Unemployment Insurance (UI)

How many UI benefits were paid out from the UI Trust Fund? Provide a breakdown of UI benefits by state and federal (with the latter further broken out among the various federal programs).

<table>
<thead>
<tr>
<th>Totals Through</th>
<th>3/10/2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trust Fund Balance:</td>
<td>$0.00</td>
</tr>
<tr>
<td>Total Benefits Paid:</td>
<td>$3.420 B</td>
</tr>
<tr>
<td>Regular:</td>
<td>$2.252 B</td>
</tr>
<tr>
<td>PUA:</td>
<td>$477 M</td>
</tr>
<tr>
<td>PEUC:</td>
<td>$562 M</td>
</tr>
<tr>
<td>EB-State:</td>
<td>$11 M</td>
</tr>
<tr>
<td>EB-Federal:</td>
<td>$118 M</td>
</tr>
<tr>
<td>MEUC:</td>
<td>$0.00</td>
</tr>
<tr>
<td>Total FPUC Paid:</td>
<td>$3.593 B</td>
</tr>
<tr>
<td>Sub-Total (Benefits &amp; FPUC):</td>
<td>$7.013 B</td>
</tr>
<tr>
<td>LWA Paid:</td>
<td>$366 M</td>
</tr>
<tr>
<td>Grand Total Paid (All):</td>
<td>$7.379 B</td>
</tr>
</tbody>
</table>

The Trust Fund is funded through payments from 108,496 contributory employers (required to pay state UI Quarterly Taxes) and 1,862 reimbursing employers (repay the UI Trust Fund for charges against their account). Key Facts:

- Entered the pandemic with a Trust Fund balance of $615 million as of March 16, 2020
- Began borrowing from the federal government in August and have borrowed $637,966,766.12
- $2.263 billion of benefits (Regular UI and Extended Benefits (EB)- State) have been paid out of the Trust Fund
- Continue to pay slightly over $20M weekly in Regular UI Benefits
- Approved to borrow $150M for March, of which $42,575,751.54 has been borrowed thus far
- Developing estimates for April, May, and June borrowing request
It should be noted that the Governor issued Executive Order 7W which relieves contributory employers of UI charges that are attributable to COVID (until April 17, 2021). The federal CARES Act I, CARES Act II, and the American Rescue Plan Act of 2021 provide for a UI Trust Fund interest waiver (until 9/6/21) which reduces the financial liability for Connecticut companies that will receive a special assessment to repay interest on the UI Trust Fund borrowing.

**How many DOL employees are dedicated to enforcing the job-search requirement?**

Pre-pandemic, when a claimant filed a weekly claim, they had to indicate that they were engaged in a work search as a condition of receiving UI benefits. However, USDOL allowed states significant flexibility in modifying their work search requirements during the pandemic, and Commissioner Westby issued a waiver of the work search requirement on March 19, 2020, which is still in effect (Appendix 1). Therefore, there are no UI staff currently dedicated to this effort.

It is very hard to quantify the number of DOL employees that verify work search requirements. Many different units and staff members have a role in reviewing and verifying claimants’ work searches, including but not limited to Adjudications, Appeals, Benefit Payment Control, and Employment Services Operations.

For example, if an individual indicates “no” to the work search question, on their weekly certification filing, it results in the Adjudications staff conducting a factfinding hearing to determine the claimant’s ability and availability to work, and a review of their work search efforts is included in such analysis. If the hearing decision is appealed, the claim and work search documents are then reviewed by Appeals staff in a Referee hearing, and then possibly Board of Review hearing.

Under the responsibility of the Benefit Payment Control Unit is a monitoring program called Benefit Accuracy Measurement (BAM). This federal program provides the basis for assessing the accuracy of UI payments and denials. The BAM unit does random audits on claims, reviewing numerous aspects for compliance, including work search requirements efforts.

The Employment Services Operations unit administers the Reemployment Services and Eligibility Assessment (RESEA) program. Claimants who are determined most likely to exhaust unemployment benefits are selected and their participation in RESEA is mandated as a condition for receiving benefits. During the UI eligibility review each claimant’s work search efforts are examined by CTDOL American Job Center (AJC) staff. Although this program was on hold for much of 2020 while we transitioned to remote and online service components, the program is active again and we are back to serving pre-pandemic numbers of customers.

We are working to complete the ReEmployCT (Unemployment Insurance Modernization - UIM) system, which we expect to deploy in June 2021 to replace our current legacy system. ReEmployCT will capture all work search efforts through automation. This is one of the many reasons that it is critical we complete UIM.

It should be noted that employers have expressed discontent when contacted by DOL to verify that a claimant has fulfilled the work search requirement. Potential employers find the inquiry to be an administrative burden on their operations. With that in mind, CTDOL tries to balance the employers’ preferences with the integrity of the UI program. We have new regulations concerning work search that became effective on November 21, 2020 (Appendix 2).
Why would CT DOL need an additional $6.2 million in order to complete the UIM modernization project?

The additional $6.2M is the cost associated with CTDOL staff time for the subject matter experts (SMEs) who will be shifted from production work to the ReEmployCT effort and support the project through implementation. When modernization was initially budgeted CT was experiencing low unemployment and many of our subject matter experts performed dual roles between UI and the UIM effort in the course of their regular work week. We are in regular communication with the Office of Policy and Management to leverage our state and federal funds to continue to support the UIM effort given the extraordinary impact of COVID19 and the expectation that demand on the Department will remain high beyond deployment.

Provide a cost-savings analysis for the UI modernization project

Please note the cost avoidance below provides the initial estimates before the ReEmployCT extension from May 2021 to June 2022. The cost avoidance impacts the state’s federal UI funds.

<table>
<thead>
<tr>
<th>FINANCIAL BENEFITS</th>
<th>One Time Benefit</th>
<th>Recurring Benefit</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Occurs in What FY?</td>
<td>One Time Amount</td>
</tr>
<tr>
<td>1) New or Additional Revenue</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Automation of Benefits manual processing will allow for reallocation of 10 Benefits resources to audit for overpayments generating additional penalties and interest for the agency</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Employer self-service capability will allow for reallocation of 6 Tax resources to delinquent accounts resulting in the collection of additional funds for the agency</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2) Streamlining/Efficiency Gains</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Claimant self-service capability will save approximately 44,457 hours of staff time per year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Automation of Benefits manual processing will save approximately 17,248 net hours of staff time per year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Automation of Appeals manual processing will save approximately 3,743 hours of staff time per year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lower system maintenance costs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3) Cost Avoidance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Benefits/Appeals reduction in postage costs due to electronic document delivery</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tax reduction in postage costs due to electronic document delivery</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elimination of vendor costs for data entry of paper tax &amp; wage reports</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>0</td>
<td></td>
</tr>
</tbody>
</table>
Workforce

Are all American Job Centers currently open?
The five comprehensive American Job Centers are open for in-person services by appointment only (Appendix 3a).

Are their services being advertised in multiple languages?
We currently have staff able to translate into Hindi, Portuguese, Polish, Spanish, French, and Tagalog.

What is CT DOL doing to market AJC openings to the Asian Community & Chinese-Mandarin Community.
We do not have any staff able to translate into Mandarin, nor have we been able to locate any sister agency staff with this ability. Unfortunately, we are not able to provide translation services beyond what we have indicated at this time.

Please provide a copy of marketing materials of AJC job openings in different languages.
We have flyers announcing the AJC’s reopening in English and Spanish (Appendices 3a and 3b).

Our website is in the middle of being migrated to a new platform. Our original site pages are viewable in English and Spanish. We have 5 new pages which are viewable in English, Spanish, Arabic, Chinese (Simplified), French, Italian, Polish, Portuguese, and Russian. One such page is dedicated to the AJCs.

Some social media posts are translated by DOL staff and posted in other languages.

CTHires is viewable to employers and job seekers in English and Spanish.

Are there efforts to expand the impact to long-term unemployed impacted by the pandemic – similar to the programs provided by the EWIB manufacturing pipeline or P2E models? Or will OWS be addressing this need?
The Platform to Employment (P2E) program has been assisting individuals to re-enter the workforce who have experienced a period of long-term unemployment (more than 26 weeks) as well as individuals with limited or poor work histories. The P2E program model provides targeted job-readiness activities combined with services to address the participants’ financial challenges and support their needs. Thus far in SFY21, a total of 150 people have been served through the P2E program.

CTDOL receives and administers state funding for three Long Term Unemployed programs – Platform To Employment Connecticut, Platform To Employment Re-Entry, and Platform To Employment For Veterans. We are not aware of any expansion efforts.

DOL also has promising apprenticeship opportunities that can assist this population. Among these are the apprenticeship pipelines programs funded by the Apprenticeship CT Initiative (Appendix 4) and the Yale New Haven Health Services Hospital System Nurse Residency Registered Apprenticeship Program (Appendix 5).

What is the status of the healthcare apprenticeship program that has been funded in DOL’s budget over the years?
The Healthcare Apprenticeship funding in our budget has been subject to numerous holdbacks and lapses since originally allocated in 2018. To date, no program has been established.
### Healthcare Apprenticeship Funding

<table>
<thead>
<tr>
<th>SFY</th>
<th>Allocation</th>
<th>OPM Hold Back</th>
<th>Lapse</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>$500,000</td>
<td>$500,000</td>
<td></td>
</tr>
<tr>
<td>2020</td>
<td>$500,000</td>
<td>$250,000</td>
<td>$250,000</td>
</tr>
<tr>
<td>2019</td>
<td>$500,000</td>
<td></td>
<td>$500,000</td>
</tr>
<tr>
<td>2018</td>
<td>$500,000</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**What is the benefit to establishing the Office of Workforce Strategy? What about the previous setup was not working?**

The creation of Office of Workforce Competitiveness (OWC) as an entity was predicated on the passing of the Workforce Investment Act of 1998. The OWC was to be the designated staff to the previous State Workforce Board known as the CT Employment and Training Commission (CETC).

This organizational structure was created to position the OWC to objectively carry out the vision and strategy for statewide workforce development as established by the Governor and the State Board. The OWC was given the responsibility to facilitate and coordinate all the required workforce development partner programs and organizations in a manner that provided the most effective and efficient use of available workforce funds while eliminating duplication of efforts.

Additional information regarding the benefit of establishing the Office of Workforce Strategy (OWS) to replace the OWC is best directed to the Governor’s Administration or DECD.

**Update on current CETC Workforce function/organizational breakdown**

Office of Workforce Competitiveness (OWC) **comparison to** Office of Workforce Strategy (OWS)

**OWC within CTDOL SFY20:**
- OWC Unit = 1 Director and 4 OWC staff
- Funded by a combination of CETC, WIOA, RETAIN, and multiple state General Fund grants
- CETC funded 4 FTE (6 people - OWC and Exec staff)

**Post OWS creation and CETC funding transfer SFY21:**
- OWC Unit = 1 Director and 1 OWC staff
- Funded by a combination of CETC and RETAIN
- Moved to WIOA Admin Unit = 3 staff
  - 2 funded by CETC and state General Fund and Federal grants
  - 1 staff in UI Consumer Contact Center and funded currently by UI
- CETC funds 2.25 FTE in CTDOL (5 people – OWC, WIOA Admin and Exec Staff)

**CETC funding and staffing:**
- CETC SFY20 Budget $572,743
- CETC SFY21 Budget $576,979
  - CETC from CTDOL to DECD $305,906 ($131,414 SFY20 carryforward + $174,493 SFY21)
  - CETC kept within CTDOL $365,087
• CETC funding within CTDOL SFY22 and SFY23 budgets is expected to support 2.5 FTE and 3.0 FTE respectively.

**Post-creation of OWS - work to remaining in CTDOL:**
A brief and high-level description of typical State Board functions (20 CFR 679.130)
• MOUs
• Cost Sharing agreements
• AJC certifications
• One Stop System Monitoring
• One Stop Operator procurement
• State system Career Pathways policy and strategy assistance to OWS
• WIOA Local plan guidance and technical assistance to OWS

**Distribution of work within CTDOL:**
• OWC Unit Director
  o Assist the OWS with:
    ▪ WIOA Statewide Strategic Plan
    ▪ WIOA career Pathway Policy creation
    ▪ WDB Local Plans per WIOA
  o Within CTDOL take over:
    ▪ Oversee RETAIN and state General Fund Grants
• Durational Project Manager
  o RETAIN Grant
• Manager of Community Advocacy - Transferred to WIOA Admin Unit
  o Monitor compliance of the policy for WDB MOUs, Cost Sharing Agreements, AJC Certifications, One Stop System Monitoring, One Stop Operator Procurement
  o Eastern Workforce Investment Board
  o Northwest Regional Workforce Investment Board
  o COVID-19 Dislocated Worder Grant
• Manager of Community Advocacy - Transferred to WIOA Admin Unit
  o Monitor compliance of the policy for WDB MOUs, Cost Sharing Agreements, AJC Certifications, One Stop System Monitoring, One Stop Operator Procurement
  o The WorkPlace
  o Workforce Alliance
  o Capital Workforce Partners
• Programs and Services Coordinator – Transferred to WIOA Admin
  o Assigned to Consumer Contact Center until further notice

Please see Appendix 6 for DECD OWS funding, transfer and staffing plan.

**Provide an update on the PFML sign-up status by covered employers**
We defer to the Paid Family and Medical Leave Insurance Authority as this information falls within their jurisdiction.
Appendix 1

Waiver of Work Search Requirements for Unemployment Insurance Claimants
March 19, 2020

By the authority vested in me as the Commissioner of the Department of Labor and Administrator of the Unemployment Compensation Act, Conn. Gen. Stat. chapter 567, I am waiving the work search requirement for all individuals who are in receipt of unemployment benefits until such time as the Governor terminates the public health emergency and the civil preparedness emergency.

Under conditions of the federal grant from the United States Department of Labor (USDOL), the Connecticut Department of Labor’s Unemployment Compensation Program, outlined in Chapter 567 and implementing regulations, mirrors federal law in requiring individuals receiving unemployment benefits to be able and available for work, and making reasonable efforts to obtain work.

Recently, USDOL issued guidance to states that makes a point of recognizing that states have significant flexibility in implementing these requirements, as well as in determining the type of work that may be suitable given the individual’s circumstances. (Unemployment Insurance Program Letter (UIPL) No. 10-20 (March 12, 2020))

The Department has already determined that work search efforts may be waived for individuals with a specific return to work date (even if that date continues to be extended by the employer).

Now, the Department has determined that, under existing law, the work search efforts requirement (Conn. Gen. Stat. § 31-235(a)(2)) may be waived for all individuals filing for unemployment benefits during the pandemic crisis, so long as such individuals remain ready to return to work once the pandemic crisis measures have been lifted. The Department’s position is based on the following:

- Due to emergency pandemic containment measures that severely limit suitable employment opportunities, the Department finds sufficient cause to temporarily suspend the requirement that claimants apply for available opportunities.
- Under Connecticut law, employment is not suitable if it would risk the individual’s health or safety; and
- Alternate efforts such as attendance at job fairs, resume workshops at American Job Centers, etc. are non-existent due to the closure of our American Job Centers in accordance with public health officials’ guidance regarding social distancing.

Kurt Westby
Connecticut Labor Commissioner
Appendix 2

Regulations of Connecticut State Agencies

Sec. 31-235-23. Efforts—method of work search; exemptions

(a) The Administrator shall find that a claimant is making adequate efforts to obtain work in any week in which the claimant reports the minimum number of work search efforts prescribed by the Administrator during the week the claimant filed for benefits. The claimant shall certify to the Administrator the required number of work search efforts in a manner prescribed by the Administrator. Failure to provide the required number of valid work search contacts for a week in which a claim is filed or failure to answer fully all questions related to a work search contact provided by the claimant may result in the denial of benefits.

(b) A valid work search activity shall include, but not be limited, to the following:

(1) applying to an employer for work, in a manner prescribed by the Administrator, for which the claimant is reasonably suited, based upon prior work experience, skills, knowledge and ability and which will ensure the employer will be able to contact the claimant regarding possible employment;

(2) attending a work shop at an American Job Center;

(3) attending a job fair;

(4) participating in reemployment service activities at an American Job Center;

(5) creating a reemployment plan;

(6) attending a job interview;

(7) creating a resume and uploading the resume to the Connecticut Department of Labor’s State Job Bank; or

(8) creating a personal user profile on a professional networking site.

(c) Work search efforts may be conducted on any day of the week in which a claimant files for benefits.

(d) Multi-day work search activities may be considered multiple work search activities during a particular week.

(e) A valid work search does not include:

(1) seeking self-employment;

(2) working as an independent contractor; or

(3) reporting part-time work.

(f) The claimant shall retain all work search effort information necessary for verification by the Administrator for a minimum of three (3) years from the date such effort was undertaken. The Administrator may request information that includes, but is not limited to:

(1) date of the work search activity;

(2) information on the employer, including, but not limited to, the name of the employer, contact person at the employer, employer’s Internet web address, and telephone number of the employer;

(3) position applied for by claimant, if a specific position was advertised;

(4) result of the work search activity;

(5) copy of confirmation of receipt of application or resume by the employer for an online application, if available;

(6) copy of job advertisement, when applicable;

(7) copy of workshop flyer; and

(8) copy of job fair flyer.

(g) The Administrator may exempt a claimant from the requirement to complete work
search efforts and retain work search effort information when the claimant is:
(1) job attached and is scheduled to return to work on a definite date as prescribed by
the Administrator;
(2) union attached;
(3) engaged in state-approved training;
(4) participating in a shared work program approved by the Administrator; or
(5) serving on jury duty.
(Effective June 24, 1986; Amended November 5, 2020)
Appendix 3a
CTDOL Scheduling Employment Services
Appointments at American Job Centers

The CT Department of Labor is pleased to provide in-person employment services at the Regional American Job Centers (AJCs) to individuals with scheduled appointments.

Due to the continuing pandemic, the number of people in each Center must be limited to allow for social distancing. You must first schedule an appointment to visit an AJC.

How to make an in-person appointment:

Online scheduling:

Go to portal.ct.gov/ajc where you will find the link to appointment scheduling (or use this direct electronic access to the appointment form). After the form is submitted, our staff will call to set up your appointment.  *New Haven residents online link below

Schedule appointment by phone:

• Bridgeport  (203) 455-2700  
• Hamden   (203) 859-3200  
• Hartford   (860) 256-3700  
• Montville   (860) 848-5200  
• Waterbury   (203) 437-3380  
• New Haven  (203) 624-1493

*https://www.workforcealliance.biz

Appointments for assistance with:

• Job search  
• Résumé and cover letter writing  
• interviewing skills  
• Career counseling  
• CTHires registration  
• Workshop registration  
• Training program(s) information  
• Recruitment (Employers only)

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Unemployment Insurance (UI) benefits and claims assistance
only available through CTDOL Consumer Contact Center

Online information and access to claim support:  www.FileCTUI.com

Phone assistance:

**HOURS:**  Monday - Friday: 8:00am - 5:00pm  
Saturday: 8:00am - 3:00pm  
Closed Sunday & holidays

**PHONE:**  203-941-6868  
860-967-0493  
800-956-3294  
TTY - 711 or 800-842-9710
Appendix 3b

CTDOL programando citas para servicios de empleo en Centros Laborales Americanos

El Departamento de Trabajo de CT se complace en proporcionar servicios de empleo en persona en los Centros Laborales Regionales Americanos (AJCs) a individuos con citas programadas.

*Debido a la continua pandemia, el número de personas en cada Centro tiene que limitarse para permitir el distanciamiento social. Usted tiene que primero programar una cita para visitar un AJC.*

Cómo hacer una cita en persona:

Programación en línea:
Vaya al portal.ct.gov/ajc donde encontrará el enlace para la programación de citas (o utilice este acceso electrónico directo al formulario de cita). Después de enviar el formulario, nuestro personal llamará para programar su cita. *Enlace en línea para residentes de New Haven a continuación*

Programar cita por teléfono:  

- Bridgeport (203) 455-2700  
- Hamden (203) 859-3200  
- Hartford (860) 256-3700  
- Montville (860) 848-5200  
- Waterbury (203) 437-3380  
- New Haven (203) 624-1493

*https://www.workforcealliance.biz*

<table>
<thead>
<tr>
<th>Citas para asistencia con:</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Búsqueda de trabajo</td>
</tr>
<tr>
<td>- Currículum y escritura de cartas de presentación</td>
</tr>
<tr>
<td>- Habilidades para entrevistar</td>
</tr>
<tr>
<td>- Orientación profesional</td>
</tr>
<tr>
<td>- Registracion CTHIRES</td>
</tr>
<tr>
<td>- Registracion de cursos</td>
</tr>
<tr>
<td>- Información sobre programa(s) de entrenamiento</td>
</tr>
<tr>
<td>- Contratación (solo empleadores)</td>
</tr>
</tbody>
</table>

Seguro de Desempleo (UI) asistencia de beneficios y reclamaciones  
sólo disponible a través del CT DOL Centro de Contacto al Cliente

Información en línea y acceso a asistencia de reclamos: www.FileCTUI.com

Asistencia telefónica:  

<table>
<thead>
<tr>
<th>TELÉFONO:</th>
</tr>
</thead>
<tbody>
<tr>
<td>203-941-6868</td>
</tr>
<tr>
<td>860-967-0493</td>
</tr>
<tr>
<td>800-956-3294</td>
</tr>
<tr>
<td>TTY - 711 or 800-842-9710</td>
</tr>
</tbody>
</table>

Horas:  
Monday - Friday: 8:00am - 5:00pm  
Saturday: 8:00am - 3:00pm  
Cerrado Domingos y días festivos
Appendix 4

APPRENTICESHIP CT INITIATIVE (ACI) GRANT PROGRAM SUMMARY UPDATE:

In July of 2018, Connecticut Public Act No. 18-178 was signed into law, pursuant to Conn. Gen. Statute Sec. 31-11rr authorizing up to $50 million dollars in the establishment of the Apprenticeship Connecticut Initiative (ACI) to develop workforce pipeline programs to train qualified entry-level workers for job placement with manufacturers and employers in other industry sectors in the state experiencing sustained workforce shortages.

To date, $15 million dollars have been allocated by the Bond Commission to this effort. An initial award of $5 million(M) was allocated by the Bond Commission for ACI to CT-DOL in December 2018 with an award of $1.25M made to Northwest Regional Workforce Investment Board Partnership (NRWIB) and $3.45M to the Workforce Alliance Partnership (WA) in April of 2019.

In September 2019, an additional $10M award was approved by the Bond Commission. In March 2020 awards were made to each of the regional partnerships to meet their respective needs. (e.g., Capital Workforce Partnership (CWP), $2.2M; Eastern Workforce Investment Board Partnership, (EWIB)$3M; Workplace Inc. Partnership, (Workplace)$2.2M; Northwest WIB Partnership (NRWIB)$1.5M; and Workforce Alliance Partnership, (WA) $500K).

With this allocation of $10M and the simultaneous surge of the Covid-19 pandemic in March 2020, all the Regional Partnership Board’s advised delay of their programs. Subsequently the Capital Workforce Partnership and Northwest Workforce Investment Board Partnerships initiated contracts for ACI 2020 2.0. late spring/summer of 2020. The remaining partnerships delayed the start of their respective ACI 2.0 programs until the first quarter of 2021, due to the impact and continued challenges of the Covid-19 pandemic.

Funds Awarded
ACI (1.0) - Initial $5M Allocation
ACI (2.0) - Additional $10M Allocation

Northwest Regional Workforce Investment Board Partnership (NRWIB)
ACI 2019 (1.0) NRWIB - April 15, 2019-April 15, 2023 - Manufacturing award - $1,250,000
ACI 2020 (2.0) NRWIB - July 1, 2020-June 30, 2024 - Manufacturing award- $1,500,000.

Workforce Alliance Partnership (WA)
ACI 2019 (1.0) Workforce Alliance - May 1, 2019-April 30, 2023 - Manufacturing ‘Skill Up’ award - $3,450,000.
ACI 2020 (2.0) WA- preliminary start - May 1, 2021-April 30, 2025 - Hospitality Initiative award- $500,000. (contract finalization pending)

Capital Workforce Partnership (CWP)
ACI 2020 (2.0) CWP- May 11, 2020-May 10, 2024 - Manufacturing, Healthcare Funnel/Construction & Transportation Funnel award- $2,200,000.

The Workplace Partnership (Workplace)
ACI 2020 (2.0) Workplace - preliminary start- January 1, 2021- December 31, 2024- Healthcare Track/Manufacturing Track award - $2,200,000. (contract finalization pending)

Eastern CT Workforce Investment Board Partnership (EWIB)
ACI 2020 (2.0) EWIB –preliminary start- February 1, 2021-January 31, 2025 - Manufacturing/Healthcare & Manufacturing Pipeline Initiative (MPI) award - $3,000,000. (contract finalization pending)
## Participants Trained

<table>
<thead>
<tr>
<th>REGIONS</th>
<th>ADULTS TRAINED</th>
<th>YOUTH TRAINED</th>
<th>ADULTS PLACED</th>
</tr>
</thead>
<tbody>
<tr>
<td>NRWIB</td>
<td>62</td>
<td>18</td>
<td>22</td>
</tr>
<tr>
<td>WA</td>
<td>160</td>
<td>38</td>
<td>85</td>
</tr>
<tr>
<td>CWP</td>
<td>-</td>
<td>49 (IN TRAINING)</td>
<td>-</td>
</tr>
<tr>
<td>WORKPLACE</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>EWIB</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Total</td>
<td>222</td>
<td>105</td>
<td>107</td>
</tr>
</tbody>
</table>

For all regions, 303 identified as male, 81 female, 2 none selected; 148 Caucasian/White, 64 African American/Black, 54 Hispanic, 12 Asian/Pacific Islander, and 8 as other; 9 identified as veterans. Includes 16 with transportation barriers, 18 ex-offenders, 4 homeless, 5 with childcare barriers, 9 with a disability, 3 language barriers and 5 with multiple barriers.

### Northwest Regional Workforce Investment Board Partnership (NRWIB)

**ACI 2019 (1.0)** NRWIB April 15, 2019 Manufacturing grant - $1,250,000. Balance ending 12/31/2020 - $948,538.94.

April 2019-June 2020: NRWIB reports that 48 adults age 18+ and not in school completed training in manufacturing and 11 youth (11th and 12th grade) completed training in manufacturing, resulting in 11 job placements. (95 males and 26 females were assessed for training)

July 1, 2020- September 30, 2020: During this period, NRWIB reports that 10 adults (16 Males, 1 Female) participated in training resulting in 7 job placements.

October 1, 2020-December 31, 2020: During this period, NRWIB reports that 4 adults (3 Males, 1 Female) participated in training resulting in 4 job placements.

**ACI 2020 (2.0)** NRWIB - July 1, 2020- Plastics Manufacturing grant - $1,500,000. Balance ending 12/31/2020 - $1,489,400.

July 1, 2020- September 30, 2020: During this period, NRWIB reports efforts have been hampered severely by the pandemic. Nonetheless, work continues through remote meetings etc. (no youth or adult training)

October 1-December 31, 2020: Virtual marketing tools were developed. A practice-based curriculum was developed for the ACI 2.0 Plastics Plus, Introduction to Plastics Manufacturing program for submission to Connecticut Department of Higher Education for approval. (No youth or adult training)

### Workforce Alliance Partnership (WA)


May 2019-June 2020 (annual) WA reports through June 2020, 121 adults age 18+ and not in school completed manufacturing training and 38, 11th and 12th graders completed training in manufacturing. 70 adults were subsequently placed in regular job placements and 7 in on-the-job training placements.

July 1, 2020-September 30, 2020: During this period, WA reports 31 adults participated in manufacturing training. Out of the total, 5 participants did not complete the training; 2 individuals were referred to community programs; 5 individuals withdrew and 4 others did not complete training due to starting work.

October 1, 2020-December 31, 2020: During this period, WA reports 8 adults were assessed to participate in manufacturing training (6 males, 2 female). Two participants failed and were redirected to community programs.

**ACI 2020 (2.0)** WA- Projected start May 1, 2021- Hospitality Initiative -grant award - $500,000. Contract pending.

### Capital Workforce Partnership (CWP)


May 11, 2020-September 30, 2020: During this period, CWP reports they launched one of the ACI Youth Mfg. programs (Goodwin University’s ECAMP), 29 youth participated (15 males, 10 females, 1 not identified).

October 1, 2020-December 31, 2020: During this period, CWP reports 25 youth participated in manufacturing training. (16 Males, 7 females, 1 did not identified).

### The Workplace Partnership (Workplace)

**ACI 2020 (2.0)** Healthcare Track/Manufacturing Track - award - $2,200,000.


### Eastern CT Workforce Investment Board Partnership (EWIB)

**ACI 2020 (2.0)** -Manufacturing/Healthcare & Manufacturing award - $3,000,000.

Appendix 5

Yale New Haven Health Services Hospital System Nurse Residency Registered Apprenticeship Program:

Program narrative, apprenticed occupations, federally funded apprentice participant count

The Connecticut Department of Labor’s Office of Apprenticeship Training, YNHH and USDOL OA Region 1 collaboratively developed apprenticeship standards, work process schedules and an industry recognized related instruction credential certification to accommodate the slight variances in the different hospitals occupations detailed below.

While a B.A. in nursing from various universities or a local community college and a Connecticut nursing license are a prerequisite, the intent of the program is to augment previously attained academics and practicum by implementing the Registered Apprenticeship model as a bridge to professional practice for delivery of proficient patient care.

With the success of the initial cohort, the program was expanded to include Registered Apprenticeships in three specific areas of specialty that have been identified as having a critical need. The additional occupations are Registered Nurses in the Perioperative area; Registered Nurses new to a “Specialty Practice Area” (e.g.: Heart & Vascular, Oncology, Intensive Care Units, Emergency Room or Trauma, etc.) and Surgical Technologists or “Scrub Technicians” to practice in Operating rooms and Surgery Centers.

Registered Apprenticeship designation type and industry of focus:

YNHH Nurse Residency Registered Apprenticeship Program is a non-traditional, non-joint, innovative Registered Apprenticeship program in the healthcare industry sector utilizing the American Apprenticeship Initiative (AAI) and State Apprenticeship Expansion (SAE) federal grant funding as a development and implementation catalyst. No other hospital in Connecticut trains their newly hired licensed registered nurses in this manner of detail nor for this length of time.

*Of Note, YNHH also has an additional Medical Coding Registered Apprenticeship program developed in partnership with the Connecticut Office of Apprenticeship, USDOL intermediary AHIMA and USDOL Region 1 support.

Currently there are 720 Registered Nurse Registered Apprentices

442 registered nurses active in the Registered Nurse Apprenticeship Program supported by AAI grant funding and 278 Specialty Care RN’s (ICUs and Perioperative) and Surgical Technologists supported by the federally awarded State Apprenticeship Expansion (SAE) grant.

Program Locations in Connecticut:

Yale New Haven Hospital, Bridgeport Hospital, Greenwich Hospital, Lawrence & Memorial Hospital – New London.
### Appendix 6

**Office of Workforce Strategy - DECD**

<table>
<thead>
<tr>
<th>FUNDING SOURCE</th>
<th>AMOUNT</th>
<th>NOTES:</th>
</tr>
</thead>
<tbody>
<tr>
<td>CETC Workforce General Fund Appropriation within DOL</td>
<td>174,493</td>
<td>Funding will support two positions and discretionary expenses. Balance of funding ($365,087 to remain with DOL). Reallocated out of DOL to DECD - OWS SID in FY 22 - FY 23.</td>
</tr>
<tr>
<td>New Funding FY 22/23 (FY 21 was funded out of DOL - CETC GF Approved Carryforward)</td>
<td>131,414</td>
<td>Funding provided in DECD - OWS SID in FY 22 - FY 23.</td>
</tr>
<tr>
<td>New Funding FY 22/23 (FY 21 was funded out of DECD GF PS SID)</td>
<td>160,000</td>
<td>Funding provided in DECD - OWS SID in FY 22 - FY 23.</td>
</tr>
<tr>
<td>Existing DECD PS</td>
<td>70,000</td>
<td>Reallocated from DECD PS to DECD OWS SID in FY 22 and FY 23.</td>
</tr>
<tr>
<td>Workforce Innovation and Opportunity Act</td>
<td>500,000</td>
<td>$500,000 from 15% Governor’s WIOA Reserve (Remains in DOL for OWS due to federal reporting and claiming)</td>
</tr>
<tr>
<td>Total Funding</td>
<td>1,035,907</td>
<td></td>
</tr>
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</table>

<table>
<thead>
<tr>
<th>STAFFING</th>
<th>FY 22/FY 23</th>
<th>Notes:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chief Workforce Officer</td>
<td>160,000</td>
<td>Established under DECD's budget. FY 21 - funded out of DECD PS</td>
</tr>
<tr>
<td>Chief of Staff</td>
<td>204,611</td>
<td>Established under DECD's budget, with use of funds from 15% Governor’s WIOA Reserve in FY 21 - FY 23</td>
</tr>
<tr>
<td>Executive Secretary</td>
<td>70,000</td>
<td>Established under DECD's budget. FY 21 - agency utilized a funded vacancy</td>
</tr>
<tr>
<td>Director of Strategic Projects (New)</td>
<td>100,000</td>
<td>Funded from DECD's portion of DOL's CETC Workforce appropriation - FY 21 - FY 23</td>
</tr>
<tr>
<td>Staffing Total</td>
<td>534,611</td>
<td></td>
</tr>
</tbody>
</table>

Notes:

1 Salary is up to this amount

2 Costs include salary up to $100,000 and associated estimated fringe benefits and indirect costs to be funded out of the 5% Governor’s WIOA Reserve allocation provided to OWS pursuant to federal rules.

The OWS will consist of four (4) staff: An Executive Director (funded by DECD), a Chief of Staff (funded from the 15% Governor’s Reserve Funding), an Executive Secretary (a funded vacancy currently in DECD), and a Director of Strategic Projects (unfilled position funded by the CETC Workforce line item). The OWS will also work with the DOL commissioner or designee to provide periodic briefings on the workforce programs within DOL’s jurisdiction. The external position titles stated herein may be modified by the DECD Commissioner or his designee, in consultation with the Chair of the Governor’s Workforce Council during the term of this MOU. The Parties agree that modification of such titles will not require an amendment to this MOU in order to take effect.

The funding for the OWS has been agreed upon by the Parties as set forth in the budget. In addition to the DECD funding which supports the Executive Director and Executive Secretary, for FY21 up to $305,906.80 shall be made available from DOL’s General Fund appropriation entitled “CETC Workforce.” Such funding shall be used to support the work of
the OWS as determined by the Executive Director. DOL shall receive $365,087.33 from DOL’s General Fund appropriation entitled “CETC Workforce.” Further, for FY21 up to $500,000 may be made available from the 15% Governor’s Reserve Funding from the Workforce Innovation and Opportunity Act for OWS, subject to standard review and approval of the Governor’s Workforce Council with final approval by the Governor. The Executive Director shall have the responsibility to ensure that the use of such funds is in compliance with Public Law 113-128, the Workforce Innovation and Opportunity Act, within allowable activities and costs and fulfill any necessary reporting requirements.