

**Analysis and Summary of Governor's
FFY 22 Federal Block Grant Allocation Plans**

Joint Hearing of Committees on Appropriations, Human Services,
Public Health

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OFFICE OF FISCAL ANALYSIS

Room 5200, Legislative Office Building

Hartford, CT 06106 • (860) 240-0200

E-Mail: ofa@cga.ct.gov

www.cga.ct.gov/ofa

INTRODUCTION

Pursuant to CGS Section 4-28b, the Governor has submitted for consideration proposed block grant allocation plans for the Community Mental Health Services Block Grant (CMHSBG), the Community Services Block Grant (CSBG), the Maternal and Child Health Services Block Grant (MCHBG), the Preventive Health and Health Services Block Grant (PHHSBG), the Social Services Block Grant (SSBG), and the Substance Abuse Prevention and Treatment Block Grant (SAPTBG). The allocation plans are effective beginning October 1, 2021.

HIGHLIGHTS

The proposed allocation plans are based on assumed federal grant awards and estimated carry forward funding as Congress has yet to finalize the FFY 22 appropriations for these programs. A comparison of funding levels to the FFY 21 amounts is presented in the following table:

FFY 22 Percentage Change from FFY 21

Block Grant	Assumed Block Grant Award	Estimated Funds Carried Forward	Total Funds Available
MCHBG	-	-	-
PHHSBG	-	-	-
SAPTBG	-	-96.8%	-0.8%
CMHSBG	-	-51.6%	-13.6%
SSBG	-	-21.9%	-6.9%
CSBG	-	-13.6%	-4.7%

FEDERAL BUDGET ACTION

Final Congressional action has yet to be taken on FFY 22 appropriations for these grants. All plans assume level base grant awards. CMHSBG, CSBG, SAPTBG and SSBG reflect decreases in carry forward funding resulting in lower available funding compared to FFY 21. MCHBG and PHHSBG assume level funding as there are no carryover funds in these block grant programs.

CONTINGENCY PLAN

If funding is more or less than the amount assumed in each proposal, program funding and services may be adjusted. Per CGS Section 4-28b, any proposed transfer over \$50,000 to or from any specific allocation or any transfer amount that is 10% of any specific allocation (whichever is less), must be submitted to the speaker and president pro tempore to be approved, modified or rejected by relevant committees.

MAJOR RECOMMENDED CHANGES

Major recommended changes are described for each block grant below, followed by historical expenditures and the proposed allocation for each program category. A table summarizing the various block grant objectives and allocation processes can be found on page 13.

Maternal and Child Health Services Block Grant

The MCHSBG is administered by the Department of Public Health (DPH).

Other - Proposed funding under Maternal and Child Health is directed to the Connecticut Hospital Association (CHA) to implement Alliance for Innovation on Maternal Health (AIM) Patient Safety Bundles in birthing hospitals. CHA will be responsible for the overall coordination, management, marketing, outreach and implementation of three Patient Safety Bundles (Severe Hypertension of Pregnancy, Maternal Venous Thromboembolic (VTE), and Maternal Mental Health). FFY 21 funding supported expenditures that were one time in nature.

Administrative Expenditures - The proposed increases in Personal Services and Fringe Benefits reflect increases due to collective bargaining.

**Maternal and Child Health Services Block Grant
FFY 21 Allocation Plan**

Program Category	FFY 2020 Actual Expenditures \$	FFY 2021 Estimated Expenditures \$	FFY 2022 Proposed Expenditures \$	\$ Change 22 v. 21	% Change 22 v. 21
Maternal & Child Health					
Perinatal Case Management	335,695	350,287	350,287	-	0.0%
Reproductive Health Services	16,092	16,092	16,092	-	0.0%
Information and Referral	183,955	201,690	201,690	-	0.0%
School Based Health Services	273,691	273,691	273,691	-	0.0%
Genetics	35,908	36,000	36,000	-	0.0%
Other	340,893	111,833	60,000	(51,833)	-46.3%
Program Subtotal	1,186,234	989,593	937,760	(51,833)	-5.2%
Administrative Expenditures	1,473,650	1,751,735	1,779,288	27,553	1.6%
MCH Total	2,659,883	2,741,329	2,717,048	(24,281)	-0.9%
Children & Youth with Special Health Care Needs					
Medical Home Community Based Care Coordination Services	811,561	811,561	811,561	-	0.0%
Reproductive Health Services	2,405	2,405	2,405	-	0.0%
Genetics	3,990	4,000	4,000	-	0.0%
Information and Referral	37,677	41,310	41,310	-	0.0%
School Based Health Services	14,405	14,405	14,405	-	0.0%
Other	113,631	-	-	-	0.0%
Program Subtotal	983,669	873,681	873,681	-	0.0%
Administrative Expenditures	1,020,375	1,048,917	1,073,198	24,281	2.3%
CYSHCN Total	2,004,044	1,922,598	1,946,879	24,281	1.3%
TOTAL EXPENDITURES	4,663,927	4,663,927	4,663,927	-	0.0%
SOURCE OF FUNDS					
Block Grant ¹	4,663,927	4,663,927	4,663,927	-	0.0%
TOTAL FUNDS AVAILABLE	4,663,927	4,663,927	4,663,927	-	0.0%

¹ Block grant funds are available for expenditure over a two-year period. There are no carryover funds in the MCHSBG program.

Preventive Health and Health Services Block Grant

The PHHSBG is administered by the Department of Public Health (DPH).

The proposed allocations by program category remain the same as estimated FFY 21 expenditure levels. Minor adjustments are made within program categories to reflect updated personnel and operational costs but are not anticipated to impact direct services.

**Preventive Health and Health Services Block Grant
FFY 22 Allocation Plan**

Program Category	FFY 2020 Actual Expenditures \$	FFY 2021 Estimated Expenditures \$	FFY 2022 Proposed Expenditures \$	\$ Change 22 v. 21	% Change 22 v. 21
Administrative Support	120,089	120,089	120,089	-	0.0%
Cancer Prevention	45,438	42,727	42,727	-	0.0%
Cardiovascular Disease Prevention	21,853	20,000	20,000	-	0.0%
Emergency Medical Services	18,546	15,000	15,000	-	0.0%
Local Health Departments	1,113,322	1,083,322	1,083,322	-	0.0%
Rape Crisis Services	79,914	79,914	79,914	-	0.0%
Surveillance and Evaluation	325,169	316,227	316,227	-	0.0%
Youth Suicide Prevention	102,003	99,198	99,198	-	0.0%
Nutrition and Weight Status	15,000	14,587	14,587	-	0.0%
Public Health Infrastructure	401,633	439,776	439,776	-	0.0%
TOTAL EXPENDITURES	2,242,967	2,230,840	2,230,840	-	0.0%
SOURCE OF FUNDS					
Block Grant ²	2,293,948	2,230,840	2,230,840	-	0.0%
TOTAL FUNDS AVAILABLE	2,293,948	2,230,840	2,230,840	-	0.0%

²Block grant funds are available for expenditure over a two-year period. There are no carryover funds in the PHHSBG program.

Substance Abuse Prevention and Treatment Block Grant

The SAPTBG is administered by the Department of Mental Health and Addiction Services (DMHAS).

Residential Treatment – The proposed FFY 22 allocation will support residential treatment services for individuals who are not eligible for Medicaid. The plan proposes to significantly reduce funding for the Residential Treatment program category in anticipation of other sources of support, primarily the 1115 Medicaid waiver.³ Once approved, the cost of these Medicaid reimbursable services will be shifted from the SAPTBG and General Funds to Medicaid.

The \$5.5 million reduction to Residential Treatment is primarily redistributed to the Recovery Support Services program category to support case management, outreach, ancillary services, and transportation. Shelter funding is reallocated under the Recovery Support Services program category to better reflect the purpose of the funds.

³ On 8/9/21, DSS submitted an application for a substance use disorder (SUD) demonstration waiver pursuant to section 1115 of the Social Security Act. The waiver application and implementation plan can be found [here](#).

**Substance Abuse Prevention and Treatment Block Grant
FFY 22 Allocation Plan**

Program Category	FFY 2020 Actual Expenditures \$	FFY 2021 Estimated Expenditures \$	FFY 2022 Proposed Expenditures \$	\$ Change 22 v. 21	% Change 22 v. 21
Community Treatment Services	2,288,370	2,298,033	2,334,526	36,493	1.6%
Outpatient	2,044,785	2,054,367	2,036,116	(18,251)	-0.9%
Methadone Maintenance	243,585	243,666	298,410	54,744	22.5%
Residential Treatment	7,762,057	7,897,744	2,385,807	(5,511,937)	-69.8%
Residential Detox	1,653,227	1,832,875	341,803	(1,491,072)	-81.4%
Residential Intensive	309,388	309,388	818,931	509,543	164.7%
Residential Long Term Treatment	4,548,396	4,508,784	1,225,073	(3,283,711)	-72.8%
Shelter	1,251,046	1,246,697	-	(1,246,697)	-100.0%
Recovery Support Services	3,528,762	3,689,153	8,607,862	4,918,709	133.3%
Case Management and Outreach	2,311,750	2,259,838	3,878,467	1,618,629	71.6%
Vocational Rehab	531,506	531,109	531,109	-	0.0%
Ancillary Services/ Transportation	685,506	898,206	2,492,922	1,594,716	177.5%
Shelter	-	-	1,705,364	1,705,364	100.0%
Prevention & Health Promotion	4,684,639	4,464,154	4,751,474	287,320	6.4%
Primary Prevention	4,684,639	4,464,154	4,751,474	287,320	6.4%
TOTAL EXPENDITURES	18,263,828	18,349,084	18,079,669	(269,415)	-1.5%
SOURCE OF FUNDS					
Block Grant	18,213,209	18,210,248	18,210,248	-	0.0%
Balance Forward From Previous Year	194,028	143,409	4,573	(138,836)	-96.8%
TOTAL FUNDS AVAILABLE	18,407,237	18,353,657	18,214,821	(138,836)	-0.8%

Community Mental Health Services Block Grant

The CMHSBG is administered by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Children and Families (DCF).

ADULT SERVICES:

Services for adults are maintained across all categories. The proposed reduction to Residential Services/Supportive Housing reflects a shift to General Fund support.

CHILDREN’S SERVICES:

Funding is proposed at the FFY 21 allocation level and provides continued support for all Children's Services categories.

**Community Mental Health Services Block Grant
FFY 22 Allocation Plan (table below)**

Program Category	FFY 2020 Actual Expenditures \$	FFY 2021 Estimated Expenditures \$	FFY 2022 Proposed Expenditures \$	\$ Change 22 v. 21	% Change 22 v. 21
PROGRAM: ADULT SERVICES					
Emergency Crisis	1,459,554	2,146,887	2,146,887	-	0.0%
Outpatient Services/Intensive Outpatient	568,896	433,526	433,526	-	0.0%
Residential Services/Supportive Housing	937,030	1,122,969	825,248	(297,721)	-26.5%
Social Rehabilitation	145,044	145,044	145,044	-	0.0%
Supported Employment/ Vocational Rehab	799,688	499,205	499,205	-	0.0%
Case Management	237,280	237,155	237,155	-	0.0%
Family Education/ Training	50,682	134,136	120,824	(13,312)	-9.9%
Consumer Peer Support in Community Mental Health Provider Setting	104,648	104,648	104,648	-	0.0%
Parenting Support/Parental Rights	49,708	49,708	49,708	-	0.0%
Peer to Peer Support - Vocational Rehab.	48,368	52,851	52,851	-	0.0%
Admin- Regional Behavioral Health Action Organizations	42,364	199,081	209,453	10,372	5.2%
Early Serious Mental Illness (ESMI)/ First Episode Psychosis (FEP) 10% Set-Aside	302,930	523,935	523,935	-	0.0%
SUBTOTAL ADULT EXPENDITURES	4,746,192	5,649,145	5,348,484	(300,661)	-5.3%
PROGRAM: CHILDREN'S SERVICES					
Respite Care for Families	462,500	450,000	450,000	-	0%
FAVOR Family Peer Support Specialist	569,450	600,000	720,000	120,000	20.0%
Youth Suicide Prevention/Mental Health Promotion	93,750	225,000	225,000	-	0%
CT Community KidCare (System of Care) Workforce Development/Training & Culturally Competent Care	65,000	65,000	65,000	-	0%
Extended Day Treatment: Model Development & Training	7220	20,000	40,000	20,000	100%
Early Serious Mental Illness (ESMI)/ First Episode Psychosis (FEP) 10% Set-Aside	339,186	425,453	423,453	(2,000)	-0.5%
Outpatient Care: System Treatment & Improvement	151,925	183,000	183,000	-	0%
Best Practices Promotion & Program Eval.	118,720	200,000	230,000	30,000	15.0%
Outcomes: Performance Improvement and Dashboard Development	79,580	50,000	50,000	-	0.0%
Workforce Development: Higher Education In- Home Curriculum Project	23,741	65,000	65,000	-	0.0%
Other Connecticut Community KidCare	32,579	25,000	45,000	20,000	80%
Emergency Crisis	375000	300,000	300,000	-	0%
SUBTOTAL CHILDREN EXPENDITURES	2,318,651	2,608,453	2,796,453	188,000	7.2%
TOTAL EXPENDITURES	7,064,843	8,257,598	8,144,937	(112,661)	-1.4%
SOURCE OF FUNDS					
Block Grant	6,761,093	6,971,987	6,971,987	-	0.0%
Balance Forward From Previous Year	2,795,324	2,491,574	1,205,963	(1,285,611)	-51.6%
TOTAL FUNDS AVAILABLE	9,556,417	9,463,561	8,177,950	(1,285,611)	-13.6%

Social Services Block Grant

The SSBG is administered by the Department of Social Services (DSS) in conjunction with the Departments of Housing (DOH), Labor (DOL), Aging and Disability Services (ADS), and DMHAS.

Notable changes when comparing FFY 22 to FFY 21 include the following:

Case Management Services – The proposed decrease to DOH reflects a reduction in funding for services related to pandemic eviction prevention and housing stabilization activities after one-time funding of \$800,000 in FFY 21. FFY 22 funding will support case management services. The proposed decrease to DSS aligns funding levels with case management needs following the use of one-time funding to ensure case management capacity in FY 21.

Family Planning Services – The proposed decrease reflects a return to typical funding levels after a one-time increase during FFY 21 for anticipated need during the pandemic.

Home-Based Services – Funding is proposed to support current program activity levels. While funding is slightly increased from FFY 21 estimated amounts, it is \$781,499 below the FFY 21 allocated amount of \$2.8 million. This funding, combined with unspent funds in prior years, will support proposed increases in several categories.

Home Delivered Meals – The plan reflects a reallocation of funds from Special Services to Persons with Developmental or Physical Disabilities of \$55,101 for annual base funding of \$482,601. In addition, the plan proposes a one-time transfer from Home-Based Services of \$350,000. While the proposed funding level is below FFY 21 expenditures, the FFY 21 allocation included a one-time increase of \$600,000 to target individuals impacted by COVID-19..

Protective Services for Adults – The proposed increase reflects expenditure trends based on increased program activity.

Special Services for Persons with Developmental or Physical Disabilities – The FFY 22 proposed funding level reflects FFY 21 expenditures but is below the FFY 21 allocation of \$60,101. Funding of \$55,101 is proposed to support Home Delivered Meals as described above.

Other Services – The proposed increase reflects support for two Social Services Program Assistance Technicians to assist with Home-Based Services and Protective Services for Adults program application and reimbursement processing.

**Social Services Block Grant FFY
22 Allocation Plan**

Program Category	FFY 2020 Actual Expenditures \$	FFY 2021 Estimated Expenditures \$	FFY 2022 Proposed Expenditures \$	\$ Change 22 v. 21	% Change 22 v. 21
Case Management Services	2,341,467	3,436,338	2,527,905	(908,433)	-26.4%
DSS	2,162,012	2,409,284	2,100,851	(308,433)	-12.8%
DMHAS	179,455	227,054	227,054	-	0.0%
DOH	-	800,000	200,000	(600,000)	-75.0%
Counseling Services	43,375	83,051	83,051	-	0.0%
DMHAS	43,375	83,051	83,051	-	0.0%
Employment Services	-	308,433	308,433	-	0.0%
DOL	-	308,433	308,433	-	0.0%
Family Planning Services	666,864	1,289,152	989,152	(300,000)	-23.3%
DSS	666,864	1,289,152	989,152	(300,000)	-23.3%
Home-Based Service	1,928,648	1,931,689	2,097,765	166,076	8.6%
DSS	1,928,648	1,931,689	2,097,765	166,076	8.6%
Home Delivered Meals	463,846	1,027,500	832,601	(194,899)	-19.0%
ADS	463,846	1,027,500	832,601	(194,899)	-19.0%
Independent & Transitional Living Services	6,715,205	6,733,217	6,733,217	-	0.0%
DSS	90,000	75,000	75,000	-	0.0%
DOH	6,500,745	6,500,745	6,500,745	-	0.0%
DMHAS	124,460	157,472	157,472	-	0.0%
Legal Services	635,835	683,644	683,644	-	0.0%
DSS	635,835	683,644	683,644	-	0.0%
Protective Services for Adults	744,429	1,302,391	1,532,272	229,881	17.7%
DSS	558,249	1,107,019	1,336,900	229,881	20.8%
ADS	186,180	195,372	195,372	-	0.0%

SSBG FFY 22 Allocation Plan
(continued)

Program Category	FFY 2020 Actual Expenditures \$	FFY 2021 Estimated Expenditures \$	FFY 2022 Proposed Expenditures \$	\$ Change 22 v. 21	% Change 22 v. 21
Special Services for Persons with Developmental or Physical Disabilities	(2,129)	5,000	5,000	-	0.0%
ADS	(2,129)	5,000	5,000	-	0.0%
Substance Abuse Services	1,039,485	1,332,365	1,332,365	-	0.0%
DMHAS	1,039,485	1,332,365	1,332,365	-	0.0%
Other Services	557,977	1,017,231	1,282,621	265,390	26.1%
DSS	557,977	1,017,231	1,017,231	-	0.0%
DSS- Personal Services	-	-	265,390		
TOTAL EXPENDITURES	15,135,002	19,150,011	18,408,026	(741,985)	-3.9%
SOURCE OF FUNDS					
Block Grant	17,524,135	17,408,026	17,408,026	-	-
Balance Forward From Previous Year	5,571,872	7,961,005	6,219,020	(1,741,985)	-21.9%
TOTAL FUNDS AVAILABLE	23,096,007	25,369,031	23,627,046	(1,741,985)	-6.9%

Community Services Block Grant

The CSBG is administered by the Department of Social Services (DSS) .

Grants to Eligible Entities - The proposed FFY 22 funding levels are lower than FFY 21 expenditures due to (1) the payment of FFY 20 costs in FFY 21, and (2) FFY 20 final award funding allocated in FFY 21. FFY 22 grants to entities otherwise reflect the base award per the current agreement specified under CGS 17b-888.

State Agency Administration - The proposed reduction to Other Expenses reflects FFY 21 expenditures that were one time in nature associated with software enhancements to the contract reporting compliance system, indirect costs and conferences and workshop hosting. Personal Services and associated fringe are increased by the same amount to reflect full year funding for a staff vacancy.

Community Services Block Grant FFY 22 Allocation Plan

Program Category	FFY 2020 Actual Expenditures \$	FFY 2021 Estimated Expenditures \$	FFY 2022 Proposed Expenditures \$	\$ Change 22 v. 21	% Change 22 v. 21
Grants to Eligible Entities					
Alliance for Community Empowerment (ACE) (Formerly ABCD)	457,136	1,192,995	1,158,306	(34,689)	-2.9%
ACCESS Agency, Inc.	467,762	496,660	482,211	(14,449)	-2.9%
Community Action Agency of New Haven, Inc. (CAANH)	990,729	1,518,570	1,156,250	(362,320)	-23.9%
Community Action Agency of Western Connecticut, Inc.(CAAWC)	801,710	744,598	722,936	(21,662)	-2.9%
Community Renewal Team of Greater Hartford, Inc. (CRT)	1,843,943	1,957,863	1,900,903	(56,960)	-2.9%
Connecticut Association for Community Action (CAFCA)	196,240	289,285	242,762	(46,523)	-16.1%
Human Resource Agency of New Britain, Inc. (HRANB)	529,990	562,732	546,361	(16,371)	-2.9%
New Opportunities, Inc. (NO)	1,037,781	1,101,965	1,069,838	(32,127)	-2.9%
TEAM, Inc.	227,534	241,592	234,563	(7,029)	-2.9%
Thames Valley Council for Community Action, Inc. (TVCCA)	462,450	491,020	476,735	(14,285)	-2.9%
Formula Allocations - Total	7,015,275	8,597,280	7,990,865	(606,415)	-7.1%
Discretionary Programs	300,372	442,477	442,478	1	0.0%
State Agency Administration	198,359	442,478	442,478	-	0.0%
TOTAL EXPENDITURES	7,514,006	9,482,235	8,875,821	(606,414)	-6.4%
SOURCE OF FUNDS					
Block Grant	8,878,839	8,849,555	8,849,555	-	0%
Balance Forward From Previous	3,298,927	4,663,660	4,030,980	(632,680)	-13.6%
TOTAL FUNDS AVAILABLE	12,177,766	13,513,215	12,880,535	(632,680)	-4.7%

FEDERAL BLOCK GRANTS DESCRIPTIVE SUMMARY

Block Grant	Lead Agency	Program Objective	Federal Allotment Process	State Allotment Process
Community Mental Health Services Block Grant (CMHSBG)	DMHAS/ DCF	Provide grants to support community mental health services for adults with a serious mental illness and for children with a serious emotional disturbance.	Based on the Population at Risk (relative risk of mental health problems in the state), Cost of Services Index (cost of providing related treatment services in the state), and the Fiscal Capacity Index (ability of state to pay for related services).	Adult Services - Based on a statewide advisory structure that includes the Regional Behavioral Health Action Organizations, and the Behavioral Health Planning Council. Children's Services - Based on input from the Children's Behavioral Health Advisory Committee, which serves as the Children's Mental Health Planning Council.
Community Services Block Grant (CSBG)	DSS	Provide grants to help ameliorate the causes of poverty, coordinate governmental and non-governmental programs, and provide emergency services to low-income individuals and families.	Based on the same share of funds that the state's local agencies received in 1981 under the Economic Opportunity Act of 1964.	Based on federal requirements and a state agreement with Community Action Agencies (CAAs) and Limited Purpose Agencies (LPAs). Each CAA and LPA will receive a base amount equal to one-half of their FFY 1996 allocation, with remaining funds allocated based on the number of individuals in each service area at or below 125% federal poverty level (FPL).
Maternal and Child Health Services Block Grant (MCHBG)	DPH	Provide grants to support programs related to maternal and child health. Funds address reducing adverse perinatal outcomes, providing and ensuring access to care, reducing health disparities and health inequities, and other areas identified in the statewide needs assessment.	Based on the proportion of funds allocated to states that existed when the original eight categorical grants were consolidated in 1981. Amounts appropriated above the level of fiscal year 1983 funding are allocated to states in proportion to the number of low-income children in the state.	Based on various performance measures, with focus provided by the MCH Statewide Needs Assessment conducted every five years. The application must reflect that three dollars of state matching funds are provided for each four dollars in federal funding. The FFY 22 state match is estimated at \$3,500,906 and the maintenance of effort requirement is \$6,780,000.
Preventive Health and Health Services Block Grant (PHHSBG)	DPH	Provide grants to support the reduction of preventable morbidity and mortality, and the improvement of the health status of targeted populations.	Based on the amount of 1981 funds provided to the state for the original categorical health grants that were combined into the block grant. Additionally, a sex offense set-aside based on a state's population is required.	Based on the recommendations of the Preventive Health and Health Services Block Grant Advisory Committee. Supported programs include cancer, cardiovascular disease, diabetes, tobacco cessation, policy and environmental change strategies, emergency medical services, data surveillance, and other related services. The state's FFY 22 maintenance of effort requirement is estimated at \$2,353,850.
Social Services Block Grant (SSBG)	DSS	Provide grants to encourage self-sufficiency and prevent and reduce dependency on public assistance for individuals with incomes at and below 150% FPL.	Based on state population data (Department of Commerce census data).	Based on the state's focus within the 29 federal service categories. FFY 22 funding will support 12 categories, including case management, home based services, independent & transitional living, and substance abuse services.
Substance Abuse Prevention and Treatment Block Grant (SAPTBG)	DMHAS	Provide grants for alcohol and other drug abuse services, which include community treatment, residential and recovery support services, and prevention and health promotion services.	Based on the Population at Risk (relative risk of substance abuse problems in the state), Cost of Services Index (cost of providing related prevention and treatment services in the state), and the Fiscal Capacity Index (ability of state to pay for related services).	Based on surveys, needs assessments, analysis of DMHAS service data, and input from Connecticut-based advisory boards.