

Department of Correction

DOC88000

Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	6,493	6,348	6,347	6,347	6,352	6,352
Permanent Full-Time - OF	85	90	90	90	90	90

Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	431,884,456	405,722,164	428,453,457	442,926,055	428,511,042	442,986,743
Other Expenses	73,454,136	74,424,386	74,233,383	74,233,383	74,249,357	74,224,357
Equipment	0	1	1	1	1	1
Other Current Expenses						
Stress Management	1,249	35,000	0	0	0	0
Workers' Compensation Claims	26,836,715	27,636,219	26,886,219	26,886,219	26,886,219	26,886,219
Inmate Medical Services	91,025,952	85,629,399	89,713,923	93,932,101	89,713,923	93,932,101
Board of Pardons and Paroles	5,962,552	5,778,325	6,053,114	6,169,502	6,174,461	6,490,841
Mental Health AIC	300,000	0	0	0	0	0
Distance Learning	95,000	100,000	0	0	95,000	95,000
Other Than Payments to Local Governments						
Aid to Paroled and Discharged Inmates	1,600	9,500	0	0	9,026	9,026
Legal Services To Prisoners	870,595	870,595	827,065	827,065	827,065	827,065
Volunteer Services	104,251	170,758	0	0	162,221	162,221
Community Support Services	40,370,120	40,572,949	40,937,998	40,937,998	41,275,777	41,275,777
GAAP Adjustments	0	0	2,557,575	2,332,019	2,557,575	2,332,019
Agency Total - General Fund	670,906,626	640,949,296	669,662,735	688,244,343	670,461,667	689,221,370
Additional Funds Available						
Carry Forward Funding	0	0	0	0	64,588	0
Federal & Other Restricted Act	3,481,348	3,512,071	3,025,000	3,025,000	3,025,000	3,025,000
Private Contributions	451,769	281,995	216,000	216,000	216,000	216,000
Special Funds, Non-Appropriated	78,549	43,371	0	0	0	0
Agency Grand Total	674,918,292	644,786,733	672,903,735	691,485,343	673,767,257	692,462,370

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust for FY 13 Deficiency

Other Expenses	0	(147,181)	0	(147,181)	0	0	0	0
Total - General Fund	0	(147,181)	0	(147,181)	0	0	0	0

Background

Section 62 of PA 13-184, AAC Expenditures and Revenues for the Biennium Ending June 30, 2015 results in an increase to the General Fund of \$142 million in FY 13. The bill includes \$23.1 million in deficiency funding in FY 13 for this agency in Personal Services. This funding is required due to unachieved budgeted savings.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$147,181 in FY 14 and FY 15 to reflect the one time nature of the deficiency requirements related to food and maintenance costs.

Legislative

Same as Governor

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	25,314,820	0	39,819,080	0	0	0	0
Stress Management	0	(35,000)	0	(35,000)	0	0	0	0
Inmate Medical Services	0	4,084,524	0	8,302,702	0	0	0	0
Board of Pardons and Paroles	0	410,022	0	741,688	0	0	0	0
Total - General Fund	0	29,774,366	0	48,828,470	0	0	0	0

Governor

Provide funding of \$25,759,842 in FY 14 and \$40,595,768 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments in the Department of Correction, and provide funding of \$4,084,524 in FY 14 and \$8,302,702 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	4,494,508	0	7,848,639	0	0	0	0
Workers' Compensation Claims	0	1,166,928	0	2,384,924	0	0	0	0
Inmate Medical Services	0	920,340	0	1,871,044	0	0	0	0
Board of Pardons and Paroles	0	8,160	0	14,939	0	0	0	0
Volunteer Services	0	3,705	0	8,764	0	0	0	0
Total - General Fund	0	6,593,641	0	12,128,310	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$6,593,641 in FY 14 and an additional \$5,534,669 in FY 15 (for a cumulative total of \$12,128,310 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Annualize Private Provider COLA

Community Support Services	0	202,828	0	202,828	0	0	0	0
Total - General Fund	0	202,828	0	202,828	0	0	0	0

Background

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Development Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

Governor

Provide funding of \$202,828 in FY 14 and FY 15 to annualize the 1% private provider COLA.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	3,674,341	0	2,269,587	0	0	0	0
Total - General Fund	0	3,674,341	0	2,269,587	0	0	0	0

Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

Governor

Provide funding of \$3,674,341 in FY 14 and \$2,269,587 in FY 15 to reflect the implementation of GAAP in the budget.

Legislative

Same as Governor

Policy Revisions

Manage Attendance Policies to Reduce Overtime

Personal Services	0	(2,750,000)	0	(2,750,000)	0	(250,000)	0	(250,000)
Total - General Fund	0	(2,750,000)	0	(2,750,000)	0	(250,000)	0	(250,000)

Background

Sick time utilization represents approximately 5% of the total Personal Services account in the Department of Correction. The use of overtime is required to cover sick time related to posts at prison facilities. Through enforcement of sick time policies, the agency has reduced overtime spending related to sick time by 7.3%. This reduction represents an additional 15% reduction in overtime spending related to sick time.

Governor

Reduce funding in the Personal Services account by \$2.5 million in FY 14 and FY 15 to reflect a reduction of overtime costs.

Legislative

Reduce funding in the Personal Services account by \$2.75 million in FY 14 and FY 15 to reflect a reduction of overtime costs.

Convert Full-time Parole Board Members to Part-time

Board of Pardons and Paroles	0	0	0	0	0	121,347	0	321,339
Total - General Fund	0	0	0	0	0	121,347	0	321,339

Background

The Parole Board is comprised of 5 full-time and 5 part-time board members.

Governor

Reduce funding by \$121,347 in FY 14 and \$321,339 in FY 15 to reflect converting all members of the Parole Board to part time.

Legislative

Restore funding of \$121,347 in FY 14 and \$321,339 in FY 15 to maintain the current structure of the board.

Provide Funding for Additional Teachers

Personal Services	4	250,000	4	250,000	4	250,000	4	250,000
Total - General Fund	4	250,000	4	250,000	4	250,000	4	250,000

Background

Unified School District #1 provides academic and vocational educational services and re-entry programs to approximately 19,000 prisoners annually.

Legislative

Provide \$250,000 and 4 positions in FY 14 and FY 15 for additional teachers. The Results Based Accountability (RBA) process has shown the number of students and the gains those students make in academic achievement are largely driven by the availability of staff resources to provide those services. Increasing the teaching staff available will broaden the diversity of classes offered and

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

improve the teacher/student ratio, both of which can have positive impacts on educational achievement and recidivism.

Provide Additional Funding for Residential Programs

Community Support Services	0	500,000	0	500,000	0	500,000	0	500,000
Total - General Fund	0	500,000	0	500,000	0	500,000	0	500,000

Background

The Residential Work Release program in the Department of Correction provides support services for inmates transitioning from incarceration into the community. In FY 11, the program served 2,721 individuals across a total of approximately 500 beds. Through the Results Based Accountability (RBA) process, the program has shown that inmates who participate in the Residential Work Release programs where an Employment Specialist is available are more likely to attain employment, stable housing, and a higher bank balance upon program completion.

Legislative

Provide funding of 500,000 in FY 14 and FY 15 in Community Support Services for employment specialists in the Residential Work Release Program.

Rollout of FY 13 Rescissions

Workers' Compensation Claims	0	(750,000)	0	(750,000)	0	0	0	0
Distance Learning	0	(5,000)	0	(5,000)	0	0	0	0
Aid to Paroled and Discharged Inmates	0	(474)	0	(474)	0	0	0	0
Volunteer Services	0	(8,537)	0	(8,537)	0	0	0	0
Total - General Fund	0	(764,011)	0	(764,011)	0	0	0	0

Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

Governor

Reduce funding of \$764,011 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

Legislative

Same as Governor

Rollout of FY 13 DMP

Distance Learning	0	0	0	0	0	95,000	0	95,000
Legal Services To Prisoners	0	(43,530)	0	(43,530)	0	0	0	0
Total - General Fund	0	(43,530)	0	(43,530)	0	95,000	0	95,000

Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

Governor

Reduce funding by \$138,530 in FY 14 and \$138,530 in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

Legislative

Maintain funding of \$95,000 for the Distance Learning program. Reduce funding by \$43,530 in FY 14 and FY 15 for Legal Services to Prisoners.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Affirmative Action Planning Function to CHRO

Personal Services	0	0	0	0	1	57,585	1	60,688
Total - General Fund	0	0	0	0	1	57,585	1	60,688

Governor

Transfer one position and funding of \$57,585 in FY 14 and \$60,688 in FY 15 to reflect the centralization of Affirmative Action planning functions in the Commission on Human Rights and Opportunities (CHRO).

Legislative

Maintain funding of \$57,585 in FY 14 and \$60,688 in FY 15 within the department for one affirmative action officer.

Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(52,848)	0	(52,848)	0	0	0	0
Total - General Fund	0	(52,848)	0	(52,848)	0	0	0	0

Governor

Transfer funding of \$52,848 in FY 14 and \$52,848 in FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

Legislative

Same as Governor

Transfer Funding to Streamline Budget Account Structure

Other Expenses	0	0	0	0	0	(9,026)	0	(9,026)
Aid to Paroled and Discharged Inmates	0	0	0	0	0	9,026	0	9,026
Volunteer Services	0	0	0	0	0	162,221	0	162,221
Community Support Services	0	0	0	0	0	(162,221)	0	(162,221)
Total - General Fund	0	0	0	0	0	0	0	0

Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

Governor

Transfer funding of \$9,026 from the Aid to Paroled and Discharged Inmates account to the Other Expenses account to reflect the streamlining of agency budgetary accounts.

Transfer funding of \$162,221 from the Volunteer Services account to the Community Support Services account to reflect the streamlining of agency budgetary accounts.

Legislative

Maintain agency account structure.

Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(25,942)	0	(54,501)	0	0	0	0
Board of Pardons and Paroles	0	(13,886)	0	(29,172)	0	0	0	0
Total - General Fund	0	(39,828)	0	(83,673)	0	0	0	0

Governor

Reduce funding by \$39,828 in FY 14 and \$83,673 in FY 15 to reflect the elimination of salary increases for appointed officials.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Inflationary Increases

Other Expenses	0	(4,494,508)	0	(7,848,639)	0	0	0	0
Workers' Compensation Claims	0	(1,166,928)	0	(2,384,924)	0	0	0	0
Inmate Medical Services	0	(920,340)	0	(1,871,044)	0	0	0	0
Board of Pardons and Paroles	0	(8,160)	0	(14,939)	0	0	0	0
Volunteer Services	0	(3,705)	0	(8,764)	0	0	0	0
Total - General Fund	0	(6,593,641)	0	(12,128,310)	0	0	0	0

Governor

Reduce various accounts by \$6,593,641 in FY 14 and \$12,128,310 in FY 15 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,116,766)	0	62,432	0	0	0	0
Total - General Fund	0	(1,116,766)	0	62,432	0	0	0	0

Governor

Reduce funding by \$1,116,766 in FY 14 and \$62,432 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

Legislative

Same as Governor

Provide Funding for Program Assessment

Other Expenses	0	25,000	0	0	0	25,000	0	0
Total - General Fund	0	25,000	0	0	0	25,000	0	0

Legislative

Funding of \$25,000 in FY 14 is provided to the Department to assess the effectiveness of programs administered by the agency concerning family violence. Section 54 of PA 13-247, the general government implementer, requires the Commissioner of Correction to conduct a program evaluation and submit a report to the Appropriations Committee by June 30, 2014.

Carry Forwards

Stress Management Carry Forward

Stress Management	0	64,588	0	0	0	64,588	0	0
Total - Carry Forward Funding	0	64,588	0	0	0	64,588	0	0

Background

The Stress Management account is contractually mandated and provides funding for programs and services for correction officers.

Legislative

Pursuant to CGS 5-278(e), an estimated \$64,588 is carried forward into FY 14 in the Stress Management account.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	6,348	640,949,296	6,348	640,949,296	0	0	0	0
Current Services	0	40,097,995	0	63,282,014	0	0	0	0
Policy Revisions	4	(10,585,624)	4	(15,009,940)	5	798,932	5	977,027
Total Recommended - GF	6,352	670,461,667	6,352	689,221,370	5	798,932	5	977,027

Other Significant Legislation**PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$2,122,021, a General Other Expenses Lapse of \$608,227, and a Statewide Hiring Reduction Lapse of \$1,261,152. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	428,511,042	(3,049,152)	425,461,890	0.71%
Other Expenses	74,249,357	(916,408)	73,332,949	1.23%
Board of Pardons and Paroles	6,174,461	(25,840)	6,148,621	0.42%