

2013 Program Report Card: Urban Area Security Initiative Program (DESPP)

Quality of Life Result: Hartford and Bridgeport communities will be more resilient to natural disasters and man-made attacks.

Contribution to the Result: The UASI Grant provides funding for the designated UASI areas to plan, collaborate and equip their emergency response agencies with the tools necessary to mitigate terrorist attacks and reduce vulnerabilities within the communities. The UASI grant helps to enhance the preparedness of nearly 1.75 million Connecticut residents living within those regions.

Total Program Funding (FFY 2008-2010): \$15,082,804 *State Funding:* 0 *Federal Funding:* \$15,082,804 *Other Funding:* \$0
**** Funding to both Connecticut UASI's was eliminated for FFY 2011**

Partners: State Agencies (DPS, DPH, DOT, DEP); Regional Catastrophic Planning Team RCPT States (NY, NJ, PA); Municipal Governments located within the Urban Areas, Non-Governmental Agencies (ARC, CT VOAD, hospitals, universities); Private Sector (small and large businesses located within the Urban Areas); Community Groups (civic groups, faith-based organizations, CERT teams), local citizens and visitors.

Performance Measure 1: Planning

The goal of planning is to develop and update as needed, operational and strategic plans for emergency response within the two UASI designated areas. To date, the Hartford UASI has completed a majority of their projects including the Target Capabilities Assessment, the Long-term Facility Planning Project and the Electronic Patient Tracking System. The Citizens Preparedness program, which includes a website and social media accounts, is complete and continually updated. The Bridgeport UASI has a contractor in place to oversee the Communications Project which is approximately 90% complete.

UASI	Planning Project	Status (%)	Measurable Outcome
Bridgeport	Communications Project Oversight	100	Contractor hired and providing oversight
	Communications Project	90	Interoperable Communications Systems
Hartford	Target Capabilities Assessment	100	Target Capabilities Assessment Report
	Long-term Facility Planning	100	Directory of Long Term Facilities, Mutual Aid Agreements
	Citizen Preparedness Program	100	Citizen preparedness training and information
	Electronic Patient Tracking System	100	Tracking system utilized during Trop. Storm Irene

Story behind the baseline: All planning initiatives are overseen by the Urban Area Working Groups (UAWG) and the Regional Emergency Planning Teams (REPT) within each region. These groups are multi-disciplinary, multi-jurisdictional boards who oversee all projects and grant related funds within the region. DEMHS is the second line of oversight. A DEMHS Program Manager is assigned to each UASI. The Program Managers interpret the grant guidance and ensure that the proposed projects are aligned with the State-wide Strategy and the UASI Strategies approved by FEMA. They monitor the fiscal and programmatic progress of the projects throughout the grant cycle.

Proposed actions to turn the curve: Planning continues to be a priority for FEMA, DEMHS, and the Urban Areas. The Hartford Urban Area completed their Target Capabilities Assessment with FFY 2008 funds which outlined their expenditures over the next two funding cycles. The Bridgeport UASI's Regional Communications Project was based on their SWOT (Strengths, Weaknesses, Opportunities, Threats) analysis. These assessments also allowed the regions to see how they are progressing against the stated goals and objectives in their UASI Strategy.

Performance Measure 2: Organization

The goal of organization is to establish and maintain collaborative groups who share the common goal of preventing, preparing for, response to, and recovery from terrorism. In 2007, DEMHS established five regional emergency planning teams throughout the state. The Bridgeport UASI consists of the same towns and cities as Region 1. The Hartford UASI mirrors Region 3.

Story behind the baseline: Organization is measured in multiple ways. Dates of meetings are submitted annually to the Secretary of the State's Office. Meeting agendas and minutes are produced for REPT and UAWG meetings. DEMHS staff (Grant Program Manager) regularly attend these meetings or review the agendas and minutes. In addition, meetings are reported on sub grantee progress reports, which are submitted quarterly to DEMHS.

Proposed actions to turn the curve: DEMHS established the regional approach to emergency planning prior to these two areas being designated as high risk urban areas. The first responders and chief elected officials both have shown their support for this approach and continue to believe it is a worthwhile endeavor.

Both urban areas are now identifying ways to sustain projects initiated with UASI funding. Reductions in the Homeland Security Grant Program have also impacted the UASIs. The regions are again leveraging other funding opportunities (additional grants, municipal dollars, etc.) to not only continue to support the regional planning teams, but also to enhance the programs initiated under the UASI program.

Performance Measure 3: Equipment

The funding provided by this grant (FFY 08-10) allows for the procurement of equipment to enhance the capabilities of the urban areas.

Story behind the baseline:

All equipment must first be deemed allowable for purchase under the UASI Grant funding. To measure

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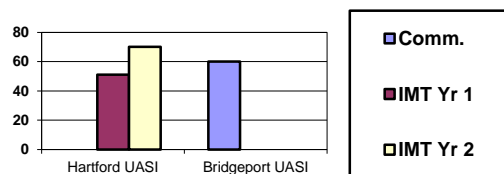
this, sub-grantees as well as DEMHS Program Managers check for the eligibility for all budgeted equipment against the Allowable Equipment List (AEL) that is produced by FEMA. Once the equipment is approved by DEMHS and FEMA, the subgrantee administrative agent will then utilize their internal process to procure the items. This may be done using state contracts or through a RFQ/RFP process. DEMHS again monitors these transactions through quarterly progress and financial reports as well as through funding reimbursement requests. At the close of the grant cycle, the UASI's submit an inventory of equipment purchased during the life of the grant. DEMHS conducts monitoring visits which include review of the grant funded inventory.

Proposed actions to turn the curve: DEMHS instituted a MOA (Memorandum of Agreement) process as a creative solution to the challenge of a regional approach to emergency management and homeland security. Once equipment is purchased, an organization takes custody of this equipment through a MOA process. The MOA stipulates that the custodial owner will maintain the equipment and make it available for regional use. This process allows the equipment to remain viable after purchase and ensures its readiness for disasters. In many cases, the organization will add this equipment to its current inventory for regular maintenance and use.

Performance Measure 4: Training

An important component of planning, equipping, and organization is training all end users on these products. Therefore, training will continue to be provided on the

Number of Individuals Trained



plans and equipment that will be developed through this program. In addition training will be provided on general terrorism education and response.

Story behind the baseline: Training is implemented through the sub grantee administrative agent. All trainings that are paid for with grant funds must first be deemed allowable by FEMA. In the yearly grant guidance that is produced, FEMA designates what types of trainings are eligible for funding. In addition, as a grant special condition, which applies to both UASI subgrants, the DEMHS Training Unit must approve all grant-funded trainings prior to these being scheduled. The DEMHS Training Unit regularly participates in these trainings as well. Records of attendance and completion are kept either by the training service or by the DEMHS Training Unit. In addition, all trainings and their successes are reported to DEMHS Program Managers through the quarterly progress and financial reports. The Bridgeport UASI has conducted two sessions, training 60 individuals in the Communications Unit Leader Course. The Hartford UASI has trained 121 individuals in IMT courses over a two year period. The IMT Position specific training was conducted utilizing a combination of UASI and HSGP funds. Hartford Item members were deployed twice to Texas to support the TX Forest Service. Seven IMT members were also deployed to the California Wildfires to train with Type I teams, September 2-8 2012.

Proposed actions to turn the curve:

Proper training is the backbone to a coordinated response. There are numerous no-cost training courses that are available to all partners and are being utilized throughout the regions. Due to the ongoing regional collaboration, local municipalities and regional organizations often set up trainings that are available to all partners, not just a single municipality. The DEMHS training unit continues to provide no-cost courses to all of the first response community throughout the state. Additional training is available to the UASI's through the Regional Catastrophic Planning Grant Program which is made up of the states of New York, New Jersey, Connecticut and Pennsylvania. Partnering with these states has made additional training available at no cost to the responders of Connecticut.

Performance Measure 5: Exercise

Exercise is an important component of the UASI Grant Program. The UASI areas have been exercising the plan

documents and utilizing the equipment that was purchased with grant funds.

UASI	Exercise/Event	Measurable Outcome
Bridgeport	Terrorism / LE Tactical	Conducted 11/2008
	Firefighters' funeral	Conducted 9/2010
	Radio System Test	Weekly - ongoing
Hartford	National Level Exercise	Participated 6/07/12
	Autumn Storm	TXX Conducted - 8/09 Functional conducted - 7/09
	TTX-1 Operation Threat	Conducted 4/13/11
	FE-1, Operation Threat	Conducted 6/15/11
	TTX-2, Operation Threat	Conducted 10/28/11
	National Level Exercise	Participated 6/07/12
	East Hampton Ct Belvin Bell Fire Newtown CT Sandy Hook	Assisted in incident 5/27/12 Assisted in incident 12/14-12/16/2012

Story behind the baseline:

Any exercises that are scheduled must first meet Federal Homeland Security Exercise and Evaluation Program (HSEEP) compliance. This Federal standard does not grade exercises, but rather highlights the strengths and areas where improvement is needed. This allows all participants take each exercise as a learning event rather than a pass or fail test. In addition, the DEMHS Training Unit works with the two urban areas. As with trainings, they must approve all activities prior to being scheduled.

Proposed actions to turn the curve: The urban areas have built regional exercises into their programs in order to establish a comprehensive training and exercise program. Both urban areas have established multi-year exercise programs to continue this effort throughout the regions for many years. These programs help identify gaps in planning, training and equipment acquisition.