

Office of Governmental Accountability

OGA17000

Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	86	86	88	88	89	89

Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	816,034	832,767	4,747,841	4,995,163	764,039	800,028
Other Expenses	33,202	275,288	384,132	333,443	78,188	78,188
Equipment	25,646	24,905	1	1	1	1
Other Current Expenses						
Child Fatality Review Panel	93,976	95,010	0	0	95,682	101,255
Information Technology Initiatives	17,498	33,250	0	0	31,588	31,588
Citizens' Election Fund Admin	1,766,462	2,128,549	0	0	1,759,186	1,956,136
Elections Enforcement Commission	1,239,687	1,006,720	0	0	1,413,786	1,497,138
Office of State Ethics	1,264,792	1,293,638	750,338	798,424	1,416,036	1,511,748
Freedom of Information Commission	1,525,150	1,712,235	981,540	999,407	1,609,668	1,663,840
Contracting Standards Board	0	0	0	0	170,000	170,000
Judicial Review Council	103,295	144,952	0	0	137,328	140,863
Judicial Selection Commission	81,937	90,620	0	0	87,730	89,956
Office of the Child Advocate	576,552	567,465	0	0	509,374	524,747
Office of the Victim Advocate	317,692	315,235	0	0	434,045	445,172
Board of Firearms Permit Examiners	82,758	81,086	0	0	83,430	85,591
Elections Enforcement Administration	0	0	1,816,327	2,018,720	0	0
GAAP Adjustments	0	0	0	41,375	0	41,375
Agency Total - General Fund	7,944,679	8,601,720	8,680,179	9,186,533	8,590,081	9,137,626
Additional Funds Available						
Carry Forward Funding	0	0	0	0	205,219	0
Agency Grand Total	7,944,679	8,601,720	8,680,179	9,186,533	8,795,300	9,137,626

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	43,117	0	93,227	0	0	0	0
Child Fatality Review Panel	0	672	0	6,245	0	0	0	0
Citizens' Election Fund Admin	0	(304,387)	0	(174,083)	0	0	0	0
Elections Enforcement Commission	0	496,803	0	585,620	0	0	0	0
Office of State Ethics	1	64,893	1	137,370	0	0	0	0
Freedom of Information Commission	1	(3,696)	1	77,946	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Judicial Review Council	0	1,496	0	5,031	0	0	0	0
Judicial Selection Commission	0	1,498	0	3,724	0	0	0	0
Office of the Child Advocate	0	(23,971)	0	(381)	0	0	0	0
Office of the Victim Advocate	0	2,695	0	20,492	0	0	0	0
Board of Firearms Permit Examiners	0	2,019	0	4,180	0	0	0	0
Total - General Fund	2	281,139	2	759,371	0	0	0	0

Governor

Provide funding of \$281,139 in FY 14 and \$759,371 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, annualization, and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	(15,864)	0	(66,553)	0	0	0	0
Equipment	0	(24,904)	0	(24,904)	0	0	0	0
Citizens' Election Fund Admin	0	(64,976)	0	(14,976)	0	0	0	0
Office of State Ethics	0	14,347	0	24,170	0	0	0	0
Freedom of Information Commission	0	(5,356)	0	(31,885)	0	0	0	0
Judicial Review Council	0	(7,120)	0	(7,120)	0	0	0	0
Judicial Selection Commission	0	142	0	142	0	0	0	0
Office of the Child Advocate	0	(6,655)	0	(6,655)	0	0	0	0
Office of the Victim Advocate	0	7,174	0	7,174	0	0	0	0
Board of Firearms Permit Examiners	0	325	0	325	0	0	0	0
Total - General Fund	0	(102,887)	0	(120,282)	0	0	0	0

Governor

Reduce funding by \$102,887 in FY 14 and \$120,282 in FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements. The reduced funding reflects reductions in telephone, IT, and software costs.

Legislative

Same as Governor

Adjust Funding to Reflect Requirements

Citizens' Election Fund Admin	0	0	0	6,764	0	0	0	0
Office of State Ethics	0	5,251	0	5,276	0	0	0	0
Total - General Fund	0	5,251	0	12,040	0	0	0	0

Governor

Provide funding of \$5,251 in FY 14 and \$12,040 in FY 15 in various accounts to reflect anticipated expenditure requirements. These increased expenditures include Board Member reimbursements and election year costs.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Information Technology Initiatives	0	722	0	1,707	0	0	0	0
Citizens' Election Fund Admin	0	0	0	9,882	0	0	0	0
Office of State Ethics	0	2,029	0	5,368	0	0	0	0
Freedom of Information Commission	0	3,542	0	8,512	0	0	0	0
Judicial Review Council	0	0	0	1,118	0	0	0	0
Judicial Selection Commission	0	320	0	760	0	0	0	0
Office of the Child Advocate	0	0	0	1,476	0	0	0	0
Office of the Victim Advocate	0	296	0	864	0	0	0	0
Board of Firearms Permit Examiners	0	168	0	442	0	0	0	0
Total - General Fund	0	7,077	0	30,129	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$7,077 in FY 14 and an additional \$23,052 in FY 15 (for a cumulative total of \$30,129 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	53,924	0	54,795	0	0	0	0
Total - General Fund	0	53,924	0	54,795	0	0	0	0

Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

Governor

Provide funding of \$53,924 in FY 14 and \$54,795 in FY 15 to reflect the implementation of GAAP in the budget.

Legislative

Same as Governor

Policy Revisions

Adjust Funding for Office of Hearings

Personal Services	0	0	0	0	0	(1,819,284)	0	(1,935,721)
Office of State Ethics	0	0	0	0	0	454,746	0	478,275
Freedom of Information Commission	0	0	0	0	0	628,128	0	664,433
Elections Enforcement Administration	0	0	0	0	0	736,410	0	793,013
Total - General Fund	0	0	0	0	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Transfer a total of 19 positions and funding of \$1,819,284 in FY 14 and \$1,935,721 in FY 15 to reflect the creation of a centralized Office of Hearings within OGA. This intra-office transfer includes:

- \$454,746 in FY 14 and \$478,275 in FY 15 (5 positions) from Office of State Ethics;
- \$628,128 in FY 14 and \$664,433 in FY 15 (6 positions) from Freedom of Information Commission;
- \$736,410 in FY 14 and \$793,013 in FY 15 (8 positions) from Elections Enforcement Administration.

Legislative

Do not create Office of Hearings in OGA. Positions and funding levels are maintained in the Elections Enforcement Administration, Freedom of Information Commission, and Office of State Ethics.

Restructure OGA Office of Executive Administrator

Personal Services	(2)	(134,962)	(2)	(141,504)	(2)	(134,962)	(2)	(141,504)
Other Expenses	0	(169,123)	0	(118,434)	0	(169,123)	0	(118,434)
Total - General Fund	(2)	(304,085)	(2)	(259,938)	(2)	(304,085)	(2)	(259,938)

Legislative

Reduce funding by \$304,085 (\$134,962 in Personal Services and \$169,123 in Other Expenses) in FY 14 and \$259,938 (\$141,504 in Personal Services and \$118,434 in Other Expenses) in FY 15 and eliminate two vacant positions to reflect the restructuring of the OGA Office of Executive Administrator.

Eliminate Vacant Positions

Elections Enforcement Commission	(1)	(89,737)	(1)	(95,202)	(1)	(89,737)	(1)	(95,202)
Freedom of Information Commission	(1)	(97,057)	(1)	(102,968)	1	0	1	0
Elections Enforcement Administration	0	0	0	0	0	89,737	0	95,202
Total - General Fund	(2)	(186,794)	(2)	(198,170)	0	0	0	0

Governor

Reduce funding by \$186,794 in FY 14 and \$198,170 in FY 15 to reflect the elimination of two vacant positions.

Legislative

Same as Governor

Provide Funding & Positions for Contracting Standards Board

Contracting Standards Board	2	170,000	2	170,000	2	170,000	2	170,000
Total - General Fund	2	170,000	2	170,000	2	170,000	2	170,000

Legislative

Provide funding of \$170,000 in both FY 14 and FY 15 and two positions for the State Contracting Standards Board.

Add Two Positions to Office of Victim Advocate

Office of the Victim Advocate	2	115,000	2	115,000	2	115,000	2	115,000
Total - General Fund	2	115,000	2	115,000	2	115,000	2	115,000

Legislative

Provide funding of \$115,000 in both FY 14 and FY 15 and add two positions for the Office of the Victim Advocate to improve community outreach.

Consolidate Council on Environmental Quality into OGA

Personal Services	0	0	0	0	(1)	(104,201)	(1)	(107,157)
Other Expenses	0	0	0	0	0	(1,812)	0	(1,812)
Total - General Fund	0	0	0	0	(1)	(106,013)	(1)	(108,969)

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Transfer one position and funding of \$106,013 in FY 14 and \$108,969 in FY 15 to reflect the reorganization of the Council on Environmental Quality into OGA.

Legislative

Do not transfer Council on Environmental Quality into OGA.

Fund PA 13-3 AAC Gun Violence Prevention & Children's Safety

Personal Services	0	30,000	0	30,000	0	30,000	0	30,000
Other Expenses	0	5,000	0	5,000	0	5,000	0	5,000
Total - General Fund	0	35,000	0	35,000	0	35,000	0	35,000

Legislative

Provide funding of \$35,000 in both FY 14 and FY 15 for one part-time office assistant and other expenses to enable the Board of Firearms Permit Examiners' to conform to the continuance requirements and expanded Board membership contained in PA 13-3, An Act Concerning Gun Violence Prevention and Children's Safety.

Provide Funding for IT Position in Office of State Ethics

Personal Services	0	0	0	0	(1)	(42,908)	(1)	(60,694)
Office of State Ethics	1	42,908	1	60,694	1	42,908	1	60,694
Total - General Fund	1	42,908	1	60,694	0	0	0	0

Governor

Provide funding of \$42,908 in FY 14 and \$60,694 in FY 15 in the Personal Services account to hire one IT position in the Office of State Ethics.

Legislative

Provide funding of \$42,908 in FY 14 and \$60,694 in FY 15 in the Office of State Ethics account to hire one IT position in the Office of State Ethics.

Transfer Funding to Streamline Budget Account Structure

Personal Services	0	0	0	0	0	(1,912,447)	0	(1,980,059)
Other Expenses	0	0	0	0	0	(140,009)	0	(140,009)
Child Fatality Review Panel	0	0	0	0	0	95,682	0	101,255
Information Technology Initiatives	0	0	0	0	0	31,588	0	31,588
Citizens' Election Fund Admin	0	0	0	0	0	1,759,186	0	1,956,136
Elections Enforcement Commission	0	0	0	0	0	1,503,523	0	1,592,340
Office of State Ethics	0	0	0	0	0	168,044	0	174,355
Judicial Review Council	0	0	0	0	0	137,328	0	140,863
Judicial Selection Commission	0	0	0	0	0	87,730	0	89,956
Office of the Child Advocate	0	0	0	0	0	509,374	0	524,747
Office of the Victim Advocate	0	0	0	0	0	319,045	0	330,172
Board of Firearms Permit Examiners	0	0	0	0	0	83,430	0	85,591
Elections Enforcement Administration	0	0	0	0	0	(2,642,474)	0	(2,906,935)
Total - General Fund	0	0	0	0	0	0	0	0

Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Transfer total funding of \$4,694,930 in FY 14 and \$5,027,003 in FY 15 from various accounts to reflect the streamlining of agency account structure. This transfer includes:

- \$1,912,447 in FY 14 and \$1,980,059 in FY 15 from various Other Current Expense accounts to Personal Services;
- \$140,009 in both FY 14 and FY 15 from various Other Current Expense accounts to Other Expenses;
- \$2,642,474 in FY 14 and \$2,906,935 in FY 15 from Elections Enforcement Commission and Citizens Election Fund Administration to Elections Enforcement Administration.

Legislative

Do not transfer funds to reflect the streamlining of accounts.

Rollout of FY 13 Rescissions

Other Expenses	0	(13,764)	0	(13,764)	0	0	0	0
Information Technology Initiatives	0	(1,662)	0	(1,662)	0	0	0	0
Judicial Review Council	0	(2,000)	0	(2,000)	0	0	0	0
Judicial Selection Commission	0	(4,530)	0	(4,530)	0	0	0	0
Office of the Child Advocate	0	(20,000)	0	(20,000)	0	0	0	0
Total - General Fund	0	(41,956)	0	(41,956)	0	0	0	0

Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

Governor

Reduce funding by \$41,956 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

Legislative

Same as Governor

Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(6,883)	0	(14,462)	0	0	0	0
Office of State Ethics	0	(7,030)	0	(14,768)	0	0	0	0
Office of the Child Advocate	0	(7,465)	0	(15,682)	0	0	0	0
Office of the Victim Advocate	0	(6,059)	0	(12,729)	0	0	0	0
Total - General Fund	0	(27,437)	0	(57,641)	0	0	0	0

Governor

Reduce funding by \$27,437 in FY 14 and \$57,641 in FY 15 to reflect the elimination of salary increases for appointed officials.

Legislative

Same as Governor

Eliminate Inflationary Increases

Information Technology Initiatives	0	(722)	0	(1,707)	0	0	0	0
Judicial Review Council	0	0	0	(1,118)	0	0	0	0
Judicial Selection Commission	0	(320)	0	(760)	0	0	0	0
Office of the Child Advocate	0	0	0	(1,476)	0	0	0	0
Office of the Victim Advocate	0	(296)	0	(864)	0	0	0	0
Board of Firearms Permit Examiners	0	(168)	0	(442)	0	0	0	0
Total - General Fund	0	(1,506)	0	(6,367)	0	0	0	0

Governor

Reduce various accounts by \$1,506 in FY 14 and \$6,367 in FY 15 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(3,349)	0	(3,349)	0	0	0	0
Total - General Fund	0	(3,349)	0	(3,349)	0	0	0	0

Governor

Transfer funding of \$3,349 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

Legislative

Same as Governor

Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(53,924)	0	(13,420)	0	0	0	0
Total - General Fund	0	(53,924)	0	(13,420)	0	0	0	0

Governor

Reduce funding by \$53,924 in FY 14 and \$13,420 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

Legislative

Same as Governor

Carry Forward

Carry Forward Funding for Case Management System

Other Expenses	0	107,000	0	0	0	107,000	0	0
Freedom of Information Commission	0	75,000	0	0	0	75,000	0	0
Office of the Child Advocate	0	20,000	0	0	0	20,000	0	0
Office of the Victim Advocate	0	3,219	0	0	0	3,219	0	0
Total - Carry Forward Funding	0	205,219	0	0	0	205,219	0	0

Legislative

Section 46 of PA 13-184, the FY 14 and FY 15 budget, carries forward up to \$222,000 from various accounts for the purchase of a case management system and associated data migration efforts. This carry forward includes: \$107,000 from Other Expenses, \$75,000 from Freedom of Information Commission, \$20,000 from Office of Child Advocate, and \$3,219 from Office of Victim Advocate.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	86	8,601,720	86	8,601,720	0	0	0	0
Current Services	2	244,504	2	736,053	0	0	0	0
Policy Revisions	1	(256,143)	1	(200,147)	1	(90,098)	1	(48,907)
Total Recommended - GF	89	8,590,081	89	9,137,626	1	(90,098)	1	(48,907)

Other Significant Legislation**PA 13-180, An Act Concerning Disclosure of Independent Expenditures and Changes to Other Campaign Finance Laws and Election Laws**

The Act modifies statutes affecting elections, campaign finance, the Citizens' Election Program (CEP), and the State Elections Enforcement Commission (SEEC).

PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$30,214 and a General Other Expenses Lapse of \$640. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Other Expenses	78,188	(965)	77,223	1.23%
Citizens' Election Fund Admin	1,759,186	(7,362)	1,751,824	0.42%
Elections Enforcement Commission	1,413,786	(5,917)	1,407,869	0.42%
Office of State Ethics	1,416,036	(5,926)	1,410,110	0.42%
Freedom of Information Commission	1,609,668	(6,736)	1,602,932	0.42%
Office of the Child Advocate	509,374	(2,132)	507,242	0.42%
Office of the Victim Advocate	434,045	(1,816)	432,229	0.42%