



General Assembly

**Amendment**

February Session, 2016

LCO No. 5593



Offered by:  
SEN. CASSANO, 4<sup>th</sup> Dist.

To: Subst. House Bill No. 5229

File No. 524

Cal. No. 517

**"AN ACT CHANGING CERTAIN REPORTING REQUIREMENTS IN  
THE STATE CODES OF ETHICS."**

1 After the last section, add the following and renumber sections and  
2 internal references accordingly:

3 "Sec. 501. Subdivision (2) of section 1-79 of the 2016 supplement to  
4 the general statutes is repealed and the following is substituted in lieu  
5 thereof (*Effective October 1, 2016*):

6 (2) "Business with which he is associated" means any sole  
7 proprietorship, partnership, firm, corporation, trust or other entity  
8 through which business for profit or not for profit is conducted in  
9 which the public official or state employee or member of his or her  
10 immediate family is a director, officer, owner, limited or general  
11 partner, beneficiary of a trust or holder of stock constituting five per  
12 cent or more of the total outstanding stock of any class, provided, a  
13 public official or state employee, or member of his or her immediate  
14 family, shall not be deemed to be associated with a not for profit entity  
15 solely by virtue of the fact that the public official or state employee or

16 member of his or her immediate family is an unpaid director or officer  
17 of the not for profit entity. ["Officer"] "Business with which he is  
18 associated" also includes a second business held by the business with  
19 which he is associated, if the business with which he is associated is a  
20 director, officer, owner, limited or general partner, beneficiary of a  
21 trust or holder of stock constituting five per cent or more of the total  
22 outstanding stock of any class of such second business. For purposes of  
23 this subsection, "officer" refers only to the president, executive or  
24 senior vice president or treasurer of such business and to any person  
25 who exercises exclusive control over such business.

26 Sec. 502. Subdivision (11) of section 1-79 of the 2016 supplement to  
27 the general statutes is repealed and the following is substituted in lieu  
28 thereof (*Effective October 1, 2016*):

29 (11) "Public official" means (A) any state-wide elected officer; [ ] (B)  
30 any member or member-elect of the General Assembly; [ ] (C) any  
31 person appointed to any office of the legislative, judicial or executive  
32 branch of state government by the Governor, [or] any other state-wide  
33 elected officer or an appointee of the Governor, with or without the  
34 advice and consent of the General Assembly; [ ] (D) any public  
35 member or representative of the teachers' unions or state employees'  
36 unions appointed to the Investment Advisory Council pursuant to  
37 subsection (a) of section 3-13b; [ ] (E) any person appointed or elected  
38 by the General Assembly or by any member of either house thereof; [ ]  
39 (F) any member or director of a quasi-public agency; and (G) the  
40 spouse of the Governor. [ , but] "Public official" does not include a  
41 member of an advisory board, a judge of any court either elected or  
42 appointed, any person appointed by a judge in the exercise of the  
43 judge's authority in a judicial matter or a senator or representative in  
44 Congress.

45 Sec. 503. Section 1-79 of the 2016 supplement to the general statutes  
46 is amended by adding subdivision (21) as follows (*Effective October 1,*  
47 *2016*):

48 (NEW) (21) "Confidential information" means any information in  
49 the possession of the state, a state employee or a public official,  
50 whatever its form, which (A) is required not to be disclosed to the  
51 general public (i) under any state or federal statute, regulation, policy  
52 or provision, or (ii) pursuant to a state contract or the order of any  
53 court of competent jurisdiction; or (B) falls within a category of  
54 permissibly nondisclosable information under the Freedom of  
55 Information Act, as defined in section 1-200, and which the  
56 appropriate agency, state employee or public official has decided not  
57 to disclose to the general public.

58 Sec. 504. Subsections (l) and (m) of section 1-80 of the general  
59 statutes are repealed and the following is substituted in lieu thereof  
60 (*Effective October 1, 2016*):

61 (l) No member of the board may hold any other paid position in  
62 state [employment] government for a period of one year following the  
63 end of such member's service on the board, including, but not limited  
64 to, service as a member on a state board or commission, service as a  
65 judge of the Superior Court or service as a state agency commissioner.

66 (m) Upon request of any aggrieved party, the board [shall] may  
67 delay the effect of any decision rendered by the board for a period not  
68 to exceed [more than] seven days following the rendering of such  
69 decision.

70 Sec. 505. Section 1-88 of the general statutes is amended by adding  
71 subsection (f) as follows (*Effective October 1, 2016*):

72 (NEW) (f) Any civil penalty imposed by the board pursuant to this  
73 section may be enforced by the Office of State Ethics as a money  
74 judgment in accordance with chapter 906.

75 Sec. 506. Subdivision (16) of section 1-91 of the 2016 supplement to  
76 the general statutes is repealed and the following is substituted in lieu  
77 thereof (*Effective October 1, 2016*):

78 (16) "Public official" means any (A) state-wide elected [state] officer,  
 79 (B) any member or member-elect of the General Assembly, (C) any  
 80 person appointed to any office of the legislative, judicial or executive  
 81 branch of state government by the Governor or any other state-wide  
 82 elected officer, with or without the advice and consent of the General  
 83 Assembly, (D) the spouse of the Governor, and (E) any person  
 84 appointed or elected by the General Assembly or any member of either  
 85 house thereof; but does not include a member of an advisory board or  
 86 a senator or representative in Congress.

87 Sec. 507. Section 1-99 of the general statutes is amended by adding  
 88 subsection (d) as follows (*Effective October 1, 2016*):

89 (NEW) (d) Any civil penalty imposed by the board pursuant to this  
 90 section may be enforced by the Office of State Ethics as a money  
 91 judgment in accordance with chapter 906.

92 Sec. 508. Sections 1-80b to 1-80d, inclusive, of the general statutes  
 93 are repealed. (*Effective October 1, 2016*)"

This act shall take effect as follows and shall amend the following sections:		
Sec. 501	<i>October 1, 2016</i>	1-79(2)
Sec. 502	<i>October 1, 2016</i>	1-79(11)
Sec. 503	<i>October 1, 2016</i>	1-79
Sec. 504	<i>October 1, 2016</i>	1-80(l) and (m)
Sec. 505	<i>October 1, 2016</i>	1-88
Sec. 506	<i>October 1, 2016</i>	1-91(16)
Sec. 507	<i>October 1, 2016</i>	1-99
Sec. 508	<i>October 1, 2016</i>	Repealer section