

Office of Early Childhood OEC64800

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	31	109	116	116	116	116

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	2,426,128	6,648,427	8,785,880	8,876,246	8,785,880	8,876,246
Other Expenses	422,180	8,649,093	349,943	349,943	349,943	349,943
Equipment	0	1	0	0	0	0
Other Current Expenses						
Children's Trust Fund	11,671,218	11,671,218	10,232,306	10,232,306	11,206,751	11,206,751
Early Childhood Program	6,747,998	11,235,264	10,609,270	10,609,270	10,840,145	10,840,145
Early Intervention	0	0	24,686,804	24,686,804	24,686,804	24,686,804
Early Childhood Advisory Cabinet	0	15,000	0	0	0	0
Community Plans for Early Childhood	599,982	750,000	0	0	703,125	712,500
Improving Early Literacy	150,000	150,000	0	0	140,625	142,500
Child Care Services	17,296,986	19,422,345	18,614,289	18,614,289	18,701,942	19,081,942
Evenstart	475,000	475,000	451,250	451,250	445,312	451,250
Other Than Payments to Local Governments						
Head Start Services	2,610,743	2,710,743	5,630,593	5,630,593	5,630,593	5,630,593
Head Start Enhancement	1,684,350	1,734,350	0	0	0	0
Child Care Services-TANF/CCDBG	0	116,717,658	125,816,808	127,016,808	120,930,084	122,130,084
Child Care Quality Enhancements	3,078,575	3,259,170	3,098,212	3,098,212	3,107,472	3,148,212
Head Start - Early Childhood Link	2,090,000	2,090,000	0	0	693,875	720,000
Early Head Start-Child Care Partnership	0	0	1,300,000	1,300,000	1,300,000	1,300,000
Other Than Payments to Local Governments						
School Readiness Quality Enhancement	2,160,408	5,195,645	4,935,863	4,935,863	4,111,135	4,676,081
School Readiness	73,050,289	78,203,282	81,630,709	81,630,709	83,399,834	83,399,834
Nonfunctional - Change to Accruals	149,313	1,959,671	0	0	0	0
Agency Total - General Fund	124,613,170	270,886,867	296,141,927	297,432,293	295,033,520	297,352,885
Additional Funds Available						
Carry Forward Funding	0	0	0	0	1,925,086	0
Federal Funds	15,452,606	16,374,961	20,039,843	20,044,730	20,039,843	20,044,730
Agency Grand Total	140,065,776	287,261,828	316,181,770	317,477,023	316,998,449	317,397,615

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Personal Services	0	1,449,099	0	1,537,159	0	0	0	0
Total - General Fund	0	1,449,099	0	1,537,159	0	0	0	0

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,449,099	0	1,537,159	0	0	0	0
Total - General Fund	0	1,449,099	0	1,537,159	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$1,449,099 in FY 16 and \$1,537,159 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	23,041	0	52,414	0	0	0	0
Total - General Fund	0	23,041	0	52,414	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$23,041 in FY 16 and an additional \$29,373 in FY 17 (for a cumulative total of \$52,414 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding to Comply with Statutory Requirements

Early Childhood Program	0	761,195	0	761,195	0	0	0	0
Total - General Fund	0	761,195	0	761,195	0	0	0	0

Background

This is the competitive portion of the Early Childhood (School Readiness) Grant. Children, ages three and four, receive either a part-day, school-day or full-day school readiness program in school districts that have a severe need school in participating districts where 40% or more of students are in the reduced price or free lunch program. The grant floor is currently \$107,000.

Governor

Provide funding of \$761,195 in FY 16 and FY 17 to support administrative costs for grantees under the Early Childhood Program, per CGS 10-16p(g).

Legislative

Same as Governor

Annualize Funding for FY 15 Pre-K Seats

Early Childhood Program	0	(1,387,189)	0	(1,387,189)	0	0	0	0
School Readiness	0	3,427,427	0	3,427,427	0	0	0	0
Total - General Fund	0	2,040,238	0	2,040,238	0	0	0	0

Background

The FY 15 Revised Budget included funding to support 1,020 additional Pre-Kindergarten spaces and a 3.9 percent increase in the full-day, full-year rate (bringing the rate from \$8,346 to \$8,670 per space). Sections 14, 84 and 85 of PA 14-39, An Act Establishing the Office of Early Childhood, Expanding Opportunities for Early Childhood Education and Concerning Dyslexia and Special Education, are related to this change.

Governor

Adjust funding by \$2,040,238 in FY 16 and FY 17 to reflect expenditure requirements for Pre-K seats funded in FY 15.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Collective Bargaining Agreement

Child Care Services-TANF/CCDBG	0	2,100,000	0	3,300,000	0	0	0	0
Total - General Fund	0	2,100,000	0	3,300,000	0	0	0	0

Background

PA 12-33 enabled family child care providers and Personal Care Attendants (PCAs) working in state-funded programs to collectively bargain. Section 159 of PA 14-217, the budget implementer, approved the provisions of the collective bargaining agreement between the Office of Early Childhood and the Connecticut State Employees Association (CSEA-SEIU Local 2001).

Governor

Provide funding of \$2.1 million in FY 16 and \$3.3 million in FY 17 to the Care4Kids program to meet the requirements of the collective bargaining agreement.

Legislative

Same as Governor

Transfer Funding from DSS for Private Provider COLA

Child Care Quality Enhancements	0	2,000	0	2,000	0	0	0	0
Total - General Fund	0	2,000	0	2,000	0	0	0	0

Governor

Transfer funding of \$2,000 in FY 16 and FY 17 from the Department of Social Services (DSS) to reflect the COLA for private providers.

Legislative

Same as Governor

Policy Revisions

Reallocate Funding to Support School Readiness Rate Increase

Early Childhood Program	0	230,875	0	230,875	0	230,875	0	230,875
Child Care Services-TANF/CCDBG	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)
School Readiness	0	1,769,125	0	1,769,125	0	1,769,125	0	1,769,125
Total - General Fund	0	(3,000,000)	0	(3,000,000)	0	(3,000,000)	0	(3,000,000)

Background

In FY 15, the Child Care Services- TANF/CCDBG line item is estimated to lapse approximately \$5 million after a \$6 million rescission in April 2015, due to declining caseload and associated expenditures.

Legislative

Transfer funding of \$2 million in both FY 16 and FY 17 from Care4Kids to increase the full-day full-year school readiness rate from up to \$8,670 to up to \$8,927. Section 324 of PA 15-5 JSS, a budget implementer, is related to this change. In addition, reduce Care4Kids funding by \$3 million.

Provide Funding for Professional Development

Child Care Quality Enhancements	0	50,000	0	50,000	0	50,000	0	50,000
Total - General Fund	0	50,000	0	50,000	0	50,000	0	50,000

Legislative

Provide funding of \$50,000 in both FY 16 and FY 17 to Wintonbury Early Childhood Magnet school to provide teacher training materials for professional development.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Child Care from DSS

Child Care Services-TANF/CCDBG	0	113,276	0	113,276	0	113,276	0	113,276
Total - General Fund	0	113,276	0	113,276	0	113,276	0	113,276

Legislative

Transfer funding of \$113,276 in both FY 16 and FY 17 from DSS to support the Care4Kids program..

Reduce Funding for School Readiness Quality Enhancement

School Readiness Quality Enhancement	0	(759,782)	0	(259,782)	0	(759,782)	0	(259,782)
Total - General Fund	0	(759,782)	0	(259,782)	0	(759,782)	0	(259,782)

Legislative

Reduce funding by \$759,782 in FY 16 and \$259,782 in FY 17 to achieve savings in School Readiness and Quality Enhancement (SRQE).

Adjust Funding for Various Programs

Early Childhood Advisory Cabinet	0	(14,250)	0	(14,250)	0	0	0	0
Community Plans for Early Childhood	0	0	0	0	0	712,500	0	712,500
Improving Early Literacy	0	0	0	0	0	142,500	0	142,500
Head Start - Early Childhood Link	0	(80,000)	0	(80,000)	0	720,000	0	720,000
Total - General Fund	0	(94,250)	0	(94,250)	0	1,575,000	0	1,575,000

Background

- The Early Childhood Advisory Cabinet advises the Office of Early Childhood (OEC) and is situated in OEC for administrative purposes only. The OEC Commissioner serves as the co-chair.
- Community Plans for Early Childhood provides matching funds to 36 partnership grants for 40 Discovery communities to support their local early childhood councils or collaboratives. Funding is matched by the Graustein Memorial Fund and Connecticut Children's Fund. FY 14 expenditures totaled approximately \$600,000. The majority of individual grant awards ranged from \$15,789 (for 62.1% of recipients) and \$31,578 (for 27.6% of recipients).
- Improving Early Literacy aims to strengthen the relationship between school- and community-based early literacy efforts and improve kindergarten transitions. The program is also supported by the Graustein Memorial Fund. In FY 14, the following six grantees received \$25,000 each: Community Foundation for Greater New Britain, Education Connecticut in Litchfield, Middlesex United Way, Community Foundation for Greater New Haven, United Community and Family Services (Norwich), and United Way of Coastal Fairfield County.
- Head Start- Early Childhood Link supported 12 providers in FY 14 with approximately \$2.3 million. The Action for Bridgeport Community Development (ABCD) Program received 50% of this funding.

Governor

Funding is reduced by \$1,669,250 in FY 16 and FY 17 to reflect the elimination of funding to the ABCD Program in Bridgeport, as well as the Early Childhood Advisory Cabinet, Improving Early Literacy, and Community Plans for Early Childhood line items.

Legislative

Reduce funding by \$94,250 in both FY 16 and FY 17 to reflect the elimination of funding for the Early Childhood Advisory Cabinet and a 10% reduction to Head Start- Early Childhood Link. Up to \$400,000 of funding in this line item will support the Action for Bridgeport Community Development (ABCD) program, while the remaining funding will support other Head Start services. Funding of \$855,000 is maintained for Community Plans for Early Childhood and Improving Early Literacy.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for Programs Under the Children's Trust Fund

Children's Trust Fund	0	(264,467)	0	(264,467)	0	974,445	0	974,445
Total - General Fund	0	(264,467)	0	(264,467)	0	974,445	0	974,445

Background

- Help Me Grow is a prevention initiative that identifies and refers young children with behavioral health, development and psychosocial needs to community-based services. The program serves children who may not be eligible for the state's Birth to Three or Preschool Special Education programs, yet are still at risk for developmental issues.
- Family School Connection provides home visitation and support services for families of children who have been identified as having truancy, academic, and/or behavior issues. The program works to improve parenting skills, address basic needs and improve family's functioning through home visits and participation in groups.
- The Family Empowerment Program includes seven prevention programs that assist high-risk groups of parents with children of various ages. The programs are co-located in various settings where families may be addressing other issues including a substance abuse treatment center, a prison, a domestic violence shelter, a child guidance clinic, and a hospital.

Governor

Reduce funding by \$1,238,912 in FY 16 and FY 17 to reflect the elimination of the Help Me Grow, Family School Connection, and Family Empowerment programs under the Children's Trust Fund (CTF). Remaining CTF funding supports the Nurturing Families Network program.

Legislative

Reduce funding by \$264,467 in both FY 16 and FY 17 to reflect a 10% reduction to programs under the Children's Trust Fund, as well as the elimination of funding associated with unidentified contracts.

Reduce Funding for Child Care Services

Child Care Services	0	(720,403)	0	(340,403)	0	87,653	0	467,653
Total - General Fund	0	(720,403)	0	(340,403)	0	87,653	0	467,653

Background

Child Care Services supports state-funded Child Day Care Centers, which serve low- to moderate-income families. The centers serve infants and toddlers, preschool age children, and school-age children. The majority of families must be working to receive child care assistance. The Child Day Care Centers also receive support under the Social Services Block Grant.

Governor

Reduce funding by \$808,056 in FY 16 and FY 17 to reflect the elimination of school age funding under the Child Care Services program. This applies to 366 spaces across approximately 22 sites.

Legislative

Reduce funding by \$720,403 in FY 16 and \$340,403 in FY 17 to reflect a reduction to the Child Care Services line item.

Rollout of FY 15 Rescissions and Reduce Various Accounts

Children's Trust Fund	0	(200,000)	0	(200,000)	0	0	0	0
Early Childhood Advisory Cabinet	0	(750)	0	(750)	0	0	0	0
Community Plans for Early Childhood	0	(46,875)	0	(37,500)	0	(9,375)	0	0
Improving Early Literacy	0	(9,375)	0	(7,500)	0	(1,875)	0	0
Evenstart	0	(29,688)	0	(23,750)	0	(5,938)	0	0
Child Care Quality Enhancements	0	(203,698)	0	(162,958)	0	(40,740)	0	0
Head Start - Early Childhood Link	0	(130,625)	0	(104,500)	0	(26,125)	0	0
School Readiness Quality Enhancement	0	(324,728)	0	(259,782)	0	(64,946)	0	0
Total - General Fund	0	(945,739)	0	(796,740)	0	(148,999)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding by \$796,740 in FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding by \$796,740 in FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$148,999 in FY 16.

Transfer Funding for Birth to Three Program from DDS

Personal Services	7	688,354	7	690,660	0	0	0	0
Early Intervention	0	24,686,804	0	24,686,804	0	0	0	0
Total - General Fund	7	25,375,158	7	25,377,464	0	0	0	0

Background

The Connecticut Birth to Three System assists and strengthens the capacity of families to meet the developmental and health-related needs of their infants and toddlers who have developmental delays or disabilities. The goal of the system is to ensure that all families have equal access to a coordinated program of comprehensive services and supports that foster collaborative partnerships, are family centered, occur in natural settings, recognize current best practices in early intervention, and are built upon mutual respect and choice.

Governor

Transfer funding of \$25,375,158 in FY 16 and \$25,377,464 in FY 17 to reflect the transfer of the Birth to Three program from the Department of Developmental Services (DDS). Funding supports seven staff and the overall programmatic functions of Birth to Three. It should be noted that associated Medicaid funding is transferred to the Department of Social Services (DSS).

Legislative

Same as Governor. Sections 259 through 261 of PA 15-5 JSS, a budget implementer, are related to this change.

Transfer Funding to New Child Care Partnership Grant

Child Care Services-TANF/CCDBG	0	(1,300,000)	0	(1,300,000)	0	0	0	0
Early Head Start-Child Care Partnership	0	1,300,000	0	1,300,000	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Background

Three Connecticut organizations (Training, Education and Manpower, Inc (TEAM) in Derby; LULAC Head Start Inc in New Haven; and United Way of Greater New Haven, Inc.) were awarded federal early head start Childcare Partnership funds. This funding allows each organization to receive a state child care grant for participating families who are not employed or in approved education and training program and therefore, they may not be eligible for Care4Kids. The child care grant would align with Care4Kids subsidy rates. The grant paid to the three organizations would continue as long as the child continues participation in the Early Head Start Community Partnership program until the child reaches age 3. Based on current and projected enrollment levels, the reallocation in funding will have no impact on the children being served in the Care4Kids program.

Governor

Transfer funding of \$1.3 million in FY 16 and FY 17 from the Care4Kids program to the new Early Head Start- Child Care Partnership line item.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Care4Kids Contract Funding from Other Expenses

Other Expenses	0	(8,299,150)	0	(8,299,150)	0	0	0	0
Child Care Services-TANF/CCDBG	0	8,299,150	0	8,299,150	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Governor

Transfer funding of \$8,299,150 in FY 16 and FY 17 from the Other Expenses line item to the Child Care Services- TANF/CCDBG line item. Funding supports a contract with United Way to administer the Care4Kids program, as well as a contract to maintain the IT platform.

Legislative

Same as Governor

Consolidate Separate Head Start Appropriations

Head Start Services	0	2,919,850	0	2,919,850	0	0	0	0
Head Start Enhancement	0	(1,734,350)	0	(1,734,350)	0	0	0	0
Head Start - Early Childhood Link	0	(1,185,500)	0	(1,185,500)	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Background

Head Start is a child development program that serves children from birth to age five and their families. Connecticut programs are administered by community action agencies, local education agencies and other nonprofit agencies.

Governor

Consolidate funding for separate Head Start appropriations into one line item. Please note, funding for Head Start Early Childhood Link is reduced in a previous write-up.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(23,041)	0	(52,414)	0	0	0	0
Total - General Fund	0	(23,041)	0	(52,414)	0	0	0	0

Governor

Reduce various accounts by \$23,041 in FY 16 and \$52,414 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,959,671)	0	(1,959,671)	0	0	0	0
Total - General Fund	0	(1,959,671)	0	(1,959,671)	0	0	0	0

Governor

Reduce funding by \$1,959,671 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward

Carry Forward School Readiness Funding

School Readiness	0	1,000,000	0	0	0	1,000,000	0	0
Total - Carry Forward Funding	0	1,000,000	0	0	0	1,000,000	0	0

Legislative

Carry forward up to \$1 million for School Readiness pursuant to CGS 10-16p(e)(2)(B). Section 3 of PA 15-227 increased the statutory carry forward amount from \$500,000 to \$1 million.

Carry Forward Other Expenses

Other Expenses	0	429,818	0	0	0	429,818	0	0
Total - Carry Forward Funding	0	429,818	0	0	0	429,818	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$429,818 is carried forward to support the completion of a plan to provide school readiness spaces to all eligible children, per section 84 of PA 11-39.

Carry Forward Funding for Professional Development

Child Care Quality Enhancements	0	195,268	0	0	0	195,268	0	0
Total - Carry Forward Funding	0	195,268	0	0	0	195,268	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$195,268 is carried forward for professional development per the SEIU day care union contract (Article 15, Section1).

Carry Forward Funding for Preschool Experience Study

School Readiness Quality Enhancement	0	300,000	0	0	0	300,000	0	0
Total - Carry Forward Funding	0	300,000	0	0	0	300,000	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$300,000 is carried forward to support the completion of the preschool experience study, per section 86 of PA 14-39.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	109	270,886,867	109	270,886,867	0	0	0	0
Current Services	0	6,375,573	0	7,693,006	0	0	0	0
Policy Revisions	7	17,771,080	7	18,773,012	0	(1,108,407)	0	(79,408)
Total Recommended - GF	116	295,033,520	116	297,352,885	0	(1,108,407)	0	(79,408)

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$26,895, a Statewide Hiring Reduction of \$135,019, a General Employee Lapse of \$18,879, and Overtime Savings of \$290. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$5,249. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	8,785,880	(180,035)	8,605,845	2.05%
Other Expenses	349,943	(6,297)	343,646	1.80%