



DENISE L. NAPPIER
TREASURER

State of Connecticut
Office of the Treasurer

RICHARD D. GRAY
DEPUTY TREASURER

May 26, 2016

Mark F. Kohler
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

RE: Regulations for the Office of the Treasurer

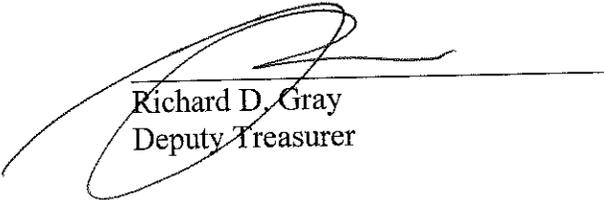
I, Richard Gray, Deputy Treasurer of the Office of the Treasurer, hereby certify that:

1. In compliance with Conn. Gen. Stat. § 4-168(a)(1), on April 15, 2016, the Office of the Treasurer gave notice by posting a notice on the eRegulations System of its intention to adopt regulations regarding *Description of Organization; Personal Data; Disclosure of Third Party Fees; Combined Investment Pool; Combined Investment Fund; and Connecticut Higher Education Trust* that included the information required in said statute.
2. In compliance with Conn. Gen. Stat. § 4-168(a)(2), the Office of the Treasurer on April 15, 2016 posted a copy of the proposed regulation on the eRegulations System.
3. In compliance with Conn. Gen. Stat. § 4-168(a)(3), the Office of the Treasurer on April 15, 2016 gave notice electronically to each joint standing committee of the General Assembly having cognizance of the subject matter of the proposed regulation.
4. The Office of the Treasurer received no requests for advance notice of its regulation-making proceedings.
5. The Office of the Treasurer received no requests for a paper copy or electronic version of the proposed regulation, as described in Conn. Gen. Stat. § 4-168(a)(5).]
6. In compliance with Conn. Gen. Stat. § 4-168(a)(6), the Office of the Treasurer prepared a fiscal note, including an estimate of the cost or of the revenue impact of the proposed regulations (A) on the state or any municipality of the state, and

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- (B) on small businesses in the state, including an estimate of the number of small businesses subject to the proposed regulation and the projected costs, including but not limited to, reporting, recordkeeping and administrative, associated with compliance with the proposed regulation and, if applicable, the regulatory flexibility analysis prepared under Conn. Gen. Stat. § 4-168a.
7. All interested persons were given reasonable opportunities to submit data, views or arguments, orally at a hearing if granted under Conn. Gen. Stat. § 4-168(b) or in writing, concerning the proposed regulations and to inspect and copy or view online and print the fiscal note referred to in paragraph (6) above.
 8. No request for an opportunity to present oral argument was made by fifteen persons, by a governmental subdivision or agency or by an association having not less than fifteen members, within fourteen days of the posting of the notice on the eRegulations System.
 9. No written or oral submissions respecting the proposed regulation were received by the Office of the Treasurer.
 10. No revisions to the fiscal note referred to in paragraph (6) above were necessary in light of the submissions respecting the proposed regulation.
 11. In compliance with Conn. Gen. Stat. § 4-168(e), on May 26, 2016, the Office of the Treasurer posted on the eRegulations System notice that it decided to take action on the proposed regulation and has provided such notice electronically to all persons who submitted oral or written comments concerning the regulation and has provided a paper copy of such notice to all persons who submitted comments in a non-electronic format. The Office of the Treasurer has also posted on the eRegulations System the final wording of the proposed regulation. The Office of the Treasurer did not issue the statements described in Conn. Gen. Stat. § 4-168(e)(2)-(3) because no oral or written data, views, or comments were received by the Office of the Treasurer.



Richard D. Gray
Deputy Treasurer