission	3,624,215	(71,238)	3,552,977	1.97%
Office of State Ethics	1,580,644	(35,839)	1,544,805	2.27%
Freedom of Information Commission	1,726,320	(38,896)	1,687,424	2.25%
Contracting Standards Board	314,368	(9,472)	304,896	3.01%
Judicial Review Council	146,265	(3,577)	142,688	2.45%
Judicial Selection Commission	93,100	(2,776)	90,324	2.98%
Office of the Child Advocate	714,642	(17,972)	696,670	2.51%
Office of the Victim Advocate	462,544	(10,336)	452,208	2.23%
Board of Firearms Permit Examiners	127,959	(3,553)	124,406	2.78%