

# Analysis of Unallocated Balances and New Authorizations for the General Bonding Subcommittee

March 1, 2013  
9:45 AM



## OFFICE OF FISCAL ANALYSIS

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## I. Hearing Schedule

The attached information was developed by OFA staff members for the legislative members of the GO Bonding Subcommittee.

### General Bonding Subcommittee Hearings on Friday, March 1, 2013

<b>Time</b>	<b>Agency</b>	<b>Analyst</b>	<b>Page</b>
9:45 - 10:15	Military Department	Anne Bordieri	4
10:15 - 11:30	Board of Regents: Connecticut State University System Community Technical Colleges	Alan Shepard	5
11:30 - 12:30	Lunch		
12:30 - 2:00	Capital Resource Development Authority Department of Housing Connecticut Innovations, Inc. Department of Economic & Community Development	Evelyn Arnold	14
2:00 - 3:30	Department of Education	Sarah Bourne	32

## II. Agency Write-ups

### Military Department

OFA Analyst: Anne Bordieri

Description	Unallocated 2/25/13 \$	Proposed FY 14 \$	Proposed FY 15 \$
Renovations and improvements to the skylight at the William A. O'Neill Armory in Hartford	-	3,000,000	-
State matching funds for anticipated federal reimbursable projects.	1,977,000	2,000,000	2,000,000
Alterations and improvements to buildings and grounds, including utilities, mechanical systems, energy conservation.	800,000	1,000,000	1,000,000
Alterations, renovations and improvements to the Air National Guard Base at Bradley International Airport.	500,000	-	-
Construction of a readiness center for the Connecticut Army National Guard Civil Support Team in Windsor Locks.	1,250,000	-	-
Construction of a combined support maintenance shop for Connecticut National Guard equipment in Windsor Locks.	3,300,000	-	-
Alterations, renovations and improvements to the National Guard Armory in New London and the storage facility at Stone's Ranch in East Lyme for the 250th Engineering Company.	2,000,000	-	-

Please see the handout provided by the Military Department.

## Board of Regents: Connecticut State University System

OFA Analyst: Alan Shepard

Description	Unallocated 2/25/13	Proposed FY 14 \$	Proposed FY 15 \$
All Universities - Land acquisition and related development costs. PA 07-7, (JSS), Sec. 2(t)(1)(E)	5,490	-	-
Central Connecticut State University - East Campus infrastructure improvements, including road, site and utility improvements. PA 07-7, (JSS), Sec. 2(t)(2)(B)	1,800,000	-	-
Eastern Connecticut State University - Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance improvements. PA 07-7, (JSS), Sec. 2(t)(5)(A)	22,396	-	-
Eastern Connecticut State University - Development of a new parking garage. SA 05-1, (JSS), Sec. 21(k)(5)(C); PA 07-7, (JSS), Secs. 2(t)(5)(D) & 200	971,000	-	-
Southern Connecticut State University - Alterations, renovations and improvements to facilities, including fire, safety energy conservation and code compliance improvements. PA 07-7, (JSS), Sec. 2(t)(4)(A)	950,000	-	-
Southern Connecticut State University - Planning for renovations and an addition to Buley Library, including site improvements. SA 01-2, (JSS), Sec. 17(g)(3) and SA 05-1, (JSS), Sec. 85 - Addition and renovations to Buley Library and Engleman Hal; SA 02-1, (M9SS), Secs. 17(f)(2) & 98; PA 04-3, Sec. 2(c)(4)(A) and SA 05-1, (JSS), Sec. 100 - Addition and renovations to Buley Library and Engleman Hall	2,503,415	-	-
Southern Connecticut State University - Development of a new academic laboratory building and parking garage, including renovations to the former student center and demolition of Seabury Hall. SA 05-1, (JSS), Sec. 2(p)(4)(C); PA 07-7, (JSS), Secs. 2(t)(4)(B) & 166	250,000	-	-

**All Universities - Land acquisition and related development costs** (\$5,490 unallocated balance) - These bonds are not required and **may be cancelled**. This project is complete.

**Central Connecticut State University - East Campus infrastructure improvements, including road, site and utility improvements** (\$1.8 million unallocated balance) - These funds are for environmental approvals and design of East Campus Infrastructure. A request for allocation has been submitted to the State Bond Commission. Construction funding of \$13,244,000 was allocated to this project through the CSUS 2020 program in FY 2009.

**Eastern Connecticut State University - Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance improvements** (\$22,396 unallocated balance) - These bonds are not required and **may be cancelled**.

**Eastern Connecticut State University - Development of a new parking garage** (\$971,000 unallocated balance) - These bonds are not required and **may be cancelled**. Bonds were used to construct Eastern's new parking garage. The project is complete.

**Southern Connecticut State University - Alterations, renovations and improvements to facilities, including fire, safety energy conservation and code compliance improvements** (\$950,000 unallocated balance) - These bonds are to fund design of Lyman Auditorium Electrical & Mechanical Improvements. A request for allocation has been submitted to the Bond Commission. Construction funding was allocated to this project through the CSUS 2020 program in FY 09.

**Southern Connecticut State University - Alterations, renovations and improvements to facilities, including fire, safety energy conservation and code compliance PA 07-7, (JSS), Sec. 2(t)(4)(A) improvements** (Unallocated Balance \$2,503,415) - the unallocated is broken down into two projects:

1. **Southern Connecticut State University - Buley Library SA 02-1, (M9SS), Secs. 17(f)(2) & 98: PA 04-3, Sec. 2(c)(4)(A) and SA 05-1, (JSS), Sec. 100 - Addition and renovations to Buley Library and Engleman Hall** (\$1.4 million unallocated balance) - Additions to Buley Library (phase I) are complete. Construction bids for renovation of the existing Buley facility (phase II) are scheduled to be received in late February 2013. A request for the unallocated balance is anticipated to be submitted to the State Bond Commission in March 2013.
2. **Southern Connecticut State University - Buley Library and Engleman Hall** (\$1.1 million unallocated balance) - Engleman Hall additions and renovations project are complete. Additions to Buley Library (phase I) are complete.

Construction bids for renovation of the existing Buley facility (phase II) are scheduled to be received in late February, 2013. A request for the unallocated balance is anticipated for March 2013.

**Southern Connecticut State University - Development of a new academic laboratory building and parking garage, including renovations to the former student center and demolition of Seabury Hall SA 05-1, (JSS), Sec. 2(p)(4)(C); PA 07-7, (JSS), Secs. 2(t)(4)(B) & 166 (\$250,000 unallocated balance) -** Design of the new academic laboratory building is complete with construction bids received mid-February, 2013, and currently under review. Design of the new parking garage has not commenced. CSUS 2020 program FY 2009 parking garage construction funds were re-allocated to Buley Library (phase II) as supplemental construction funds. Demolition of Seabury Hall is in process. A request for the unallocated balance is anticipated for March, 2013.

See Appendix A for a summary table of the enrollment, full time faculty, part time faculty, and the top five completions for each institution of the state university system.

## Board of Regents: Community-Technical Colleges

OFA Analysts: Alan Shepard

### Community-Technical Colleges

Description	Unallocated 2/25/13 \$	Proposed FY 14 \$	Proposed FY 15 \$
Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance.	12,389,090	2,000,000	5,000,000
New and replacement of instruction, research and laboratory equipment.	18,000,000	9,000,000	5,000,000
Systems Technology Initiative.	10,000,000	5,000,000	5,000,000
Housatonic Community College: Implementation of phase III of the master plan for renovations and additions to Lafayette Hall	-	-	40,467,047
Housatonic Community College: Parking garage improvements	-	-	3,907,258
Improvements at three campuses to design, construct and equip space for new manufacturing technology programs. PA 11-1, (OSS), Sec. 32	8,900,000	-	-
Quinebaug Valley Community College: Parking and site improvements	-	2,189,622	-
Quinebaug Valley Community College: Code improvements to the east wing. PA 09-2, (SSS), Sec. 27(d)(3)	555,710		
Asnuntuck Community College: Alterations, renovations and improvements to existing buildings. PA 09-2, (SSS), Sec. 42(e)(7)	11,442,755	-	-
Capital Community College: Campus expansion. SA 04-2, (MSS), Sec. 2(j)(5)	500,000	-	-
Capital Community College: Acquisition of property. PA 09-2, (SSS), Sec. 42(e)(8)	4,595,756	-	-
Manchester Community College: Campus improvements. PA 04-3, Sec. 2(b)(4); PA 07-7, (JSS), Sec. 2(s)(2); PA 09-2, (SSS), Sec. 42(e)(2)	3,413,468	-	-
Manchester Community College: Code improvements to the Lowe building. PA 09-2, (SSS), Sec. 27(d)(1)	1,271,152	-	-
Middlesex Community College: Acquisition of property. SA 01-2, (JSS), Sec. 2(i)(7)	190,000	-	-



### Community-Technical Colleges

Description	Unallocated 2/25/13 \$	Proposed FY 14 \$	Proposed FY 15 \$
Middlesex Community College: Alterations, renovations and improvements to Founders Hall. PA 09-2, (SSS), Sec. 42(e)(4)	1,402,422	-	-
Naugatuck Valley Community College: Alterations, renovations and improvements to Founders Hall. PA 11-57, Sec. 21(l)(3)	39,008,382	-	-
Naugatuck Valley Community College: Parking and site improvements. PA 09-2, (SSS), Sec. 42(e)(5)(A)	6,563,444	-	-
Northwestern Community College: Infrastructure development and improvements related to the nursing and allied health program. PA 07-7, (JSS), Sec. 2(s)(7)	340,000	-	-
Northwestern Community College: Site remediation, acquisition of property, design and construction for a replacement for the Joyner Building. PA 11-57, Sec. 2(m)(2)	24,650,786	-	-
Norwalk Community College: Implementation of phase III of the master plan. PA 11-57, Sec. 21(l)(2)	3,720,936	-	-
Three Rivers Community College: Renovations to existing buildings and additional facilities for a consolidated campus in accordance with the master plan. PA 09-2, (SSS), Sec. 42(e)(6)	11,606,676	-	-
Tunxis Community College: Implementation of phase III of the master plan. PA 11-57, Sec. 21(l)(4)	4,993,817	-	-

**Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance** (\$12.4 million unallocated; \$2 million in FY 14 and \$5 million in FY 15 proposed by the Governor) - See Appendix C for a list of projects associated with these funds.

Background - The agency uses the funds in this account for project that cost under \$2 million at all community college facilities. The projects are coordinated, planned and administered by Board of Regents staff. Larger deferred-maintenance projects include replacing roofs, parking lots and major building systems. Smaller projects address office and classroom renovations, fire safety, life safety, and ADA code compliance. The community college system has a \$242 million backlog of projects, so these funds are used to address the most urgent issues and emergency situations.

**New and replacement of instruction, research and laboratory equipment** (\$18 million unallocated; \$9 million in FY 14 and \$5 million in FY15 proposed by the Governor) - These funds are used on an ongoing basis to purchase a variety of types of equipment, including educational/instruction, administrative/office (furniture and equipment), general and logistical and physical plant and library.

**System Technology Initiative** (\$10 million unallocated; \$5 million in FY 14 and \$5 million in FY 15 proposed by the Governor) - These funds are used on an ongoing basis to finance the purchase of technology and telecommunications equipment and related technology infrastructure improvements at community colleges. The funds are used to upgrade and refresh the following:

3. Telecommunication equipment,
4. Network equipment,
5. Servers, storage systems, UPS, and other equipment as part of data center infrastructure,
6. Office equipment that includes desktops, laptops, printers and multi-function machines,
7. Lab and classroom equipment and
8. Library equipment.

**Housatonic Community College: Implementation of phase III of the master plan for renovations and additions to Lafayette Hall** (\$40.5 million in FY 15 proposed by the Governor) - The funds will be used to implement phase III of the master plan for Lafayette Hall. The scope of this project includes 55,000 square feet of new construction and 50,000 square feet of renovation. Twelve existing classrooms will become state-of-the-art learning environments. Renovations/modernizations will update biology and chemistry labs, anatomy and physiology labs, the library, an arts and computer graphics studio, and electrical power and distribution systems. Other matters to be addressed are life safety, building security, updated IT, and other code compliance matters. New construction will add 20 classrooms, a number of offices for faculty and administration, expand the dining area for the cafeteria, academic program space for occupational therapy, and student support spaces for tutoring and testing. The designer has been selected and the contract should issue shortly from the DCS legal division.

**Housatonic Community College: Parking garage improvements** (\$3.9 million in FY 15 proposed by the Governor) - The funds will be used for improvements to Housatonic's parking garage. The garage was built in 1968, is in need of extensive repair. The precast concrete structure is disintegrating. Concrete is failing from beams, exposing internal metal reinforcing bars. Decks (driving surfaces) are delaminating, revealing reinforcing steel in various locations. Bond funds will be expended to remove

delaminated decks and wearing surfaces and replace them with new lightweight slabs and other materials. Spalling concrete needs to be removed and replaced with hand-packed lightweight concrete.

**Improvements at three campuses to design construct and equip space for new manufacturing technology programs** (\$8.9 million unallocated) - The Board of Regents plans to request the remaining funds in FY 13.

Background - The funds were authorized for development of three new manufacturing centers at Housatonic (HCC), Naugatuck Valley (NVCC) and Quinebaug Valley (QVCC) Community Colleges, including: (1) the purchase of capital equipment and (2) renovation or expansion of existing college facilities to accommodate advanced manufacturing centers.

Construction projects were completed at HCC and NVCC were completed during the summer of 2012. HCC renovated 7,000 square feet of existing space into classrooms and machining labs to accommodate manufacturing training. NVCC renovated approximately 7,500 square feet of classroom and lab space in Technology Hall to accommodate a manufacturing center.

Through its existing relationship with Harvard H. Ellis Technical High School, QVCC created a manufacturing center by installing manufacturing equipment in Ellis Tech space. QVCC also maintained an existing manufacturing center on campus. Ellis Tech provides QVCC faculty and students access to the manufacturing center and additional classrooms beginning at 3:00 p.m. each day school is in session. A feasibility study was completed in 2012 regarding plans and cost estimates for the construction of a manufacturing center at QVCC. A cost estimate for a 10,000 square foot addition to QVCC carries a cost of \$7.82 million.

**Quinebaug Valley Community College: Parking and site improvements** (\$2.2 million in FY 14 proposed by the Governor) - The funds will be used to repave deteriorated existing parking lots for approximately 300 cars as well as the entry/loop perimeter campus road; replace site lighting poles and fixtures with new energy efficient L.E.D. types; replace sidewalk pedestrian level light bollards with new energy efficient L.E.D. types; perform needed repairs to existing site drainage; and repair landscaping in areas that are disturbed by the construction. The site features needing repair are part of the original campus construction, and through normal wear and tear, have reached their useful life. Paving surfaces have been sealed time and again, and larger paving areas that have failed and are breaking apart have been temporarily patched and repaved numerous times. Improvements will bring site features into compliance with ADA Standards. Lighting upgrades will achieve energy savings.

**Quinebaug Valley Community College: Code improvements to the east wing** (\$555,710 unallocated) - The funds are not required and may be cancelled because the project was completed in fall 2012.

**Asnuntuck Community College: Alterations, renovations and improvements to existing buildings** (\$11,442,755 unallocated) -The project is for design and construction of major renovations and improvements. Funds will be used to redesign the main entrance and lobby for security purposes and to upgrade mechanical, electrical and plumbing systems. The project will be designed to LEED silver standards. The project is in design phase and will be submitted to the SBC in FY 14.

**Capital Community College: Campus expansion** (\$500,000 unallocated) - These funds will be used to fit out new space for Capital Community College when the master plan update is complete and new space has been identified by DAS.

**Capital Community College: Acquisition of property** (\$4,595,756 unallocated) - Capital's master plan will be updated in spring 2013 based on current space needs. These bond funds will be used to acquire additional space.

**Manchester Community College: Campus improvements** (\$3,413,468 unallocated) - The project is for the reconstruction of parking lot "B" and construction of a new parking area. Bids are due on February 20, 2013 and a bond allocation will be requested from the SBC in spring 2013.

**Manchester Community College: Code improvements to the Lowe building** (\$1,271,152 unallocated) - The bonds will fund the following two projects: (1) construction of a fire sprinkler system in the west half of the Lowe building (an allocation was received in January 2013) and (2) design for structural repairs to exterior concrete panels (will be submitted to the SBC in spring 2013).

**Middlesex Community College: Acquisition of property** (\$190,000 unallocated) - These funds will be used to purchase a single family residential property that borders the Middlesex Community College campus. DAS will initiate discussions with the owner in spring 2013.

**Middlesex Community College: Alterations, renovations and improvements to Founders Hall** (\$1,402,422 unallocated) - The project is for an addition to, and renovations of, the cafeteria area of Founders Hall. It is in design phase and will be submitted to the SBC in FY 13.

**Naugatuck Valley Community College: Alterations, renovations and improvements to Founders Hall** (\$39,008,382 unallocated) - The project is in the pre-design phase and will be submitted to the SBC in FY 14.

**Naugatuck Valley Community College: Parking and site improvements** (\$6,563,444 unallocated) – A design contract for this project is awaiting approval at the Department of Construction Services legal department. It will be submitted to the SBC in FY 14.

**Northwestern Community College - Infrastructure development and improvements related to the nursing and allied health program** (\$340,000 unallocated) – These bonds are not required and may be cancelled.

**Northwestern Community College: Site remediation, acquisition of property, design and construction for a replacement for the Joyner Building** (\$24,650,786 unallocated) – The project is in the design phase and will to be submitted to the SBC in FY 14.

**Norwalk Community College: Implementation of phase III of the master plan** (\$3,720,936 unallocated) – The project will involve an extensive renovation and addition to East and West Campus buildings. On the West Campus, “Wing B” will be renovated. Work will include a new roof and façade as well as renovations to the cafeteria, culinary arts center, and classrooms. Also included in this project is the construction of an enclosed pedestrian bridge that will span Richards Avenue and connect the East and West campuses. The agency has requested that funding for the preconstruction/design phase be allocated at the March 2013 SBC meeting.

**Three Rivers Community College: Renovations to existing buildings and additional facilities for a consolidated campus in accordance with the master plan** (\$11,606,676 unallocated) - The project includes construction of an auditorium and art classroom spaces so the college can host theatrical productions, concert and musical performances, lecture series, and large scale forums. The project is in the design phase and the agency will request funding from the SBC in FY 14.

**Tunxis Community College: Implementation of phase III of the master plan** (\$4,993,817 unallocated) – The agency will request funding for design work from the SBC in spring 2013.

See Appendix B for a summary table of the enrollment, full time faculty, part time faculty, and the top five completions for each community college. See Appendix C for information on Community-Technical College System Infrastructure Projects.

**Capital Resource Development Authority (CRDA)  
Department of Housing (DoH)  
Connecticut Innovations, Inc. (CII)  
Department of Economic and Community Development (DECD)**

OFA Analyst: Evelyn Arnold

**CRDA, DoH, CII, DECD**

Description	Unallocated 2/25/13 \$	Proposed FY 14 \$	Proposed FY 15 \$
(CRDA) Alterations, renovations and improvements at the Connecticut Convention Center, the XL Center and Rentschler Field	-	5,139,000	3,727,500
(CRDA) Grants or loans for residential housing development. PA 12-189, Sec. 43	60,000,000	-	-
(DoH) Flexible Housing Program - Housing development and rehabilitation programs	69,507,902	70,000,000	70,000,000
(DoH) Housing Trust Fund	47,814,000	30,000,000	30,000,000
(DoH) Supportive Housing Initiative established under CGS Sec. 17a-485c	-	20,000,000	-
(DoH) Grants-in-aid to municipalities for the incentive housing zone program pursuant to CGS Sec. 124b	2,000,000	1,000,000	-
(DoH) Grant-in-aid to the Connecticut Housing Finance Authority pursuant to CGS Secs. 8-265cc-265kk. PA 12-189, Sec. 9(c)(3) CGS Sec. 124b	60,000,000	-	-
(CII) Connecticut Bioscience Innovation Fund	-	10,000,000	10,000,000
(CII) Financial aid for biotechnology and other high technology laboratories, facilities and equipment. PA 98-203, Secs. 3, 4 & 5; PA 99-242, Sec. 32(e); SA 01-2, (JSS), Secs. 9(e) & 28(e); SA 02-1, (M9 SS), Secs. 24(a) & 105; SA 04-2, (MSS), Sec. 122; PA 10-44, Secs. 98 & 105	2,000,000	-	-
(CII) Grant-in-aid to recapitalize the programs of Connecticut Innovations, Inc., described in chapter 581 of the general statutes. PA 07-7, (JSS), Secs. 13(n) & 32(j); PA 10-44, Secs. 313 & 340; PA 11-1, (OSS), Sec. 52	45,000,000	-	-
(DECD) Small Business Express Program - PA 11-1 (OSS), Sec. 2	-	50,000,000	50,000,000

**CRDA, DoH, CII, DECD**

<b>Description</b>	<b>Unallocated 2/25/13 \$</b>	<b>Proposed FY 14 \$</b>	<b>Proposed FY 15 \$</b>
(DECD) Regional Brownfield Redevelopment Loan Fund. PA 11-57, Sec. 13(b)	22,000,000	20,000,000	20,000,000
(DECD) Grants-in-aid to nursing facilities for alterations, renovations and improvements for conversion to other uses in support of right-sizing. PA 12-189, Sec. 9(c)(1)	10,000,000	10,000,000	10,000,000
(DECD) MAA - Manufacturing Assistance Act of 1990. CGS 32-235	206,485,000	100,000,000	100,000,000
(DECD) E-Portal. PA 11-1 (OSS), Sec. 2	1,000,000	-	-
(DECD) Minority business enterprise assistance program: Implementation of a minority business enterprise assistance program to assist such businesses in obtaining surety bonds, including bid, performance and payment bonds, for capital construction projects, which program may be run by a nonprofit entity with which said department shall contract, provided not more than 2,000,000 shall be made available to a nonprofit entity that shall give priority to minority business enterprises located in the northern half of the state, and not more than 2,000,000 shall be made available to a nonprofit entity that shall give priority to minority business enterprises located in the southern half of the state. PA 12-189, Sec. 2(e)	4,000,000	-	-
(DECD) Entertainment-related projects - Grants-in-aid to municipalities and nonprofit organizations for cultural and entertainment-related economic development projects, including museums. SA 05-1, (JSS), Secs. 13(j)(2), 32(j)(2) & 95; PA 12-189, Sec. 9(c)(2).	13,270,310	-	-
(DECD) Energy Conservation Loan Fund - low-cost loans for various energy efficiency and renewable energy measures in residential structures. CGS Sec. 16a-40a. PA 05-2, (OSS), Sec. 6	30,000,000	-	-
(DECD) Southeastern Connecticut Economic Diversification Revolving Loan Fund. PA 07-7, (JSS), Secs. 13(f)(1) & 32(f)(1)	10,000,000	-	-

**CRDA, DoH, CII, DECD**

<b>Description</b>	<b>Unallocated 2/25/13 \$</b>	<b>Proposed FY 14 \$</b>	<b>Proposed FY 15 \$</b>
(DECD) Biofuel Production Facility Incentive Program. PA 07-7, (JSS), Sec. 32(f)(4)	3,500,000	-	-
(DECD) First Five Brownfields Program. PA 11-1, (OSS), Sec. 25	19,500,000	-	-
(DECD) Fuel diversification grant program. PA 07-7, (JSS), Sec. 13(f)(5)	1,500,000	-	-
(DECD) Historic structures and landmarks - Grants-in-aid for restoration and preservation of historic structures and landmarks. PA 07-7, (JSS), Secs. 13(e)(1) & 32(e)(1)	400,000	-	-
(DECD) State-owned historic museums - Renovations and restoration at state-owned historic museums. SA 05-1, (JSS), Sec. 21(h)(1)	1,000,000	-	-
(DECD) Prudence Crandall House Museum - Alterations, renovations and improvements to the Carter House Visitor Center at the Prudence Crandall House Museum. PA 07-7, (JSS), Sec. 2(m)	500,000	-	-
(DECD) Improvements to Old New Gate Prison. SA 05-1, (JSS), Sec. 21(h)(2)	50,000	-	-

See Appendix D for a list of DECD’s earmarked unallocated bond balances.

**CAPITAL REGION DEVELOPMENT AUTHORITY (CRDA)**

**Capital Region Development Authority** (\$5,139,000 in FY 14 and \$3,727,500 in FY 15 proposed by the Governor) – The proposed funding will be used to finance alterations and improvements at XL Center, Rentschler Field, and Connecticut Convention Center.

XL Center – Assessments conducted by CT Development Authority (now under Connecticut Innovations) indicate that the building needs a minimum investment of \$16 million over the next ten years on all mechanical systems. For FY14, \$1,017,000 is projected for capital expenditures such as: mechanical equipment restoration/replacement; HVAC energy upgrades, plumbing replacement; electrical power distribution systems, concrete spalling and railing repairs. For FY 15 this is projected to increase to \$2.3 million.

Rentschler Field- The annual operating budgets can no longer sustain the increasing capital need from normal wear and tear. For FY 14, \$2.8 million is requested to replace the main scoreboard (\$2 million) and other capital items including: concourse



waterproofing repairs, energy efficiency replacements and pedestrian lighting systems. Improved signage is also necessary. For FY 15, the request drops to \$288,000 and focuses on revenue improvement such as upgrades to concessions.

CT Convention Center - The Center has been able to undertake capital investments as part of the operating budget reserve to date. Building systems are beginning to wear and repair accounts will need to be increased. For FY 14, it is suggested that \$1.3 million be allocated to undertake replacement of furnishings, performance equipment and items critical to maintain event expectations; and to begin to address door systems, exterior lighting, carpeting replacement; and concrete, window, and life safety items. For FY 15, a projection of \$1,095,000 addresses the same type of items.

**Grants and Loans for Residential Housing Development** - (\$60 million unallocated) - These funds will provide grants or loans to encourage residential housing development in downtown Hartford.

Background - The Capital Region Development Authority (CRDA) seeks projects that are 80% market rate and 20% affordable and has designated a portion of its funding for small projects (less than 20 units). CRDA requires its funds to be leveraged by private lending and/or equity contributions and the amount of assistance and its nature are determined on a case by case basis.

The assistance may take the shape of equity with preferred status, a traditional mortgage or "soft" second mortgages. Development budgets will be reviewed for consistency with marketplace costs, per unit subsidy amounts, developer fee percentage, contingency and capital and operating reserves, and the certainty of other funding sources. Funding assistance must be approved by the full CRDA Board upon recommendation of the staff and the CRDA Housing Committee.

## **DEPARTMENT OF HOUSING (DoH)**

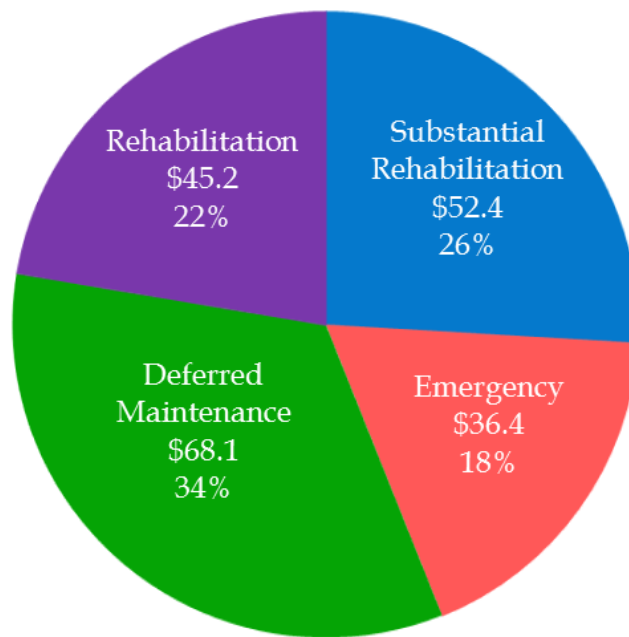
**Flexible Housing Program: Housing Development and Rehabilitation program** (\$69,507,902 unallocated; \$70 million in each of FY 14 and FY 15 proposed by the Governor) - This proposed funding may support the development and rehabilitation of affordable housing (which may be in the form of grants or loans) on a competitive basis.

It is anticipated that \$30 million of the \$70 million recommended authorization for each of FY 14 and FY 15 will be used for the revitalization of the State-sponsored housing portfolio, which is part of the Governor's 10-year, \$300 million rehabilitation initiative. By the end of FY 13, it is expected that approximately ten developments will be in the process of being rehabilitated and that pre-construction and pre-development work will be underway at approximately forty other developments in preparation for rehabilitation or redevelopment. The projects to be funded in FY 14 and FY 15 may require construction schedules of as short a period as six months. Alternatively, some projects may involve multi-year construction schedules.

Background: State Housing Portfolio Initiative – The housing units in the State Housing Portfolio were financed by bonds from the state and are owned and operated by local housing authorities, non-profits, limited partnerships and municipal developers. There are approximately 350 properties in the portfolio with 14,000 housing units. The Connecticut Finance Housing Authority (CHFA) has administrative oversight over these housing units.

Estimated Needs of State Housing Portfolio – Based on DECD’s 2010-15 Consolidated Plan for Housing Development Report (henceforth the 5 Year Report), the total estimated cost to make all necessary improvements to the portfolio is \$202 million.<sup>1</sup>

**Physical Needs of State Housing (in millions)<sup>2</sup>**



According to the 5 Year Report, it is anticipated that about \$62 million of the physical needs in state housing can be funded either through reserves or through a loan. Therefore, there is an additional \$140 million of unfunded needs. It is anticipated that federal and private funding may be leveraged to assist in financing the needed improvements. In general, elderly units tend to need less rehabilitation and maintenance than family units. It is estimated that 60% are family units and 40% are elderly units.

<sup>1</sup>More recent analysis by DECD indicates that the total current cost could be in excess of \$300 million. The increase is a result of compounded deferred maintenance issues since 2010 as well as better information on the cost of redevelopment/revitalization. For illustrative purposes, this subsection includes estimates listed in the 5 Year Report; however, these costs are likely higher due to the increase in the current overall estimated cost.

<sup>2</sup>Source: CHFA (State of Connecticut 2010-15 Year Consolidated Plan for Housing and Community Development)

The improvements required include deferred maintenance, emergency repairs, and health and safety repairs. Some units require major redevelopment and revitalization. Specific issues such as lead paint and asbestos will only be addressed as other repairs are initiated. A number of units are currently vacant due to health, safety and building code issues.

In 2012, the Governor introduced a 10-year, \$300 million commitment to preserve and upgrade deteriorated and vacant units amount the state-sponsored public housing portfolio. PA 12-189 authorized the first \$30 million of the ten year commitment for FY 13. A total of \$11,546,000 of the first \$30 million of the \$300 million for public housing revitalization has been allocated by the State Bond Commission. The proposed \$30 million in FY 14 and FY 15 would be the second and third rounds of authorizations for the initiative.

**Housing Trust Fund** (\$47,814,000 unallocated; \$30 million in each of FY 14 and FY 15 proposed by the Governor) - The proposed funding will be used to provide financial assistance (which may be in the form of grants or loans) to a wide variety of affordable housing developments statewide, including affordable housing for extremely low-income residents as well as workforce housing that is affordable to households with an income of up to 120% of the area median income. The funding may support rehabilitation projects and the construction of new units.

Based on recent funding rounds, it is likely that a total of approximately 500 residential units will be created or preserved with each annual authorization of \$30 million, and approximately 350 of these units will be affordable pursuant to restrictive covenants in favor of the State. DECD has been making efforts to obtain non-state revenue for deposit into the State Housing Trust Fund. However, to date there have been no contributions received.

**Supportive Housing Initiatives** (\$20 million in FY 14 proposed by the Governor) - The proposed funding will support the development of 100 additional units of supportive housing. These units are anticipated to be ready for occupancy by the third quarter of FY 15.

The Governor's proposed appropriated budget includes the following funding to support these units: (1) \$500,000 to the Department of Housing in FY 15 for Rental Assistance Program (RAP) certificates and (2) \$500,000 to the Department of Mental Health and Addiction Services in FY 15 for wrap-around services.

Background - Supportive housing is a cost-effective approach to addressing homelessness by providing permanent, affordable housing with services designed to support individuals and families who are at risk of homelessness and who often have multiple barriers to employment and housing stability. Residents of supportive housing have become contributing members of their communities, their use of

expensive emergency services has been significantly reduced, and once-blighted buildings have been rehabilitated, creating new, vibrant neighborhoods.

Over the past fifteen years Connecticut had developed over 2,000 units of housing through a collaborative, multi-agency partnership. Recently, PA 11-57 authorized \$30 million for the supportive housing initiatives and the State Bond Commission allocated all \$30 million in June 2012. The Interagency Committee on Supportive Housing and Homelessness selected six projects for this funding. Of these six projects, five are expected to be completed and ready for occupancy in spring 2014. The table below lists the projects and costs as reported for the June 2012 State Bond Commission meeting.

### Supportive Housing Initiative Projects

Project	Location	Units	Amount \$
Jefferson Commons	New London	12	1,730,600
Val Macri	New Haven	17	2,099,000
Francis Xavier Plaza	Waterbury	20	6,141,000
570 State Street	Bridgeport	30	5,800,000
Cosgrove Commons	Hartford	24	4,560,000
Begg Commons (cancelled)	Waterbury	76	7,618,000
Contingency Costs	-	-	2,051,400
<b>TOTAL</b>		<b>179</b>	<b>30,000,000</b>

The Begg Commons project was recently cancelled and will be replaced with a project to be selected by the Interagency Council.

Collectively, the five projects currently underway compromise of 103 units. The projects are expected to require approximately \$20.4 million of the \$30 million authorization and leverage almost \$4.8 million in additional funding. The additional portion of the \$30 million authorization will be available for the new sixth project to be selected as well as contingencies.

**Grants-in-aid for Incentive Housing Program** (\$2 million unallocated; \$1 million in FY 14 proposed by the Governor) - This funding will finance grants-in-aid for the incentive housing zone program pursuant to chapter 124b of the general statutes. PA 12-189 authorized \$2 million for the same purpose; however, there have been not allocations from this authorization as of this date.

Background - Chapter 124b of the general statutes provides incentives to municipalities for creating Incentive Housing Zones (IHZ) in eligible locations, such as, near transit facilities, an area of concentrated development or an area because of existing, planned or proposed infrastructure that is suitable for development as an IHZ. Developable land excludes publicly and privately owned property slated for public uses, parks, recreation areas, dedicated open space land, other land where restrictions prohibit development, wetlands or watercourses and areas exceeding one-half or more acres of

contiguous land where steep slopes or other topographic features make it unsuitable for development.

The Statute authorizes the Secretary of the Office of Policy and Management (OPM) to “make grants to municipalities for the purpose of providing technical assistance and pre-development funds in the planning of incentive housing zones, the adoption of incentive housing zone regulations and design standards, the review and revision as needed of applicable subdivision regulations and applications to the Secretary for preliminary or final approval.” HB 6366 transfers the administration of the program from OPM to the Department of Housing.

Incentive Housing Development (IHD) means a residential or mixed-use development that meets the following criteria – is located within an OPM approved IHZ, is eligible for financial incentive payments, and sets aside lower cost units for a minimum of 20% of the households earning 80% or less of the area median income (AMI) for minimum of 30 years. A unit is affordable if it costs no more than 30% of a person’s annual income to live there.

The municipality’s zoning commission must establish the IHZ as an overlay zone. The municipality receives the incentives only for IHDs that are developed in a state-approved IHZ.

**Grant-in-aid to the Connecticut Housing Finance Authority (CHFA)** (\$60 million unallocated) – These funds will be used to recapitalize the Emergency Mortgage Assistance Program (EMAP).

Background – The Emergency Mortgage Assistance Program (EMAP) provides temporary monthly mortgage payment assistance for up to five years to eligible Connecticut homeowners who are facing foreclosure due to a financial hardship. An EMAP loan is secured by a fixed-rate, subordinate mortgage on the homeowner’s residence. Repayment of an EMAP loan is required and starts when the homeowner’s financial condition sufficiently improves.

From the program’s implementation in 2008 through October 31, 2012, closed loans through EMAP total \$41.6 million.<sup>3</sup> CHFA sets aside 10% of expended EMAP funds on an annual basis to support some of the costs of the program.

Recently, PA 12-1 of the June Special Session revised the Emergency Mortgage Assistance Program to make it easier for applicants to qualify by:

1. Eliminating pensions and retirement funds valued at \$100,000 or less from the list of assets that applicants must disclose to CHFA,
2. Accepting as proof of eligibility delinquent taxes, insurance and condominium or common interest community charges, assessments, and fees, whether or not they are paid into escrow or impound accounts as reserves and

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<sup>3</sup> Per CHFA correspondence with the Office of Fiscal Analysis in November 2012.

3. Eliminating a requirement for qualifying debts to be contractually delinquent.

The act also allows CHFA to consider the length of time the mortgagor has lived in his or her home when determining if the mortgagor will be able to repay EMAP within a reasonable time. CHFA is currently working on a broad marketing and outreach campaign designed to increase awareness of the program and reach the maximum number of eligible households.

## CONNECTICUT INNOVATIONS (CII)

**Bioscience Innovation Fund** (\$10 million in FY 14 and FY 15 proposed by the Governor) – The Governor proposes to establish a \$200 million Connecticut Bioscience Innovation Fund over the next ten years. The \$10 million authorization proposed for FY 14 and FY 15 begins the capitalization of the fund. The Governor proposes funding the program on the following schedule:

### **Governor’s Proposed Funding for the CT Bioscience Innovation Fund (in millions)**

<b>Year</b>	<b>Amount \$</b>
2014	10
2015	10
2016	15
2017	15
2018	25
2019	25
2020	25
2021	25
2022	25
2023	25
<b>TOTAL</b>	<b>200</b>

Other highlights of the program:

- Funding will fill gaps in the marketplace, not duplicate current public funding;
- Investments will be subject to a rigorous vetting process;
- Creation of Advisory Board to provide oversight and strategic guidance.

Background – Per SB 838, the Connecticut Bioscience Innovation Fund shall be used:

1. To provide financial assistance to eligible recipients as may be approved by the Bioscience Innovation Advisory Committee,

2. For the repayment of state bonds in such amounts as may be required by the State Bond Commission and
3. To pay or reimburse the administrator for administrative costs, which shall not exceed five per cent of the total amount of the allotted funding for such fiscal year.

Financial assistance shall be awarded to further the development of bioscience, biomedical engineering, health information management, medical care, medical devices, medical diagnostics, pharmaceuticals, personalized medicine and other related disciplines that are likely to lead to an improvement in or development of services, therapeutics, diagnostics or devices that can be commercialized and that are designed to advance the coordination, quality or efficiency of health care and lower health care costs, and which promise, directly or indirectly, to lead to job growth in the state in these or related fields.

**Biotechnology Facilities** (\$2 million unallocated) – These funds help qualified firms build out wet laboratory and related space to propel Connecticut’s bioscience industry. Currently, there is \$400,000 in the pipeline and another \$1,200,000 in prospects.

Connecticut Innovations expects that with the emergence of the bioscience industry as a growth sector through significant state and private investment, the need for this financing will grow exponentially in the years ahead.

Background – Since its inception in 1998, the program has committed more than \$37 million, which supported the building of 350,000 sq. ft. of lab and support space throughout the state, including 10,600 sq. ft. of transitional wet laboratory space in New Haven’s Science Park at Yale.

**Recapitalize CII programs** (\$45 million unallocated) – Through PA 11-1 of the OSS, PA 07-7 & PA 10-44, \$58.5 million was allocated to Connecticut Innovations (CII) as grants-in-aid to recapitalize the programs of Connecticut Innovations in FY 12 and FY 13. From these authorizations, \$45 million remains unallocated to this point.

Connecticut Innovations’ current venture pipeline alone is over \$47 million in order to finish out FY 12 and continue through FY 13. The breakdown of the Equity Pipeline is as follows:

- \$32 million for Follow-on Funding for current portfolio,
- \$12 million in Equity Pipeline for new companies and
- \$3 million in PreSeed Funds.

Connecticut Innovations is also currently managing roughly \$9 million in annual funding to accommodate Small Business Innovation (SBI) and the Innovation Ecosystem. Both programs developed through the 2011 Jobs Session (PA 11-1 of the OSS)

## DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT (DECD)

**Small Business Express Program** (\$50 million in each of FY 14 and FY 15 proposed by the Governor) – The proposed funds will be used to recapitalize and continue the Small Business Express Program (EXP).

It should be noted that SB 801, AA Making Manufacturing Funds Available for the Small Business Express Program, redirects \$60 million of the Manufacturing Assistance Act bond authorization reserved for small business redevelopment to EXP. The Finance, Revenue, and Bonding Committee approved the bill on February 14, 2013.

Background – Implemented under PA 11-1 of the October Special Session, EXP provides loans and grants to Connecticut’s small business to spur job creation and growth. Assistance focuses on (1) access to capital and (2) incentive loan and grant funds.

Small businesses are eligible if they meet the following criteria:

1. Have operations in Connecticut,
2. Are registered to conduct business for not less than twelve months,
3. Are in good standing with all state agencies and payment of all state taxes and
4. Employ not more than 100 employees.

Priority for available funding will be given to those eligible applicants who are (1) creating new jobs and (2) within Connecticut’s economic base industries, as defined in CGS 32-222, including but not limited to: precision manufacturing, business services, green and sustainable technology, bioscience, and information technology sectors.

The following table and chart provide an update on the Small Business Express program, as reported by DECD on 2/5/2013 to the Commerce Committee in regards to SB 801.

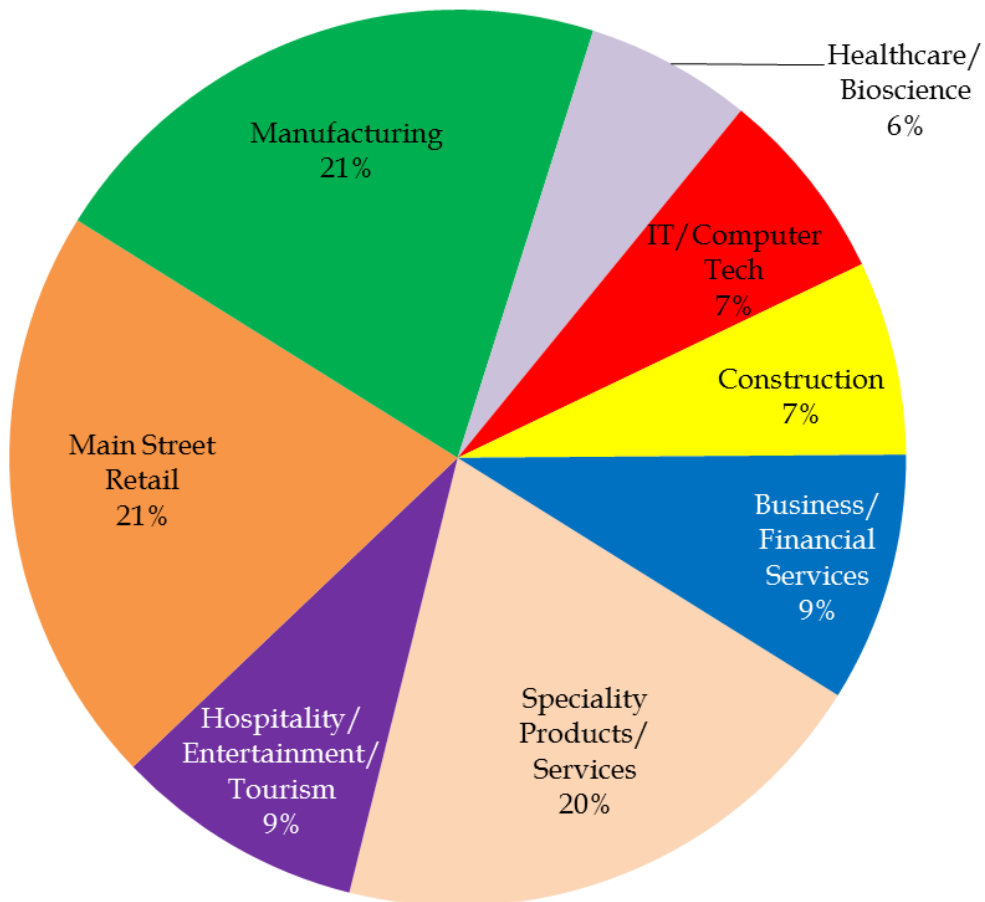
### Small Business Express Data (as reported by DECD on 2/5/2013)

Activity	Impact
Applications received to date	1,674
Businesses receiving assistance	575
Applications in process	581
Minority/women owned businesses	75
Private Investment (approximate)	\$46,500,000
<b>Payments to Date</b>	
Grants	\$30,477,333
Loans	\$47,017,415
<b>In Final Review</b>	



Activity	Impact
Number of businesses in final review	258
Amount to be funded	\$33,704,053
<b>TOTAL</b>	<b>\$111,198,801</b>
Jobs to be created	2,024
Jobs to be retained	5,356
<b>Total Jobs Impact</b>	<b>7,380</b>

**Industry Mix of EXP Awards (as reported by DECD on 2/5/2013)**



**Regional Brownfield Redevelopment Loan Fund** (\$22 million unallocated; \$20 million in each of FY 14 and FY 15 proposed by the Governor) – The proposed funding will provide financial assistance to remediation of economic development projects and housing construction.

Background – The Regional Brownfield Redevelopment Loan Fund provides applicants with low-interest loans and grants to remediate property for economic development

projects or to construct housing for first-time home buyers. The loans can be made for manufacturing, retail, residential or mixed-use developments, expansions or reuses. To date, the program has received 55 applications and awarded a total of \$34.6 million to 47 projects.

**Nursing Home Conversions** (\$10 million unallocated; \$10 million in each of FY 14 and FY 15 proposed by the Governor) - DECD will use both the unallocated bond balance and the proposed authorization to fund nursing home right-sizing projects. DECD is currently developing a Request for Proposals to solicit proposals from nursing homes for the unallocated balance (see the Background below for details). It is envisioned that the selection process for the proposed authorization will be similar to the process to be used for the FY 13 funds.

Background - Nursing Home Right-sizing is an effort to assist owners of nursing home facilities to re-purpose facilities in a way that supports individuals on Medicaid who need Long Term Supports and Services (LTSS) but can reside in community-based facilities rather than more expensive nursing home facilities.

PA 12-189 authorizes \$10 million in capital funds for use by the Department of Economic and Community Development (DECD) to provide financial assistance for projects that contribute to "Nursing Home Right-sizing." Since August 2012, in consultation with the Office of Policy and Management, DECD has been working closely with the Department of Social Services (DSS) to develop a joint Request for Proposals (RFP) to solicit proposals from owners of nursing facilities licensed by the Department of Public Health (DPH), for projects that will enable such owners to change or diversify their business model in a way that supports individuals on Medicaid who need LTSS and live in community-based settings.

In addition to the bond authorization, funding of \$3,500,000 provided by the federal Centers for Medicare & Medicaid Services (CMS) and administered by DSS is available to this program. Proposals must align with the State's "Strategic Rebalancing Plan: A Plan to Rebalance Long Term Services and Supports 2013-2015," which was released in January 2013. Pursuant to this RFP process, DECD and DSS will select projects on a competitive basis and thereafter provide the authorized capital funds and CMS funding as appropriate.

As the RFP has not yet been finalized or released, not all of the details regarding eligibility are finalized. However, it is envisioned that all owners of nursing facilities licensed by DPH will be eligible to respond to the RFP.

**Manufacturing Assistance Act** (\$206,485,000 million unallocated; \$100 million each of FY 14 and FY 15 proposed by the Governor) - The majority of the \$100 million reauthorization will be used to fund general Manufacturing Assistance Act (MAA)

assistance packages for businesses. Twelve million will be used to fund DECD Small Programs Statewide. These grants will be used to fund programs provided by partners who help support the economic development goals of the State. DECD reports that \$215,200,000 in MAA projects is currently in the pipeline, which includes funding for the final six projects in the First Five Program.

Background - MAA is a multipurpose program which provides different types of financing for businesses and infrastructure development. The Department of Economic and Community Development may provide grants or lend the funds or use them to guarantee bank loans or establish credit lines. The MAA's legislative history suggests that the administration and the legislature saw the act as a flexible tool to address the pressing issues of the day.<sup>4</sup>

First Five - The MAA program funds may also be used to fund the "First Five" program, also known as "Next Five." Through the program, DECD provides loans, tax incentives, and other forms of economic development assistance to up to 15 businesses committing to create jobs and invest capital within existing law's timeframes.

1. Manufacturers from other states or countries relocating to Connecticut,
2. Businesses relocating their corporate headquarters here,
3. Business "redevelopment projects" the commissioner believes can create jobs and invest capital sooner than the law requires and
4. Business projects that will relocate overseas jobs to Connecticut.

By law, a business receiving First Five assistance must commit to:

1. Create at least 200 jobs within 24 months after the assistance is approved or
2. Invest at least \$25 million and create at least 200 new jobs within five years after assistance is approved.

The commissioner's authority to provide First Five assistance expires June 30, 2013. As of March 1<sup>st</sup>, there are 9 companies in the First Five program. DECD has committed \$347.8 million in assistance to these programs, of which \$184.8 million are in the form of requested loans or grants.

**Governor's First Five Companies - Announced Projects (as of 2/25/13 - \$ in millions)**

Company	Town	Industry	Jobs Retained	Jobs Created	Company Investment \$	Requested State Funding \$
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<sup>4</sup>"Legislative History of the Economic Development and Manufacturing Assistance Act of 1990," Office of Legislative Research, October 5, 2007.

Company	Town	Industry	Jobs Retained	Jobs Created	Company Investment \$	Requested State Funding \$
CIGNA	Bloomfield	Insurance & Financial Services	3,883	800	135.0	21.0
NBC Sports	Stamford	Film, TV & Media	113	450	80.0	20.0
Alexion	New Haven	Pharma	368	300	103.0	26.0
ESPN	Bristol	Film, TV & Media	3872	800	181.3	18.7
CareCentrix	Hartford	Healthcare	213	290	62.0	24.0
Sustainable Building Systems	North Haven	Construction	-	408	77.9	19.1
Deloitte	Hartford, Stamford, Wilton	Professional Services	1153	500	1.5	14.5
Bridgewater Associates	Stamford	Financial Services	1225	1000	715.0	35.0
Charter Communications	Stamford	Cable/Digital Media	260	200	6.5	6.5
<b>TOTAL</b>			<b>11,087</b>	<b>4,748</b>	<b>1,362.2</b>	<b>184.8</b>

**E-Portal** (\$1 million unallocated) – PA 11-1 of the October Special Session authorized \$1 million in GO bonds for DECD to:

1. Establish an electronic business portal,
2. Brand the portal to reflect a statewide branding program developed at the governor's office's direction,
3. Help enhance state and quasi-public agency websites linked to the portal and
4. Align the Connecticut Economic Resource Center's online business assistance technology platform to its portal.

DECD is currently negotiating a contract with a third party vendor to build and operate an e-portal. The agency estimates that business portal will be available 6-8 months after contract signature. They agency would like to have the contract signed by the end of June 2013, however, there are several variables, including approval from the Attorney General, that make the date difficult to predict.

**Minority Business Enterprise Assistance Program** (\$4 million unallocated) – From the \$4 million authorization, \$2 million is already under contract with the Hartford Economic Development Corporation (HEDCO) and remaining \$2 million contract

currently being negotiated with the Bridgeport Economic Development Corporation (BEDCO).

Background - PA 12-189 implemented a minority business enterprise assistance program to help such businesses obtain surety bonds, including bid, performance, and payment bonds, for capital projects, which may be run by a contracted nonprofit entity. The act earmarks \$2 million each to nonprofits that give priority to minority business enterprises located in the northern and southern halves of the state.

**Entertainment-related Projects** (\$13,270,310 unallocated) - This funding provides grants-in-aid to municipalities and nonprofit organizations for culture and entertainment-related economic development projects, including museums.

Recent recipients of grants include:

- **Shore Line Trolley Museum, East Haven** (\$1 million in August 2012) - for the construction of 2 trolley storage buildings,
- **Maritime Aquarium, Norwalk** (\$125,000 in July 2011) - for alterations and improvements to facilities and major exhibits and
- **Lyman Allyn Art Museum, New London** (\$104,690 in July 2011) - for the repairs to the flood damaged auditorium, boiler replacement and mechanical improvements.

**Energy Conservation Loan Fund** (\$30 million unallocated; \$5 million in FY 14 and FY 15 automatic authorization) - This funding supports low-cost loans for various energy efficiency and renewable energy measures in residential structures.

Background - The Energy Conservation Loan Fund (ECL), along with the Multifamily Energy Conservation Loan Program (MEL) provide financing at below market rates to single family and multi-family residential property owners for the purchase and installation of cost-saving energy conservation improvements. The program is administered by the Connecticut Housing Investment Fund, Inc. (CHIF) with funding from the Connecticut Department of Economic and Community Development (DECD). Single family (1-4 units) homeowners may borrow up to \$25,000 and multi-family property owners may borrow up to \$2,000 per unit (a maximum of \$60,000 per building) for a period of 10 years for eligible improvements.

The following are some of the improvements eligible under the ECL/MEL programs:

- Automatic Set-Back thermostats
- Siding
- Caulking and Weather-stripping
- Insulation
- Heat Pumps

- Replacement Heating Systems
- Replacement Roofs
- Replacement Windows
- Solar Systems and Passive Solar Additions

**Southeastern Connecticut Economic Diversification Revolving Loan Fund** (\$5 million unallocated) – This program has not been developed and DECD recommends cancelling this authorization.

**Biofuel Production Facility Incentive Program** (\$3.5 million unallocated) – Funds will be utilized to further develop Biofuel Industry as clean / green tech is an emerging strategic cluster. At least one New Haven-based biofuel company is a potential candidate for funds.

**First Five Brownfields** (\$19.5 million unallocated) – This funding will support the remediation, and marketing of five geographically diverse state-owned contaminated properties (i.e., brownfields) for private development. PA 11-1 of the October Special Session authorized the funding and required DECD to develop a priority list of state-owned brownfields based on criteria listed in the Act. DECD, in consultation with DEEP and DOT chose the properties in the table below for this program.

**State-Owned Brownfield Surplus Properties**

Property	Location
Mystic Oral School	Groton
CT DOT Rail Property	Waterbury
Former CT Transit Bus Garage	New Haven
Former Storage Warehouse and Power Plant at Fairfield Hills	Newtown
Cedarcrest Hospital	Newington

In August, 2012, DECD solicited proposals from private developers interested in purchasing any of these brownfields. The agency received proposals for two properties:

1. Mystic Oral School, Oral School Road, Groton and
2. Former CT Transit Bus Garage, 470 James Street, New Haven.

These two properties will be remediated and pre-permitted and sold to the selected developers.

The State Bond Commission allocated \$500,000 in August 2012 to support the study on the present condition of these facilities and the level of remediation required for each.

Two contractors will conduct environmental investigation on the properties; DECD anticipates that these reports will be submitted reports. Until this work is complete, the type of rehabilitation work required is uncertain.

**Fuel Diversification Grant Program** (\$1.5 million unallocated) - These funds will be utilized to further investigate development of alternative energies as clean / green tech is an emerging strategic cluster.

**Historic structures and landmarks - Grants-in-aid for restoration and preservation of historic structures and landmarks** (\$400,000 unallocated) - This program is currently funded by the Community Investment Act Fund. DECD therefore recommends cancellation of this unallocated balance.

**State-owned historic museums - Renovations and restoration at state-owned historic museums** (\$1 million unallocated) - This program is currently funded by the Community Investment Act Fund. DECD therefore recommends cancellation of this unallocated balance.

**Prudence Crandall House Museum** (\$500,000 unallocated) - This program is currently funded by the Community Investment Act Fund. DECD therefore recommends cancellation of this unallocated balance.

**Improvements to Old New Gate Prison** (\$50,000 unallocated) - This program is currently funded by the Community Investment Act Fund. DECD therefore recommends cancellation of this unallocated balance.

## State Department of Education (SDE)

OFA Analyst: Sarah Bourne

### SDE

Description	Unallocated 2/25/13 \$	Proposed FY 14 \$	Proposed FY 15 \$
Sheff settlement costs - Grants-in-aid for capital start-up costs related to the development of new interdistrict magnet school programs to assist the state in meeting the goals of the 2008 stipulation and order for Milo Sheff, et al. v. William A. O'Neill, et al., for the purpose of buying portable classrooms, leasing space, and purchasing equipment, including, but not limited to, computers and classroom furniture. PA 12-189, Sec. 9(e)(1)	611,054	17,000,000	7,500,000
Charter schools - Grants-in-aid to assist charter schools with capital expenses pursuant to CGS Sec. 10-66hh. PA 07-7, (JSS), Sec. 46	5,332,530	8,000,000	5,000,000
Grants-in-aid to municipalities and organizations exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as amended from time to time, for facility improvements and minor capital repairs to that portion of facilities that house school readiness programs and state-funded day care centers operated by such municipalities and organizations. PA 12-189, Sec. 9(e)(4)	10,000,000	11,500,000	15,000,000
Grants-in-aid to targeted local and regional school districts for alterations, repairs, improvements, technology, and equipment in low-performing schools. PA 12-189, Sec. 9(e)(3)	10,615,876	-	10,000,000
Grants-in-aid for alterations, repairs, improvements, technology, equipment and capital start-up costs including acquisition costs, to expand the availability of high quality school models. PA 12-189, Sec. 9(e)(2)	25,000,000	-	-



**SDE**

<b>Description</b>	<b>Unallocated 2/25/13 \$</b>	<b>Proposed FY 14 \$</b>	<b>Proposed FY 15 \$</b>
Security infrastructure - Grants-in-aid to municipalities, regional school districts, and regional education service centers for the purchase and installation of security infrastructure, including surveillance cameras, entry door buzzer systems, scan cards and panic alarms. PA 07-7, (JSS), Sec. 13(j)(6)	3,000,000	-	-
Technology wiring of schools - Grants-in-aid to municipalities, regional school districts and regional education service centers for technology wiring of schools. PA 07-7, (JSS), Sec. 32(h)(1)	2,000,000	-	-
School Readiness technology wiring - Grants-in-aid for minor capital improvements and wiring for technology for school readiness programs. SA 05-1, (JSS), Sec. 13(g)(2); PA 07-7, (JSS), Secs. 13(j)(2) & 32(h)(2); PA 10-44, Sec. 306	1,500,000	-	-
Regional Vo-Tech schools - Alterations and improvements to buildings and grounds, including new and replacement equipment, tools and supplies necessary to implement updated curricula, vehicles and technology upgrades at all Regional Vocational-Technical Schools. PA 11-57, Secs. 2(l) & 21(k)	30,686,958	28,000,000	15,500,000
American School for the Deaf -Alterations, renovations and improvements to buildings and grounds. SA 01-2, (JSS), Secs. 2(h)(2) & 17(e)(2); SA 02-1, (M9 SS), Secs. 17(d) & 96; SA 04-2, (MSS), Sec. 2(h)(1); SA 05-1, (JSS), Sec. 2(m)(1); PA 07-7, (JSS), Sec. 2(r)(1)	20,894,291	-	-
Alternative education programs - Grants-in-aid to DOE-accredited providers of alternative education programs for students between 14 and 21 years of age for property acquisition, design, planning, construction or renovation of facilities. PA 09-2, (SSS), Sec. 34(e)	2,000,000	-	-
Groton - Grant-in-aid to Project Oceanology. SA 05-1, (JSS), Sec. 32(g)(3)	500,000	-	-

**SDE**

Description	Unallocated 2/25/13 \$	Proposed FY 14 \$	Proposed FY 15 \$
Waterford Country School - Grant-in-aid to the Waterford Country School for construction of a gymnasium. PA 07-7, (JSS), Sec. 13(j)(4)	100,000	-	-

Summary - The State Department of Education (SDE) has unallocated bond funds totaling approximately \$112.2 million. Additionally, the Governor is proposing new bond funds totaling \$65.1 million in FY 14 and \$53.6 million in FY 15. A brief description of the various projects appears below:

**Sheff Settlement Costs** (\$611,054 unallocated; \$17 million in FY 14 and \$7.5 million in FY 15 proposed by the Governor) - In order for the state to meet the terms of the Sheff Stipulation (Phase II), it is necessary for the state to be able to offer seats to a greater number of Hartford students each year. For the current school year (2012-2013) the enrollment for Sheff Magnets is 13,821. The proposed new funding will be used for both new and existing schools. The table below provides a detailed breakout of proposed bond funding by school.

**Sheff Magnet Start-Ups**

School	FY 14 \$	FY 15 \$
<b>CREC</b>		
Reggio Magnet School of the Arts	500,000	-
IB Early Childhood School for Global Citizenship	1,500,000	-
Public Safety Academy	500,000	-
Greater Hartford Academy of Arts - Full-day	-	-
Academy of Aerospace and Engineering (old GHMAS full-day)	500,000	500,000
CREC Medical Professions & Teachers Prep	2,500,000	-
Discovery Academy	1,000,000	1,000,000
Museum Magnet School (formerly Charter School for Young Children)	500,000	200,000
Two Rivers Magnet High School	6,000,000	300,000
Greater Hartford Academy of the Arts - Elementary	400,000	350,000
Greater Hartford Academy of the Arts - Middle	400,000	3,000,000
<b>Hartford</b>		
Annie Fisher - Montessori Magnet	-	-
Hartford Magnet Trinity College Academy	-	-
Breakthrough II (PK-8) - NEW	750,000	-
Betances Early Reading Lab	650,000	-
Betances STEM Magnet	1,225,000	1,200,000
Kinsella Arts Magnet	1,040,893	987,893

School	FY 14 \$	FY 15 \$
<b>Goodwin College</b>		
CT River Academy	-	-
<b>TOTAL</b>	<b>17,465,893</b>	<b>7,537,893</b>

In January 2014 five schools that have received past funding for swing space will be moving to a permanent location. Those five schools are: Connecticut River Academy, Medical Professions and Teacher Preparation Academy, Reggio Magnet, International Magnet School and the Public Safety Academy.

The unallocated funds are necessary for the Hartford Public Schools Interdistrict Magnet Schools that are scheduled to operate in school year 2013-2014. To ensure that the schools are ready at the start of the school year, bond funds should be allocated over the summer.

Questions:

1. How much of the new funding will be earmarked for swing space?
2. How many of the Sheff Magnets are still in temporary space? When are they expected to be in permanent space?

**Charter Schools** (\$5,332,530 unallocated, \$8 million in FY 14 and \$5 million in FY 15 proposed by the Governor) - The proposed new charter school funding will be awarded through an RFP process. The criteria for new funding state that funds can only be used for the purchase, construction or improvement of space for a charter school. Funds may not be used for temporary space. Funding is available to both new and existing charter schools. There are currently 6,409 students enrolled in charter schools throughout the state.

The unallocated funds will be used for an existing RFP that is currently being scored. The proposals that were received by the SDE exceed the funding that is currently available. SDE plans to finalize grantees for the unallocated balance before the end of the fiscal year.

Questions:

1. Are both local and state charter schools eligible to receive funding?
2. The Governor has included additional funds in his General Fund budget to increase charter school enrollment, is there enough capacity within existing schools to fill these proposed new slots, or would new schools have to be built to achieve the enrollment number funded within the Governor's budget?

**School Readiness Programs** (\$10 million unallocated, \$11.5 million in FY 14 and \$15 million in FY 15 proposed by the Governor) SDE is currently involved in reviewing an informal provider survey, which was completed by school readiness provider organizations. Additionally, SDE is involved in an RFP process to identify grantees. The deadline for the RFP is February 25, 2013. SDE is planning to conduct a review process with agency staff as well as staff from other entities (CHEFA) to identify grantees. SDE anticipates being able to distribute funding to grantees by spring 2013.

Questions:

1. Is there a sense of how many organizations this funding will be awarded to?
2. How much will the average award be? Is there a maximum that any one organization is able to receive?

**Low Performing Schools** (unallocated \$10,615,876, \$10 million in FY 15 proposed by the Governor) SDE has awarded four Commissioner's Network schools as well and New London High School funds for this purpose. New funding for low performing schools will be available to Commissioner's Network schools through the Network application process. Other low performing schools will apply through a separate process based on need and the school's turnaround plan. Some examples of equipment that awardees will be able to purchase include: computers, tablets, smart boards, wireless connectivity, and other various infrastructure repairs and improvements.

The unallocated balance will be used for additional low performing schools that apply for this grant. SDE was unable to allocate all funds for this purpose, as schools were required to take the time to establish their turnaround plans. Given the significant need at the state's low performing schools SDE sees an ongoing need to address educational and safety shortcomings.

**Connecticut Technical High School System** (unallocated \$30,686,958, \$28.5 million in FY 14 and \$15.5 million in FY 15 proposed by the Governor) The Connecticut Technical High School System is comprised of 17 high schools and currently enrolls 10,740 students. A summary of both the new and unallocated projects appears below.

**Proposed projects for FY 14:**

- \$4,500,000 for replacement of trade and academic equipment,
- \$4,500,000 for new equipment for J.M. Wright THS - Stamford,
- \$3,500,000 for design and construction of waste water discharge systems,
- \$3,500,000 for new equipment needed to expand manufacturing programs,
- \$3,000,000 to address handicapped accessibility issues at Vinal THS - Middletown,

- \$2,700,000 for design and construction of a new roof at Bullard Havens THS – Bridgeport,
- \$2,600,000 for replacement of technology equipment,
- \$1,920,000 for various agency administered infrastructure projects and
- \$1,760,000 for replacement of student transportation vehicles (STV's).

**Total FY 14 request = \$28 million**

**Proposed projects for FY 15:**

- \$5,000,000 for new equipment needed to expand manufacturing programs
- \$4,000,000 for replacement of trade and academic equipment
- \$2,100,000 for replacement of technology equipment
- \$2,000,000 for various agency administered infrastructure projects
- \$500,000 for new equipment for J.M. Wright THS – Stamford
- \$500,000 for replacement of air handlers at Platt THS – Milford
- \$750,000 for replacement of plant vehicles (i.e. pickups, rack bodies)
- \$620,000 for replacement of student transportation vehicles (STV's)

**Total FY 15 request = \$15.5 million**

The Connecticut Technical High School System requests that all unallocated bond balances remain available to fund the following projects:

- \$5,395,870 for construction of a new roof at Windham THS – Willimantic,
- \$4,000,000 for design and construction of a new roof at Oliver Wolcott THS – Torrington,
- \$4,000,000 for design/construction of an Indoor Air Quality (IAQ) project at Vinal THS – Middletown,
- \$3,602,088 for a design study and construction of Fats, Oils, and Grease (FOG) systems,
- \$3,500,000 for paving and drainage repairs at Ella. T. Grasso THS – Groton,
- \$3,000,000 for replacement of trade and academic equipment – All schools,
- \$2,000,000 for paving and drainage repairs at Oliver Wolcott THS – Torrington,
- \$1,654,000 for design and construction of new energy management systems at Oliver Wolcott THS – Torrington and Bristol TEC – Bristol,
- \$1,300,000 for paving and drainage repairs at Vinal THS – Middletown,
- \$700,000 for paving and drainage repairs at the Bristol Technical Education Center – Bristol,
- \$700,000 for construction of a new roof at the Stratford School for Aviation Maintenance Technicians – Stratford,
- \$500,000 for design and construction of a new sprinkler system at the Stratford School for Aviation Maintenance Technicians – Stratford and

- \$335,000 for agency administered projects – All schools.

**Total = \$30,686,958**

**Grants in Aid to Various Entities:** Project Oceanology, in Groton, has an unallocated balance of \$500,000. SDE is not involved in this initiative. Waterford Country School has an unallocated balance of \$100,000. SDE is not involved with this initiative. The Waterford Country School did receive \$900,000 at the December 22, 2010 bond commission, so it is possible that this authorization could be cancelled. The table below reflects the remaining grant in aid unallocated balance.

**Grants-in-Aid for Various Entities**

<b>Description</b>	<b>Unallocated \$</b>	<b>Explanation</b>
High Quality Schools	25,000,000	These funds will be used to provide grants to Alliance Districts that are developing new schools and new models within existing schools for capital projects. Schools will be able to request this funding as part of their Alliance District application. Additionally, this funding will support 4 new state charter schools and 5 new local charter schools.
Security Infrastructure	3,000,000	SDE is working with OPM and the Department of Emergency Services to best coordinate how school safety funding should be spent.
Technology Wiring of Schools	2,000,000	These funds will be targeted to schools and districts who have limited inter-school networks. Having increased connectivity helps support Smarter Balance on-line testing, which is scheduled to be fully implemented in FY 15.
American School for the Deaf	20,894,291	American School for the Deaf (ASD) anticipates needing approximately \$4.2 million for design, construction, and demolition work associated with Gallaudet Hall; this work is imminent, and timelines will be dictated both by the completion of their new classroom building and requirements of the West Hartford Fire Marshal. The new building will come on line for the 2013-14 school year, at which time enrollments are projected to increase. While classroom space is expected to be sufficient, ASD will need to respond to increased need for housing, and perhaps a need for additional space for school administration. Given these current and planned initiatives, ASD requests that their funding remain in place.
Alternative Education Programs	2,000,000	SDE does not have legislative authority to identify grantees under this program.

## APPENDIX A

### Connecticut State University Summary

The Connecticut State University System is part of the newly established Board of Regents for Higher Education. The state universities offer baccalaureate, graduate, and professional degrees in over one hundred and sixty subject areas. There are four colleges serving students across the state.

The table below provides a summary for each state university, including: enrollment, full time faculty, part time faculty, and the top five completions for each institution.

	<b>Central</b> (New Britain)	<b>Eastern</b> (Willimantic)	<b>Southern</b> (New Haven)	<b>Western</b> (Danbury)
<b>Headcount Enrollment<sup>1</sup></b>	12,091	5,440	11,117	6,176
<b>Full Time Faculty<sup>2</sup></b>	444	203	438	229
<b>Part Time Faculty</b>	570	286	598	318
<b>Completions: Top 5 Programs</b>	Psychology	Business Admin.	Business Admin.	Justice & Law Admin.
	Accounting	Sociology	Psychology	Management
	Criminology	Psychology	Liberal Studies	Psychology
	Management	General Studies	Special Education	Communication
	Communication	Communication	Nursing	History

<sup>1</sup>Headcount is official as of Fall 2012. The total headcount enrollment for Fall 2012 is 34,824. The figure does not include students who are not enrolled in a degree program.

<sup>2</sup>Faculty headcount are for fall 2011; a significant reporting change required by the federal government will make fall 2012 faculty headcount unavailable until April 2013. Faculty on payroll for pay period ending 9/8/11; figures will differ from numbers of budgeted positions. The CSU-AAUP includes counselors, coaches, and librarians, but these individuals are not included above.

## APPENDIX B

### Connecticut Community College System

The Connecticut Community College System is part of the newly established Board of Regents for Higher Education. The community colleges offer two year associate degrees, short-term certificates programs, skill-building and personal interest courses in over one hundred career areas. There are twelve colleges serving students across the state.

The table below provides a summary for each community college, including: enrollment, full time faculty, part time faculty, and the top five completions for each institution.

	Asnuntuck (Enfield)	Capital (Hartford)	Gateway (New Haven)	Housatonic (Bridgeport)	Manchester	Middlesex (Middletown)	Naugatuck Valley (Waterbury)	Northwestern Connecticut (Winsted)	Norwalk	Quinebaug Valley (Danielson)	Three Rivers (Norwich)	Tunxis (Farmington)
<b>Headcount Enrollment<sup>1</sup></b>	1,673	4,425	7,976	6,077	7,692	2,933	7,419	1,423	6,810	2,086	4,980	4,734
<b>Full Time Faculty<sup>2</sup></b>	22	70	103	69	99	40	108	25	100	30	78	66
<b>Part Time Faculty</b>	112	231	433	352	439	168	372	3	372	140	236	261
<b>Completions: Top 5 Programs</b>	General Studies	Nursing	General Studies	General Studies	General Studies	General Studies	General Studies	Liberal Arts	General Studies	Liberal Arts	General Studies	General Studies
	Machine Technology	General Studies	Liberal Arts	Business Admin	Criminal Justice	Criminal Justice	Nursing	Veterinary Technology	Nursing	General Studies	Nursing	Business Admin (Certificate)
	Marketing	Early Childhood Education	Nursing	Early Childhood Education	Liberal Arts	Business Admin	Management	General Studies	Business Admin	Early Childhood Education	Liberal Arts	Liberal Arts
	Liberal Arts	EMT-Paramedic	Health Career Pathways	Liberal Arts	Business Admin	Human Services	Business Admin	Early Childhood Education	Liberal Arts	Medical Assisting	Early Childhood Education	Business Admin
	Business Admin	Social Services	Substance Abuse Counselor	Accounting	Criminal Justice (Certificate)	Accounting	Liberal Arts	Criminal Justice	Accounting	Management	Accounting	Criminal Justice
				Criminal Justice				Web Master Internet Design				

<sup>1</sup>Headcount is official as of Fall 2012. The total headcount enrollment for Fall 2012 is 58,228. The figure does not include students who are enrolled in non-credit courses.

<sup>2</sup>Faculty headcount are for Fall 2011; a significant reporting change required by the federal government will make Fall 2012 faculty headcount unavailable until April 2013. Faculty on payroll for pay period ending 9/8/11; figures will differ from numbers of budgeted positions. Includes direct teaching faculty only – no counselors, librarians, or other non-instructional types; durational, part-time “clinical educational assistants” are excluded. Total full-time faculty is 810, total part-time faculty is 3,119.



## **Appendix C**

### **Community-Technical College System Infrastructure Projects**

All of the unallocated funds have been earmarked for specific projects and are in various stages of design, bidding, contract negotiation, or submission to the State Bond Commission. The Emergency Minor Capital Projects, ADA Improvements, Code Improvements and HAZMAT Abatement/Remediation are used on an ongoing basis as needs arise.

**Asnuntuck Community College: MER Relocation Project (\$125,000)** – The project is in the bidding phase; bonding will be sought in April 2013. The project is for conversion of an existing space into new IT and storage rooms. Installation includes new partition wall, wire mesh partition, new antistatic flooring, new doors, new IT cooling unit and new lighting and power distribution.

**Asnuntuck Community College: Energy Improvement Project (\$380,000)** – The project is in design; bonding will be sought in May 2013. The project is for design and installation of a new chiller, replacement HVAC systems in selected spaces, split-system air conditioning in two IT closets on the second floor and Transient Voltage Surge Suppressors for two main electrical services.

**Asnuntuck Community College: Infrastructure Improvements (\$1,255,000)** - This includes the design of renovations and improvements. Bonding will be sought during the summer of 2013.

**Gateway Community College: Long Wharf Campus -Roof top Unit Replacement (\$125,000)** - A designer has been selected and contract scope is being finalized. This project is for design of the replacement of two roof top units. Units #2 and #4 are over 35 years old and constantly break down; they continue to leak refrigerant, severely reducing energy efficiency. New high-efficiency RTUs will reduce energy consumption and cost.

**Housatonic Community College: Parking Garage Repairs (950,000)** – The project is in the design phase; bonding will be sought in November 2013. A structural engineer has been engaged to design repairs to Housatonic’s parking garage, and to prepare the necessary documentation for bidding and repair work. The precast concrete garage structure was built in 1966 with a capacity for 1,287 cars. Leaks have developed due to cracks and problems with joints. Concrete spalling and delamination affect various areas of the structure.

**Manchester Community College: Repairs to Concrete Panels at the Lowe Building (\$84,118)** – The project is to repair exterior panels and re-caulk the entire building and is

in the design phase. A request for bonding will be submitted to the State Bond Commission this summer.

**Manchester Community College: A.D.A. Compliance at the Lowe Building** (\$1,300,000) - Funding will be used to retrofit twenty bathrooms to bring the campus into Federal ADA compliance. A request for bonding will be submitted to the State Bond Commission in spring 2013.

**Naugatuck Valley Community College: "Ventilation Improvements to Fume Hoods"** (\$338,000) - Ekstrom Hall Science Labs Project in design development. The project involves ventilation & exhaust improvements to approximately 16 fume hoods vented through the roof.

**Norwalk Community College: Fire Pump Replacement Project** (\$300,000) - A designer has been selected and design contract scope is being finalized. This project is for replacement of the fire pump and the air handling unit located on the West Campus.

The Board of Regents is seeking engineering services to design and properly size an energy efficient air handling unit and a diesel-driven fire booster pump. The work will include replacement of air handling Unit #1, replacement of the temperature and volume controls, upgrading plenums and dampers and installation of variable speed controls for the motors. A properly sized fire pump will supply adequate sprinkler protection for the new Health and Science Building and future additions.

**Quinebaug Valley Community College: Project Replace Boilers & Breeching (piping)** (\$661,000) - Design and bidding is complete. A bond item submittal will be requested for construction.

**Quinebaug Valley Community College: Site Improvement Project** (\$600,000) - The contract is in review at the Department of Construction Services.

**Three Rivers Community College: Window and Door Replacement Project** (\$1,355,750) - This contract is at the Department of Construction Services legal department awaiting approval. The project is to replace the windows in Wings D, E and F of the existing building. Funds were insufficient to replace these windows during renovation work recently completed at the college. Replacement windows will be installed; existing interior slate sills will be removed and replaced with units of a similar profile having a plastic laminate finish. Existing window treatments will be removed and replaced following the window replacement project. Exterior steel lintels will be cleaned and repainted.

**All Colleges: Deferred Maintenance** (\$480,000) - Anticipate bonding March 2013. These funds will be used to finance Deferred Maintenance projects - "All Colleges - As

needed account” for the community colleges. The funding is for minor maintenance projects, including sealing of windows, repointing of brickwork, patching of roofs, interior refurbishments (maintenance of ceilings, flooring, wall coverings), and mechanical and conveying system equipment replacement (i.e. motors, belts, filters, valves, fans).

**Emergency Minor Capital Projects (\$900,000)** - These funds are used for emergency minor capital purposes at all colleges. Minor capital improvements are defined as projects with costs between \$35,000 and \$250,000 that add new space or alter the programmed design or function of space or building systems, including related fixed equipment, and utility and site development improvements.

**All Colleges: ADA Improvements (\$1,300,000)** - These funds are used for ADA improvements, as needs arise. Funds are used to address ADA issues such as access ramps, automatic door openers, doors/emergency exits, ADA drinking fountains, elevators, handrails, restrooms, sidewalks, curb cuts, signage, and strobe lights.

**All Colleges: Code Improvements (\$650,000)** - These funds are available to be used for ongoing basis for code improvements, as needs arise. This fund addresses various code compliance issues such as fire suppression, panic hardware, fire/smoke alarm systems, emergency egress lighting; and safety issues.

**Hazardous and Regulated Materials: Abatement/Remediation all Colleges (\$1,018,122)** - These are the sole source of funds to abate or remediate hazardous or regulated materials across ConnSCU’s 13.5 million square feet of space. Funds are used to assess, characterize, remediate, and monitor potential and/or actual releases of environmental contaminants at all colleges. Environmental projects include the upgrade or replacement of storage tanks; upgrade of wastewater systems and water towers or wells; removal and disposal of contaminated soils and hazardous and toxic materials; abatement of asbestos, lead paint and PCB’s; and/or sampling and analysis of environmental contaminants. Compliance will minimize or eliminate public exposure to environmental hazards.

**On-Call Consultant Fees for Agency Administered Projects (\$300,000)**

## Appendix D DECD Earmarked Unallocated Bond Balances

Description	Bond Fund	Authorized Amount \$	Unallocated 2/25/13 \$
Ansonia - Grant-in-aid to Ansonia for the downtown development. SA 05-1, (JSS), Sec. 32(j)(4)	12052(07)SA 05-1 32(j)(4)	125,000	125,000
Ansonia - Grant-in-aid to the Ansonia Development Corporation for downtown development projects. PA 07-7, (JSS), Sec. 13(f)(10)	12052(08)PA 07-7 13(f)(10)	500,000	500,000
Bethel - Grant-in-aid to Bethel for downtown development and municipal parking improvements. PA 07-7, (JSS), Sec. 13(f)(41)	12052(08)PA 07-7 13(f)(41)	500,000	500,000
Bloomfield - Grant-in-aid to Bloomfield for a façade improvement program. SA 05-1, (JSS), Secs. 13(j)(24) & 32(j)(22); PA 10-44, Sec. 152	12052(07)SA 05-1 32(j)(22)	500,000	500,000
Bridgeport - Grant-in-aid to Bridgeport for culture, tourism or entertainment projects. PA 10-44, Sec. 18	12052(11)PA 10-44 18	600,000	600,000
Cromwell - Grant-in-aid to Cromwell for downtown revitalization. SA 05-1, (JSS), Sec. 32(j)(17)	12052(07)SA 05-1 32(j)(17)	150,000	150,000
East Haddam - Grant-in-aid to the Goodspeed Opera House Foundation, Incorporated, for construction of a new facility in East Haddam. SA 05-1, (JSS), Secs. 13(j)(10) & 32(j)(8)	12052(06)SA 05-1 13(j)(10) & 12052(07)SA 05-1 32(j)(8)	10,000,000	10,000,000
East Hartford - Grant-in-aid to the City of East Hartford for road and infrastructure and improvements associated with the Rentschler Field project. PA 99-242, Secs. 13(d)(2) & 32(d)(2); SA 01-2, (JSS), Secs. 61, 90 & 92; SA 04-2, (MSS), Sec. 88	1873(00)PA 99-242 32(d)(2)	6,500,000	6,500,000
East Hartford - Grant-in-aid to the East Hartford Housing Authority, for renovation of an existing building into a community center at Veterans Terrace. SA 05-1, (JSS), Sec. 32(j)(14)	12052(07)SA 05-1 32(j)(14)	350,000	350,000
East Hartford - Grant-in-aid to Goodwin College in East Hartford for expansion or relocation of Goodwin College. PA 07-7, (JSS), Sec. 13(f)(39)	12052(08)PA 07-7 13(f)(39)	6,000,000	3,750,000

<b>Description</b>	<b>Bond Fund</b>	<b>Authorized Amount \$</b>	<b>Unallocated 2/25/13 \$</b>
East Haven - Grant-in-aid to East Haven for Phase III downtown development. PA 07-7, (JSS), Sec. 32(f)(10)	12052(09)PA 07-7 32(f)(10)	1,000,000	1,000,000
Fairfield - Grant-in-aid for the Fairfield Theatre Company for a new sprinkler system. SA 05-1, (JSS), Sec. 13(j)(16)	12052(06)SA 05-1 13(j)(16)	100,000	100,000
Farmington - Grant-in-aid to the town of Farmington for completion of a portion of a trail in Rails to Trails. PA 07-7, (JSS), Sec. 13(f)(47)	12052(08)PA 07-7 13(f)(47)	65,000	15,000
Hamden- Grant-in-aid to Hamden for Whitneyville Center streetscape improvements. PA 07-7, (JSS), Sec. 13(f)(19)	12052(08)PA 07-7 13(f)(19)	390,000	390,000
Hartford - Grant-in-aid to Southside Institutions Neighborhood Alliance for a community sports complex in Hartford. SA 04-2, (MSS), Sec. 13(h)(2)	12052(05)SA 04-2 13(h)(2)	1,000,000	1,000,000
Hartford - Grant-in-aid to the Craftery Gallery, Incorporated, for the purchase of a building and necessary alterations and renovations. SA 05-1, (JSS), Sec. 13(j)(18)	12052(06)SA 05-1 13(j)(18)	50,000	50,000
Hartford - Grant-in-aid to Hartford for planning and design of streetscape improvements in the North Hartford area along the Main Street corridor. PA 07-7, (JSS), Sec. 13(f)(35)	12052(08)PA 07-7 13(f)(35)	500,000	500,000
Killingly - Grant-in-aid to Killingly for downtown revitalization. SA 05-1, (JSS), Sec. 32(j)(7)	12052(07)SA 05-1 32(j)(7)	1,000,000	1,000,000
Manchester - Grant-in-aid to Manchester for the Broad Street streetscape project. PA 07-7, (JSS), Sec. 32(f)(11)	12052(09)PA 07-7 32(f)(11)	2,000,000	1,000,000
Meriden - Grant-in-aid to Meriden for the West Main Street streetscape project. PA 07-7, (JSS), Sec. 13(f)(22)	12052(08)PA 07-7 13(f)(22)	2,000,000	800,000
Middlesex County Revitalization Commission - Grant-in-aid to the Middlesex County Revitalization Commission for revitalization projects. PA 07-7, (JSS), Sec. 13(f)(7)	12052(08)PA 07-7 13(f)(7)	878,050	878,050
New Britain - Grant-in-aid to New Britain for purchase of a new scoreboard, production equipment and related software to be used at New Britain Stadium, and for	12052(08)PA 07-7 13(f)(15)	500,000	500,000

Description	Bond Fund	Authorized Amount \$	Unallocated 2/25/13 \$
repairs and upgrades to the suites at New Britain Stadium. PA 07-7, (JSS), Sec. 13(f)(15)			
New Britain - Grant-in-aid to New Britain for property acquisition, design development and construction of a downtown redevelopment plan. PA 07-7, (JSS), Sec. 13(f)(14)	12052(08)PA 07-7 13(f)(14)	1,000,000	1,000,000
New Haven - Grants-in-aid to the city of New Haven, the housing authority of New Haven, for-profit housing development corporations and nonprofit organizations that are exempt under Section 501(c) of the Internal Revenue Code, for economic development projects, including improvements to downtown and a biotechnology corridor and related development purposes within the city of New Haven. SA 01-2, (JSS), Sec. 9(d)(1); PA 07-7, (JSS), Sec. 125	1873(01)SA 01-2 9(d)(1)	30,000,000	5,833,846
New Haven - Grant-in-aid to University of New Haven for establishment of the Henry Lee Institute. SA 05-1, (JSS), Sec. 32(a)(2)	12052(07)SA 05-1 32(j)(9)	1,500,000	1,500,000
New Haven - Grant-in-aid to New Haven for the River Street development project. PA 07-7, (JSS), Secs. 13(f)(13) & 32(f)(7)	12052(08)PA 07-7 13(f)(13)	2,800,000	2,250,000
Newington - Grant-in-aid to Newington for the community center. PA 07-7, (JSS), Sec. 13(f)(49)	12052(08)PA 07-7 13(f)(49)	750,000	750,000
Portland - Grant-in-aid to Portland for renovation of property for the Sculptors Museum and Training Center. SA 05-1, (JSS), Sec. 13(j)(20)	12052(06)SA 05-1 13(j)(20)	90,000	90,000
Portland - Grant-in-aid to Portland for sidewalk repairs. PA 07-7, (JSS), Sec. 13(f)(48)	12052(08)PA 07-7 13(f)(48)	200,000	200,000
Portland - Grant-in-aid to Portland for improvements and repairs to the town green gazebo and the historic brownstone swing. SA 05-1, (JSS), Sec. 13(j)(21)	12052(06)SA 05-1 13(j)(21)	50,000	50,000
Southington - Grant-in-aid to Southington for road relocation, utility upgrades, new service facilities and other improvements related to expanding Lake Compounce Water Park. PA 07-7, (JSS), Sec. 13(f)(32)	12052(08)PA 07-7 13(f)(32)	3,300,000	3,300,000

<b>Description</b>	<b>Bond Fund</b>	<b>Authorized Amount \$</b>	<b>Unallocated 2/25/13 \$</b>
Southington - Grant-in-aid to Southington for renovations at the Southington Drive-In. PA 07-7, (JSS), Sec. 13(f)(17)	12052(08)PA 07-7 13(f)(17)	250,000	250,000
Stafford: - Grant-in-aid to Stafford for downtown redevelopment. PA 07-7, (JSS), Sec. 13(f)(8)	12052(08)PA 07-7 13(f)(8)	439,025	439,025
Stratford - Grant-in-aid to Stratford for the Barnum Avenue streetscape project. SA 05-1, (JSS), Sec. 13(j)(13); PA 10-44, Sec. 149	12052(06)SA 05-1 13(j)(13)	350,000	350,000
Stratford - Grant-in-aid to Stratford for streetscape improvements. PA 07-7, (JSS), Sec. 13(f)(50); PA 10-44, Sec. 293	12052(08)PA 07-7 13(f)(50)	250,000	250,000
Thompson - Grant-in-aid to Thompson for downtown revitalization. SA 05-1, (JSS), Sec. 32(j)(6)	12052(07)SA 05-1 32(j)(6)	1,000,000	1,000,000
West Haven - Grant-in-aid to West Haven, for Front Avenue industrial development and for improvements to the Allingtown Business District. SA 05-1, (JSS), Sec. 13(j)(12)	12052(06)SA 05-1 13(j)(12)	1,000,000	500,000
West Haven - Grant-in-aid to West Haven, for revitalization of the downtown. SA 05-1, (JSS), Sec. 13(j)(15)	12052(06)SA 05-1 13(j)(15)	500,000	500,000
Wethersfield - Grant-in-aid to Wethersfield for economic development and infrastructure improvements related to the Silas Deane Highway. PA 07-7, (JSS), Sec. 13(f)(44)	12052(08)PA 07-7 13(f)(44)	1,000,000	1,000,000
Connecticut Arts Endowment Fund - Grants-in-aid for non-profit organizations that are exempt under Section 501(c)(3) of the Internal Revenue Code to be matched with private contributions. SA 05-1, (JSS), Sec. 32(e)(3)	12052(07)SA 05-1 32(e)(3)	500,000	500,000
Cultural organizations - Capital pool for grants-in-aid to cultural organizations. SA 05-1, (JSS), Sec. 32(e)(1)	12052(07)SA 05-1 32(e)(1)	500,000	425,000
Airline Trail - Grant-in-aid to Quinebaug Shetucket Heritage Corridor, Inc for planning for the completion of the Airline Trail. SA 05-1, (JSS), Sec. 13(e)(8)	12052(06)SA 05-1 13(e)(8)	100,000	100,000
Bridgeport - Grant-in-aid to the Barnum Museum Foundation, Inc. for renovations at the Barnum Museum in Bridgeport. PA 07-7,	12052(08)PA 07-7 13(e)(21)	1,000,000	1,000,000

<b>Description</b>	<b>Bond Fund</b>	<b>Authorized Amount \$</b>	<b>Unallocated 2/25/13 \$</b>
(JSS), Sec. 13(e)(21); PA 10-44, Sec. 265			
Bridgeport - Grant-in-aid to the Connecticut Zoological Society for the planning and development of the Andes Adventure Exhibit at the Beardsley Zoo in Bridgeport. PA 07-7, (JSS), Sec. 13(e)(29); PA 10-44, Sec. 270	12052(08)PA 07-7 13(e)(29)	500,000	500,000
Bristol - Grant-in-aid to Bristol for renovation of the American Clock and Watch Museum. SA 05-1, (JSS), Sec. 32(e)(4)	12052(07)SA 05-1 32(e)(4)	1,500,000	1,500,000
Coventry - Grant-in-aid to the Antiquarians & Landmarks Foundation for the Nathan Hale Museum & Family Homestead Development Plan in Coventry. PA 07-7, (JSS), Sec. 13(e)(28)	12052(08)PA 07-7 13(e)(28)	750,000	750,000
Danbury - Grant-in-aid to the Stanley L. Richter Association for the Arts in Danbury for roof repair, expansion and ADA improvements. PA 07-7, (JSS), Secs. 13(e)(24) & 32(e)(7)	12052(08)PA 07-7 13(e)(24) & 12052(09)PA 07-7 32(e)(7)	300,000	300,000
East Hampton - Grant-in-aid to East Hampton for renovation and restoration of the Goff House. PA 07-7, (JSS), Sec. 13(e)(26)	12052(08)PA 07-7 13(e)(26)	100,000	100,000
Granby - Grant-in-aid to Holcomb Farm in Granby for restoration and renovation of buildings. PA 07-7, (JSS), Sec. 13(e)(14); PA 10-44, Sec. 261	12052(08)PA 07-7 13(e)(14)	50,000	50,000
Greenwich - Grant-in-aid to Greenwich for renovation of existing or construction of new exhibition areas, teaching spaces and the science gallery at the Bruce Museum. PA 07-7, (JSS), Sec. 13(e)(2)	12052(08)PA 07-7 13(e)(2)	1,000,000	250,000
Killingworth - Grant-in-aid to Killingworth for restoration and renovations at the Killingworth Old Town Hall Building: SA 05-1, (JSS), Sec. 13(n)(5); PA 07-7, (JSS), Sec. 175	12052(06)SA 05-1 13(e)(3)	250,000	250,000
Meriden - Grant-in-aid to Gallery 53 in Meriden for structural improvements. PA 07-7, (JSS), Sec. 13(e)(19)	12052(08)PA 07-7 13(e)(19)	50,000	50,000
Middletown - Grant-in-aid to the Kidcity Children's Museum in Middletown for construction of a new building. PA 07-7, (JSS), Sec. 13(e)(7)	12052(08)PA 07-7 13(e)(7)	1,000,000	1,000,000



<b>Description</b>	<b>Bond Fund</b>	<b>Authorized Amount \$</b>	<b>Unallocated 2/25/13 \$</b>
Mystic - Grant-in-aid to Mystic to improve transportation access at the north gate at the Museum of America and the Sea at Mystic Seaport. PA 07-7, (JSS), Sec. 32(e)(2); PA 10-44, Sec. 325	12052(09)PA 07-7 32(e)(2)	750,000	750,000
New Haven - Grant-in-aid to the New Haven Museum and Historical Society for the restoration and reconstruction of the Pardee Morris House. PA 07-7, (JSS), Sec. 13(e)(27); PA 10-44, Sec. 268	12052(08)PA 07-7 13(e)(27)	350,000	350,000
Norwalk - Grant-in-aid to the city of Norwalk for the Maritime Aquarium to defray financial obligations incurred for construction of the Environmental Education Center. PA 07-7, (JSS), Sec. 13(e)(3)	12052(08)PA 07-7 13(e)(3)	400,000	400,000
Norwich - Grant-in-aid to the Norwich Free Academy for Americans with Disabilities Act improvements at the Slater Memorial Museum, including installation of an elevator. PA 07-7, (JSS), Sec. 13(e)(8)	12052(08)PA 07-7 13(e)(8)	800,000	800,000
Scotland - Grant-in-aid to the Samuel Huntington Trust, Incorporated, for the capital campaign to preserve the Samuel Huntington House. SA 05-1, (JSS), Sec. 13(e)(7)	12052(06)SA 05-1 13(e)(7)	70,000	70,000
Torrington - Grant-in-aid to Torrington for development and construction of the Warner Theater Stage House. PA 07-7, (JSS), Sec. 32(e)(5); PA 10-44, Sec. 327	12052(09)PA 07-7 32(e)(5)	750,000	750,000
Westport - Grant-in-aid to Westport for new construction at the Levitt Pavilion for the Performing Arts. PA 07-7, (JSS), Sec. 13(e)(15)	12052(08)PA 07-7 13(e)(15)	1,000,000	500,000
Willimantic - Grant-in-aid to Willimantic for restoration of historic properties along Main Street. PA 07-7, (JSS), Sec. 13(e)(23)	12052(08)PA 07-7 13(e)(23)	650,000	650,000
Windsor Locks - Grant-in-aid to the New England Air Museum in Windsor Locks for construction of a swing space storage building and an education building. PA 07-7, (JSS), Sec. 13(e)(25); PA 10-44, Sec. 267	12052(08)PA 07-7 13(e)(25)	2,000,000	1,500,000