Signed (Agency Head) Title Date

	AS OF 6/30/14		ESTIMATED 2015		REQUESTED 2016		REQUESTED 2017	
PERSONNEL SUMMARY	Filled	Vacant	Change	Total	Change	Total	Change	Total
Banking Fund	108.00	8.00	0.00	116.00	0.00	116.00	0.00	116.00
Private Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

	ACTUAL 2013-14	ESTIMATED 2015	REQUESTED 2016	REQUESTED 2017
Other Positions Equated to Full-Time	1.88	1.88	1.88	1.88

SUMMARY OF FUNDING	ACTUAL 2013-14	ESTIMATED 2014-15	REQUESTED 2015-16	REQUESTED 2016-17
Banking Fund	18,799,948.90	20,645,364.00	21,540,427.00	21,545,118.00
Private Funds	302,767.14	81,342.00	16,342.00	16,342.00
TOTAL AGENCY PROGRAMS - ALL FUNDS	19,102,716.04	20,726,706.00	21,556,769.00	21,561,460.00

AGENCY PROGRAMS BY TOTAL FUNDS	ACTUAL 2013-14	ESTIMATED 2014-15	REQUESTED 2015-16	REQUESTED 2016-17
14000 - Management Services	4,407,711.63	4,533,612.00	4,631,077.00	4,663,035.00
29117 - Financial Institutions Division	6,289,418.40	6,787,216.00	7,013,048.00	7,054,497.00
29118 - Securities & Business Investments	4,334,442.19	4,859,280.00	5,187,953.00	5,077,625.00
29119 - Consumer Credit	4,071,143.82	4,546,598.00	4,724,691.00	4,766,303.00
TOTAL AGENCY PROGRAMS - ALL FUNDS	19,102,716.04	20,726,706.00	21,556,769.00	21,561,460.00

DOB37000 - Department of Banking

Fund	Fed Cat	SID	Description	Pgm	Perm. Pos.	Vac. Pos.	Other Pos.	Actual	Perm. Pos.	Other Pos.	Estimated	Perm. Pos. Y1	Other Pos. Y1	Projected Y1	Perm. Pos. Y2	Other Pos. Y2	Projected Y2
12060		30545	DOB Forclosure Prevention	14000	0.00	0.00	0.00	13,711.82	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
12060		30566	Mortgage Foreclosure	14000	0.00	0.00	0.00	222,712.97	0.00	0.00	15,000.00	0.00	0.00	0.00	0.00	0.00	0.00
12060		30609	Cash Call Western Sky	14000	0.00	0.00	0.00	50,000.00	0.00	0.00	50,000.00	0.00	0.00	0.00	0.00	0.00	0.00
12060		35181	Investor Education Fund	14000	0.00	0.00	0.00	15,944.56	0.00	0.00	15,944.00	0.00	0.00	15,944.00	0.00	0.00	15,944.00
12060		35251	Seminars Conferences Symposims	14000	0.00	0.00	0.00	397.79	0.00	0.00	398.00	0.00	0.00	398.00	0.00	0.00	398.00
				TOTALS	**0.00**	**0.00**	**0.00**	**302,767.14**	**0.00**	**0.00**	**81,342.00**	**0.00**	**0.00**	**16,342.00**	**0.00**	**0.00**	**16,342.00**

PERSONNEL SUMMARY	AS OF 6/30/14		ESTIMATED 2015		REQUESTED 2016		REQUESTED 2017	
	Filled	Vacant	Change	Total	Change	Total	Change	Total
Banking Fund	108.00	8.00	0.00	116.00	0.00	116.00	0.00	116.00
Private Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

	Actual	Estimated	Requested 2016	Requested 2017
Other Position Equated to Full-Time	0.00	0.00	0.00	0.00

Current Services	Actual	Estimated	Requested Yr1	Requested Yr2
PERSONAL SERVICES				
00110 - Salary & Wages - Permanent Full Time	9,414,325.77	10,069,627.00	10,554,012.00	10,616,467.00
00160 - Longevity	55,341.75	49,008.00	49,008.00	49,008.00
00170 - Overtime	10,464.78	2,000.00	2,096.00	2,108.00
00190 - Accumulated Leave	130,198.62	141,018.00	147,736.00	148,590.00
00300 - Salary & Wages - Other	125,568.41	82,818.00	87,243.00	88,036.00
00700 - Other Personal Services	6,243.20	24,500.00	24,500.00	24,500.00
TOTAL PERSONAL SERVICES	**9,742,142.53**	**10,368,971.00**	**10,864,595.00**	**10,928,709.00**
OTHER EXPENSES	**Actual**	**Estimated**	**Requested Yr1**	**Requested Yr2**
50170 - Overtime	485.84	0.00	0.00	0.00
50750 - Educ & Training For Employees	249.00	0.00	0.00	0.00
50780 - In-State Travel	8,982.04	13,353.00	13,661.00	14,056.00
50790 - Out-Of-State Travel	53,214.71	72,700.00	74,385.00	76,534.00
50800 - Mileage Reimbursement	40,899.23	24,700.00	25,272.00	26,001.00
51111 - Attorney Fees	0.00	10,000.00	10,232.00	10,527.00
51200 - Employee Assist Program Srvcs	2,916.00	3,000.00	3,069.00	3,158.00
51290 - Educational Services	11,158.00	25,660.00	26,253.00	27,013.00
51590 - Conf/Seminars/Workshop-Hosting	23.98	15,000.00	15,348.00	15,791.00
51620 - Fees And Permits	371.65	435.00	444.00	457.00
51672 - Court Reporting Services	11,458.96	12,000.00	12,278.00	12,632.00
51674 - Online Information Services	21,847.59	24,000.00	24,556.00	25,265.00
51675 - Subscriptions	6,721.84	7,600.00	7,774.00	7,999.00
51761 - Delivery Services	434.02	900.00	919.00	946.00
51762 - Express Postage	371.30	1,200.00	1,227.00	1,263.00
51764 - Regular Postage	13,151.71	12,500.00	12,790.00	13,159.00
51780 - Membership Dues	82,627.75	84,300.00	86,253.00	88,746.00
51790 - Moving Services	276.25	175.00	179.00	184.00
51850 - Records Destruction Services	358.00	550.00	562.00	578.00
51873 - Photocopying	(2.55)	0.00	0.00	0.00
51874 - Printing & Binding	247.87	300.00	306.00	315.00
51930 - Service Of Process	1,638.02	1,800.00	1,840.00	1,892.00
52020 - Relocation Services	755.00	1,500.00	1,534.00	1,579.00
52060 - Witness Compensation	0.00	65,000.00	66,508.00	68,430.00
52511 - Office Equipment Lease/Rental	19,715.77	20,220.00	20,687.00	21,285.00
52531 - Off Equip Mnt/Rep-Contractual	1,362.50	0.00	0.00	0.00
52532 - Off Equip Mnt/Rep-Non-Contract	183.41	1,500.00	1,534.00	1,579.00
53011 - Motor Vehicle Rental	111,179.68	121,600.00	124,420.00	128,015.00
53012 - Motor Vehicle Repairs	8,538.17	13,500.00	13,813.00	14,210.00
53020 - Motor Vehicle Fuel - Gasoline	33,817.44	41,310.00	43,063.00	43,877.00
53311 - Premises Rent Expense-Landlord	716,952.00	716,952.00	733,583.00	754,784.00
53362 - Premises Security Services	3,247.44	3,300.00	3,376.00	3,474.00
53401 - Premises Repair/Maint Services	95.00	100.00	102.00	105.00
53402 - Premises Repair/Maint Supplies	57.09	100.00	102.00	105.00
53405 - Premises Property Mngmnt Srvcs	22,452.01	22,700.00	23,224.00	23,897.00
53715 - IT Consultant Services	0.00	0.00	150,000.00	0.00
53720 - IT Data Services	7,363.69	7,500.00	7,674.00	7,895.00
53740 - IT Hardware Maint & Support	1,474.83	1,500.00	1,534.00	1,579.00
53755 - IT Software Licenses/Rental	1,127.46	1,300.00	1,329.00	1,367.00
53760 - IT Software Maint & Support	31,485.27	32,000.00	32,742.00	33,688.00
53820 - Cellular Communication Srvcs	28,976.15	30,000.00	30,696.00	31,583.00
53850 - Telephone Repair & Maintenance	1,113.15	2,000.00	2,046.00	2,105.00
53860 - Telephone Installation	2,715.59	1,500.00	1,534.00	1,579.00
53870 - Loc/Long Distance Telecomm Sv	45,934.82	40,000.00	40,928.00	42,110.00
53900 - Television/Cable Services	591.99	1,000.00	1,023.00	1,052.00

53920 - IT Supplies	1,708.66	2,000.00	2,046.00	2,104.00
54050 - Food And Beverages	169.89	300.00	306.00	313.00
54060 - General Office Supplies	18,992.92	18,950.00	19,388.00	19,948.00
54120 - Maint Supplies-Non Premises	419.59	500.00	511.00	526.00
54140 - Medical Supplies	25.40	25.00	25.00	26.00
54150 - Minor Equipment - Controllable	3,868.44	4,660.00	4,767.00	4,904.00
54190 - Publications And Music	45.90	50.00	51.00	52.00
54200 - Promotional Supplies	250.45	250.00	255.00	263.00
TOTAL OTHER EXPENSES	**1,322,050.92**	**1,461,490.00**	**1,646,149.00**	**1,538,950.00**
EQUIPMENT	**Actual**	**Estimated**	**Requested Yr1**	**Requested Yr2**
01000 - Other Expenditures	67,630.57	37,200.00	42,000.00	37,200.00
TOTAL EQUIPMENT	**67,630.57**	**37,200.00**	**42,000.00**	**37,200.00**
OTHER CURRENT EXPENSES	**Actual**	**Estimated**	**Requested Yr1**	**Requested Yr2**
12244 - Fringe Benefits				
00190 - Accumulated Leave	(19,108.54)	0.00	0.00	0.00
00400 - Fringe Benefits	7,566,494.42	8,502,556.00	8,674,692.00	8,727,268.00
TOTAL 12244 - Fringe Benefits	**7,547,385.88**	**8,502,556.00**	**8,674,692.00**	**8,727,268.00**
12262 - Indirect Overhead				
01000 - Other Expenditures	120,739.00	129,307.00	167,151.00	167,151.00
TOTAL 12262 - Indirect Overhead	**120,739.00**	**129,307.00**	**167,151.00**	**167,151.00**
TOTAL OTHER CURRENT EXPENSES	**7,668,124.88**	**8,631,863.00**	**8,841,843.00**	**8,894,419.00**
PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS	**Actual**	**Estimated**	**Requested Yr1**	**Requested Yr2**
TOTAL PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS	**0.00**	**0.00**	**0.00**	**0.00**
PAYMENTS TO LOCAL GOVERNMENTS	**Actual**	**Estimated**	**Requested Yr1**	**Requested Yr2**
TOTAL PAYMENTS TO LOCAL GOVERNMENTS	**0.00**	**0.00**	**0.00**	**0.00**
CHANGE IN ACCRUALS	**Actual**	**Estimated**	**Requested Yr1**	**Requested Yr2**
01000 - Other Expenditures	0.00	145,840.00	145,840.00	145,840.00
TOTAL CHANGE IN ACCRUALS	**0.00**	**145,840.00**	**145,840.00**	**145,840.00**
TOTAL - ALL FUNDS	**18,799,948.90**	**20,645,364.00**	**21,540,427.00**	**21,545,118.00**
ADDITIONAL FUNDS AVAILABLE	**Actual**	**Estimated**	**Requested Yr1**	**Requested Yr2**
Private Funds	302,767.14	81,342.00	16,342.00	16,342.00
TOTAL ADDITIONAL FUNDS AVAILABLE	**302,767.14**	**81,342.00**	**16,342.00**	**16,342.00**
GRAND TOTAL	**19,102,716.04**	**20,726,706.00**	**21,556,769.00**	**21,561,460.00**

APPROPRIATION ADJUSTMENT SUMMARY 10/16/2014

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DOB37000 - Department of Banking

ALL FUNDS

ALL PROGRAMS

	Estimated 2014-15	FY 2015-16 ADJ. AMT.	FY 2015-16 TOTAL	FY 2016-17 ADJ. AMT.	FY 2016-17 TOTAL
PERMANENT FULL-TIME POSITIONS	116.00				
PERMANENT FULL-TIME POSITIONS - ALL FUNDS	116.00	0.00	116.00	0.00	116.00
	Estimated 2014-15	**FY 2015-16 ADJ. AMT.**	**FY 2015-16 TOTAL**	**FY 2016-17 ADJ. AMT.**	**FY 2016-17 TOTAL**
10010 - Personal Services	10,368,971				
FY 16 Cost Of FY 15 COLA (301)		11,525		11,525	
FY 16 Cost Of FY 15 Increment (302)		72,175		72,175	
FY 16 Cost Of FY 16 COLA (311)		309,721		309,721	
FY 16 Cost Of FY 16 Increment (312)		102,203		102,203	
FY 17 Cost Of FY 16 COLA (311)		0		12,389	
FY 17 Cost Of FY 16 Increment (312)		0		51,725	
FY 17 Cost Of FY 17 COLA (321)		0		0	
FY 17 Cost Of FY 17 Increment (322)		0		0	
TOTAL - 10010 - Personal Services	10,368,971	495,624	10,864,595	559,738	10,928,709
10020 - Other Expenses	1,461,490				
Consulting services for Securities Systems		150,000		0	
Standard Inflation		34,659		77,460	
TOTAL - 10020 - Other Expenses	1,461,490	184,659	1,646,149	77,460	1,538,950
10050 - Equipment	37,200				
equipment costs		4,800		0	
TOTAL - 10050 - Equipment	37,200	4,800	42,000	0	37,200
12244 - Fringe Benefits	8,502,556				
Adjust Fringe Benefits		172,136		224,712	
FY 16 Cost Of FY 15 COLA (301)		0		0	
FY 16 Cost Of FY 15 Increment (302)		0		0	
FY 16 Cost Of FY 16 COLA (311)		0		0	
FY 16 Cost Of FY 16 Increment (312)		0		0	
FY 17 Cost Of FY 16 COLA (311)		0		0	
FY 17 Cost Of FY 16 Increment (312)		0		0	
FY 17 Cost Of FY 17 COLA (321)		0		0	
FY 17 Cost Of FY 17 Increment (322)		0		0	
TOTAL - 12244 - Fringe Benefits	8,502,556	172,136	8,674,692	224,712	8,727,268
12262 - Indirect Overhead	129,307				
Indirect Costs		37,844		37,844	
TOTAL - 12262 - Indirect Overhead	129,307	37,844	167,151	37,844	167,151
TOTAL - ALL FUNDS	20,645,364	895,063	21,540,427	899,754	21,545,118

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	Standard Inflation		
Adjustment Type	Current Services	Sub-Type	Other
Contact		Phone	
Statutory Reference	N/A		
Adjustment Description	Standard Inflation		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10020 - Other Expenses				
	14000 - Management Services	50780 - In-State Travel	134.00	306.00
		50790 - Out-Of-State Travel	433.00	986.00
		50800 - Mileage Reimbursement	62.00	142.00
		51200 - Employee Assist Program Srvcs	69.00	158.00
		51290 - Educational Services	351.00	800.00
		51590 - Conf/Seminars/Workshop-Hosting	348.00	791.00
		51620 - Fees And Permits	7.00	17.00
		51675 - Subscriptions	77.00	176.00
		51764 - Regular Postage	290.00	659.00
		51780 - Membership Dues	11.00	26.00
		51790 - Moving Services	4.00	9.00
		51850 - Records Destruction Services	10.00	23.00
		51930 - Service Of Process	16.00	36.00
		52020 - Relocation Services	34.00	79.00

10020 - Other Expenses	**14000 - Management Services**	52511 - Office Equipment Lease/Rental	220.00	501.00
		52532 - Off Equip Mnt/Rep-Non-Contract	34.00	79.00
		53011 - Motor Vehicle Rental	116.00	263.00
		53020 - Motor Vehicle Fuel - Gasoline	23.00	34.00
		53311 - Premises Rent Expense-Landlord	3,659.00	8,323.00
		53362 - Premises Security Services	76.00	174.00
		53401 - Premises Repair/Maint Services	2.00	5.00
		53402 - Premises Repair/Maint Supplies	2.00	5.00
		53405 - Premises Property Mngmnt Srvcs	176.00	401.00
		53720 - IT Data Services	174.00	395.00
		53740 - IT Hardware Maint & Support	34.00	79.00
		53755 - IT Software Licenses/Rental	6.00	15.00
		53760 - IT Software Maint & Support	742.00	1,688.00
		53820 - Cellular Communication Srvcs	696.00	1,583.00
		53850 - Telephone Repair & Maintenance	46.00	105.00
		53860 - Telephone Installation	34.00	79.00
		53870 - Loc/Long Distance Telecomm Sv	928.00	2,110.00
		53900 - Television/Cable Services	23.00	52.00
		53920 - IT Supplies	23.00	52.00
		54050 - Food And Beverages	4.00	9.00
		54060 - General Office Supplies	278.00	633.00
		54120 - Maint Supplies-Non Premises	11.00	26.00
		54140 - Medical Supplies	0.00	1.00
		54150 - Minor Equipment - Controllable	58.00	131.00
		54190 - Publications And Music	1.00	2.00

10020 - Other Expenses	**14000 - Management Services**	54200 - Promotional Supplies	5.00	13.00
	TOTAL - Management Services		**9,217.00**	**20,966.00**
	29117 - Financial Institutions Division	50780 - In-State Travel	122.00	279.00
		50790 - Out-Of-State Travel	290.00	659.00
		50800 - Mileage Reimbursement	162.00	369.00
		51111 - Attorney Fees	232.00	527.00
		51290 - Educational Services	127.00	290.00
		51674 - Online Information Services	46.00	105.00
		51675 - Subscriptions	17.00	39.00
		51761 - Delivery Services	6.00	15.00
		51780 - Membership Dues	1,832.00	4,168.00
		51850 - Records Destruction Services	2.00	5.00
		51930 - Service Of Process	2.00	5.00
		52511 - Office Equipment Lease/Rental	78.00	179.00
		53011 - Motor Vehicle Rental	1,879.00	4,274.00
		53012 - Motor Vehicle Repairs	174.00	395.00
		53020 - Motor Vehicle Fuel - Gasoline	1,381.00	2,021.00
		53311 - Premises Rent Expense-Landlord	5,655.00	12,863.00
		53405 - Premises Property Mngmnt Srvcs	34.00	79.00
		53755 - IT Software Licenses/Rental	23.00	52.00
		54050 - Food And Beverages	2.00	4.00
		54060 - General Office Supplies	39.00	89.00
		54150 - Minor Equipment - Controllable	46.00	105.00
	TOTAL - Financial Institutions Division		**12,149.00**	**26,522.00**

10020 - Other Expenses	**29118 - Securities & Business Investments**	50780 - In-State Travel	15.00	34.00
		50790 - Out-Of-State Travel	150.00	343.00
		50800 - Mileage Reimbursement	116.00	263.00
		51290 - Educational Services	46.00	105.00
		51672 - Court Reporting Services	232.00	527.00
		51674 - Online Information Services	278.00	633.00
		51675 - Subscriptions	69.00	158.00
		51761 - Delivery Services	11.00	26.00
		51762 - Express Postage	27.00	63.00
		51780 - Membership Dues	41.00	94.00
		51930 - Service Of Process	16.00	36.00
		52060 - Witness Compensation	1,508.00	3,430.00
		52511 - Office Equipment Lease/Rental	88.00	201.00
		53011 - Motor Vehicle Rental	580.00	1,319.00
		53012 - Motor Vehicle Repairs	116.00	263.00
		53020 - Motor Vehicle Fuel - Gasoline	318.00	466.00
		53311 - Premises Rent Expense-Landlord	3,825.00	8,701.00
		53405 - Premises Property Mngmnt Srvcs	69.00	158.00
		53920 - IT Supplies	23.00	52.00
		54060 - General Office Supplies	40.00	92.00
		54150 - Minor Equipment - Controllable	3.00	8.00
	TOTAL - Securities & Business Investments		**7,571.00**	**16,972.00**
	29119 - Consumer Credit	50780 - In-State Travel	37.00	84.00
		50790 - Out-Of-State Travel	812.00	1,846.00
		50800 - Mileage Reimbursement	232.00	527.00

10020 - Other Expenses	**29119 - Consumer Credit**	51290 - Educational Services	69.00	158.00
		51620 - Fees And Permits	2.00	5.00
		51672 - Court Reporting Services	46.00	105.00
		51674 - Online Information Services	232.00	527.00
		51675 - Subscriptions	11.00	26.00
		51761 - Delivery Services	2.00	5.00
		51780 - Membership Dues	69.00	158.00
		51874 - Printing & Binding	6.00	15.00
		51930 - Service Of Process	6.00	15.00
		52511 - Office Equipment Lease/Rental	81.00	184.00
		53011 - Motor Vehicle Rental	245.00	559.00
		53012 - Motor Vehicle Repairs	23.00	52.00
		53020 - Motor Vehicle Fuel - Gasoline	31.00	46.00
		53311 - Premises Rent Expense-Landlord	3,492.00	7,945.00
		53405 - Premises Property Mngmnt Srvcs	245.00	559.00
		54060 - General Office Supplies	81.00	184.00
	TOTAL - Consumer Credit		**5,722.00**	**13,000.00**
TOTAL - Other Expenses			**34,659.00**	**77,460.00**
Total Costs			**34,659.00**	**77,460.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	FY 16 Cost Of FY 15 COLA (301)		
Adjustment Type	Current Services	Sub-Type	COLA - Current Year
Contact	System	Phone	System
Statutory Reference	N/A		
Adjustment Description	System Generated		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Management Services	00110 - Salary & Wages - Permanent Full Time	2,017.00	2,017.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	90.00	90.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Management Services		**2,107.00**	**2,107.00**
	29117 - Financial Institutions Division	00110 - Salary & Wages - Permanent Full Time	3,896.00	3,896.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	1.00	1.00
		00190 - Accumulated Leave	21.00	21.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Financial Institutions Division		**3,918.00**	**3,918.00**
	29118 - Securities & Business Investments	00110 - Salary & Wages - Permanent Full Time	2,792.00	2,792.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	1.00	1.00
		00190 - Accumulated Leave	38.00	38.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Securities & Business Investments		**2,831.00**	**2,831.00**
	29119 - Consumer Credit	00110 - Salary & Wages - Permanent Full Time	2,573.00	2,573.00
		00160 - Longevity	0.00	0.00

10010 - Personal Services	**29119 - Consumer Credit**	00170 - Overtime	1.00	1.00
		00300 - Salary & Wages - Other	95.00	95.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Consumer Credit		**2,669.00**	**2,669.00**
TOTAL - Personal Services			**11,525.00**	**11,525.00**
12244 - Fringe Benefits				
	14000 - Management Services	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
TOTAL - Fringe Benefits			**0.00**	**0.00**
Total Costs			**11,525.00**	**11,525.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	FY 16 Cost Of FY 15 Increment (302)		
Adjustment Type	Current Services	Sub-Type	AI/PARS - Current Year
Contact	System	Phone	System
Statutory Reference	N/A		
Adjustment Description	System Generated		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Management Services	00110 - Salary & Wages - Permanent Full Time	10,901.00	10,901.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	488.00	488.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Management Services		**11,389.00**	**11,389.00**
	29117 - Financial Institutions Division	00110 - Salary & Wages - Permanent Full Time	18,268.00	18,268.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	5.00	5.00
		00190 - Accumulated Leave	98.00	98.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Financial Institutions Division		**18,371.00**	**18,371.00**
	29118 - Securities & Business Investments	00110 - Salary & Wages - Permanent Full Time	17,689.00	17,689.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	4.00	4.00
		00190 - Accumulated Leave	239.00	239.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Securities & Business Investments		**17,932.00**	**17,932.00**
	29119 - Consumer Credit	00110 - Salary & Wages - Permanent Full Time	23,605.00	23,605.00
		00160 - Longevity	0.00	0.00

10010 - Personal Services	**29119 - Consumer Credit**	00170 - Overtime	5.00	5.00
		00300 - Salary & Wages - Other	873.00	873.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Consumer Credit		**24,483.00**	**24,483.00**
TOTAL - Personal Services			**72,175.00**	**72,175.00**
12244 - Fringe Benefits				
	14000 - Management Services	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
TOTAL - Fringe Benefits			**0.00**	**0.00**
Total Costs			**72,175.00**	**72,175.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	FY 16 Cost Of FY 16 COLA (311)		
Adjustment Type	Current Services	Sub-Type	COLA - Year 1
Contact	System	Phone	System
Statutory Reference	N/A		
Adjustment Description	System Generated		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Management Services	00110 - Salary & Wages - Permanent Full Time	60,111.00	60,111.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	2,688.00	2,688.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Management Services		**62,799.00**	**62,799.00**
	29117 - Financial Institutions Division	00110 - Salary & Wages - Permanent Full Time	101,805.00	101,805.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	30.00	30.00
		00190 - Accumulated Leave	547.00	547.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Financial Institutions Division		**102,382.00**	**102,382.00**
	29118 - Securities & Business Investments	00110 - Salary & Wages - Permanent Full Time	73,230.00	73,230.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	15.00	15.00
		00190 - Accumulated Leave	989.00	989.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Securities & Business Investments		**74,234.00**	**74,234.00**
	29119 - Consumer Credit	00110 - Salary & Wages - Permanent Full Time	67,783.00	67,783.00
		00160 - Longevity	0.00	0.00

10010 - Personal Services	**29119 - Consumer Credit**	00170 - Overtime	15.00	15.00
		00300 - Salary & Wages - Other	2,508.00	2,508.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Consumer Credit		**70,306.00**	**70,306.00**
TOTAL - Personal Services			**309,721.00**	**309,721.00**
12244 - Fringe Benefits				
	14000 - Management Services	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
TOTAL - Fringe Benefits			**0.00**	**0.00**
Total Costs			**309,721.00**	**309,721.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	FY 16 Cost Of FY 16 Increment (312)		
Adjustment Type	Current Services	Sub-Type	AI/PARS - Year 1
Contact	System	Phone	System
Statutory Reference	N/A		
Adjustment Description	System Generated		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Management Services	00110 - Salary & Wages - Permanent Full Time	22,837.00	22,837.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	1,021.00	1,021.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Management Services		**23,858.00**	**23,858.00**
	29117 - Financial Institutions Division	00110 - Salary & Wages - Permanent Full Time	23,782.00	23,782.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	7.00	7.00
		00190 - Accumulated Leave	128.00	128.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Financial Institutions Division		**23,917.00**	**23,917.00**
	29118 - Securities & Business Investments	00110 - Salary & Wages - Permanent Full Time	27,433.00	27,433.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	6.00	6.00
		00190 - Accumulated Leave	371.00	371.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Securities & Business Investments		**27,810.00**	**27,810.00**
	29119 - Consumer Credit	00110 - Salary & Wages - Permanent Full Time	25,663.00	25,663.00
		00160 - Longevity	0.00	0.00

10010 - Personal Services	**29119 - Consumer Credit**	00170 - Overtime	6.00	6.00
		00300 - Salary & Wages - Other	949.00	949.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Consumer Credit		**26,618.00**	**26,618.00**
TOTAL - Personal Services			**102,203.00**	**102,203.00**
12244 - Fringe Benefits				
	14000 - Management Services	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
TOTAL - Fringe Benefits			**0.00**	**0.00**
Total Costs			**102,203.00**	**102,203.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	FY 17 Cost Of FY 16 COLA (311)		
Adjustment Type	Current Services	Sub-Type	COLA - Year 1
Contact	System	Phone	System
Statutory Reference	N/A		
Adjustment Description	System Generated		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Management Services	00110 - Salary & Wages - Permanent Full Time	0.00	2,404.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	0.00	108.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Management Services		**0.00**	**2,512.00**
	29117 - Financial Institutions Division	00110 - Salary & Wages - Permanent Full Time	0.00	4,072.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	1.00
		00190 - Accumulated Leave	0.00	22.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**4,095.00**
	29118 - Securities & Business Investments	00110 - Salary & Wages - Permanent Full Time	0.00	2,929.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	1.00
		00190 - Accumulated Leave	0.00	40.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**2,970.00**
	29119 - Consumer Credit	00110 - Salary & Wages - Permanent Full Time	0.00	2,711.00
		00160 - Longevity	0.00	0.00

10010 - Personal Services	**29119 - Consumer Credit**	00170 - Overtime	0.00	1.00
		00300 - Salary & Wages - Other	0.00	100.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Consumer Credit		**0.00**	**2,812.00**
TOTAL - Personal Services			**0.00**	**12,389.00**
12244 - Fringe Benefits				
	14000 - Management Services	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
TOTAL - Fringe Benefits			**0.00**	**0.00**
Total Costs			**0.00**	**12,389.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	FY 17 Cost Of FY 16 Increment (312)		
Adjustment Type	Current Services	Sub-Type	AI/PARS - Year 1
Contact	System	Phone	System
Statutory Reference	N/A		
Adjustment Description	System Generated		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Management Services	00110 - Salary & Wages - Permanent Full Time	0.00	9,959.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	0.00	445.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Management Services		**0.00**	**10,404.00**
	29117 - Financial Institutions Division	00110 - Salary & Wages - Permanent Full Time	0.00	6,646.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	2.00
		00190 - Accumulated Leave	0.00	36.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**6,684.00**
	29118 - Securities & Business Investments	00110 - Salary & Wages - Permanent Full Time	0.00	15,002.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	3.00
		00190 - Accumulated Leave	0.00	203.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**15,208.00**
	29119 - Consumer Credit	00110 - Salary & Wages - Permanent Full Time	0.00	18,732.00
		00160 - Longevity	0.00	0.00

10010 - Personal Services	**29119 - Consumer Credit**	00170 - Overtime	0.00	4.00
		00300 - Salary & Wages - Other	0.00	693.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Consumer Credit		**0.00**	**19,429.00**
TOTAL - Personal Services			**0.00**	**51,725.00**
12244 - Fringe Benefits				
	14000 - Management Services	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
TOTAL - Fringe Benefits			**0.00**	**0.00**
Total Costs			**0.00**	**51,725.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	FY 17 Cost Of FY 17 COLA (321)		
Adjustment Type	Current Services	Sub-Type	COLA - Year 2
Contact	System	Phone	System
Statutory Reference	N/A		
Adjustment Description	System Generated		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Management Services	00110 - Salary & Wages - Permanent Full Time	0.00	0.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	0.00	0.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00110 - Salary & Wages - Permanent Full Time	0.00	0.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00110 - Salary & Wages - Permanent Full Time	0.00	0.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
	29119 - Consumer Credit	00110 - Salary & Wages - Permanent Full Time	0.00	0.00
		00160 - Longevity	0.00	0.00

10010 - Personal Services	**29119 - Consumer Credit**	00170 - Overtime	0.00	0.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Consumer Credit		**0.00**	**0.00**
TOTAL - Personal Services			**0.00**	**0.00**
12244 - Fringe Benefits				
	14000 - Management Services	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
TOTAL - Fringe Benefits			**0.00**	**0.00**
Total Costs			**0.00**	**0.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	FY 17 Cost Of FY 17 Increment (322)		
Adjustment Type	Current Services	Sub-Type	AI/PARS - Year 2
Contact	System	Phone	System
Statutory Reference	N/A		
Adjustment Description	System Generated		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Management Services	00110 - Salary & Wages - Permanent Full Time	0.00	0.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	0.00	0.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00110 - Salary & Wages - Permanent Full Time	0.00	0.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00110 - Salary & Wages - Permanent Full Time	0.00	0.00
		00160 - Longevity	0.00	0.00
		00170 - Overtime	0.00	0.00
		00190 - Accumulated Leave	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
	29119 - Consumer Credit	00110 - Salary & Wages - Permanent Full Time	0.00	0.00
		00160 - Longevity	0.00	0.00

10010 - Personal Services	**29119 - Consumer Credit**	00170 - Overtime	0.00	0.00
		00300 - Salary & Wages - Other	0.00	0.00
		00700 - Other Personal Services	0.00	0.00
	TOTAL - Consumer Credit		**0.00**	**0.00**
TOTAL - Personal Services			**0.00**	**0.00**
12244 - Fringe Benefits				
	14000 - Management Services	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Management Services		**0.00**	**0.00**
	29117 - Financial Institutions Division	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Financial Institutions Division		**0.00**	**0.00**
	29118 - Securities & Business Investments	00190 - Accumulated Leave	0.00	0.00
	TOTAL - Securities & Business Investments		**0.00**	**0.00**
TOTAL - Fringe Benefits			**0.00**	**0.00**
Total Costs			**0.00**	**0.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	Adjust Fringe Benefits		
Adjustment Type	Current Services	Sub-Type	Other
Contact	Leanne Appleton	Phone	860-240-8121
Statutory Reference	36a		
Adjustment Description	This option adjusts fringe benefits after the increase to PS.		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
12244 - Fringe Benefits				
	14000 - Management Services	00400 - Fringe Benefits	39,591.00	51,684.00
	TOTAL - Management Services		**39,591.00**	**51,684.00**
	29117 - Financial Institutions Division	00400 - Fringe Benefits	53,363.00	69,660.00
	TOTAL - Financial Institutions Division		**53,363.00**	**69,660.00**
	29118 - Securities & Business Investments	00400 - Fringe Benefits	39,591.00	51,684.00
	TOTAL - Securities & Business Investments		**39,591.00**	**51,684.00**
	29119 - Consumer Credit	00400 - Fringe Benefits	39,591.00	51,684.00
	TOTAL - Consumer Credit		**39,591.00**	**51,684.00**
TOTAL - Fringe Benefits			**172,136.00**	**224,712.00**
Total Costs			**172,136.00**	**224,712.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	equipment costs		
Adjustment Type	Current Services	Sub-Type	Other
Contact	Leanne Appleton	Phone	860240-8121
Statutory Reference	10-36a		
Adjustment Description	Equipment cost increase in laptop refresh year.		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10050 - Equipment				
	14000 - Management Services	01000 - Other Expenditures	4,800.00	0.00
	TOTAL - Management Services		**4,800.00**	**0.00**
TOTAL - Equipment			**4,800.00**	**0.00**
Total Costs			**4,800.00**	**0.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	Consulting services for Securities Systems		
Adjustment Type	Current Services	Sub-Type	Other
Contact	Leanne Appleton	Phone	8602408121
Statutory Reference	10-36a		
Adjustment Description	The Department of Banking Securities Division is seekeing to automate several of their business processes. The three major processes are: Registration, Enforcement, and Examination tracking. Currently these processes are either done manually. or they are parially automated using antiquated(PowerBuilder) applications or inadaequate user developmed(MS Acess) systems. Since these functions are similar to those performed at the Insurance Department, DOB recently looked at whether the Insurance Department's CRIS sytem could be brought in an modified for DOB use. After reviewing the DOB Requirements the Insurance IT personnel determined that the amount of modification required would be excessive and that starting from scratch would be a better solution. DOB is checking with outher states to determine whether a custom developed system would be required. Regardless of whether a system can be obtained from another state or whether one needs to be custom developed, DOB would need the services of at least one IT Consultant to obtain Business requirements from Securties Division and will either modify the ststem obtained or develop a new system. The preferred option is modify an existing system from another state, this option requires less consulting time. Thisadjustment would be a request for FY 16 only.		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10020 - Other Expenses				
	29118 - Securities & Business Investments	53715 - IT Consultant Services	150,000.00	0.00
	TOTAL - Securities & Business Investments		**150,000.00**	**0.00**
TOTAL - Other Expenses			**150,000.00**	**0.00**
Total Costs			**150,000.00**	**0.00**

Fund Code & Title	12003 - Banking Fund		
Adjustment Title	Indirect Costs		
Adjustment Type	Current Services	Sub-Type	Other
Contact	Leanne Appleton	Phone	8602408121
Statutory Reference	10-36a		
Adjustment Description	Indirect costs adjust per Robert Gibbons email 8/28/2014		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
12262 - Indirect Overhead				
	14000 - Management Services	01000 - Other Expenditures	8,704.00	8,704.00
	TOTAL - Management Services		**8,704.00**	**8,704.00**
	29117 - Financial Institutions Division	01000 - Other Expenditures	11,732.00	11,732.00
	TOTAL - Financial Institutions Division		**11,732.00**	**11,732.00**
	29118 - Securities & Business Investments	01000 - Other Expenditures	8,704.00	8,704.00
	TOTAL - Securities & Business Investments		**8,704.00**	**8,704.00**
	29119 - Consumer Credit	01000 - Other Expenditures	8,704.00	8,704.00
	TOTAL - Consumer Credit		**8,704.00**	**8,704.00**
TOTAL - Indirect Overhead			**37,844.00**	**37,844.00**
Total Costs			**37,844.00**	**37,844.00**