STATE OF CONNECTICUT
PUBLIC UTILITIES REGULATORY AUTHORITY

I, Arthur House, Chairman of the Public Utilities Regulatory Authority, hereby certify that:

1. In compliance with Conn. Gen. Stat. § 4-168(a)(1), on January 27, 2016, the Public Utilities Regulatory Authority gave notice by posting a notice on the eRegulations System of its intention to adopt regulations regarding the protection of whistle-blowers that included the information required in said statute.

2. In compliance with Conn. Gen. Stat. § 4-168(a)(2), the Public Utilities Regulatory Authority on January 27, 2016, posted a copy of the proposed regulation on the eRegulations System.

3. In compliance with Conn. Gen. Stat. § 4-168(a)(3), the Public Utilities Regulatory Authority on January 27, 2016, gave notice electronically to each joint standing committee of the General Assembly having cognizance of the subject matter of the proposed regulation.

4. In compliance with Conn. Gen. Stat. § 4-168(a)(4), the Public Utilities Regulatory Authority on January 27, 2016, gave notice electronically or provided paper copies to all persons who made requests for advance notice of its regulation-making proceedings.

5. The Public Utilities Regulatory Authority received no requests for a paper copy or electronic version of the proposed regulation, as described in Conn. Gen. Stat. § 4-168(a)(5).

6. In compliance with Conn. Gen. Stat. § 4-168(a)(6), the Public Utilities Regulatory Authority prepared a fiscal note, including an estimate of the cost or of the revenue impact of the proposed regulations (A) on the state or any municipality of the state, and (B) on small businesses in the state, including an estimate of the number of small businesses subject to the proposed regulation and the projected costs, including but not limited to, reporting, recordkeeping and administrative, associated with compliance with the proposed regulation and, if applicable, the regulatory flexibility analysis prepared under Conn. Gen. Stat. § 4-168a.

7. All interested persons were given reasonable opportunities to submit data, views or arguments, orally at a hearing if granted under Conn. Gen. Stat. § 4-168(b) or in writing, concerning the proposed regulations and to inspect and copy or view online and print the fiscal note referred to in paragraph (6) above.

8. No request for an opportunity to present oral argument was made by fifteen persons, by a governmental subdivision or agency or by an association having

not less than fifteen members, within fourteen days of the posting of the notice on the eRegulations System.

9. No written or oral submissions respecting the proposed regulation were received by the Public Utilities Regulatory Authority.

10. No revisions to the fiscal note referred to in paragraph (6) above were necessary in light of the submissions respecting the proposed regulation.

11. In compliance with Conn. Gen. Stat. § 4-168(e), on March 2, 2016, the Public Utilities Regulatory Authority posted on the eRegulations System notice that it decided to take action on the proposed regulation and has provided such notice electronically to all persons who submitted oral or written comments concerning the regulation and has provided a paper copy of such notice to all persons who submitted comments in a non-electronic format. The Public Utilities Regulatory Authority has also posted on the eRegulations System the final wording of the proposed regulation. The Public Utilities Regulatory Authority did not issue the statements described in Conn. Gen. Stat. § 4-168(e)(2)-(3) because no oral or written data, views, or comments were received by the Public Utilities Regulatory Authority.

Arthur H. House

Arthur House
Chairman

March 2, 2016