



General Assembly

**Amendment**

January Session, 2017

LCO No. 6697



Offered by:

REP. KLARIDES, 114<sup>th</sup> Dist.  
REP. CANDELORA, 86<sup>th</sup> Dist.  
REP. HOYDICK, 120<sup>th</sup> Dist.  
REP. O'DEA, 125<sup>th</sup> Dist.  
REP. O'NEILL, 69<sup>th</sup> Dist.

To: Subst. House Bill No. 5589

File No. 577

Cal. No. 379

**"AN ACT CONCERNING CAMPAIGN FINANCE REFORM."**

1 After the last section, add the following and renumber sections and  
2 internal references accordingly:

3 "Sec. 501. Subsections (f) and (g) of section 9-612 of the general  
4 statutes are repealed and the following is substituted in lieu thereof  
5 (*Effective January 1, 2018*):

6 (f) (1) As used in this subsection and subsections (g) and (h) of this  
7 section:

8 (A) "Quasi-public agency" has the same meaning as provided in  
9 section 1-120.

10 (B) "State agency" means any office, department, board, council,  
11 commission, institution or other agency in the executive or legislative

12 branch of state government.

13 (C) "State contract" means an agreement or contract with the state or  
14 any state agency or any quasi-public agency, let through a  
15 procurement process or otherwise, having a value of fifty thousand  
16 dollars or more, or a combination or series of such agreements or  
17 contracts having a value of one hundred thousand dollars or more in a  
18 calendar year, for (i) the rendition of services, (ii) the furnishing of any  
19 goods, material, supplies, equipment or any items of any kind, (iii) the  
20 construction, alteration or repair of any public building or public work,  
21 (iv) the acquisition, sale or lease of any land or building, (v) a licensing  
22 arrangement, or (vi) a grant, loan or loan guarantee. "State contract"  
23 does not include any agreement or contract with the state, any state  
24 agency or any quasi-public agency that is exclusively federally funded,  
25 an education loan, a loan to an individual for other than commercial  
26 purposes or any agreement or contract between the state or any state  
27 agency and the United States Department of the Navy or the United  
28 States Department of Defense.

29 (D) "State contractor" means a person, business entity or nonprofit  
30 organization that enters into a state contract. Such person, business  
31 entity or nonprofit organization shall be deemed to be a state  
32 contractor until December thirty-first of the year in which such  
33 contract terminates. "State contractor" does not include a municipality  
34 or any other political subdivision of the state, including any entities or  
35 associations duly created by the municipality or political subdivision  
36 exclusively amongst themselves to further any purpose authorized by  
37 statute or charter, or an employee in the executive or legislative branch  
38 of state government or a quasi-public agency, whether in the classified  
39 or unclassified service and full or part-time, and only in such person's  
40 capacity as a state or quasi-public agency employee.

41 (E) "First five plus program participant" means a recipient of  
42 financial assistance for an eligible business development project  
43 pursuant to section 32-4l.

44 [(E)] (F) "Prospective state contractor" means a person, business  
45 entity or nonprofit organization that (i) submits a response to a state  
46 contract solicitation by the state, a state agency or a quasi-public  
47 agency, or a proposal in response to a request for proposals by the  
48 state, a state agency or a quasi-public agency, until the contract has  
49 been entered into, or (ii) holds a valid prequalification certificate  
50 issued by the Commissioner of Administrative Services under section  
51 4a-100. "Prospective state contractor" does not include a municipality  
52 or any other political subdivision of the state, including any entities or  
53 associations duly created by the municipality or political subdivision  
54 exclusively amongst themselves to further any purpose authorized by  
55 statute or charter, or an employee in the executive or legislative branch  
56 of state government or a quasi-public agency, whether in the classified  
57 or unclassified service and full or part-time, and only in such person's  
58 capacity as a state or quasi-public agency employee.

59 (G) "Prospective first five plus program participant" means an  
60 applicant for financial assistance for an eligible business development  
61 project pursuant to section 32-4/ that has not been approved by the  
62 Governor.

63 [(F)] (H) "Principal of a state contractor or prospective state  
64 contractor" means (i) any individual who is a member of the board of  
65 directors of, or has an ownership interest of five per cent or more in, a  
66 state contractor or prospective state contractor, which is a business  
67 entity, except for an individual who is a member of the board of  
68 directors of a nonprofit organization, (ii) an individual who is  
69 employed by a state contractor or prospective state contractor, which is  
70 a business entity, as president, treasurer or executive vice president,  
71 (iii) an individual who is the chief executive officer of a state contractor  
72 or prospective state contractor, which is not a business entity, or if a  
73 state contractor or prospective state contractor has no such officer, then  
74 the officer who duly possesses comparable powers and duties, (iv) an  
75 officer or an employee of any state contractor or prospective state  
76 contractor who has managerial or discretionary responsibilities with  
77 respect to a state contract, (v) the spouse or a dependent child who is

78 eighteen years of age or older of an individual described in this  
79 subparagraph, or (vi) a political committee established or controlled by  
80 an individual described in this subparagraph or the business entity or  
81 nonprofit organization that is the state contractor or prospective state  
82 contractor.

83 (I) "Principal of a first five plus program participant or prospective  
84 first five plus program participant" means (i) any individual who is a  
85 member of the board of directors of, or has an ownership interest of  
86 five per cent or more in, a first five plus program participant or  
87 prospective first five plus program participant, which is a business  
88 entity, except for an individual who is a member of the board of  
89 directors of a nonprofit organization, (ii) an individual who is  
90 employed by a first five plus program participant or prospective first  
91 five plus program participant, which is a business entity, as president,  
92 treasurer or executive vice president, (iii) an individual who is the  
93 chief executive officer of a first five plus program participant or  
94 prospective first five plus program participant, which is not a business  
95 entity, or if a first five plus program participant or prospective first five  
96 plus program participant has no such officer, then the officer who duly  
97 possesses comparable powers and duties, (iv) an officer or an  
98 employee of any first five plus program participant or prospective first  
99 five plus program participant who has managerial or discretionary  
100 responsibilities with respect to an award of financial assistance  
101 pursuant to section 32-4l, (v) the spouse or a dependent child who is  
102 eighteen years of age or older of an individual described in this  
103 subparagraph, or (vi) a political committee established or controlled by  
104 an individual described in this subparagraph or the business entity or  
105 nonprofit organization that is the first five plus program participant or  
106 prospective first five plus program participant.

107 [(G)] (I) "Dependent child" means a child residing in an individual's  
108 household who may legally be claimed as a dependent on the federal  
109 income tax return of such individual.

110 [(H)] (K) "Managerial or discretionary responsibilities with respect

111 to a state contract" means having direct, extensive and substantive  
112 responsibilities with respect to the negotiation of the state contract and  
113 not peripheral, clerical or ministerial responsibilities.

114 (L) "Managerial or discretionary responsibilities with respect to an  
115 award of financial assistance pursuant to section 32-4!" means having  
116 direct, extensive and substantive responsibilities with respect to the  
117 application for financial assistance pursuant to section 32-4! and not  
118 peripheral, clerical or ministerial responsibilities.

119 [(I)] (M) "Rendition of services" means the provision of any service  
120 to a state agency or quasi-public agency in exchange for a fee,  
121 remuneration or compensation of any kind from the state or through  
122 an arrangement with the state.

123 [(J)] (N) "State contract solicitation" means a request by a state  
124 agency or quasi-public agency, in whatever form issued, including, but  
125 not limited to, an invitation to bid, request for proposals, request for  
126 information or request for quotes, inviting bids, quotes or other types  
127 of submittals, through a competitive procurement process or another  
128 process authorized by law waiving competitive procurement.

129 [(K)] (O) "Subcontractor" means any person, business entity or  
130 nonprofit organization that contracts to perform part or all of the  
131 obligations of (i) a state contractor's state contract, or (ii) a first five  
132 plus program participant's commitment in order to be deemed eligible  
133 for an award of financial assistance pursuant to section 32-4! Such  
134 person, business entity or nonprofit organization shall be deemed to be  
135 a subcontractor until December thirty-first of the year in which the  
136 subcontract terminates. "Subcontractor" does not include [(i)] (I) a  
137 municipality or any other political subdivision of the state, including  
138 any entities or associations duly created by the municipality or  
139 political subdivision exclusively amongst themselves to further any  
140 purpose authorized by statute or charter, or [(ii)] (II) an employee in  
141 the executive or legislative branch of state government or a quasi-  
142 public agency, whether in the classified or unclassified service and full

143 or part-time, and only in such person's capacity as a state or quasi-  
144 public agency employee.

145 [(L)] (P) "Principal of a subcontractor" means (i) any individual who  
146 is a member of the board of directors of, or has an ownership interest  
147 of five per cent or more in, a subcontractor, which is a business entity,  
148 except for an individual who is a member of the board of directors of a  
149 nonprofit organization, (ii) an individual who is employed by a  
150 subcontractor, which is a business entity, as president, treasurer or  
151 executive vice president, (iii) an individual who is the chief executive  
152 officer of a subcontractor, which is not a business entity, or if a  
153 subcontractor has no such officer, then the officer who duly possesses  
154 comparable powers and duties, (iv) an officer or an employee of any  
155 subcontractor who has managerial or discretionary responsibilities  
156 with respect to a subcontract with a state contractor or first five plus  
157 program participant, (v) the spouse or a dependent child who is  
158 eighteen years of age or older of an individual described in this  
159 subparagraph, or (vi) a political committee established or controlled by  
160 an individual described in this subparagraph or the business entity or  
161 nonprofit organization that is the subcontractor.

162 (2) (A) No state contractor, prospective state contractor, principal of  
163 a state contractor or principal of a prospective state contractor, with  
164 regard to a state contract or a state contract solicitation with or from a  
165 state agency in the executive branch or a quasi-public agency or a  
166 holder, or principal of a holder, of a valid prequalification certificate,  
167 shall make a contribution to, or, on and after January 1, 2011,  
168 knowingly solicit contributions from the state contractor's or  
169 prospective state contractor's employees or from a subcontractor or  
170 principals of the subcontractor on behalf of (i) an exploratory  
171 committee or candidate committee established by a candidate for  
172 nomination or election to the office of Governor, Lieutenant Governor,  
173 Attorney General, State Comptroller, Secretary of the State or State  
174 Treasurer, (ii) a political committee authorized to make contributions  
175 or expenditures to or for the benefit of such candidates, or (iii) a party  
176 committee. [;]

177 (B) No state contractor, prospective state contractor, principal of a  
178 state contractor or principal of a prospective state contractor, with  
179 regard to a state contract or a state contract solicitation with or from  
180 the General Assembly or a holder, or principal of a holder, of a valid  
181 prequalification certificate, shall make a contribution to, or, on and  
182 after January 1, 2011, knowingly solicit contributions from the state  
183 contractor's or prospective state contractor's employees or from a  
184 subcontractor or principals of the subcontractor on behalf of (i) an  
185 exploratory committee or candidate committee established by a  
186 candidate for nomination or election to the office of state senator or  
187 state representative, (ii) a political committee authorized to make  
188 contributions or expenditures to or for the benefit of such candidates,  
189 or (iii) a party committee. [;]

190 (C) No first five plus program participant, prospective first five plus  
191 program participant, principal of a first five plus program participant  
192 or principal of a prospective first five plus program participant, with  
193 regard to an award of financial assistance pursuant to section 32-4l or  
194 an application for such award pursuant to said section shall make a  
195 contribution to, or knowingly solicit contributions from such  
196 participant's employees or from a subcontractor or principals of such  
197 subcontractor on behalf of (i) an exploratory committee or candidate  
198 committee established by a candidate for nomination or election to the  
199 office of Governor, Lieutenant Governor, Attorney General, State  
200 Comptroller, Secretary of the State or State Treasurer, (ii) a political  
201 committee authorized to make contributions or expenditures to or for  
202 the benefit of such candidates, or (iii) a party committee.

203 ~~[(C)]~~ (D) (i) If a state contractor or principal of a state contractor  
204 makes or solicits a contribution as prohibited under subparagraph (A)  
205 or (B) of this subdivision, as determined by the State Elections  
206 Enforcement Commission, the contracting state agency or quasi-public  
207 agency may, in the case of a state contract executed on or after  
208 February 8, 2007, void the existing contract with such contractor, and  
209 no state agency or quasi-public agency shall award the state contractor  
210 a state contract or an extension or an amendment to a state contract for

211 one year after the election for which such contribution is made or  
212 solicited unless the commission determines that mitigating  
213 circumstances exist concerning such violation; [.]

214 (ii) If a first five plus program participant or principal of a first five  
215 plus program participant makes or solicits a contribution as prohibited  
216 under subparagraph (C) of this subdivision, as determined by the State  
217 Election Enforcement Commission, the Commissioner of Economic  
218 and Community Development may deem the business development  
219 project of such participant ineligible for financial assistance under the  
220 first five plus program and require the repayment of such financial  
221 assistance, and said commissioner shall deem any such project of such  
222 participant ineligible for financial assistance under the first five plus  
223 program for one year after the election for which such contribution is  
224 made or solicited unless the commission determines that mitigating  
225 circumstances exist concerning such violation.

226 (iii) No violation of the prohibitions contained in subparagraph (A),  
227 [or] (B) or (C) of this subdivision shall be deemed to have occurred if,  
228 and only if, the improper contribution is returned to the principal by  
229 the later of thirty days after receipt of such contribution by the  
230 recipient committee treasurer or the filing date that corresponds with  
231 the reporting period in which such contribution was made. [;]

232 [(D)] (E) (i) If a prospective state contractor or principal of a  
233 prospective state contractor makes or solicits a contribution as  
234 prohibited under subparagraph (A) or (B) of this subdivision, as  
235 determined by the State Elections Enforcement Commission, no state  
236 agency or quasi-public agency shall award the prospective state  
237 contractor the contract described in the state contract solicitation or  
238 any other state contract for one year after the election for which such  
239 contribution is made or solicited unless the commission determines  
240 that mitigating circumstances exist concerning such violation. The  
241 Commissioner of Administrative Services shall notify applicants of the  
242 provisions of this subparagraph and subparagraphs (A) and (B) of this  
243 subdivision during the prequalification application process. [; and]



244 (ii) If a prospective first five plus program participant or principal of  
245 a prospective first five plus program participant makes or solicits a  
246 contribution as prohibited under subparagraph (C) of this subdivision,  
247 as determined by the State Elections Enforcement Commission, the  
248 Commissioner of Economic and Community Development shall deem  
249 the business development project of such prospective participant  
250 ineligible for financial assistance under the first five plus program and  
251 deem any such project of such prospective participant ineligible for  
252 financial assistance under the first five plus program for one year after  
253 the election for which such contribution is made or solicited unless the  
254 commission determines that mitigating circumstances exist concerning  
255 such violation. Said commissioner shall notify any such prospective  
256 participant of the provisions of this subparagraph and subparagraph  
257 (C) of this subdivision during the application process.

258 [(E)] (F) (i) The State Elections Enforcement Commission shall make  
259 available to each state agency and quasi-public agency a written notice  
260 advising state contractors and prospective state contractors of the  
261 contribution and solicitation prohibitions contained in subparagraphs  
262 (A) and (B) of this subdivision. Such notice shall: [(i)] (I) Direct each  
263 state contractor and prospective state contractor to inform each  
264 individual described in subparagraph [(F)] (H) of subdivision (1) of  
265 this subsection, with regard to such state contractor or prospective  
266 state contractor, about the provisions of subparagraph (A) or (B) of this  
267 subdivision, whichever is applicable, and this subparagraph; [(ii)] (II)  
268 inform each state contractor and prospective state contractor of the  
269 civil and criminal penalties that could be imposed for violations of  
270 such prohibitions if any such contribution is made or solicited; [(iii)]  
271 (III) inform each state contractor and prospective state contractor that,  
272 in the case of a state contractor, if any such contribution is made or  
273 solicited, the contract may be voided; [(iv)] (IV) inform each state  
274 contractor and prospective state contractor that, in the case of a  
275 prospective state contractor, if any such contribution is made or  
276 solicited, the contract described in the state contract solicitation shall  
277 not be awarded, unless the commission determines that mitigating

278 circumstances exist concerning such violation; and [(v)] (V) inform  
279 each state contractor and prospective state contractor that the state will  
280 not award any other state contract to anyone found in violation of such  
281 prohibitions for a period of one year after the election for which such  
282 contribution is made or solicited, unless the commission determines  
283 that mitigating circumstances exist concerning such violation. Each  
284 state agency and quasi-public agency shall distribute such notice to the  
285 chief executive officer of its contractors and prospective state  
286 contractors, or an authorized signatory to a state contract, and shall  
287 obtain a written acknowledgment of the receipt of such notice.

288 (ii) The State Elections Enforcement Commission shall make  
289 available to the Commissioner of Economic and Community  
290 Development a written notice advising first five plus program  
291 participants and prospective first five plus program participants of the  
292 contribution and solicitations prohibitions contained in subparagraph  
293 (C) of this subdivision. Such notice shall: (I) Direct each first five plus  
294 program participant and prospective first five plus program  
295 participant to inform each individual described in subparagraph (I) of  
296 subdivision (1) of this subsection, with regard to such first five plus  
297 program participant and prospective first five plus program  
298 participant, about the provisions of subparagraph (C) of this  
299 subdivision and this subparagraph; (II) inform each first five plus  
300 program participant and prospective first five plus program  
301 participant of the civil and criminal penalties that could be imposed for  
302 violations of such prohibitions if any such contribution is made or  
303 solicited; (III) inform each first five plus program participant and  
304 prospective first five plus program participant that, in the case of a first  
305 five plus program participant, if any such contribution is made or  
306 solicited, the business development project of such participant may be  
307 deemed ineligible for financial assistance under the first five plus  
308 program; (IV) inform each first five plus program participant and  
309 prospective first five plus program participant that, in the case of a  
310 prospective first five plus program participant, if any such  
311 contribution is made or solicited, the business development project of

312 such prospective participant shall be deemed ineligible for financial  
313 assistance under the first five plus program, unless the commission  
314 determines that mitigating circumstances exist concerning such  
315 violation; and (V) inform each first five plus program participant and  
316 prospective first five plus program participant that the Commissioner  
317 of Economic and Community Development will deem any business  
318 development project ineligible for financial assistance under the first  
319 five plus program for anyone found in violation of such prohibitions  
320 for a period of one year after the election for which such contribution is  
321 made or solicited, unless the commission determines that mitigating  
322 circumstances exist concerning such violation. Said commissioner shall  
323 distribute such notice to the chief executive officer of each such  
324 participant or prospective participant, or an authorized agent of such  
325 participant or prospective participant, and shall obtain a written  
326 acknowledgment of the receipt of such notice.

327 (3) (A) On and after December 31, 2006, neither the Governor,  
328 Lieutenant Governor, Attorney General, State Comptroller, Secretary  
329 of the State or State Treasurer, any candidate for any such office nor  
330 any agent of any such official or candidate shall knowingly, wilfully or  
331 intentionally solicit contributions on behalf of an exploratory  
332 committee or candidate committee established by a candidate for  
333 nomination or election to any public office, a political committee or a  
334 party committee, from a person who he or she knows is prohibited  
335 from making contributions, including (i) a principal of a state  
336 contractor or prospective state contractor with regard to a state  
337 contract solicitation with or from a state agency in the executive branch  
338 or a quasi-public agency or a holder of a valid prequalification  
339 certificate, or (ii) a principal of a first five plus program participant or  
340 prospective first five plus program participant with regard to an  
341 application for an award of financial assistance pursuant to section 32-  
342 4l.

343 (B) On and after December 31, 2006, neither a member of the  
344 General Assembly, any candidate for any such office nor any agent of  
345 any such official or candidate shall knowingly, wilfully or intentionally

346 solicit contributions on behalf of an exploratory committee or  
347 candidate committee established by a candidate for nomination or  
348 election to any public office, a political committee or a party  
349 committee, from a person who he or she knows is prohibited from  
350 making contributions, including a principal of a state contractor or  
351 prospective state contractor with regard to a state contract solicitation  
352 with or from the General Assembly or a holder of a valid  
353 prequalification certificate.

354 (4) The provisions of this subsection shall not apply to (A) the  
355 campaign of a principal of a state contractor or prospective state  
356 contractor or a principal of a first five plus program participant or  
357 prospective first five plus program participant, or [to] (B) a principal of  
358 a state contractor or prospective state contractor or a principal of a first  
359 five plus program participant or prospective first five plus program  
360 participant who is an elected public official.

361 (5) Each state contractor, [and] prospective state contractor, first five  
362 plus program participant and prospective first five plus program  
363 participant shall make reasonable efforts to comply with the provisions  
364 of this subsection. If the State Elections Enforcement Commission  
365 determines that a state contractor, [or] prospective state contractor,  
366 first five plus program participant or prospective first five plus  
367 program participant has failed to make reasonable efforts to comply  
368 with this subsection, the commission may impose civil penalties  
369 against such state contractor, [or] prospective state contractor, first five  
370 plus program participant or prospective first five plus program  
371 participant in accordance with subsection (a) of section 9-7b.

372 (g) (1) (A) Not later than thirty days after February 8, 2007, each  
373 state agency and quasi-public agency shall prepare and forward to the  
374 State Elections Enforcement Commission, on a form prescribed by  
375 [said] the commission, a list of the names of the state contractors and  
376 prospective state contractors with which such agency is a party to a  
377 contract, and any state contract solicitations or prequalification  
378 certificates issued by the agency. Not less than once per month, each

379 state agency and quasi-public agency shall forward to [said] the  
380 commission, on a form prescribed by the commission, any changes,  
381 additions or deletions to [said] such lists, not later than the fifteenth  
382 day of the month.

383 [(2)] (B) Not later than sixty days after February 8, 2007, the State  
384 Elections Enforcement Commission shall [(A)] (i) compile a master list  
385 of state contractors and prospective state contractors for all state  
386 agencies and quasi-public agencies, based on the information received  
387 under [subdivision (1) of this subsection, (B)] subparagraph (A) of this  
388 subdivision, (ii) publish the master list on the commission's Internet  
389 web site, and [(C)] (iii) provide copies of the master list to treasurers  
390 upon request. The commission shall update the master list every  
391 month.

392 (2) (A) Not later than thirty days after February 8, 2018, the  
393 Commissioner of Economic and Community Development shall  
394 prepare and forward to the State Election Enforcement Commission,  
395 on a form prescribed by the commission, a list of the names of the first  
396 five plus program participants and prospective first five plus program  
397 participants, and any applications for an award of financial assistance  
398 pursuant to section 32-4l. Not less than once per month, said  
399 commissioner shall forward to the commission, on a form prescribed  
400 by the commission, any changes, additions or deletions to such lists,  
401 not later than the fifteenth day of the month.

402 (B) Not later than sixty days after February 8, 2018, the State  
403 Elections Enforcement Commission shall (i) compile a master list of  
404 first five plus program participants and prospective first five plus  
405 program participants, based on the information received under  
406 subparagraph (A) of this subdivision, (ii) publish the master list on the  
407 commission's Internet web site, and (iii) provide copies of the master  
408 list to treasurers upon request. The commission shall update the  
409 master list every month.

410 Sec. 502. Subparagraph (B) of subdivision (10) of subsection (b) of

411 section 9-601a of the general statutes is repealed and the following is  
412 substituted in lieu thereof (*Effective January 1, 2018*):

413 (B) The purchase of advertising space which clearly identifies the  
414 purchaser, in a program for a fund-raising affair or on signs at a fund-  
415 raising affair sponsored by a party committee or a political committee,  
416 other than an exploratory committee, provided the cumulative  
417 purchase of such space does not exceed two hundred fifty dollars from  
418 any single party committee or a political committee, other than an  
419 exploratory committee, in any calendar year if the purchaser is a  
420 business entity or fifty dollars for purchases by any other person.  
421 Notwithstanding the provisions of this subparagraph, the following  
422 may not purchase advertising space in a program for a fund-raising  
423 affair or on signs at a fund-raising affair sponsored by a party  
424 committee or a political committee, other than an exploratory  
425 committee: (i) A communicator lobbyist, (ii) a member of the  
426 immediate family of a communicator lobbyist, (iii) a state contractor,  
427 (iv) a prospective state contractor, [or] (v) a principal of a state  
428 contractor or prospective state contractor, (vi) a first five plus program  
429 participant, (vii) a prospective first five plus program participant, or  
430 (viii) a principal of a first five plus program participant or prospective  
431 first five plus program participant. As used in this subparagraph,  
432 "state contractor", "prospective state contractor", [and] "principal of a  
433 state contractor or prospective state contractor", "first five plus  
434 program participant", "prospective first five plus program participant",  
435 and "principal of a first five plus program or prospective first five plus  
436 program participant" have the same meanings as provided in  
437 subsection (f) of section 9-612, as amended by this act;

438 Sec. 503. Subdivision (3) of subsection (c) of section 9-608 of the  
439 general statutes is repealed and the following is substituted in lieu  
440 thereof (*Effective January 1, 2018*):

441 (3) In addition to the requirements of subdivision (2) of this  
442 subsection, each contributor who makes a contribution to a candidate  
443 or exploratory committee for Governor, Lieutenant Governor,

444 Attorney General, State Comptroller, Secretary of the State, State  
445 Treasurer, state senator or state representative, any political committee  
446 authorized to make contributions to such candidates or committees,  
447 and any party committee that separately, or in the aggregate, exceeds  
448 fifty dollars shall provide with the contribution: (A) The name of the  
449 contributor's employer, if any; (B) the contributor's status as a  
450 communicator lobbyist, as defined in section 1-91, a member of the  
451 immediate family of a communicator lobbyist, a state contractor, a  
452 prospective state contractor, [or] a principal of a state contractor or  
453 prospective state contractor, a first five plus program participant, a  
454 prospective first five plus program participant or a principal of a first  
455 five plus program participant or prospective first five plus program  
456 participant, as defined in section 9-612, as amended by this act; and (C)  
457 a certification that the contributor is not prohibited from making a  
458 contribution to such candidate or committee. The State Elections  
459 Enforcement Commission shall prepare a sample form for such  
460 certification by the contributor and shall make it available to treasurers  
461 and contributors. Such sample form shall include an explanation of the  
462 terms "communicator lobbyist", "principal of a state contractor or  
463 prospective state contractor", "immediate family", "state contractor",  
464 [and] "prospective state contractor", "principal of a first five plus  
465 program participant or prospective first five plus program  
466 participant", "first five plus program participant" and "prospective first  
467 five plus program participant". The information on such sample form  
468 shall be included in any written solicitation conducted by any such  
469 committee. If a treasurer receives such a contribution and the  
470 contributor has not provided such certification, the treasurer shall: (i)  
471 Not later than three business days after receiving the contribution,  
472 send a request for the certification to the contributor by certified mail,  
473 return receipt requested; (ii) not deposit the contribution until the  
474 treasurer obtains the certification from the contributor,  
475 notwithstanding the provisions of section 9-606; and (iii) return the  
476 contribution to the contributor if the contributor does not provide the  
477 certification not later than fourteen days after the treasurer's written  
478 request or at the end of the reporting period in which the contribution

479 was received, whichever is later. No treasurer shall be required to  
480 obtain and keep more than one certification from each contributor,  
481 unless information certified to by the contributor, other than the  
482 amount contributed, changes. If a treasurer deposits a contribution  
483 based on a certification that is later determined to be false, the  
484 treasurer shall have a complete defense to any action, including but  
485 not limited to, any complaint investigated by the State Elections  
486 Enforcement Commission or any other investigation initiated by [said]  
487 the commission, against such treasurer for the receipt of such  
488 contribution.

489 Sec. 504. Subsections (c) to (e), inclusive, of section 9-704 of the  
490 general statutes are repealed and the following is substituted in lieu  
491 thereof (*Effective January 1, 2018*):

492 (c) The following shall not be deemed to be qualifying contributions  
493 under subsection (a) of this section and shall be returned by the  
494 treasurer of the candidate committee to the contributor or transmitted  
495 to the State Elections Enforcement Commission for deposit in the  
496 Citizens' Election Fund:

497 (1) A contribution from a principal of a state contractor or  
498 prospective state contractor or a principal of a first five plus program  
499 participant or prospective first five plus program participant;

500 (2) A contribution of less than five dollars, and a contribution of five  
501 dollars or more from an individual who does not provide the full name  
502 and complete address of the individual;

503 (3) A contribution under subdivision (1) or (2) of subsection (a) of  
504 this section from an individual who does not reside in the state, in  
505 excess of the applicable limit on contributions from out-of-state  
506 individuals in subsection (a) of this section; and

507 (4) A contribution made by a youth who is less than twelve years of  
508 age.



509 (d) After a candidate committee receives the applicable aggregate  
 510 amount of qualifying contributions under subsection (a) of this section,  
 511 the candidate committee shall transmit any additional contributions  
 512 that it receives to the State Treasurer for deposit in the Citizens'  
 513 Election Fund.

514 (e) As used in this section, "principal of a state contractor or  
 515 prospective state contractor" [has the same meaning] and "principal of  
 516 a first five plus program participant or prospective first five plus  
 517 program participant" have the same meanings as provided in  
 518 subsection [(g)] (f) of section 9-612, as amended by this act, and  
 519 "individual" shall include sole proprietorships."

This act shall take effect as follows and shall amend the following sections:		
Sec. 501	<i>January 1, 2018</i>	9-612(f) and (g)
Sec. 502	<i>January 1, 2018</i>	9-601a(b)(10)(B)
Sec. 503	<i>January 1, 2018</i>	9-608(c)(3)
Sec. 504	<i>January 1, 2018</i>	9-704(c) to (e)