

Department of Correction

DOC88000

Position Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Legislative FY 15	Difference Leg-Gov FY 15
Permanent Full-Time - GF	6,348	6,352	6,352	6,352	6,352	0

Budget Summary

Account	Actual FY 13	Governor Estimated FY 14	Original Appropriation FY 15	Governor Revised FY 15	Legislative FY 15	Difference Leg-Gov FY 15
Personal Services	410,990,334	428,511,042	442,986,743	442,616,743	439,548,356	(3,068,387)
Other Expenses	74,424,163	74,249,357	74,224,357	73,932,665	73,313,127	(619,538)
Equipment	0	1	1	1	1	0
Other Current Expenses						
Stress Management	26,245	0	0	0	0	0
Workers' Compensation Claims	26,440,868	26,886,219	26,886,219	26,886,219	26,136,219	(750,000)
Inmate Medical Services	77,429,399	89,713,923	93,932,101	90,267,101	87,767,101	(2,500,000)
Board of Pardons and Paroles	5,509,463	6,174,461	6,490,841	6,490,841	6,464,739	(26,102)
Distance Learning	0	95,000	95,000	0	0	0
Program Evaluation	0	0	0	0	330,000	330,000
Other Than Payments to Local Governments						
Aid to Paroled and Discharged Inmates	2,200	9,026	9,026	9,026	9,026	0
Legal Services To Prisoners	827,065	827,065	827,065	827,065	827,065	0
Volunteer Services	106,650	162,221	162,221	162,221	162,221	0
Community Support Services	39,747,130	41,275,777	41,275,777	41,275,777	41,275,777	0
Nonfunctional - Change to Accruals	0	2,557,575	2,332,019	2,410,724	2,239,331	(171,393)
Agency Total - General Fund	635,503,517	670,461,667	689,221,370	684,878,383	678,072,963	(6,805,420)
Additional Funds Available						
Carry Forward Funding	0	0	0	0	54,915	54,915
Agency Grand Total	635,503,517	670,461,667	689,221,370	684,878,383	678,127,878	(6,750,505)

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

*Current Services***Provide Funding for FY 14 Deficiency**

Other Expenses	0	0	0	300,000	0	300,000
Total - General Fund	0	0	0	300,000	0	300,000

Background

The FY 14 shortfall is primarily due to higher than expected utilization of overtime due to retirements and unforeseen maintenance costs. As of February 1, 2014, hazardous duty retirements have increased 62% over the same period in FY 13. In addition, approximately 1,300 hazardous duty employees, or 25% of all hazardous duty employees, are eligible for retirement by the end of FY 15.

Legislative

Provide funding of \$300,000 in Other Expenses to reflect the annualization of the agency's FY 14 deficiency. The FY 15 original appropriation did not include funding for this deficiency, therefore the increased funding in FY 15 reflects the annualization of this deficiency.

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Adjust Funding for Revised Estimated GAAP Requirements

Nonfunctional - Change to Accruals	0	78,705	0	78,705	0	0
Total - General Fund	0	78,705	0	78,705	0	0

Background

Each agency's budget includes a line item account called "Nonfunctional - Change to Accruals." This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

Governor

Provide funding of \$78,705 to reflect updated estimates of accruals to comply with GAAP. Across all appropriated funds, there is a net GAAP reduction of \$33.8 million.

Legislative

Same as Governor

Reduce Funding to Reflect MIS Savings

Other Expenses	0	(66,000)	0	(66,000)	0	0
Total - General Fund	0	(66,000)	0	(66,000)	0	0

Governor

Reduce funding by \$66,000 in Other Expenses to reflect savings related to switching email vendors.

Legislative

Same as Governor

Policy Revisions

Provide Funding for Vocational Education Program

Other Expenses	0	0	0	165,000	0	165,000
Total - General Fund	0	0	0	165,000	0	165,000

Background

The Vocational Education Program, or Career Technical Information in the Department of Correction provides inmates with skill based training including carpentry, automobile body repair, graphic arts, automobile detailing, computer education and repair, culinary arts, and computer aided design. The program provides education to approximately 2,000 inmates annually.

Legislative

Funding of \$165,000 is provided in the Other Expenses account for the evaluation of the Vocational Education Program using the Results First methodology.

Provide Funding for Evaluation of MAT Project

Other Expenses	0	0	0	165,000	0	165,000
Total - General Fund	0	0	0	165,000	0	165,000

Background

The Medication Assisted Therapy program provides an alternate method of treatment for drug addicted inmates who are close to the end of their sentence in certain facilities. The program combines the use of medication along with other treatment methods in order to treat drug and substance addiction.

Legislative

Funding of \$165,000 is provided in the Other Expenses account for the expansion and evaluation of the Medication Assisted Therapy (MAT) Pilot project using the Results First methodology.

Transfer Funding for Program Evaluation

Other Expenses	0	0	0	(330,000)	0	(330,000)
Program Evaluation	0	0	0	330,000	0	330,000
Total - General Fund	0	0	0	0	0	0

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Legislative

Section 80 of PA 14-217, the budget implementer, transfers \$330,000 from Other Expenses into a new account for program evaluation of services contracted through the Community Support Services account. The evaluation of these programs shall be conducted using the Results First methodology.

Adjust Funding for Workers' Compensation

Workers' Compensation Claims	0	0	0	(750,000)	0	(750,000)
Total - General Fund	0	0	0	(750,000)	0	(750,000)

Legislative

Reduce funding by \$750,000 to reflect a projected lapse in FY 14. This account is based on claim experience and administration of claims. The account has lapsed funds in both FY 12 and FY 13.

Use CMHC Fund Balance for Inmate Medical Services

Inmate Medical Services	0	(3,000,000)	0	(5,500,000)	0	(2,500,000)
Total - General Fund	0	(3,000,000)	0	(5,500,000)	0	(2,500,000)

Background

The Correctional Managed Health Care unit at the UCONN Health Center ended FY 13 with a budget surplus of \$3.1 million. The surplus was primarily due to lower than expected pharmacy costs as well as salary savings related to elimination of positions through attrition and transfers.

Governor

Reduce funding by \$3.0 million in Inmate Medical Services to reflect the use of surplus generated in FY 13.

Legislative

Reduce funding by \$5.5 million in Inmate Medical Services to reflect the use of \$3.0 million of FY 13 surplus and \$2.5 million of expected FY 14 surplus.

Reduce Inmate Medical Services to Reflect Medicaid Coverage

Inmate Medical Services	0	(665,000)	0	(665,000)	0	0
Total - General Fund	0	(665,000)	0	(665,000)	0	0

Background

Inmates who are under supervision in the community currently receive health care through the Department of Correction at correctional facilities. The state will transition to providing coverage through the state Medicaid program, although services provided to these inmates are ineligible for federal reimbursement. This will allow inmates to receive medical care in the community in which they reside instead of traveling to correctional facilities. Funding to provide services in the community is not provided in the Department of Social Services in FY 15.

Governor

Reduce funding by \$665,000 in Inmate Medical Services to reflect pharmacy cost savings related to the population receiving care in the community.

Legislative

Same as Governor

Modify Specialized Training Schedule

Personal Services	0	(370,000)	0	(370,000)	0	0
Other Expenses	0	(20,000)	0	(20,000)	0	0
Total - General Fund	0	(390,000)	0	(390,000)	0	0

Background

Training for the agency's Special Operations Group and Correctional Emergency Response Teams are currently held monthly at the Maloney Center for Training and Staff Development. Modifying the training schedule from monthly to quarterly will reduce the operations costs of training as well as the overtime associated with conducting the training.

Governor

Reduce funding by \$370,000 in Personal Services and \$20,000 in Other Expenses to reflect savings related to changing the training schedule.

Legislative

Same as Governor

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Standardize Warehouse Ordering

Other Expenses	0	(169,692)	0	(169,692)	0	0
Total - General Fund	0	(169,692)	0	(169,692)	0	0

Governor

Reduce funding by \$169,692 in Other Expenses to reflect savings related to standardizing the ordering of maintenance, cleaning, clothing and hygiene supplies across the correctional system.

Legislative

Same as Governor

Use Federal Funds to Provide Distance Learning

Distance Learning	0	(95,000)	0	(95,000)	0	0
Total - General Fund	0	(95,000)	0	(95,000)	0	0

Background

The Distance Learning program is a program that allows inmates at Carl Robinson and Osborn Correctional Facilities to participate in community college courses related to financial literacy.

Governor

Reduce funding by \$95,000 in the Distance Learning account to reflect the use of federal State Criminal Alien Assistance Program (SCAAP) funds for the program.

Legislative

Same as Governor

Implement Reusable Lunch Trays

Other Expenses	0	(36,000)	0	(36,000)	0	0
Total - General Fund	0	(36,000)	0	(36,000)	0	0

Governor

Reduce funding by \$36,000 in Other Expenses to reflect savings related to switching from disposable cafeteria trays to silicone, reusable trays.

Legislative

Same as Governor

Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	0	0	(171,393)	0	(171,393)
Total - General Fund	0	0	0	(171,393)	0	(171,393)

Legislative

Reduce funding by \$171,393 in FY 15 to reflect changes to GAAP accruals as a result of policy changes

Distribute Lapses

Personal Services	0	0	0	(3,068,387)	0	(3,068,387)
Other Expenses	0	0	0	(919,538)	0	(919,538)
Board of Pardons and Paroles	0	0	0	(26,102)	0	(26,102)
Total - General Fund	0	0	0	(4,014,027)	0	(4,014,027)

Background

The Governor's Revised FY 15 budget included a bottom line General Lapse of \$14,243,700, a General Other Expenses Lapse of \$4,000,000, and a Statewide Hiring Reduction Lapse of \$6,796,754. These lapse amounts would have been determined and distributed to agencies by OPM at the beginning of FY 15.

Legislative

Reduce funding by \$2,143,568 to reflect distribution of the General Lapse, \$608,227 for the General Other Expense Lapse, and \$1,262,232 for the Statewide Hiring Reduction Lapse.

Account	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$

Carry Forward

Carry Forward for Stress Management

Stress Management	0	0	0	54,915	0	54,915
Total - Carry Forward Funding	0	0	0	54,915	0	54,915

Background

The Stress Management account is contractually mandated and provides funding for programs and services for correction officers.

Legislative

Pursuant to CGS 5-278(e), an estimated \$54,915 is carried forward into FY 14 in the Stress Management account.

Totals

Budget Components	Governor Revised FY 15		Legislative FY 15		Difference from Governor	
	Pos.	\$	Pos.	\$	Pos.	\$
Original Appropriation - GF	6,352	689,221,370	6,352	689,221,370	0	0
Current Services	0	12,705	0	312,705	0	300,000
Policy Revisions	0	(4,355,692)	0	(11,461,112)	0	(7,105,420)
Total Recommended - GF	6,352	684,878,383	6,352	678,072,963	0	(6,805,420)

Other Significant Legislation

PA 14-47, An Act Making Adjustments to State Expenditures and Revenues for the Fiscal Year Ending June 30, 2015

Sections 30 and 31 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 15 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 14-47. This includes a General Lapse of \$23,305 and a Statewide Hiring Reduction Lapse of \$1,070,153. See the FY 15 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	439,548,356	(1,070,153)	438,478,203	0.24%
Board of Pardons and Paroles	6,464,739	(23,305)	6,441,434	0.36%