



## RECOVERIES FROM PUBLIC ASSISTANCE RECIPIENTS: FYS 10 TO 15

By: Kristin Sullivan, Chief Analyst

### ISSUE

How much did the state recover from current or former public assistance recipients in FYS 10 to 15?

### SUMMARY

According to data provided to us by the Department of Administrative Services (DAS), the state recovered \$229,466,448 from current or former public assistance recipients in FYS 10 to 15, or an average of \$38,244,408 per year. By law, the DAS Collections Recovery Unit is responsible for recovering this assistance from Department of Social Services (DSS) program beneficiaries who receive a financial windfall (e.g., lottery winnings, lawsuit proceeds, or inheritances) or the estates of these individuals after they die (CGS §§ [17b-93 to -95](#)).

The law gives the state a claim that has priority over other unsecured claims against these individuals. DAS collects the claims by placing liens on windfalls and recovering from decedents' estates. The state generally takes half of windfall proceeds. The state's share of estate recoveries is the entire amount of assistance provided. (For detailed information on the recoveries process, see OLR Report [2009-R-0412](#).)

The DSS-administered programs for which DAS recovers assistance are the former Aid to Families with Dependent Children (AFDC); Temporary Assistance for Needy Families (TANF), which replaced AFDC in 1996; Medicaid; State-Administered General Assistance (SAGA); and the State Supplement. For programs receiving federal funding, certain portions of the recoveries are either returned to the federal government or used to offset future federal assistance. Specifically, DSS returns to the Centers for Medicare and Medicaid Services (CMS) the federal share of its



Medicaid recoveries, which is 50% (see [Form CMS-64](#) and [42 CFR § 430.30](#)). With AFDC, DSS also returns 50%. Finally, with TANF, 100% of recoveries are used to offset and reduce the federal basic cash assistance expenditures that the state claims (see Administration for Children and Families guidance, [TANF-ACF-PI-2006-03](#)).

Table 1 provides summary data on recoveries from current or former public assistance recipients in FYs 10 to 15. Tables 2 to 7 provide detailed data for each year.

**Table 1: FYs 10 – 15 Recoveries from Public Assistance Recipients\***

<b>Case Type</b>	<b>FY 10</b>	<b>FY 11</b>	<b>FY 12</b>	<b>FY 13</b>	<b>FY 14</b>	<b>FY 15</b>
Inheritance Liens	\$4,255,947	\$3,440,380	\$2,135,129	\$1,910,409	\$2,402,955	\$2,266,465
Accident or Insurance Settlement Liens	15,804,413	17,142,016	17,433,481	19,246,813	23,567,298	28,811,365
Decedent Estate Recoveries	13,385,739	13,445,307	13,134,108	15,647,274	18,714,991	16,722,358
<b>Total</b>	<b>\$33,446,098</b>	<b>\$34,027,703</b>	<b>\$32,702,718</b>	<b>\$36,804,496</b>	<b>\$44,685,244</b>	<b>\$47,800,189</b>

\*These figures represent the total amount collected by DAS; they include the recoveries that DSS returned to the federal government or used to offset future federal assistance.

**Table 2: FY 10 Recoveries**

<b>Case Type</b>	<b>Program</b>	<b>Amount Recovered</b>	<b>Percent of Recovery for Case Type</b>
<b>Inheritance Liens</b>	AFDC (pre 10/1/96)	\$2,370,814	55.71%
	TANF (post 10/1/96)	274,861	6.46%
	SAGA Cash	54,667	1.28%
	SAGA Medical	815,006	19.15%
	State Supplement	740,599	17.40%
	<b>Total</b>		<b>\$4,255,947</b>
<b>Accident or Insurance Settlement Liens</b>	AFDC (pre 10/1/96)	5,797,048	36.68%
	TANF (post 10/1/96)	2,896,474	18.33%
	Medicaid (non-managed care)	4,140,824	26.20%
	Medicaid (managed care)	43,342	0.27%
	SAGA Cash	331,733	2.10%
	SAGA Medical	2,160,450	13.67%
	State Supplement	434,541	2.75%
<b>Total</b>		<b>\$15,804,413</b>	

Table 2 (continued)

<i>Case Type</i>	<i>Program</i>	<i>Amount Recovered</i>	<i>Percent of Recovery for Case Type</i>
<b>Decedent Estate Recoveries</b>	AFDC (pre 10/1/96)	1,345,008	10.05%
	TANF (post 10/1/96)	75,706	0.57%
	Medicaid (non-managed care)	11,048,267	82.54%
	Medicaid (managed care)	7,881	0.06%
	SAGA Cash	24,872	0.19%
	SAGA Medical	661,273	4.94%
	State Supplement	222,731	1.66%
<b>Total</b>		<b>\$13,385,739</b>	
<b>Grand Total FY 10</b>		<b>\$33,446,098</b>	

Table 3: FY 11 Recoveries

<i>Case Type</i>	<i>Program</i>	<i>Amount Recovered</i>	<i>Percent of Recovery for Case Type</i>
<b>Inheritance Liens</b>	AFDC (pre 10/1/96)	\$1,886,798	54.84%
	TANF (post 10/1/96)	363,268	10.56%
	SAGA Cash	112,209	3.26%
	SAGA Medical	696,878	20.26%
	State Supplement	381,226	11.08%
<b>Total</b>		<b>\$3,440,380</b>	
<b>Accident or Insurance Settlement Liens</b>	AFDC (pre 10/1/96)	6,051,717	35.30%
	TANF (post 10/1/96)	3,472,582	20.26%
	Medicaid (non-managed care)	4,432,517	25.86%
	Medicaid (managed care)	256,486	1.50%
	SAGA Cash	232,967	1.36%
	SAGA Medical	2,133,255	12.44%
	State Supplement	562,492	3.28%
<b>Total</b>		<b>\$17,142,016</b>	
<b>Decedent Estate Recoveries</b>	AFDC (pre 10/1/96)	950,568	7.07%
	TANF (post 10/1/96)	63,738	0.47%
	Medicaid (non-managed care)	11,341,282	84.35%
	Medicaid (managed care)	4,060	0.03%
	SAGA Cash	80,749	0.60%
	SAGA Medical	451,711	3.36%
	State Supplement	553,199	4.11%
<b>Total</b>		<b>\$13,445,307</b>	
<b>Grand Total FY 11</b>		<b>\$34,027,703</b>	

Table 4: FY 12 Recoveries

<b>Case Type</b>	<b>Program</b>	<b>Amount Recovered</b>	<b>Percent of Recovery for Case Type</b>
<b>Inheritance Liens</b>	AFDC (pre 10/1/96)	\$1,376,540	64.47%
	TANF (post 10/1/96)	155,748	7.29%
	SAGA Cash	41,695	1.95%
	SAGA Medical	451,661	21.15%
	State Supplement	109,485	5.13%
<b>Total</b>		<b>\$2,135,129</b>	
<b>Accident or Insurance Settlement Liens</b>	AFDC (pre 10/1/96)	5,285,321	30.32%
	TANF (post 10/1/96)	3,739,172	21.45%
	Medicaid for Low Income Adults (LIA)	650,939	3.73%
	Medicaid (non-managed care)	4,588,921	26.32%
	Medicaid (managed care)	40,064	0.23%
	SAGA Cash	286,064	1.64%
	SAGA Medical	2,187,787	12.55%
	State Supplement	655,213	3.76%
	<b>Total</b>		<b>\$17,433,481</b>
<b>Decedent Estate Recoveries</b>	AFDC (pre 10/1/96)	1,271,529	9.68%
	TANF (post 10/1/96)	93,597	0.71%
	Medicaid (non-managed care)	10,728,477	81.68%
	Medicaid (managed care)	3,522	0.03%
	SAGA Cash	30,667	0.23%
	SAGA Medical	606,335	4.62%
	State Supplement	399,981	3.05%
<b>Total</b>		<b>\$13,134,108</b>	
<b>Grand Total FY 12</b>		<b>\$32,702,718</b>	

Table 5: FY 13 Recoveries

<b>Case Type</b>	<b>Program</b>	<b>Amount Recovered</b>	<b>Percent of Recovery for Case Type</b>
<b>Inheritance Liens</b>	AFDC (pre 10/1/96)	\$1,335,199	69.89%
	TANF (post 10/1/96)	219,458	11.49%
	SAGA Cash	24,131	1.26%
	SAGA Medical	262,505	13.74%
	State Supplement	69,115	3.62%
<b>Total</b>		<b>\$1,910,409</b>	
<b>Accident or Insurance Settlement Liens</b>	AFDC (pre 10/1/96)	5,311,828	27.60%
	TANF (post 10/1/96)	3,602,512	18.72%
	LIA Medicaid	1,272,284	6.61%
	Medicaid (non-managed care)	6,229,527	32.37%
	Medicaid (managed care)	10,901	0.06%
	SAGA Cash	244,209	1.27%
	SAGA Medical	2,029,785	10.55%
	State Supplement	545,767	2.84%
	<b>Total</b>		<b>\$19,246,813</b>
<b>Decedent Estate Recoveries</b>	AFDC (pre 10/1/96)	1,131,416	7.23%
	TANF (post 10/1/96)	25,178	0.16%
	LIA Medicaid	68,675	0.44%
	Medicaid (non-managed care)	12,676,574	81.01%
	Medicaid (managed care)	26,614	0.17%
	SAGA Cash	66,571	0.43%
	SAGA Medical	790,279	5.05%
	State Supplement	861,967	5.51%
<b>Total</b>		<b>\$15,647,274</b>	
<b>Grand Total FY 13</b>		<b>\$36,804,496</b>	

Table 6: FY 14 Recoveries

<b>Case Type</b>	<b>Program</b>	<b>Amount Recovered</b>	<b>Percent of Recovery for Case Type</b>
<b>Inheritance Liens</b>	AFDC (pre 10/1/96)	\$1,355,908	56.43%
	TANF (post 10/1/96)	206,613	8.60%
	SAGA Cash	142,820	5.94%
	SAGA Medical	471,714	19.63%
	State Supplement	225,900	9.40%
<b>Total</b>		<b>\$2,402,955</b>	
<b>Accident or Insurance Settlement Liens</b>	AFDC (pre 10/1/96)	5,216,537	22.13%
	TANF (post 10/1/96)	4,459,119	18.92%
	LIA Medicaid	2,993,658	12.70%
	Medicaid (non-managed care)	8,070,792	34.25%
	Medicaid (managed care)	2,022	0.01%
	SAGA Cash	271,527	1.15%
	SAGA Medical	2,159,378	9.16%
	State Supplement	394,265	1.67%
	<b>Total</b>		<b>\$23,567,298</b>
<b>Decedent Estate Recoveries</b>	AFDC (pre 10/1/96)	932,449	4.98%
	TANF (post 10/1/96)	87,444	0.47%
	LIA Medicaid	42,005	0.22%
	Medicaid (non-managed care)	16,180,347	86.46%
	SAGA Cash	26,982	0.14%
	SAGA Medical	914,227	4.88%
	State Supplement	531,537	2.84%
<b>Total</b>		<b>\$18,714,991</b>	
<b>Grand Total FY 14</b>		<b>\$44,685,244</b>	

Table 7: FY 15 Recoveries

<b>Case Type</b>	<b>Program</b>	<b>Amount Recovered</b>	<b>Percent of Recovery for Case Type</b>
<b>Inheritance Liens</b>	AFDC (pre 10/1/96)	\$1,389,006	61.29%
	TANF (post 10/1/96)	144,199	6.36%
	SAGA Cash	89,284	3.94%
	SAGA Medical	384,933	16.98%
	State Supplement	259,043	11.43%
<b>Total</b>		<b>\$2,266,465</b>	
<b>Accident or Insurance Settlement Liens</b>	AFDC (pre 10/1/96)	5,667,074	19.67%
	TANF (post 10/1/96)	4,228,527	14.68%
	LIA Medicaid	2,344,175	8.14%
	Medicaid (non-managed care)	13,892,235	48.22%
	SAGA Cash	274,091	0.95%
	SAGA Medical	1,949,318	6.77%
	State Supplement	455,945	1.58%
	<b>Total</b>		<b>\$28,811,365</b>
<b>Decedent Estate Recoveries</b>	AFDC (pre 10/1/96)	950,252	5.68%
	TANF (post 10/1/96)	36,171	0.22%
	LIA Medicaid	4,720	0.03%
	Medicaid (non-managed care)	14,353,639	85.84%
	SAGA Cash	29,404	0.18%
	SAGA Medical	1,107,174	6.62%
	State Supplement	240,999	1.44%
<b>Total</b>		<b>\$16,722,358</b>	
<b>Grand Total FY 15</b>		<b>\$47,800,189</b>	

**HYPERLINKS**

Centers for Medicare and Medicaid Services: [State Expenditure Reporting for Medicaid & CHIP, Form CMS-64](#).

Administration for Children and Families: [TANF-ACF-PI-2006-03 \(Collecting and Repaying Overpayments Made to Families under the Aid to Families with Dependent Children \(AFDC\) Program and the Temporary Assistance for Needy Families \(TANF\) Program\)](#).

KS:cmg