

Exhibit A

State of Connecticut
Uncapped Expenditures at Budget Adoption
(in millions)

| | 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | FY | FY | FY | FY | FY | FY | FY | FY | FY | FY |
| <u>Uncapped Appropriations</u> | <u>1992</u> | <u>1993</u> | <u>1994</u> | <u>1995</u> | <u>1996</u> | <u>1997</u> | <u>1998</u> | <u>1999</u> | <u>2000</u> | <u>2001</u> |
| 1. Debt Service | \$ 696.3 | \$ 793.1 | \$ 785.9 | \$ 906.5 | \$ 1,015.8 | \$ 1,127.0 | \$ 1,166.6 | \$ 1,237.5 | \$ 1,328.7 | \$ 1,417.2 |
| 2. Federal Mandates and Court Orders | - | 11.3 | 19.4 | 76.1 | 6.4 | 16.7 | 10.9 | 10.4 | 15.3 | 7.5 |
| 3. Statutory Grants to Distressed Municipalities | 719.1 | 598.8 | 830.8 | 863.9 | 1,056.6 | 1,034.8 | 1,022.1 | 1,016.1 | 1,074.2 | 1,108.1 |
| 4. SERS/TRS/JRS Unfunded Liability | - | - | - | - | - | - | - | - | - | - |
| 5. Total | \$ 1,415.4 | \$ 1,403.2 | \$ 1,636.1 | \$ 1,846.5 | \$ 2,078.8 | \$ 2,178.5 | \$ 2,199.6 | \$ 2,264.0 | \$ 2,418.2 | \$ 2,532.8 |
| 6. Total Appropriations | \$ 7,721.5 | \$ 8,067.4 | \$ 8,589.5 | \$ 9,484.8 | \$ 9,792.8 | \$ 10,022.0 | \$ 10,377.7 | \$ 10,994.7 | \$ 11,618.9 | \$ 12,305.0 |
| 7. Increase/Decrease in Total Appropriations | | 4.5% | 6.5% | 10.4% | 3.2% | 2.3% | 3.5% | 5.9% | 5.7% | 5.9% |
| 8. Uncapped Appropriations/Total Approp. | 18.3% | 17.4% | 19.0% | 19.5% | 21.2% | 21.7% | 21.2% | 20.6% | 20.8% | 20.6% |
| 9. Capped Growth Rate (Greater of CPI and PI) | | 6.38% | 5.82% | 4.86% | 3.59% | 3.43% | 3.97% | 4.86% | 5.08% | 5.48% |
| 10. Cumulative Cap Growth, 1992=100 | 100.0 | 106.4 | 112.6 | 118.0 | 122.3 | 126.5 | 131.5 | 137.9 | 144.9 | 152.8 |
| <u>Additional Information</u> | | | | | | | | | | |
| 11. Lesser of CPI and Personal Income | | 3.06% | 2.90% | 2.75% | 2.67% | 2.54% | 3.32% | 1.70% | 1.73% | 2.55% |
| 12. Cumulative Cap Growth, 1992=100 | 100.0 | 103.1 | 106.0 | 109.0 | 111.9 | 114.7 | 118.5 | 120.5 | 122.6 | 125.8 |
| 13. Estimated Federal Share of Medicaid | \$ 643.5 | \$ 736.3 | \$ 818.7 | \$ 877.8 | \$ 951.6 | \$ 974.6 | \$ 1,020.0 | \$ 999.0 | \$ 1,108.4 | \$ 1,186.5 |
| 14. Total Appropriations Less Est. Federal Share | \$ 7,078.0 | \$ 7,331.1 | \$ 7,770.8 | \$ 8,607.0 | \$ 8,841.2 | \$ 9,047.4 | \$ 9,357.7 | \$ 9,995.7 | \$ 10,510.5 | \$ 11,118.5 |

Notes

Figures in odd numbered years represent revised budget.

(1) CAGR = Compound Annual Growth Rate

(2) Adjusted as follows:

a) Removed SERS/TRS/JRS Unfunded Liability of \$1.9 billion in FY 2017 from line 5 in order to be comparable to prior years.

b) Total appropriations (line 6) adjusted downward by \$0.6 billion in FY 1992 (line 14) to replicate the impact of net budgeting of Medicaid in order to be comparable to FY 16.

(3) Net budgeting of Medicaid began in FY 2014 and the amounts outlined in the box were no longer appropriated.

Source: OPM, Updated 8/8/16 to include FY 2017.

Exhibit A

State of Connecticut
Uncapped Expenditures at Budget Adoption
(in millions)

| | 11. | 12. | 13. | 14. | 15. | 16. | 17. | 18. | 19. | 20. |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | FY | FY | FY | FY | FY | FY | FY | FY | FY | FY |
| <u>Uncapped Appropriations</u> | <u>2002</u> | <u>2003</u> | <u>2004</u> | <u>2005</u> | <u>2006</u> | <u>2007</u> | <u>2008</u> | <u>2009</u> | <u>2010</u> | <u>2011</u> |
| 1. Debt Service | \$ 1,437.7 | \$ 1,438.1 | \$ 1,590.3 | \$ 1,734.2 | \$ 1,704.5 | \$ 1,813.2 | \$ 1,835.3 | \$ 1,996.1 | \$ 2,113.3 | \$ 2,131.6 |
| 2. Federal Mandates and Court Orders | 29.2 | 5.1 | - | 19.4 | 123.2 | 142.9 | 105.5 | 49.0 | 15.4 | 46.7 |
| 3. Statutory Grants to Distressed Municipalities | 1,294.9 | 1,307.2 | 1,258.2 | 1,294.2 | 1,339.0 | 1,350.4 | 1,506.1 | 1,563.4 | 1,480.6 | 1,473.5 |
| 4. SERS/TRS/JRS Unfunded Liability | - | - | - | - | - | - | - | - | - | - |
| 5. Total | \$ 2,761.8 | \$ 2,750.4 | \$ 2,848.5 | \$ 3,047.8 | \$ 3,166.7 | \$ 3,306.5 | \$ 3,446.9 | \$ 3,608.5 | \$ 3,609.3 | \$ 3,651.8 |
| 6. Total Appropriations | \$ 12,947.0 | \$ 13,217.8 | \$ 13,520.5 | \$ 14,322.1 | \$ 15,282.9 | \$ 16,068.0 | \$ 17,597.9 | \$ 18,442.7 | \$ 18,640.4 | \$ 19,010.2 |
| 7. Increase/Decrease in Total Appropriations | 5.2% | 2.1% | 2.3% | 5.9% | 6.7% | 5.1% | 9.5% | 4.8% | 1.1% | 2.0% |
| 8. Uncapped Appropriations/Total Approp. | 21.3% | 20.8% | 21.1% | 21.3% | 20.7% | 20.6% | 19.6% | 19.6% | 19.4% | 19.2% |
| 9. Capped Growth Rate (Greater of CPI and PI) | 5.33% | 6.20% | 5.27% | 4.46% | 4.06% | 3.88% | 3.31% | 4.60% | 5.90% | 4.53% |
| 10. Cumulative Cap Growth, 1992=100 | 161.0 | 171.0 | 180.0 | 188.0 | 195.6 | 203.2 | 209.9 | 219.6 | 232.6 | 243.1 |
| <u>Additional Information</u> | | | | | | | | | | |
| 11. Lesser of CPI and Personal Income | 3.43% | 2.24% | 2.82% | 1.98% | 3.27% | 3.42% | 1.86% | 3.76% | 3.23% | 1.12% |
| 12. Cumulative Cap Growth, 1992=100 | 130.1 | 133.0 | 136.7 | 139.4 | 144.0 | 148.9 | 151.7 | 157.4 | 162.5 | 164.3 |
| 13. Estimated Federal Share of Medicaid | \$ 1,273.5 | \$ 1,351.6 | \$ 1,392.5 | \$ 1,461.2 | \$ 1,570.3 | \$ 1,575.8 | \$ 1,735.3 | \$ 1,925.8 | \$ 1,927.5 | \$ 2,232.9 |
| 14. Total Appropriations Less Est. Federal Share | \$ 11,673.5 | \$ 11,866.2 | \$ 12,128.0 | \$ 12,860.9 | \$ 13,712.6 | \$ 14,492.2 | \$ 15,862.6 | \$ 16,516.9 | \$ 16,712.9 | \$ 16,777.3 |

Notes

Figures in odd numbered years represent revised budget.

(1) CAGR = Compound Annual Growth Rate

(2) Adjusted as follows:

a) Removed SERS/TRS/JRS Unfunded Liability of \$1.9 billion in FY 2017 from line 5 in order to be comparable to prior years.

b) Total appropriations (line 6) adjusted downward by \$0.6 billion in FY 1992 (line 14) to replicate the impact of net budgeting of Medicaid in order to be comparable to FY 16.

(3) Net budgeting of Medicaid began in FY 2014 and the amounts outlined in the box were no longer appropriated.

Source: OPM, Updated 8/8/16 to include FY 2017.

Exhibit A

State of Connecticut
Uncapped Expenditures at Budget Adoption
(in millions)

| | 21. | 22. | 23. | 24. | 25. | 26. | 27. | 28. | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|----------------------------|-------------------------|------|
| | FY | FY | FY | FY | FY | FY | FY 92-17 | Adjusted ⁽²⁾ | |
| <u>Uncapped Appropriations</u> | <u>2012</u> | <u>2013</u> | <u>2014</u> | <u>2015</u> | <u>2016</u> | <u>2017</u> | <u>CAGR ⁽¹⁾</u> | <u>CAGR</u> | |
| 1. Debt Service | \$ 2,373.0 | \$ 2,328.9 | \$ 2,183.6 | \$ 2,261.1 | \$ 2,439.5 | \$ 2,626.1 | 5.45% | | |
| 2. Federal Mandates and Court Orders | 46.3 | 20.0 | 61.7 | 20.4 | 42.9 | 7.9 | | | |
| 3. Statutory Grants to Distressed Municipalities | 1,480.5 | 1,541.4 | 1,529.3 | 1,578.2 | 1,579.2 | 1,496.9 | 2.98% | | |
| 4. SERS/TRS/JRS Unfunded Liability | - | - | - | - | 1,828.8 | 1,890.0 | | | |
| 5. Total | \$ 3,899.8 | \$ 3,890.3 | \$ 3,774.6 | \$ 3,859.7 | \$ 5,890.4 | \$ 6,020.9 | 5.96% | 4.38% | (2a) |
| 6. Total Appropriations | \$ 20,140.8 | \$ 20,543.0 | \$ 18,606.5 | \$ 18,993.7 | \$ 19,807.2 | \$ 19,739.2 | 3.83% | 4.19% | (2b) |
| 7. Increase/Decrease in Total Appropriations | 5.9% | 2.0% | -9.4% | 2.1% | 4.3% | -0.3% | | | |
| 8. Uncapped Appropriations/Total Approp. | 19.4% | 18.9% | 20.3% | 20.3% | 29.7% | 30.5% | | | |
| 9. Capped Growth Rate (Greater of CPI and PI) | 3.39% | 2.98% | 1.80% | 1.70% | 3.20% | 3.32% | | | |
| 10. Cumulative Cap Growth, 1992=100 | 251.3 | 258.8 | 263.5 | 268.0 | 276.5 | 285.7 | 4.29% | | |
| <u>Additional Information</u> | | | | | | | | | |
| 11. Lesser of CPI and Personal Income | 1.21% | 2.73% | 1.74% | 1.40% | -0.06% | 0.73% | | | |
| 12. Cumulative Cap Growth, 1992=100 | 166.3 | 170.8 | 173.8 | 176.2 | 176.1 | 177.4 | 2.32% | | |
| 13. Estimated Federal Share of Medicaid | \$ 2,357.2 | \$ 2,449.0 | \$ 2,993.0 | \$ 3,483.0 | \$ 3,631.1 | \$ 3,626.9 | 7.16% | | (3) |
| 14. Total Appropriations Less Est. Federal Share | \$ 17,783.6 | \$ 18,094.0 | \$ 18,606.5 | \$ 18,993.7 | \$ 19,807.2 | \$ 19,739.2 | 4.19% | | |

Notes

Figures in odd numbered years represent revised budget.

(1) CAGR = Compound Annual Growth Rate

(2) Adjusted as follows:

a) Removed SERS/TRS/JRS Unfunded Liability of \$1.9 billion in FY 2017 from line 5 in order to be comparable to prior years.

b) Total appropriations (line 6) adjusted downward by \$0.6 billion in FY 1992 (line 14) to replicate the impact of net budgeting of Medicaid in order to be comparable to FY 16.

(3) Net budgeting of Medicaid began in FY 2014 and the amounts outlined in the box were no longer appropriated.

Source: OPM, Updated 8/8/16 to include FY 2017.