Part 1.
Providing a description of process used to develop and select criteria used to select Medical Necessity Criteria and a description of all the NQTL's applied to Mental Health, Substance Use Disorders and Medical/Surgical Benefits; see attached - Table 5

### Non-Quantitative Treatment Limitations

| Medical Necessity Criteria Used & Developed Under Each Benefit Category |
|---------------------------------------------------------------|---------------------------------------------------------------|
| **Non-Quantitative Treatment Limitations**                    | **Medical Necessity Criteria Used & Developed** |
| **Mental Health**                                            | **Substance Use Disorder**                                  |
| **Medical/Surgical**                                         | **Mental Health**                                           |
| **Substance Use Disorder**                                   | **Substance Use Disorder**                                  |
| **Medical/Surgical**                                         | **Medical/Surgical**                                        |

#### Pre-Authorization & on-going Auth. Review process:

#### Concurrent Review Process:

#### Retrospective Review Process:

#### Emergency Services Process:

#### Pharmacy Services Process:

#### Rx Formulary Design & Management:

#### Case Management Services & Medical Management of Specific Benefits:

#### Process for assessing new technologies & treatments:

#### Network Adequacy, provider network standards and reimbursement rates:

#### Exclusions for failure to complete course of
Part 2.
Disclosing a results analysis of all Evidentiary Standards, processes, strategies and other factors used in the development and qualification of each criteria used in the assessment of Medical Necessity and each NQTL applied under Mental Health, Substance Use Disorder and Medical/Surgical Benefits. Identifying any and all evidentiary standards and which are qualitative or quantitative in nature.
If there are no evidentiary standards being applied to support a specific criteria or factor, please provide a clear description of that criteria or factor; see attached - Table 5

Part 3.
Provide all NQTL Comparative Analyses and results both “As-Written” and “In-Operation” (actual outcomes experienced from each NQTL) between MH, SUD and Med/Surg benefits, demonstrating that the Mental Health and Substance Use Disorder benefit practices are comparable and being applied no more stringently than to the equivalent Medical/Surgical benefits; please ensure that this summary includes all Six (6) Classifications: (1) In-Patient/INN (2) Out-Patient/INN (3) In-Patient/OON (4) Out-Patient/OON (5) Emergency Services (6) Pharmacy Services.
*Note: The MH/PAEA regulation states, “Disparate results alone do not mean that the NQTLs in use do not comply with these requirements.” 78 Fed. Reg. 68240, 68245.
RESPONSE - Part 3 - See attached Table 5 which provides all this detail.

Part 4.
Disclose information to sufficiently demonstrate consistent compliance with Sec. 38a-477ee(b),(3),(f)

Part 5.
CERTIFICATION

THE FOLLOWING CERTIFICATION MUST BE COMPLETED BY AN OFFICER OF THE COMPANY:

[Signature]

(Print Name)