



General Assembly

February Session, 2020

Raised Bill No. 5484

LCO No. 2728



Referred to Committee on APPROPRIATIONS

Introduced by:
(APP)

AN ACT CONCERNING PERFORMANCE-INFORMED BUDGET REVIEW.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 2-33b of the general statutes is repealed and the
2 following is substituted in lieu thereof (*Effective from passage*):

3 (a) As used in this section:

4 [(1) "Program" means any distinguishable service or group of services
5 within a budgeted agency, as defined in section 4-69, designed to
6 accomplish a specific public goal and result in specific public benefits.]

7 (1) "Cost-beneficial" means the cost savings and benefits realized over
8 a reasonable period of time are greater than the costs of implementation;

9 (2) "Evidence-based" describes a program that (A) incorporates
10 methods demonstrated to be effective for the intended population
11 through scientifically based research, including statistically controlled
12 evaluations or randomized trials; (B) can be implemented with a set of
13 procedures to allow successful replication in the state; (C) achieves

14 sustained, desirable outcomes; and (D) when possible, has been
15 determined to be cost-beneficial;

16 [(2)] (3) "Performance-informed budget review" means consideration
17 of information and analysis concerning the programs administered by a
18 budgeted agency, prepared by such agency in accordance with the
19 provisions of subsection [(d)] (e) of this section, by the Governor and the
20 General Assembly during the development of each biennial budget in
21 accordance with the provisions of subsection [(e)] (g) of this section.
22 Such review shall involve a results-oriented approach to planning,
23 budgeting and performance measurement for programs. [that focus on
24 the quality of life results the state desires for its citizens and that identify
25 program performance measures and indicators of the progress the state
26 makes in achieving such results.]

27 (4) "Program" means any distinguishable service or group of services
28 within a budgeted agency, as defined in section 4-69, designed to
29 accomplish a specific public goal and result in specific public benefits.

30 (5) "Program inventory" means the (A) compilation of the complete
31 list of all agency programs and activities; (B) identification of those that
32 are evidence-based, research-based and promising; and (C) inclusion of
33 program costs and utilization data;

34 (6) "Promising" describes a program or practice that, based on
35 statistical analyses or preliminary research, shows potential for meeting
36 the evidence-based or research-based criteria; and

37 (7) "Research-based" describes a program or practice that has some
38 research demonstrating effectiveness, such as one tested with a single
39 randomized or statistically controlled evaluation, but does not meet all
40 of the criteria of an evidence-based program.

41 (b) Not later than October 1, 2020, and annually thereafter, the
42 Departments of Correction, Children and Families, Mental Health and
43 Addiction Services and Social Services and the Court Support Services
44 Division of the Judicial Branch shall each compile a program inventory

45 of each of said agency's programs and shall categorize such programs
46 as evidence-based, research-based, promising or lacking any evidence.
47 Each program inventory shall include a complete list of all agency
48 programs, including the following information for each such program
49 for the prior fiscal year, as applicable: (1) A detailed description of the
50 program, (2) the names of providers, (3) the intended treatment
51 population, (4) the intended outcomes, (5) the method of assigning
52 participants, (6) the total annual program expenditures, (7) a description
53 of funding sources, (8) the cost per participant, (9) the annual number of
54 participants, (10) the annual capacity for participants, and (11) the
55 estimated number of persons eligible for, or needing, the program. For
56 the biennium commencing July 1, 2019, and for each biennial budget
57 thereafter, the joint bipartisan subcommittee established in subsection
58 (e) of this section may identify one or more additional budgeted
59 agencies to annually compile a program inventory in the manner
60 prescribed in this subsection. The Office of Fiscal Analysis and the
61 Institute for Municipal and Regional Policy at Central Connecticut State
62 University shall provide technical support in the compilation of such
63 inventories.

64 (c) Each program inventory required by subsection (b) of this section
65 shall be submitted in accordance with the provisions of section 11-4a to
66 the Secretary of the Office of Policy and Management, the joint standing
67 committees of the General Assembly having cognizance of matters
68 relating to the appropriations and the budgets of state agencies and
69 finance, revenue and bonding, the Office of Fiscal Analysis and the
70 Institute for Municipal and Regional Policy at Central Connecticut State
71 University.

72 [(b) For the biennium commencing July 1, 2017, and for each biennial
73 budget thereafter, the General Assembly shall identify one or more
74 budgeted agencies to transmit the information and analysis specified in
75 subsection (d) of this section for purposes of a performance-informed
76 budget review for the next succeeding biennium. The Office of Fiscal
77 Analysis shall provide technical support in the identification of such
78 agencies.]

79 [(c)] (d) There is established a joint bipartisan subcommittee on
80 performance-informed budgeting consisting of seven members of the
81 joint standing committee of the General Assembly having cognizance of
82 matters relating to finance and seven members of the joint standing
83 committee of the General Assembly having cognizance of matters
84 relating to appropriations. Not later than [February] July 1, [2018] 2020,
85 (1) the chairpersons of the finance committee shall appoint six members
86 of the finance committee to such subcommittee, at least two of whom
87 shall be members of the minority party, and the ranking member of the
88 finance committee shall appoint one member of the finance committee
89 to such subcommittee, and (2) the chairpersons of the appropriations
90 committee shall appoint six members of the appropriations committee
91 to such subcommittee, at least two of whom shall be members of the
92 minority party, and the ranking member of the appropriations
93 committee shall appoint one member of the appropriations committee
94 to such subcommittee. The subcommittee shall be chaired by two
95 chairpersons, each selected from among the subcommittee members.
96 One chairperson shall be selected by the chairpersons of the finance
97 committee and one chairperson shall be selected by the chairpersons of
98 the appropriations committee. The term of such appointments shall
99 terminate on December 31, [2018] 2020, regardless of when the initial
100 appointment was made. Members of the subcommittee appointed on or
101 after January 1, [2019] 2021, shall serve for two-year terms, which shall
102 commence on the date of appointment. Members shall continue to serve
103 until their successors are appointed, except that the term of any member
104 shall terminate on the date such member ceases to be a member of the
105 General Assembly. Any vacancy shall be filled by the respective
106 appointing authority.

107 [(d)] (e) On or before October 1, [2018] 2020, and on or before October
108 first of each even-numbered year thereafter, the administrative head of
109 each budgeted agency identified in the biennial budget adopted for the
110 immediately preceding biennium, in accordance with the provisions of
111 subsection (b) of this section, shall transmit a report to (1) the Secretary
112 of the Office of Policy and Management, (2) the joint standing committee

113 of the General Assembly having cognizance of matters relating to
114 appropriations, through the Office of Fiscal Analysis, (3) the joint
115 standing committee of the General Assembly having cognizance of
116 matters relating to finance, and (4) the joint standing committee of the
117 General Assembly having cognizance of matters relating to such
118 budgeted agency. [utilizing the results-based report format developed
119 by the accountability subcommittee of said appropriations committee,]
120 Such report shall include the following information and analysis for
121 each program administered by such agency:

122 (A) [A statement of the statutory basis, or other basis, and the history
123 of the program] The program inventory compiled pursuant to
124 subsection (b) of this section.

125 (B) A description of how the program fits within the strategic plan
126 and goals of the agency. [and an analysis of the quantified objectives of
127 the program.]

128 [(C) A description of the program's goals, fiscal and staffing data and
129 the populations served by the program, and the level of funding and
130 staff required to accomplish the goals of the program if different than
131 the actual maintenance level.]

132 [(D)] (C) Data demonstrating [the amount of service provided, the
133 effectiveness of said service provision, and] the measurable impact on
134 quality of life results for service recipients.

135 [(E) An analysis of internal and external factors positively and
136 negatively impacting the change in quality of life outcomes over time.]

137 (D) Any other information as prescribed by the subcommittee.

138 [(F) The program's administrative and other overhead costs.

139 (G) Where applicable, the amount of funds or benefits that actually
140 reach the intended recipients of the program.

141 (H) Any recommendations for improving the program's

142 performance.]

143 (f) Any agency or division that compiles a program inventory
144 pursuant to subsection (b) of this section shall include in the estimates
145 of expenditure requirements transmitted pursuant to section 4-77, and
146 the Governor shall include in the Governor's recommended
147 appropriations in the budget document transmitted to the General
148 Assembly pursuant to section 4-71, an estimate of the amount required
149 by said agencies for expenditures related to the implementation of
150 evidence-based programs, in accordance with section 4-77c, as amended
151 by this act.

152 [(e)] (g) The Governor and General Assembly shall consider the
153 information and analysis transmitted by budgeted agencies pursuant to
154 subsection [(d)] (e) of this section in developing each biennial budget. A
155 public review of the reports transmitted by such agencies shall be
156 incorporated into the agency budget hearing process conducted by the
157 relevant subcommittees of the joint standing committee of the General
158 Assembly having cognizance of matters relating to appropriations.

159 Sec. 2. Subsection (b) of section 4-68m of the general statutes is
160 repealed and the following is substituted in lieu thereof (*Effective from*
161 *passage*):

162 (b) The division shall develop a plan to promote a more effective and
163 cohesive state criminal justice system and, to accomplish such plan,
164 shall:

165 (1) Conduct an in-depth analysis of the criminal justice system;

166 (2) Determine the long-range needs of the criminal justice system and
167 recommend policy priorities for the system;

168 (3) Identify critical problems in the criminal justice system and
169 recommend strategies to solve those problems;

170 (4) Assess the cost-effectiveness of the use of state and local funds in
171 the criminal justice system;

172 (5) Recommend means to improve the deterrent and rehabilitative
173 capabilities of the criminal justice system;

174 (6) Advise and assist the General Assembly in developing plans,
175 programs and proposed legislation for improving the effectiveness of
176 the criminal justice system;

177 (7) Make computations of daily costs and compare interagency costs
178 on services provided by agencies that are a part of the criminal justice
179 system;

180 (8) Review the program inventories [and cost-benefit analyses]
181 submitted pursuant to section [4-68s] 2-33b, as amended by this act, and
182 consider incorporating such inventories and analyses in its budget
183 recommendations to the General Assembly;

184 (9) Make population computations for use in planning for the long-
185 range needs of the criminal justice system;

186 (10) Determine long-range information needs of the criminal justice
187 system and acquire that information;

188 (11) Cooperate with the Office of the Victim Advocate by providing
189 information and assistance to the office relating to the improvement of
190 crime victims' services;

191 (12) Serve as the liaison for the state to the United States Department
192 of Justice on criminal justice issues of interest to the state and federal
193 government relating to data, information systems and research;

194 (13) Measure the success of community-based services and programs
195 in reducing recidivism;

196 (14) Develop and implement a comprehensive reentry strategy as
197 provided in section 18-81w; and

198 (15) Engage in other activities consistent with the responsibilities of
199 the division.

200 Sec. 3. Section 4-77c of the general statutes is repealed and the
 201 following is substituted in lieu thereof (*Effective from passage*):

202 [The Departments of Correction, Children and Families and Mental
 203 Health and Addiction Services, and the Court Support Services Division
 204 of the Judicial Branch may] Any agency or division that compiles a
 205 program inventory pursuant to subsection (b) of section 2-33b, as
 206 amended by this act, shall include in the estimates of expenditure
 207 requirements transmitted pursuant to section 4-77, and the Governor
 208 [may] shall include in the Governor's recommended appropriations in
 209 the budget document transmitted to the General Assembly pursuant to
 210 section 4-71, an estimate of the amount required by said agencies for
 211 expenditures related to the implementation of evidence-based
 212 programs, as defined in section 2-33b, as amended by this act.

213 Sec. 4. Subsection (h) of section 46b-121n of the 2020 supplement to
 214 the general statutes is repealed and the following is substituted in lieu
 215 thereof (*Effective from passage*):

216 (h) The committee shall complete its duties under this section after
 217 consultation with one or more organizations that focus on relevant
 218 issues regarding children and youths, such as the University of New
 219 Haven and any of the university's institutes. The committee may accept
 220 administrative support and technical and research assistance from any
 221 such organization. [The committee shall work in collaboration with any
 222 results first initiative implemented pursuant to section 2-111 or any
 223 public or special act.]

224 Sec. 5. Sections 2-111, 4-68r and 4-68s of the general statutes are
 225 repealed. (*Effective from passage*)

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	2-33b
Sec. 2	<i>from passage</i>	4-68m(b)
Sec. 3	<i>from passage</i>	4-77c
Sec. 4	<i>from passage</i>	46b-121n(h)

Sec. 5	<i>from passage</i>	Repealer section
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Statement of Purpose:

To update the performance-informed budget review process of state agencies.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]