

# Analysis of Finance Advisory Committee Meeting Items

May 12, 2022 Agenda



## **OFFICE OF FISCAL ANALYSIS**

Room 5200, Legislative Office Building  
Hartford, CT 06106 • (860) 240-0200

E-Mail: [ofa@cga.ct.gov](mailto:ofa@cga.ct.gov)  
[www.cga.ct.gov/ofa](http://www.cga.ct.gov/ofa)

# OFA STAFF

## Neil Ayers, Director

### Michael Murphy, Section Chief

Eric Michael Gray, Principal Analyst	Bonding, School Construction, Debt Service
William Lederman, Principal Analyst	Income Tax Modeling & Projections, State Personnel Data, Budget Information System
Patrick Mellon, Analyst II	Transportation, Motor Vehicles
Chris Wetzel, Principal Analyst	Tax Policy & Revenue Analysis, Spending Cap, Dept. of Revenue Services, Dept. of Labor
Evelyn Wisnieski, Principal Analyst	Sales Tax, Health Provider Tax, Economic Development, Tourism Fund

### Chris Perillo, Section Chief

Don Chaffee, Principal Analyst	Legislative Management, Comm. on Women, Children, Seniors, Equity & Opportunity, Auditors, Dept. of Administrative Services, State Personnel
Rachel Della Pietra, Principal Analyst	Children and Families, Public Health, Office of the Chief Medical Examiner
Christina Gellman, Principal Analyst	Developmental Services, Teachers' Retirement, Aging and Disability Services, State Comptroller, State Employee Fringe Benefits
Lauren Goulet, Analyst II	Secretary of the State, Ethics, Elections Enforcement, Freedom of Information, Banking, Office of Governmental Accountability, Governor's Office, Lieutenant Governor's Office
Marcy Ritsick, Principal Analyst	Environment, Agriculture, Agriculture Experiment Station, Attorney General, State Library, Commission on Human Rights and Opportunities, Consumer Council, Energy

### Alan Shepard, Section Chief

Sarah Bourne, Principal Analyst	Elementary Education, Office of Higher Education, Town Education Grants, ECS
Dan Dilworth, Principal Analyst	Office of Policy & Management, Municipal Grants, ECS
Janelle Stevens, Principal Analyst	ECS, Board of Regents for Higher Education, UConn, UConn Health Center

### Rob Wysock, Section Chief

Lindsey Donston, Analyst II	Social Services, Department of Veterans' Affairs, Workers' Compensation Commission, State Comptroller; Fringe Benefits
Mike Ericson, Associate Analyst	Dept. of Consumer Protection, Military, Corrections, Department of Emergency Services & Public Protection, State Police
Michelle Parlos, Associate Analyst	Budget Information System, Dept. of Housing, Insurance, Office of the Healthcare Advocate
Phoenix Ronan, Principal Analyst	Criminal Justice, Judicial, Public Defender, Probate
Emily Shepard, Principal Analyst	Dept. of Social Services, Mental Health and Addiction Services, Psychiatric Security Review Board, Office of Early Childhood

### Administrative Staff

Theresa Kelly, Administrative Assistant III
Tracey Otero, Administrative Assistant V/Fiscal Note Coordinator

Legislative Office Building, Room 5200, Hartford, CT 06106

Phone: (860) 240-0200

E-Mail: [ofa@cga.ct.gov](mailto:ofa@cga.ct.gov); Web: [www.cga.ct.gov/ofa](http://www.cga.ct.gov/ofa)

## FAC 2022-09 Department of Motor Vehicles

Account	Original Appropriation	Prior Policy Actions <sup>1</sup>	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	51,343,139	-	(1,500,000)	-	49,843,139
Other Expenses	15,027,419	-	-	1,500,000	16,527,419
<b>TOTAL - Special Transportation Fund</b>			<b>(1,500,000)</b>	<b>1,500,000</b>	

*Funding is available for transfer from this account due to the following:*

- Personal Services - A delay in refilling vacant positions. As of May 10, 2022, 162 positions were vacant out of a total authorized count of 882.

*Funding is needed for transfer to this account due to the following:*

- Other Expenses - Higher than budgeted IT licensing costs as well as expenses related to the upcoming purchase of body and dashboard cameras for DMV's approximately 60 Motor Vehicle Inspectors, as required by PA 20-1, AAC Police Accountability.

*Holdbacks and lapses:*

The transfer decreases the Personal Services funds available to lapse to \$3 million. There are no holdbacks associated with these accounts.

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<sup>1</sup> Prior policy actions may include holdbacks (forced lapses), rescissions, and transfers.

## FAC 2022-10 Department of Developmental Services

Account	Original Appropriation	Prior Policy Actions <sup>1</sup>	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	203,843,382	(1,000,000)	(4,000,000)	-	198,843,382
Behavioral Services Program	20,246,979	-	(4,000,000)	-	16,246,979
Emergency Placements	5,666,455	-	(1,273,000)	-	4,393,455
Employment Opportunities and Day Services	297,568,217	-	-	10,273,000	307,841,217
<b>TOTAL - General Fund</b>			<b>(9,273,000)</b>	<b>10,273,000</b>	

*Funding is available for transfer from these accounts due to the following:*

- Personal Services - Turnover and attrition. There were 680 vacancies as of April 1, 2022 within the agency. The agency began FY 22 with 634 vacancies.
- Behavioral Services Program (BSP) - Lower than budgeted participation. There were 274 children and young adults participating in the BSP at the beginning of FY 22 and the enrollment as of April 1, was 244. Over the fiscal year more individuals have aged out of the program than have started services. There is currently no waiting list for BSP funding.
- Emergency Placements - delays in the establishment of a Provider Partnership Step Up/ Step Down Unit. Currently, the DDS staffed Step Up/Step Down Unit supports individuals in crisis and in need of behavioral stabilization, who are coming from community-based settings or hospital emergency departments and the Provider Unit will provide additional services.

*Funding is needed for transfer to this account due to the following:*

- Employment Opportunities and Day Services - Due to the implementation of the American Rescue Plan Act (ARPA) home and community-based services (HCBS) reinvestment plan. Funds will support temporary workforce and provider stabilization, expand integration and use of assistive technology and enhance and expand HCBS delivery transformation.

*Holdbacks and lapses:*

The transfer from Personal Services will not affect the agency's ability to achieve a \$1 million holdback to the account.

## FAC 2022-11 Department of Social Services

Account	Original Appropriation	Prior Policy Actions <sup>1</sup>	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	134,649,729	110,523	(4,310,523)	-	130,449,729
Medicaid	2,757,166,000	(143,448,884)	(22,289,645)	-	2,591,427,471
Other Expenses	146,283,240	(731,500)	-	768,500	146,320,240
Old Age Assistance	38,370,000	-	-	2,300,000	40,670,000
Connecticut Home Care Program	34,175,000	-	-	1,100,000	35,275,000
Community Residential Services	655,119,602	-	-	22,431,668	677,551,270
<b>TOTAL - General Fund</b>			<b>(26,600,168)</b>	<b>26,600,168</b>	

*Funding is available for transfer from these accounts due to the following:*

- Personal Services - The release of the \$2.8 million holdback as well as increased vacancies.
- Medicaid - Enhanced federal reimbursement during the public health emergency has reduced the state share of Medicaid program costs. In addition, service utilization continues to be lower than budgeted.

*Funding is needed for transfer to these accounts due to the following:*

- Other Expenses - Administrative expenses associated with the ARPA Home and Community Based Services (HCBS) plan and the Substance Use Disorder (SUD) waiver were not included in the original FY 22 budget as the plan/waiver had not yet been submitted and approved.
- Old Age Assistance - Higher than budgeted costs, primarily due to a delay in Medicaid billing for certain services provided at residential care homes.
- Connecticut Home Care Program - Higher than budgeted expenditures due to ARPA HCBS costs, including temporary provider stabilization payments.
- Community Residential Services - Higher than budgeted expenditures due to ARPA HCBS costs, including temporary provider stabilization payments, workforce stability incentives payments and infrastructure improvements through technology.

*Holdbacks and lapses:*

The \$2.8 million holdback to Personal Services is released in order to achieve the proposed transfer.

## FAC 2022-12 Department of Education

Account	Original Appropriation	Prior Policy Actions <sup>1</sup>	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Open Choice Program	27,980,849	-	(284,896)	-	27,695,953
Excess Cost - Student Based	140,619,782	-	-	284,896	140,904,678
<b>TOTAL - General Fund</b>			<b>(284,896)</b>	<b>284,896</b>	

*Funding is available for transfer from this account due to the following:*

- Open Choice Program - Lower than anticipated Open Choice enrollment.

*Funding is needed for transfer to this account due to the following:*

- Excess Cost - Student Based - Final grant payments based on the updated March filing. While the grants are based on available appropriations, the agency is awaiting repayment of additional reimbursements provided to certain districts based on preliminary December data.

*Holdbacks and lapses:*

There are no holdbacks to these accounts.

## FAC 2022-13 Office of Early Childhood

Account	Original Appropriation	Prior Policy Actions <sup>1</sup>	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Early Care and Education	132,377,530	-	(600,000)	-	131,777,530
Child Care Quality Enhancements	5,954,530	-	-	600,000	6,554,530
<b>TOTAL - General Fund</b>			<b>(600,000)</b>	<b>600,000</b>	

*Funding is available for transfer from this account due to the following:*

- Early Care and Education - Lower than budgeted contract costs due to decreased enrollment in school readiness programs and child day care centers.

*Funding is needed for transfer to this account due to the following:*

- Child Care Quality Enhancements - The one-time cost of digitizing the Care4Kids application in the MyCT Portal.

*Holdbacks and lapses:*

There are no holdbacks to these accounts.

## FAC 2022-14 Office of Higher Education

Account	Original Appropriation	Prior Policy Actions <sup>1</sup>	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	1,523,364	(50,000)	(115,000)	-	1,358,364
Other Expenses	165,634	159,000	-	115,000	439,634
<b>TOTAL - General Fund</b>			<b>(115,000)</b>	<b>115,000</b>	

*Funding is available for transfer from this account due to the following:*

- Personal Services - lower than anticipated expenditures, primarily due to vacancies.

*Funding is needed for transfer to this account due to the following:*

- Other Expenses - Costs related to CareerConn, an event focused on raising awareness of noncollege educational and career options.

*Holdbacks and lapses:*

The transfer from Personal Services will not affect the agency's ability to achieve the \$50,000 holdback to that account. The transfer to Other Expenses will enable the agency to achieve the associated holdback of \$1,000.



## Appendix A: Projected Account Balances for Agencies on this Agenda (After Proposed FAC Transfer(s))

Department of Motor Vehicles				
	Original Appropriation <sup>2</sup>	Available Funding <sup>3</sup>	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	51,343,139	49,843,139	46,843,139	3,000,000
Other Expenses	15,027,419	16,527,419	16,527,419	-
Equipment	468,756	468,756	468,756	-
DMV Modernization	-	-	3,000,000	(3,000,000)
Commercial Vehicle Information Systems and Networks Project	324,676	324,676	324,676	-
<b>TOTAL - Special Transportation Fund</b>	<b>67,163,990</b>	<b>67,163,990</b>	<b>67,163,990</b>	<b>-</b>

Department of Developmental Services				
	Original Appropriation <sup>2</sup>	Available Funding <sup>3</sup>	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	203,843,382	198,843,382	196,843,382	2,000,000
Other Expenses	16,439,356	16,357,356	16,357,356	-
Housing Supports and Services	1,400,000	1,400,000	1,400,000	-
Family Support Grants	3,700,840	3,700,840	3,700,840	-
Clinical Services	2,337,724	2,329,533	2,329,533	-
Behavioral Services Program	20,246,979	16,246,979	16,246,979	-
Supplemental Payments for Medical Services	2,908,132	2,908,132	2,908,132	-
ID Partnership Initiatives	1,529,000	1,529,000	1,529,000	-
Emergency Placements	5,666,455	4,393,455	4,393,455	-
Rent Subsidy Program	5,032,312	5,032,312	5,032,312	-
Employment Opportunities and Day Services	297,568,217	307,841,217	307,841,217	-
<b>TOTAL - General Fund</b>	<b>560,672,397</b>	<b>560,582,206</b>	<b>558,582,206</b>	<b>2,000,000</b>

Department of Social Services				
	Original Appropriation <sup>2</sup>	Available Funding <sup>3</sup>	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	134,649,729	130,449,729	127,449,729	3,000,000
Other Expenses	146,283,240	146,320,240	146,320,240	-
Genetic Tests in Paternity Actions	81,906	81,906	81,906	-
HUSKY B Program	16,660,000	16,660,000	12,660,000	4,000,000
Medicaid	2,757,166,000	2,591,427,471	2,527,166,000	64,261,471
Old Age Assistance	38,370,000	40,670,000	40,670,000	-
Aid To The Blind	503,200	503,200	503,200	-
Aid To The Disabled	49,620,000	49,620,000	49,120,000	500,000
Temporary Family Assistance - TANF	37,390,000	37,390,000	33,390,000	4,000,000
Emergency Assistance	1	1	1	-
Food Stamp Training Expenses	9,341	9,341	9,341	-
DMHAS-Disproportionate Share	108,935,000	108,935,000	108,935,000	-
Connecticut Home Care Program	34,175,000	35,275,000	35,275,000	-
Human Resource Development-Hispanic Programs	1,042,885	1,042,885	242,885	800,000
Community Residential Services	655,119,602	677,551,270	677,551,270	-
Safety Net Services	1,334,544	1,334,544	1,334,544	-

<sup>2</sup> Includes appropriated accounts from all appropriated funds.

<sup>3</sup> Includes all anticipated and enacted holdbacks (forced lapses), rescissions, and transfers.

<b>Department of Social Services</b>				
	<b>Original Appropriation<sup>2</sup></b>	<b>Available Funding<sup>3</sup></b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Refunds Of Collections	89,965	89,965	89,965	-
Services for Persons With Disabilities	276,362	276,362	276,362	-
Nutrition Assistance	749,040	749,040	749,040	-
State Administered General Assistance	15,130,000	15,130,000	12,630,000	2,500,000
Connecticut Children's Medical Center	11,138,737	11,138,737	11,138,737	-
Community Services	2,055,376	2,055,376	2,055,376	-
Human Services Infrastructure Community Action Program	3,794,252	3,794,252	3,794,252	-
Teen Pregnancy Prevention	1,255,827	1,255,827	1,255,827	-
Domestic Violence Shelters	5,321,749	5,321,749	5,321,749	-
Hospital Supplemental Payments	568,300,000	568,300,000	568,300,000	-
Teen Pregnancy Prevention - Municipality	98,281	98,281	98,281	-
<b>TOTAL - General Fund</b>	<b>4,589,550,037</b>	<b>4,445,480,176</b>	<b>4,366,418,705</b>	<b>79,061,471</b>

<b>Department of Education</b>				
	<b>Original Appropriation<sup>2</sup></b>	<b>Available Funding<sup>3</sup></b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Personal Services	17,922,976	17,122,976	17,122,976	-
Other Expenses	3,920,204	3,720,204	3,720,204	-
Development of Mastery Exams Grades 4, 6, and 8	10,493,570	10,493,570	10,493,570	-
Primary Mental Health	345,288	345,288	345,288	-
Leadership, Education, Athletics in Partnership (LEAP)	312,211	312,211	312,211	-
Adult Education Action	194,534	194,534	194,534	-
Connecticut Writing Project	20,250	20,250	20,250	-
Neighborhood Youth Centers	613,866	613,866	613,866	-
Sheff Settlement	10,281,618	10,281,618	10,281,618	-
Parent Trust Fund Program	267,193	267,193	267,193	-
Regional Vocational-Technical School System	143,319,414	137,921,723	137,921,723	-
Commissioner's Network	10,009,398	10,009,398	10,009,398	-
Local Charter Schools	852,000	852,000	822,000	30,000
Bridges to Success	27,000	27,000	27,000	-
Talent Development	2,188,229	2,188,229	2,188,229	-
School-Based Diversion Initiative	900,000	900,000	900,000	-
Technical High Schools Other Expenses	22,668,577	26,168,577	26,168,577	-
EdSight	1,100,445	1,100,445	1,100,445	-
Sheff Transportation	51,843,244	51,843,244	51,843,244	-
Curriculum and Standards	2,215,782	2,215,782	2,215,782	-
Non-Sheff Transportation	9,785,000	9,785,000	9,785,000	-
American School For The Deaf	8,357,514	8,357,514	8,357,514	-
Regional Education Services	262,500	262,500	262,500	-
Family Resource Centers	5,802,710	5,802,710	5,802,710	-
Charter Schools	126,203,452	126,203,452	125,703,452	500,000
Child Nutrition State Match	2,354,000	2,354,000	2,354,000	-
Health Foods Initiative	4,151,463	4,151,463	4,151,463	-
Vocational Agriculture	18,824,200	18,824,200	18,824,200	-
Adult Education	21,214,072	21,214,072	21,214,072	-
Health and Welfare Services Pupils Private Schools	3,438,415	3,438,415	3,438,415	-
Education Equalization Grants	2,139,188,097	2,139,188,097	2,139,188,097	-

<b>Department of Education</b>				
	<b>Original Appropriation<sup>2</sup></b>	<b>Available Funding<sup>3</sup></b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Bilingual Education	1,916,130	1,916,130	1,916,130	-
Priority School Districts	30,818,778	30,818,778	30,818,778	-
Interdistrict Cooperation	1,537,500	1,537,500	1,537,500	-
School Breakfast Program	2,158,900	2,158,900	2,158,900	-
Excess Cost - Student Based	140,619,782	140,904,678	140,904,678	-
Open Choice Program	27,980,849	27,695,953	25,695,953	2,000,000
Magnet Schools	282,438,044	282,438,044	277,438,044	5,000,000
After School Program	5,750,695	5,750,695	5,750,695	-
Extended School Hours	2,919,883	2,919,883	2,919,883	-
School Accountability	3,412,207	3,412,207	3,412,207	-
<b>TOTAL - General Fund</b>	<b>3,118,629,990</b>	<b>3,115,732,299</b>	<b>3,108,202,299</b>	<b>7,530,000</b>

<b>Office of Early Childhood</b>				
	<b>Original Appropriation<sup>2</sup></b>	<b>Available Funding<sup>3</sup></b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Personal Services	9,235,220	9,135,220	8,935,220	200,000
Other Expenses	433,935	431,935	431,935	-
Birth to Three	23,452,407	23,452,407	23,452,407	-
Evenstart	295,456	295,456	295,456	-
2Gen - TANF	412,500	412,500	412,500	-
Nurturing Families Network	10,319,422	10,319,422	10,319,422	-
Head Start Services	5,083,238	5,083,238	5,083,238	-
Care4Kids TANF/CCDF	59,527,096	59,527,096	59,527,096	-
Child Care Quality Enhancements	5,954,530	6,554,530	6,554,530	-
Early Head Start-Child Care Partnership	1,500,000	1,500,000	1,500,000	-
Early Care and Education	132,377,530	131,777,530	131,377,530	400,000
Smart Start	3,325,000	3,325,000	3,250,000	75,000
<b>TOTAL - General Fund</b>	<b>251,916,334</b>	<b>251,814,334</b>	<b>251,139,334</b>	<b>675,000</b>

<b>Office of Higher Education</b>				
	<b>Original Appropriation<sup>2</sup></b>	<b>Available Funding<sup>3</sup></b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Personal Services	1,523,364	1,358,364	1,358,364	-
Other Expenses	165,634	439,634	439,634	-
Minority Advancement Program	1,619,251	1,619,251	1,619,251	-
National Service Act	244,955	244,955	244,955	-
Minority Teacher Incentive Program	570,134	570,134	570,134	-
Roberta B. Willis Scholarship Fund	33,388,637	33,388,637	33,388,637	-
<b>Total - General Fund</b>	<b>37,511,975</b>	<b>37,620,975</b>	<b>37,620,975</b>	<b>-</b>