

Regulation and Protection
 Coordinator – Anthony Naples
 Office of Fiscal Analysis

	Page #	Analyst	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund									
Department of Emergency Services and Public Protection	2	AN	173,530,444	178,825,004	190,142,433	187,575,569	208,831,745	21,256,176	11.33
Military Department	5	ME	4,933,155	5,384,967	5,510,760	5,678,992	5,702,526	23,534	0.41
Department of Consumer Protection	11	ME	13,289,966	13,006,175	13,911,825	15,258,926	15,381,699	122,773	0.80
Commission on Human Rights and Opportunities	13	MG	5,828,506	6,067,884	6,406,364	6,722,777	6,722,777	-	-
Total - General Fund			197,582,071	203,284,030	215,971,382	215,236,264	236,638,747	21,402,483	9.94
Insurance Fund									
Insurance Department	7	MP	26,558,793	28,475,411	29,920,152	31,401,821	31,304,648	(97,173)	(0.31)
Office of the Healthcare Advocate	9	MP	5,465,285	2,962,921	3,368,313	3,532,016	3,117,734	(414,282)	(11.73)
Total - Insurance Fund			32,024,078	31,438,332	33,288,465	34,933,837	34,422,382	(511,455)	(1.46)
Workers' Compensation Fund									
Workers' Compensation Commission	14	ME	18,662,329	20,060,266	24,307,115	24,850,151	23,837,207	(1,012,944)	(4.08)
Total - Appropriated Funds			248,268,478	254,782,628	273,566,962	275,020,252	294,898,336	19,878,084	7.23

Department of Emergency Services and Public Protection DPS32000

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund	1,735	1,735	1,585	1,585	1,574	(11)	(0.69)

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	138,300,283	141,682,595	148,669,082	145,635,390	162,041,328	16,405,938	11.27
Other Expenses	25,094,596	25,259,063	27,882,589	28,349,417	31,897,501	3,548,084	12.52
Other Current Expenses							
Stress Reduction	-	-	25,354	25,354	25,354	-	-
Fleet Purchase	5,406,318	5,146,861	5,581,737	5,581,737	6,883,891	1,302,154	23.33
Workers' Compensation Claims	3,940,372	3,482,374	4,136,817	4,136,817	4,136,817	-	-
Criminal Justice Information System	582,817	2,157,093	2,684,610	2,684,610	2,684,610	-	-
Other Than Payments to Local Governments							
Fire Training School - Willimantic	-	150,076	150,076	150,076	150,076	-	-
Maintenance of County Base Fire Radio Network	14,646	19,528	19,528	19,528	19,528	-	-
Maintenance of State-Wide Fire Radio Network	9,748	12,997	12,997	12,997	12,997	-	-
Police Association of Connecticut	76,789	172,182	172,353	172,353	172,353	-	-
Connecticut State Firefighter's Association	104,875	111,570	176,625	176,625	176,625	-	-
Fire Training School - Torrington	-	81,367	81,367	81,367	81,367	-	-
Fire Training School - New Haven	-	48,364	48,364	48,364	48,364	-	-
Fire Training School - Derby	-	37,139	37,139	37,139	37,139	-	-
Fire Training School - Wolcott	-	100,162	100,162	100,162	100,162	-	-
Fire Training School - Fairfield	-	70,395	70,395	70,395	70,395	-	-
Fire Training School - Hartford	-	169,336	169,336	169,336	169,336	-	-
Fire Training School - Middletown	-	68,470	68,470	68,470	68,470	-	-
Fire Training School - Stamford	-	55,432	55,432	55,432	55,432	-	-
Agency Total - General Fund	173,530,444	178,825,004	190,142,433	187,575,569	208,831,745	21,256,176	11.33

Account	Governor Revised FY 21
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Policy Revisions

Provide Funds to Support Two Trooper Training Classes

Personal Services	5,104,490
Other Expenses	2,370,647
Fleet Purchase	1,302,154
Total - General Fund	8,777,291

Account	Governor Revised FY 21
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Background

There are currently approximately 940 sworn troopers in DESPP. The agency typically uses trooper trainee classes to refill vacancies created from retirements. In FY 19, DESPP experienced over 100 retirements. Recent retirements have resulted in overtime expenses within DESPP and have contributed to annual budget deficiencies. There is an estimated \$6.5 million shortfall in the agency's Personal Services account in FY 20. Funding for trooper classes typically takes into account personal services, equipment, and fleet purchasing costs.

Governor

Provide funding of \$8.78 million to DESPP for the training and outfitting of two new trooper classes with the goal of graduating 170 sworn officers.

Provide Funds to Transition Away from PFAS

Other Expenses	120,520
Total - General Fund	120,520

Background

Per- and Polyfluoroalkyl Substances (PFAS) are a class of synthetic chemicals found in fire suppressing foam (among other products) that do not break down in the environment. The Commission on Fire Prevention and Control manages eight state-owned foam trailers equipped with firefighting foam material.

Governor

Provide funding of \$120,520 to replace fire suppressing foam containing PFAS and related equipment.

Transfer Funding to Reflect Centralizing Human Resources and Labor Relations Functions

Personal Services	(859,536)
Total - General Fund	(859,536)
Positions - General Fund	(11)

Background

The Governor's Revised FY 21 Budget centralizes human resources functions within the Department of Administrative Services (DAS) and labor relations functions within the Office of Policy and Management (OPM). To consolidate human resources functions within DAS, \$19,798,935 and 236 positions are transferred from various agencies. To consolidate labor relations within OPM, \$5,162,886 and 54 positions are transferred from various agencies.

Governor

Transfer \$859,536 and 11 positions to reflect centralizing this agency's human resources into DAS and labor relations functions into OPM.

Reduce Funds for the Motorola Fixed Network Equipment Contract

Other Expenses	(750,000)
Total - General Fund	(750,000)

Background

The Division of State Police, through the Department of Administrative Services (DAS), has various contracts with outside vendors to provide communication equipment. The Motorola fixed network is the system behind the agency's personal radio communications and has a contractual schedule for equipment upgrades.

Governor

Reduce funding of \$750,000 to reflect the renegotiated terms of the Motorola contract.

Current Services**Transfer Funding from RSA to Agencies for Collective Bargaining Agreements**

Personal Services	12,160,984
Total - General Fund	12,160,984

Account	Governor Revised FY 21
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Background

The Governor's Revised FY 21 Budget transfers funding of \$17,574,142 in the General Fund and \$441,236 in the Special Transportation Fund from the Reserve for Salary Adjustment account (RSA) to agencies for collective bargaining contracts approved during the 2019 legislative session.

Governor

Transfer \$12,160,984 from RSA to reflect this agency's collective bargaining wage increases.

Provide Funds for the Criminal History Repository Modernization Project

Other Expenses	1,806,917
Total - General Fund	1,806,917

Background

The current criminal history repository used by DESPP to maintain fingerprint and disposition records will be replaced by two new automated systems by the end of 2020. While this project, which is related to the Governor's 'clean slate' initiative, is mainly funded by the IT Capital Investment Program, maintenance costs are provided to the agency to run both the old and new systems concurrently for the first year of operation.

Governor

Provide funding of \$1,806,917 for maintenance costs related to the implementation of a new criminal history repository system.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - GF	187,575,569
Policy Revisions	7,288,275
Current Services	13,967,901
Total Recommended - GF	208,831,745

Positions	Governor Revised FY 21
Original Appropriation - GF	1,585
Policy Revisions	(11)
Total Recommended - GF	1,574

Military Department MIL36000

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund	42	42	42	42	42	-	-

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	2,509,424	2,598,236	2,777,206	2,945,438	2,968,972	23,534	0.80
Other Expenses	2,057,281	2,257,531	2,171,221	2,171,221	2,171,221	-	-
Other Current Expenses							
Honor Guards	302,650	446,450	469,000	469,000	469,000	-	-
Veteran's Service Bonuses	63,800	82,750	93,333	93,333	93,333	-	-
Agency Total - General Fund	4,933,155	5,384,967	5,510,760	5,678,992	5,702,526	23,534	0.41

Account	Governor Revised FY 21

Current Services

Transfer Funding from RSA to Agencies for Collective Bargaining Agreements

Personal Services	23,534
Total - General Fund	23,534

Background

The Governor's Revised FY 21 Budget transfers funding of \$17,574,142 in the General Fund and \$441,236 in the Special Transportation Fund from the Reserve for Salary Adjustment account (RSA) to agencies for collective bargaining contracts approved during the 2019 legislative session.

Governor

Transfer \$23,534 from RSA to reflect this agency's collective bargaining wage increases.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - GF	5,678,992
Current Services	23,534
Total Recommended - GF	5,702,526

Positions	Governor Revised FY 21
Original Appropriation - GF	42
Total Recommended - GF	42

Insurance Department DOI37500

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Insurance Fund	151	150	151	151	149	(2)	(1.32)

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	13,270,222	13,870,243	14,649,306	15,496,303	15,307,819	(188,484)	(1.22)
Other Expenses	2,007,831	1,922,707	1,850,916	1,725,916	1,789,040	63,124	3.66
Equipment	52,499	52,500	52,500	52,500	64,500	12,000	22.86
Other Current Expenses							
Fringe Benefits	10,761,501	12,358,122	13,138,962	13,898,634	13,729,583	(169,051)	(1.22)
Indirect Overhead	466,740	271,839	228,468	228,468	413,706	185,238	81.08
Agency Total - Insurance Fund	26,558,793	28,475,411	29,920,152	31,401,821	31,304,648	(97,173)	(0.31)

Account	Governor Revised FY 21

Policy Revisions

Provide Funds for Captive Industry Growth

Other Expenses	53,500
Total - Insurance Fund	53,500

Background

The Insurance Department has a Captive Insurance Division including an Insurance Program Manager and two Insurance Accredited Financial Examiners.

Governor

Provide funding of \$53,500 in Other Expenses for activities to grow the agency's captive insurance industry expertise and promote industry growth. Funds will be used for access to industry-specific journals and guides, for staff development including travel to key industry events, and for convening sector advisory panels.

Transfer Funding to Reflect Centralizing Human Resources and Labor Relations Functions

Personal Services	(188,484)
Fringe Benefits	(169,051)
Total - Insurance Fund	(357,535)
Positions - Insurance Fund	(2)

Background

The Governor's Revised FY 21 Budget centralizes human resources functions within the Department of Administrative Services (DAS) and labor relations functions within the Office of Policy and Management (OPM). To consolidate human resources functions within DAS, \$19,798,935 and 236 positions are transferred from various agencies. To consolidate labor relations within OPM, \$5,162,886 and 54 positions are transferred from various agencies.

Governor

Transfer \$188,484 from the Personal Services account, \$169,051 from the Fringe Benefits account and 2 positions to reflect centralizing this agency's human resources into DAS.

Account	Governor Revised FY 21
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Provide One-time Funding to Replace Network Server

Equipment	12,000
Total - Insurance Fund	12,000

Governor

Provide one-time funding of \$12,000 in the Equipment account to fund the replacement of one network server.

Provide Funds for Mail Operation Software

Other Expenses	9,624
Total - Insurance Fund	9,624

Background

The software used for the agency's internal mail operations is not compatible with Microsoft 365.

Governor

Provide funding of \$9,624 to purchase new software for the agency's internal mail operations.

Current Services

Adjust Indirect Overhead

Indirect Overhead	185,238
Total - Insurance Fund	185,238

Background

This agency is charged with indirect overhead costs by the State Comptroller for utilizing certain centralized state agency services.

Governor

Provide funding of \$185,238 to ensure sufficient funds for indirect overhead costs.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - IF	31,401,821
Policy Revisions	(282,411)
Current Services	185,238
Total Recommended - IF	31,304,648

Positions	Governor Revised FY 21
Original Appropriation - IF	151
Policy Revisions	(2)
Total Recommended - IF	149

Office of the Healthcare Advocate

MCO39400

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Insurance Fund	27	18	17	17	17	-	-

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	2,040,136	1,318,740	1,573,775	1,655,805	1,466,690	(189,115)	(11.42)
Other Expenses	1,685,417	298,832	245,000	245,000	215,000	(30,000)	(12.24)
Equipment	11,495	4,735	5,000	5,000	5,000	-	-
Other Current Expenses							
Fringe Benefits	1,728,237	1,233,984	1,544,438	1,626,111	1,430,944	(195,167)	(12.00)
Indirect Overhead	-	106,630	100	100	100	-	-
Agency Total - Insurance Fund	5,465,285	2,962,921	3,368,313	3,532,016	3,117,734	(414,282)	(11.73)

Account	Governor Revised FY 21

Current Services

Adjust Funding to Reflect Current Staffing Costs

Personal Services	(200,000)
Fringe Benefits	(196,000)
Total - Insurance Fund	(396,000)

Background

The fringe benefit costs for employees funded out of other appropriated funds are budgeted within the fringe benefit account of those funds, as opposed to the fringe benefit accounts within the Office of the State Comptroller.

Governor

Reduce funding in Personal Services by \$200,000 and Fringe Benefits by \$196,000 to align funding in those accounts with projected staffing costs.

Adjust Other Expenses Funding to Reflect Updated Lease Costs

Other Expenses	(30,000)
Total - Insurance Fund	(30,000)

Background

The Office of the Healthcare Advocate is located in leased office space at 153 Market Street in Hartford.

Governor

Reduce funding by \$30,000 in Other Expenses to reflect the estimated reduction in the agency's lease costs for FY 21.

Transfer Funding from RSA to Agencies for Collective Bargaining Agreements

Personal Services	10,885
Fringe Benefits	833
Total - Insurance Fund	11,718

Account	Governor Revised FY 21
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Background

The Governor's Revised FY 21 Budget transfers funding of \$17,574,142 in the General Fund and \$441,236 in the Special Transportation Fund from the Reserve for Salary Adjustment account (RSA) to agencies for collective bargaining contracts approved during the 2019 legislative session.

Governor

Transfer \$10,885 for Personal Services and \$833 for Fringe Benefits from RSA to reflect this agency's collective bargaining wage increases.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - IF	3,532,016
Current Services	(414,282)
Total Recommended - IF	3,117,734

Positions	Governor Revised FY 21
Original Appropriation - IF	17
Total Recommended - IF	17

Department of Consumer Protection

DCP39500

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund	218	218	222	222	224	2	0.90

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	12,207,333	11,906,869	12,757,897	14,110,498	14,222,810	112,312	0.80
Other Expenses	1,082,633	1,099,306	1,153,928	1,148,428	1,158,889	10,461	0.91
Agency Total - General Fund	13,289,966	13,006,175	13,911,825	15,258,926	15,381,699	122,773	0.80

Account	Governor Revised FY 21

Policy Revisions

Provide Funding for Regulation of Recreational Use of Cannabis by Adults

Personal Services	264,901
Other Expenses	10,461
Total - General Fund	275,362
Positions - General Fund	3

Background

SB 16, *An Act Concerning the Adult Use of Cannabis*, legalizes the recreational use of cannabis and tasks the department with developing a regulatory structure for the sale of cannabis.

Governor

Provide funding of \$275,362 to hire 1 durational and 2 permanent employees to create the regulatory framework for the recreational marijuana market.

Reduce Funding to Reflect Current Staffing Needs

Personal Services	(152,589)
Total - General Fund	(152,589)
Positions - General Fund	(1)

Governor

Reduce funding by \$152,589 due to the elimination of one position (\$72,720) and delaying refilling vacancies (\$79,869).

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - GF	15,258,926
Policy Revisions	122,773
Total Recommended - GF	15,381,699

Positions	Governor Revised FY 21
Original Appropriation - GF	222
Policy Revisions	2
Total Recommended - GF	224

Commission on Human Rights and Opportunities

HRO41100

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund	82	82	84	84	84	-	-

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	5,566,416	5,783,618	6,106,429	6,426,842	6,426,842	-	-
Other Expenses	258,759	280,901	293,958	289,958	289,958	-	-
Other Current Expenses							
Martin Luther King, Jr. Commission	3,331	3,365	5,977	5,977	5,977	-	-
Agency Total - General Fund	5,828,506	6,067,884	6,406,364	6,722,777	6,722,777	-	-

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - GF	6,722,777
Total Recommended - GF	6,722,777

Positions	Governor Revised FY 21
Original Appropriation - GF	84
Total Recommended - GF	84

Workers' Compensation Commission WCC42000

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Workers' Compensation Fund	117	117	117	117	113	(4)	(3.42)

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	8,456,603	8,970,628	10,648,775	10,971,397	10,682,108	(289,289)	(2.64)
Other Expenses	2,247,622	2,232,425	2,799,545	2,709,545	2,709,545	-	-
Equipment	-	-	1	1	1	-	-
Other Current Expenses							
Fringe Benefits	7,666,467	8,416,919	10,222,827	10,533,241	10,243,795	(289,446)	(2.75)
Indirect Overhead	291,637	440,294	635,967	635,967	201,758	(434,209)	(68.28)
Agency Total - Workers' Compensation Fund	18,662,329	20,060,266	24,307,115	24,850,151	23,837,207	(1,012,944)	(4.08)

Account	Governor Revised FY 21

Policy Revisions

Eliminate Vacant Positions

Personal Services	(113,735)
Fringe Benefits	(109,186)
Total - Workers' Compensation Fund	(222,921)
Positions - Workers' Compensation Fund	(2)

Governor

Reduce funding by \$222,921 to reflect the elimination of 2 vacant positions.

Transfer Funding to Reflect Centralizing Human Resources and Labor Relations Functions

Personal Services	(188,828)
Fringe Benefits	(181,275)
Total - Workers' Compensation Fund	(370,103)
Positions - Workers' Compensation Fund	(2)

Background

The Governor's Revised FY 21 Budget centralizes human resources functions within the Department of Administrative Services (DAS) and labor relations functions within the Office of Policy and Management (OPM). To consolidate human resources functions within DAS, \$19,798,935 and 236 positions are transferred from various agencies. To consolidate labor relations within OPM, \$5,162,886 and 54 positions are transferred from various agencies.

Governor

Transfer \$370,103 and 2 positions to reflect centralizing this agency's human resources into DAS and labor relations functions into OPM.

Account	Governor Revised FY 21
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Current Services

Adjust Fringe Benefits and Indirect Overhead

Indirect Overhead	(434,209)
Total - Workers' Compensation Fund	(434,209)

Background

The fringe benefit costs for employees supported by funds other than the General Fund are budgeted for within their respective agencies, as opposed to the fringe benefit accounts within the Office of the State Comptroller. In addition, this agency is charged with indirect overhead costs by the State Comptroller for utilizing certain centralized state agency services.

Governor

Reduce funding by \$434,209 to reflect revised fringe benefits and indirect overhead costs.

Transfer Funding from RSA to Agencies for Collective Bargaining Agreements

Personal Services	13,274
Fringe Benefits	1,015
Total - Workers' Compensation Fund	14,289

Background

The Governor's Revised FY 21 Budget transfers funding of \$17,574,142 in the General Fund and \$441,236 in the Special Transportation Fund from the Reserve for Salary Adjustment account (RSA) to agencies for collective bargaining contracts approved during the 2019 legislative session.

Governor

Transfer \$14,289 from RSA to reflect this agency's collective bargaining wage increases.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - WF	24,850,151
Policy Revisions	(593,024)
Current Services	(419,920)
Total Recommended - WF	23,837,207

Positions	Governor Revised FY 21
Original Appropriation - WF	117
Policy Revisions	(4)
Total Recommended - WF	113