

Department of Developmental Services

DDS50000

Position Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Legislative FY 15	Difference Leg-Gov FY 15
Permanent Full-Time - GF	3,322	3,325	3,327	3,327	3,327	0

Budget Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Legislative FY 15	Difference Leg-Gov FY 15
Personal Services	242,036,768	255,201,408	265,451,852	265,451,852	261,124,459	(4,327,393)
Other Expenses	22,381,746	22,302,444	22,196,100	22,270,288	21,994,085	(276,203)
Equipment	0	1	1	1	1	0
Other Current Expenses						
Human Resource Development	198,361	198,361	198,361	198,361	198,361	0
Family Support Grants	2,960,253	2,860,287	2,860,287	2,860,287	3,460,287	600,000
Cooperative Placements Program	21,771,308	23,088,551	24,079,717	24,079,717	23,982,113	(97,604)
Clinical Services	4,237,996	4,300,720	4,300,720	4,300,720	4,300,720	0
Early Intervention	35,358,370	37,286,804	37,286,804	37,286,804	39,186,804	1,900,000
Community Temporary Support Services	60,753	60,753	60,753	60,753	60,753	0
Community Respite Care Programs	298,137	558,137	558,137	558,137	558,137	0
Workers' Compensation Claims	15,866,912	15,246,035	15,246,035	15,246,035	15,246,035	0
Pilot Program for Autism Services	1,341,276	1,637,528	1,637,528	2,637,528	2,637,528	0
Voluntary Services	30,917,713	32,376,869	32,376,869	32,719,305	32,719,305	0
Supplemental Payments for Medical Services	5,734,023	5,978,116	5,978,116	5,278,116	5,278,116	0
Other Than Payments to Local Governments						
Rent Subsidy Program	4,762,116	5,050,212	5,150,212	5,150,212	5,150,212	0
Family Reunion Program	98,500	121,749	121,749	82,349	82,349	0
Employment Opportunities and Day Services	193,834,053	212,763,749	222,857,347	224,345,696	223,293,347	(1,052,349)
Community Residential Services	423,873,582	435,201,326	453,647,020	453,647,020	458,629,020	4,982,000
Nonfunctional - Change to Accruals	0	982,585	2,500,118	2,536,914	2,764,167	227,253
Agency Total - General Fund	1,005,731,867	1,055,215,635	1,096,507,726	1,098,710,095	1,100,665,799	1,955,704
Additional Funds Available						
Carry Forward Funding	0	0	0	0	600,000	600,000
Agency Grand Total	1,005,731,867	1,055,215,635	1,096,507,726	1,098,710,095	1,101,265,799	2,555,704

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Current Services

Provide Funding to Support HCBS Management System

Other Expenses	0	74,188	0	74,188	0	0
Total - General Fund	0	74,188	0	74,188	0	0

Background

DDS has worked to develop an automated and integrated management information system to support its Home and Community Based Services (HCBS) system since 2011. DDS has been working with the Department of Social Services (DSS) to submit an application to the Centers for Medicaid and Medicare Services (CMS) for enhanced Federal Financial Participation (FFP) under their Advanced Planning Document (APD) process.

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Governor

Provide funding of \$74,188 to support the new Home and Community Based Management System with on-going software maintenance and support associated with the system.

Legislative

Same as Governor

Increase Funding for Birth to Three Program

Early Intervention	0	0	0	1,900,000	0	1,900,000
Total - General Fund	0	0	0	1,900,000	0	1,900,000

Background

DDS is responsible for the administrative oversight of the statewide Birth to Three System to ensure that eligible infant, toddlers and their families receive early intervention services.

Legislative

Provide funding of \$1.9 million in the Early Intervention account to reflect a decrease in commercial insurance receipts and an increase in supplemental services costs which support children with autism spectrum disorder.

Provide Funding for Autism Waiver for DCF Transfers

Voluntary Services	0	342,436	0	342,436	0	0
Total - General Fund	0	342,436	0	342,436	0	0

Background

In 2012, the department received approval for a new Autism Waiver, which will allow federal reimbursement for 50% of the costs of services under the Home and Community Based Services waiver program. Children and adolescents who are currently receiving services through the Department of Children and Families (DCF) Voluntary Services Program (VSP) who have a diagnosis of autism spectrum disorder but do not have intellectual disability may be eligible for the Autism Waiver.

Governor

Provide funding of \$342,436 for children who have autism spectrum disorder and were transferred to DDS from DCF under the Voluntary Services Program. This will fully annualize the original appropriation to serve 40 children under this Waiver.

Legislative

Same as Governor

Reduce Funding for Supplemental Payments for Medical Service

Supplemental Payments for Medical Services	0	(700,000)	0	(700,000)	0	0
Total - General Fund	0	(700,000)	0	(700,000)	0	0

Background

The Supplemental Payments for Medical Services account is used to pay the Intermediate Care Facility/Developmental Disabled (ICF/DD) user fee that is assessed by and paid to the Department of Revenue Services. The Department of Social Services will be able to claim revenue on the DDS payments.

Governor

Reduce funding by \$700,000 in the Supplemental Payments for Medical Services account to reflect a reduction in census related to the ICF/DD user fee.

Legislative

Same as Governor

Reduce Funding for Family Reunion Program

Family Reunion Program	0	(39,400)	0	(39,400)	0	0
Total - General Fund	0	(39,400)	0	(39,400)	0	0

Background

The Family Reunion Program provides a stipend for families who brought their family member home from an institutional setting.

Governor

Reduce funding by \$39,400 to reflect the reduced need in the program.

Legislative

Same as Governor

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Provide Funding for Personal Care Attendant Wage Increase

Employment Opportunities and Day Services	0	1,488,349	0	436,000	0	(1,052,349)
Community Residential Services	0	0	0	982,000	0	982,000
Total - General Fund	0	1,488,349	0	1,418,000	0	(70,349)

Background

PA 12-33 enabled family child care workers and Personal Care Attendants (PCAs) working in state-funded programs to collectively bargain. As of September 2013, for residential services program care there were 1,854 PCAs hired through self-direction of which 1,523 were identified as eligible for an increase. For day services program care, DDS identified 499 PCAs hired and 429 of those eligible for an increase.

Governor

Provide funding of \$1,483,349 in anticipation of a wage increase as a result of union contract costs for Personal Care Attendants (PCAs).

Legislative

Provide funding of \$1,418,000 for union contract costs for Personal Care Attendants (PCAs) funded in the following DDS accounts: 1. Employment and Day Services: \$436,000 and 2. Community Residential Services: \$982,000. Section 159 of PA 14-217, the budget implementer, implements the provisions of the union contract.

Adjust Funding for Revised Estimated GAAP Requirements

Nonfunctional - Change to Accruals	0	36,796	0	36,796	0	0
Total - General Fund	0	36,796	0	36,796	0	0

Background

Each agency's budget includes a line item account called "Nonfunctional - Change to Accruals." This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

Governor

Provide funding of \$36,796 to reflect updated estimates of accruals to comply with GAAP. Across all appropriated funds, there is a net GAAP reduction of \$33.8 million.

Legislative

Same as Governor

Policy Revisions

Provide Funding for Waiting List

Community Residential Services	0	0	0	4,000,000	0	4,000,000
Total - General Fund	0	0	0	4,000,000	0	4,000,000

Background

The DDS Waiting List as of March 2014 is 635. There are 37 individuals designated emergency placement and 598 individuals designated priority one placements. The priority one Waiting List includes 110 individuals with caregivers age 70 and older and 99 individuals with caregivers between the ages of 60 and 69. The average cost of residential services of priority one consumers is estimated to be approximately \$88,000 for a full year. The actual cost varies by the assessed level of need (LON) of the individual. The community residential services are covered under the Home and Community Based Services Waiver and the state receives 50% federal Medicaid reimbursement which is deposited to the General Fund.

Legislative

Provide funding of \$4 million to reflect half year funding of 100 individuals designated priority one placements on the department's Waiting List. The agency is to focus on providing residential services to those individuals with parents or caregivers age 70 and older. The agency is also to maximize existing funded residential vacancies or other less restricted supports to provide additional placements and services to individuals on the Waiting List. The agency is to provide quarterly reports to the Appropriations Committee detailing the number of individuals served, the age of the parents and caregivers, and cost per individual in FY 15, funding source (vacancy, new Wait List funding or other) and Waiting List category (Emergency, Priority 1 or planning list). The report must include the planned and actual placements from the new funding and existing vacancies.

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Reduce Funding to Annualize Projected Lapse

Personal Services	0	0	0	(2,500,000)	0	(2,500,000)
Total - General Fund	0	0	0	(2,500,000)	0	(2,500,000)

Legislative

Reduce funding by \$2.5 million to reflect the annualized FY 14 projected lapse in the Personal Services account.

Provide Funding for Family Support Grants

Family Support Grants	0	0	0	600,000	0	600,000
Total - General Fund	0	0	0	600,000	0	600,000

Background

Family Support Grants are cash subsidies for the purpose of providing family supports or defraying extra-ordinary disability-related expenses. Supports that may be purchased with these subsidies include, but are not limited to, respite, in-home supports, behavioral supports, nursing, medical or clinical supports and transportation. The amount of the subsidy that is available to families is determined based on the needs of the individual and his or her caregivers. Grants typically range from \$600 to \$5,000 per year with an average grant of \$1,700 per year.

Legislative

Provide funding of \$600,000 for family support grants to serve individuals on the agency's Waiting and Planning Lists that are not currently receiving any residential services. Based on the average subsidy it is anticipated that approximately an additional 350 families can be provided subsidies.

Transfer Funding for Early Childhood Autism Waiver from DSS

Pilot Program for Autism Services	0	1,000,000	0	1,000,000	0	0
Total - General Fund	0	1,000,000	0	1,000,000	0	0

Background

The newly established Early Childhood Autism Waiver provides federal reimbursement for in-home behavioral support services provided to children ages three and four who have autism spectrum disorder and very significant adaptive deficits. Funding to support these services was provided in the Department of Social Services (DSS) budget for FY 14 and FY 15 as they are the state's lead agency for Medicaid waiver claims.

Governor

Transfer funding of \$1 million for the Early Childhood Autism Waiver from the DSS to DDS to conform with current practice established through a Memorandum of Understanding between the two agencies.

Legislative

Same as Governor

Distribute Lapses

Personal Services	0	0	0	(1,827,393)	0	(1,827,393)
Other Expenses	0	0	0	(276,203)	0	(276,203)
Cooperative Placements Program	0	0	0	(97,604)	0	(97,604)
Total - General Fund	0	0	0	(2,201,200)	0	(2,201,200)

Background

The Governor's Revised FY 15 budget included a bottom line General Lapse of \$14,243,700, a General Other Expenses Lapse of \$4,000,000, and a Statewide Hiring Reduction Lapse of \$6,796,754. These lapse amounts would have been determined and distributed to agencies by OPM at the beginning of FY 15.

Legislative

Reduce funding by \$1,266,779 to reflect distribution of the General Lapse, \$182,694 for the General Other Expense Lapse, and \$751,727 for the Statewide Hiring Reduction Lapse.

Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	0	0	227,253	0	227,253
Total - General Fund	0	0	0	227,253	0	227,253

Legislative

Adjust funding by \$227,253 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Carry Forward

Carry Forward for Employment Opportunities

Employment Opportunities and Day Services	0	0	0	600,000	0	600,000
Total - Carry Forward Funding	0	0	0	600,000	0	600,000

Legislative

Pursuant to CGS 4-89(c) funding of \$600,000 to pay for obligations related to the agreement with personal care attendants is carried forward from FY 14 to FY 15.

Totals

Budget Components	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$
Original Appropriation - GF	3,327	1,096,507,726	3,327	1,096,507,726	0	0
Current Services	0	1,202,369	0	3,032,020	0	1,829,651
Policy Revisions	0	1,000,000	0	1,126,053	0	126,053
Total Recommended - GF	3,327	1,098,710,095	3,327	1,100,665,799	0	1,955,704

Other Significant Legislation

PA 14-165, An Act Concerning Mandatory Reporting of Abuse and Neglect of Individuals with Autism Spectrum Disorder, the Definition of Abuse, and the Department of Developmental Services Abuse and Neglect Registry

This act creates a process for investigating claims of abuse of people with autism spectrum disorder. It grants specific authority to the Department of Developmental Services (DDS) to investigate reports of abuse of individuals ages 18-60 with autism spectrum disorder receiving services from DDS's Division of Autism Spectrum Disorder Services made against a DDS employee or an employee of any agency, organization, or individual licensed or funded by DDS. It also expands DDS's abuse and neglect registry definition of abuse to include (1) financial exploitation and (2) psychological, verbal, and sexual abuse.

PA 14-47, An Act Making Adjustments to State Expenditures and Revenues for the Fiscal Year Ending June 30, 2015

Sections 30 and 31 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 15 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 14-47. This includes a General Lapse of \$1,102,482 and a Statewide Hiring Reduction Lapse of \$1,271,501. See the FY 15 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	261,124,459	(2,208,244)	258,916,215	0.85%
Other Expenses	21,994,085	(79,286)	21,914,799	0.36%
Cooperative Placements Program	23,982,113	(86,453)	23,895,660	0.36%