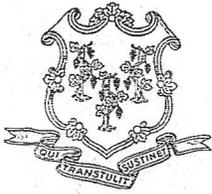


EXHIBIT 3



STATE OF CONNECTICUT
JUDICIAL REVIEW COUNCIL

November 18, 2009

Mr. Michael J. Nowacki
319 Lost District Drive
New Canaan, CT 06840

Dear Mr. Nowacki:

COMPLAINT
HONORABLE MARYLOUISE SCHOFIELD

I acknowledge receipt of your complaint against Honorable Marylouise Schofield dated November 16, 2009.

Your complaint will be presented to the Judicial Review Council.

You will be notified when the Council has completed its investigation.

Very truly yours,

A handwritten signature in black ink that reads "Peter A. Clark".

Peter A. Clark
Executive Director

PAC:be



STATE OF CONNECTICUT
JUDICIAL REVIEW COUNCIL

November 18, 2009

Mr. Michael J. Nowacki
319 Lost District Drive
New Canaan, CT 06840

Dear Mr. Nowacki:

COMPLAINT
HONORABLE MICHAEL SHAY

I acknowledge receipt of your complaint against Honorable Michael Shay dated November 16, 2009.

Your complaint will be presented to the Judicial Review Council.

You will be notified when the Council has completed its investigation.

Very truly yours,

A handwritten signature in cursive script that reads "Peter A. Clark".

Peter A. Clark
Executive Director

PAC:be

EXHIBIT 4



OFFICE OF GOVERNMENTAL ACCOUNTABILITY JUDICIAL REVIEW COUNCIL

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RELEVANT CONNECTICUT GENERAL STATUTES GOVERNING THE JUDICIAL REVIEW COUNCIL AND ITS JURISDICTION

CHAPTER 872 JUDGES

Section

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CHAPTER 872a REMOVAL, SUSPENSION AND CENSURE OF JUDGES

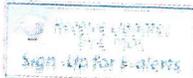
Section

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Chapter 872

Sec. 51-45b. Medical examination of judge or family support magistrate ordered by Chief Court Administrator. Matter referred to Judicial Review Council. Whenever the Chief Court Administrator has reason to believe that a judge or family support magistrate cannot fully perform his or her judicial or magisterial duties by reason of mental infirmity or illness or because of drug dependency or addiction to alcohol, the Chief Court Administrator shall direct such judge or family support magistrate to be examined by such qualified medical expert or experts as the Chief Court Administrator shall designate, at the expense of the judicial department. If the judge or family support magistrate fails to undergo any such examination or if, upon due consideration of the report of the expert or experts, the Chief Court Administrator is satisfied and concludes that the judge or family support magistrate cannot fully perform his or her judicial or magisterial duties, the Chief Court Administrator shall modify the assignment of the judge or family support magistrate, or shall remove said judge or family support magistrate from any assignment, and shall refer the matter together with the report, if any, of the expert or experts to the Judicial Review Council.

Sec. 51-45c. Investigation by Judicial Review Council re mental infirmity or illness, drug dependency or alcohol addiction of judge or family support magistrate. Findings. Authority. (a) The Judicial Review Council shall investigate every matter referred to it under section 51-45b. Not later than five days after receipt of such matter, the council, by registered or certified mail, shall notify the judge or family support magistrate under investigation of such referral. Any investigation and proceeding held to determine whether or not a judge or family support magistrate can fully perform his or her judicial or magisterial duties because of mental infirmity or illness or drug dependency or addiction to alcohol shall be confidential and any individual called by the council for the purpose of providing information shall not disclose his knowledge of such investigation and proceeding to a third party unless the judge or family



support magistrate requests that such investigation and proceeding be open. The council may request the judge or family support magistrate to submit all medical and other records pertaining to said physical and mental condition of such judge or family support magistrate. If a judge or family support magistrate declines to submit such record, or if further information is needed, the Judicial Review Council may request the judge or family support magistrate to submit to independent medical or other examinations at the expense of the judicial department. A copy of the results of any independent examination shall be provided to the judge or family support magistrate. If a judge or family support magistrate fails or refuses to submit to an independent examination requested by the council, unless such failure or refusal is due to circumstances beyond the judge's or family support magistrate's control, the judge or family support magistrate shall be precluded from submitting reports of medical examinations done on the judge's or family support magistrate's behalf. The council may consider such judge's or family support magistrate's refusal or failure as evidence that the judge or family support magistrate has a mental infirmity or illness or drug dependency or addiction to alcohol. The judge or family support magistrate shall have the right to appear and be heard and to offer any information which may prove that he has no mental infirmity or illness or drug dependency or addiction to alcohol which prevents him or her from performing judicial or magisterial duties. The judge or family support magistrate shall also have the right to be represented by legal counsel and examine and cross-examine witnesses.

(b) The council shall not later than three business days after the termination of such proceeding notify the Chief Court Administrator and the judge or family support magistrate of the findings thereof.

(c) (1) If the council finds that the judge or family support magistrate is not suffering from any mental infirmity or illness or drug dependency or addiction to alcohol and can fully perform his or her judicial or magisterial duties, the Chief Court Administrator shall reassign such judge or family support magistrate or modify such judge's or family support magistrate's assignment. (2) If the council finds that a judge or family support magistrate is suffering from a temporary mental infirmity, mental illness, drug dependency or addiction to alcohol which prevents the judge or family support magistrate from performing his or her judicial or magisterial duties, either on a full-time or part-time basis, the council shall request the judge or family support magistrate to seek appropriate treatment. A judge or family support magistrate who can perform his or her duties on a part-time basis while undergoing treatment shall be assigned by the Chief Court Administrator to duties as he or she deems fit. A judge or family support magistrate who cannot perform any duties while undergoing treatment shall seek a paid voluntary leave of absence. Upon completion of a treatment program as determined by the Judicial Review Council and a finding by the Judicial Review Council that said judge or family support magistrate can fully perform his or her judicial or magisterial duties, a judge or family support magistrate who was on leave of absence shall be reassigned by the Chief Court Administrator, or a judge or family support magistrate who was performing judicial or magisterial duties on a part-time basis shall resume full-time duties. The Judicial Review Council shall monitor compliance by the judge or family support magistrate in the treatment program which has been established and shall periodically report to the Chief Court Administrator as to the status of such judge or family support magistrate. If the judge or family support magistrate refuses to seek treatment, or does not fully cooperate in the treatment program, the council may (A) publicly censor the judge or family support magistrate; (B) suspend the judge or family support magistrate for a definite term not to exceed one year; (C) refer the matter to the Supreme Court with a recommendation that the judge or family support magistrate be suspended for a period longer than one year; or (D) refer the matter to the Supreme Court with a recommendation that the judge or family support magistrate be removed from office. (3) If the Judicial Review Council finds that a judge is permanently incapable of adequately fulfilling his or her duties because of mental infirmity or illness or drug dependency or addiction to alcohol, the judge shall thereupon be retired with retirement pay to be determined as provided in section 51-50. (4) If the Judicial Review Council finds that a family support magistrate is permanently incapable of adequately fulfilling his or her duties because of mental infirmity or illness or drug dependency or addiction to alcohol, the family support magistrate shall be removed from office. Such removal shall not preclude the family support magistrate from applying for benefits, which may be available, pursuant to chapters 65 and 66.

Sec. 51-46a. Statement of financial interests to be reported. (a) Each judge of the Superior Court, each judge of the Appellate Court, each judge of the Supreme Court and each family support magistrate shall file under penalty of false statement, a statement of financial interests for the preceding calendar year with the office of the Chief Court Administrator on or before April fifteenth next for any year in which the judge or family support magistrate holds such position.

(b) The statement, on a form provided by the office of the Chief Court Administrator, shall include the following information for the preceding calendar year in regard to the judge or family support magistrate, his or her spouse and the dependent children living in his or her household: (1) With respect to the judge or family support magistrate, the statement shall include: (A) The date, place and nature of any activity for which the judge or family support magistrate received compensation other than judicial or magisterial compensation for services rendered and the name of the payer and the amount of the compensation received provided, if the compensation received was a fee for an appearance or the delivery of an address to any meeting of any organization, the report of the fee so received shall be filed within thirty days after receipt of the fee; (B) the name of each security in excess of five thousand dollars at fair market value owned by the judge or family support magistrate or held in the name of a corporation, partnership or trust for the benefit of the judge or family support magistrate, except in the case of a trust established by a judge or family support magistrate for the purpose of divesting himself or herself of all control and knowledge of his or her assets in order to avoid a conflict of interest during his or her term of office, only the existence of the trust and the name of the trustee shall be included, but the value need not be specified; (C) all real property and its location, whether owned by the judge or family support magistrate or held in the name of a corporation, partnership or trust for the benefit of the judge or family support magistrate; (2) with respect to his or her spouse and dependent children living in his or her household, the statement shall include: (A) The name of all businesses with which the spouse or child is associated; (B) the category or type of all sources of income of his or her spouse or each child in excess of one thousand dollars, but amounts of income need not be specified, and the names and addresses of specific clients and

customers who provide more than five thousand dollars of income, and amounts of income need not be specified; (C) the name of each security in excess of five thousand dollars at fair market value owned by the spouse or each child or held in the name of a corporation, partnership or trust for the benefit of the spouse or child, except in the case of a trust established by the spouse or child for the purpose of divesting the spouse or child of all control and knowledge of his or her assets in order to avoid a conflict of interest during the judge's or family support magistrate's term of office, only the existence of the trust and the name of the trustee shall be included, but the value need not be specified; (D) all real property and its location, whether owned by the spouse or child or held in the name of a corporation, partnership or trust for the benefit of the spouse or child; (E) any fees or honorariums received for any appearance or the delivery of an address to any meeting of any organization by the spouse or child shall be disclosed within thirty days after receipt of the fee or honorarium.

(c) The statement filed pursuant to this section shall be a matter of public information, except the list of names filed in accordance with subdivision (2) of subsection (b) of this section shall be sealed and confidential and for the use of the Judicial Review Council and the Supreme Court only if an investigation has been initiated under section 51-51j and the Judicial Review Council or the Supreme Court is of the opinion that disclosure of the list is germane to investigation. The list may be subject to a subpoena in any criminal prosecution, impeachment proceedings or a hearing before the Supreme Court under section 51-51j.

(d) The financial statement, except as provided in subdivision (2) of subsection (b) of this section shall be open to inspection at the office of the Chief Court Administrator of the Judicial Department or at a place designated by the Chief Court Administrator.

(e) The Chief Court Administrator shall report to the Judicial Review Council any judge or family support magistrate who fails to file any statement required by this section.

Sec. 51-49. Disability retirement of judges, state's attorneys, public defenders, family support magistrates, and compensation commissioners. (a) When the Chief Justice or any judge of the Supreme Court, or the Appellate Court, or the Superior Court, or the Chief State's Attorney, a Deputy Chief State's Attorney, or any state's attorney who had elected under the provisions of section 51-278 to be included within the provisions of this section, or any public defender, Chief Public Defender or Deputy Chief Public Defender who had elected under the provisions of section 51-295a to be included within the provisions of this section, or any family support magistrate who had elected under the provisions of subdivision (2) of subsection (i) of section 46b-231, or any compensation commissioner, has become so permanently incapacitated as to be unable to fulfill adequately the duties of his or her office, he or she may be retired by the Judicial Review Council as hereinafter provided, upon application by him or her or upon its own motion.

(b) If the Judicial Review Council finds that the justice, judge, Chief State's Attorney, Deputy Chief State's Attorney, state's attorney, Chief Public Defender, Deputy Chief Public Defender, public defender, family support magistrate or compensation commissioner is permanently incapacitated from adequately fulfilling his or her duties, (1) the justice, judge, family support magistrate or compensation commissioner shall thereupon be retired with retirement pay to be determined as provided by section 51-50, or (2) the Chief State's Attorney, Deputy Chief State's Attorney, state's attorney, Chief Public Defender, Deputy Chief Public Defender or public defender shall thereupon be retired and shall receive as retirement pay, annually, two-thirds the salary of the office which he or she held at the time of his or her retirement, as such salary may be changed from time to time. No judge shall be denied benefits under section 51-50 as a result of the expiration of his or her judicial term of office during the pendency of a disability matter before the Judicial Review Council. Any council proceedings pursuant to this section shall be confidential.

Chapter 872a

Sec. 51-51g. Declaration of intent. The General Assembly finds that for the impartial and effective administration of justice in this state (1) the continued independence of the judiciary is indispensable, (2) it is in the public interest to foster the dignity and integrity of the judiciary, (3) to the foregoing ends it is desirable to establish appropriate mechanisms and procedures for the maintenance of judicial discipline, and (4) the mere making of unpopular or erroneous decisions is not a ground for judicial discipline or for a finding of want of judicial integrity.

Sec. 51-51h. Application of chapter. This chapter shall apply to judges of the Superior Court, judges of the Appellate Court, judges of the Supreme Court and family support magistrates, and the term "judges" as used in this chapter refers to such judges and also to senior judges and state referees.

Sec. 51-51i. Grounds for removal, suspension and censure. (a) In addition to removal by impeachment and removal by the Governor on the address of two-thirds of each house of the General Assembly as provided in the Connecticut Constitution, a judge shall be subject, in the manner and under the procedures provided in this chapter to censure, suspension or removal from office for (1) conduct prejudicial to the impartial and effective administration of justice which brings the judicial office in disrepute, (2) wilful violation of section 51-39a or any canon of judicial ethics, (3) wilful and persistent failure to perform the duty of a judge, (4) neglectful or incompetent performance of the duties of a judge, (5) final conviction of a felony or of a misdemeanor involving moral turpitude, (6) disbarment or suspension as an attorney-at-law, (7) wilful failure to file a financial statement or the filing of a fraudulent financial statement required under section 51-46a, or (8) temperament which adversely affects the orderly carriage of justice.

(b) In addition to removal by the Governor for cause pursuant to subsection (f) of section 46b-231, a family support magistrate shall be subject, in the manner and under the procedures provided in this chapter to censure, suspension or removal from office for (1) conduct prejudicial to the impartial and effective

administration of justice which brings the magisterial office in disrepute, (2) wilful violation of section 51-39a or any canon of judicial ethics, (3) wilful and persistent failure to perform the duty of a magistrate, (4) neglectful or incompetent performance of the duties of a magistrate, (5) final conviction of a felony or of a misdemeanor involving moral turpitude, (6) disbarment or suspension as an attorney-at-law, (7) wilful failure to file a financial statement or the filing of a fraudulent financial statement required under section 51-46a, or (8) temperament which adversely affects the orderly carriage of justice.

(c) In addition to removal by the Governor for cause pursuant to subsection (e) of section 31-276, a compensation commissioner shall be subject, in the manner and under procedures provided in this chapter to censure, suspension or removal from office for (1) conduct prejudicial to the impartial and effective administration of his duties which brings the office of compensation commissioner in disrepute, (2) wilful violation of any provision of the code of ethics for workers' compensation commissioners, (3) wilful and persistent failure to perform the duty of a compensation commissioner, (4) neglectful or incompetent performance of the duties of a compensation commissioner, (5) final conviction of a felony or a misdemeanor involving moral turpitude, (6) disbarment or suspension as an attorney-at-law, or (7) temperament which adversely affects the orderly carriage of the duties of a compensation commissioner.

Sec. 51-51j. Removal or suspension by Supreme Court. (a) The Supreme Court may remove or suspend any judge or family support magistrate for any period upon recommendation of the Judicial Review Council, established under section 51-51k, or on its own motion. Upon receipt of such recommendation or on its own motion, the Supreme Court shall make an investigation of the conduct complained of and hold a hearing thereon, unless such an investigation and hearing has been held by the Judicial Review Council.

(b) If the recommendation or motion involves the conduct of a member of the Supreme Court, such member shall be disqualified with regard to the investigation, hearing and decision on the recommendation or motion.

(c) Hearings under this section shall not be public unless requested by the judge or family support magistrate under investigation.

(d) In determining whether to remove or suspend a judge or family support magistrate from office, the determination shall be made by a full court, as provided in section 51-207. A judge or family support magistrate shall not be removed except on the concurrent opinion of the members of the full court as provided in section 51-207, and a judge or family support magistrate shall not be suspended for any period of time, except upon a majority vote of the court.

Sec. 51-51k. Judicial Review Council. (a) There is hereby established a Judicial Review Council to be composed of the following members: (1) Three judges of the Superior Court, who are not also judges of the Supreme Court, who shall be appointed by the Governor, from a list of six judges selected by the members of the Superior Court, with the approval of the General Assembly, (2) three attorneys-at-law, who shall be appointed by the Governor with the approval of the General Assembly, (3) six persons who are not judges or attorneys-at-law, who shall be appointed by the Governor with the approval of the General Assembly, and (4) thirteen alternate members who shall be appointed by the Governor with the approval of the General Assembly, as follows: (A) Two judges of the Superior Court who are not also judges of the Supreme Court, from a list of four judges selected by the members of the Superior Court, (B) two attorneys-at-law admitted to practice in this state, (C) three persons who are not judges or attorneys-at-law, (D) three compensation commissioners, and (E) three family support magistrates.

(b) An alternate member who is a judge, attorney-at-law or person who is not a judge or attorney-at-law shall serve at probable cause hearings and public hearings in lieu of a member who is a judge, attorney-at-law or person who is not a judge or attorney-at-law, respectively, when such member is absent or disqualified, as designated by the executive director of the council. An alternate member who is a compensation commissioner shall serve as a member of the council in lieu of one of the members who is a judge of the Superior Court, as designated by the executive director, when the subject of a complaint or investigation is a compensation commissioner. An alternate member who is a family support magistrate shall serve as a member of the council in lieu of one of the members who is a judge of the Superior Court, as designated by the executive director, when the subject of a complaint or investigation is a family support magistrate. An alternate member shall have the same power as the member he or she is temporarily replacing during the absence or disqualification of the member.

(c) **Appointment and terms of members on and after December 1, 1992.** On and after December 1, 1992, members shall be appointed in accordance with subsection (a) as follows: One judge shall be appointed for a term of two years, one judge shall be appointed for a term of three years and one judge shall be appointed for a term of four years; one attorney shall be appointed for a term of two years, one attorney shall be appointed for a term of three years and one attorney shall be appointed for a term of four years; two lay members shall be appointed for terms of two years, two lay members shall be appointed for terms of three years, and two lay members shall be appointed for terms of four years. Thereafter members shall serve for terms of four years. Members may continue in office until a successor is appointed and qualified. No member appointed on or after December 1, 1992, may serve consecutive terms, and if the member is an attorney, no member of his firm may serve a term consecutive to such member, provided no member may serve for more than two terms. Vacancies on the council shall be filled for the unexpired portion of any term in the same manner as the original appointment. Any member who is a judge, family support magistrate or compensation commissioner and retires from full-time active service as a judge, family support magistrate or compensation commissioner shall automatically cease to be a member of the council, and a vacancy shall be deemed to occur. Alternate members shall be appointed for terms of three years and shall not serve consecutive terms as alternate members.

(d) **Holding elected or appointed positions restricted.** No member of the council, except a judge,

conduct under section 51-51i shall be brought under this section but within one year from the date the alleged conduct occurred or was discovered or in the exercise of reasonable care should have been discovered, except that no such complaint may be brought more than three years from the date the alleged conduct occurred.

(e) Notwithstanding the provisions of subsections (a) and (b) of this section, the council shall disclose any information concerning complaints received by the council on and after January 1, 1978, investigations, and disposition of such complaints to the legislative program review and investigations committee when requested by the committee in the course of its functions, in writing and upon a majority vote of the committee, provided no names or other identifying information shall be disclosed.

(f) On and after December 19, 1991, any judge, compensation commissioner, or family support magistrate who has been the subject of an investigation by the Judicial Review Council as a result of a complaint brought before such council may request that such complaint, investigation and the disposition of such complaint be open to public inspection.

(g) Whenever a complaint against a judge, compensation commissioner, or family support magistrate is pending before the Judicial Review Council within the final year of the term of office of such judge, compensation commissioner, or family support magistrate, the Judicial Review Council shall designate such complaint as privileged and shall conduct an expedited investigation and hearing so that its duties with respect to such complaint are completed in sufficient time to enable the Judicial Review Council to make its recommendation concerning any such judge to the judicial selection commission and the Governor under section 51-51q in a timely manner.

Sec. 51-51m. Vote of council. Findings to be indexed. (a) The Judicial Review Council may take any action upon a majority vote of its members present and voting, except that twelve members of the Judicial Review Council shall constitute a quorum for any action to publicly censure a judge, compensation commissioner, or family support magistrate, suspend a judge, compensation commissioner, or family support magistrate for any period, refer the matter to the Supreme Court with a recommendation that a judge or family support magistrate be suspended for a period longer than one year or refer the matter to the Supreme Court with a recommendation that a judge or family support magistrate be removed from office or to the Governor with a recommendation that a compensation commissioner be removed from office, and the concurring vote of seven of such members shall be required.

(b) The council shall make its findings in writing and all such findings shall be compiled and indexed.

Sec. 51-51n. Authority of council. (a) The Judicial Review Council may, after a hearing pursuant to subsection (c) of section 51-51i, (1) publicly censure the judge, compensation commissioner, or family support magistrate, (2) suspend the judge, compensation commissioner, or family support magistrate for a definite term not to exceed one year, (3) refer the matter to the Supreme Court with a recommendation that the judge or family support magistrate be suspended for a period longer than one year, (4) refer the matter to the Supreme Court with a recommendation that the judge or family support magistrate be removed from office or to the Governor with a recommendation that the compensation commissioner be removed from office or (5) exonerate the judge, compensation commissioner, or family support magistrate of all charges.

(b) If public censure is recommended, the chairman shall prepare and forward the censure in writing to the judge, compensation commissioner, or family support magistrate being censured, the chief justice, the Chief Court Administrator, and the joint standing committee on judiciary, at least ten days prior to the publication of the censure. The censure shall be a public record as defined in section 1-19. An appeal from the decision of the council for public censure shall automatically stay the publication of the censure.

(c) If the council exonerates a judge, compensation commissioner, or family support magistrate, a copy of the proceedings and report of the council shall be furnished to the judge, compensation commissioner, or family support magistrate.

Sec. 51-51o. Witnesses to testify. (a) Any person may be compelled, by subpoena signed by competent authority, to appear before the Supreme Court or Judicial Review Council to testify in relation to any complaint brought to or by the court or council against a judge, compensation commissioner, or family support magistrate for conduct alleged in section 51-51i, or in relation to any matter referred to the council by the Chief Court Administrator pursuant to section 51-45b, and may be compelled, by subpoena signed by competent authority, to produce before the court or council, for examination, any books or papers which in the judgment of the court or council or any judges, compensation commissioners, or family support magistrates under investigation are relevant to the inquiry or investigation. The court or council, while engaged in the discharge of its duties, shall have the same authority over witnesses as is provided in section 51-35 and may commit for contempt for a period no longer than thirty days.

(b) In making any investigation, the court or council may use the services of the Division of State Police with the Department of Public Safety, the Department of Mental Health and Addiction Services or any other state agency, provided only the court may use the services of the Division of Criminal Justice.

Sec. 51-51p. Suspension of salary during suspension. During the period of suspension from office for conduct described in section 51-51i, all judicial salary provided for in section 51-47 or salary provided for in section 31-277 or 46b-231, as the case may be, including any benefits relating thereto, shall be suspended and time shall not be accrued for any rights in any pension plan. If the suspension from office is overruled by the Supreme Court or the Governor, as the case may be, all salary and benefits and time for vesting of pension rights, which may have been suspended, shall be paid or credited to the judge, compensation commissioner, or family support magistrate who was suspended.

Sec. 51-51q. Recommendation of council regarding appointment or reappointment of judge and reappointment of family support magistrate and compensation commissioner. (a) (1) The Judicial Review Council shall submit its recommendations concerning the nomination for appointment to a different court of any judge or nomination for reappointment of any judge whose term of office is about to expire, including a report of any complaint filed against any such judge and the disposition of any such complaint, and including any investigation of any such judge by the council to the Governor, to the Judicial Selection Commission and to the joint standing committee of the General Assembly having cognizance of matters relating to the judiciary, provided the Judicial Selection Commission shall not consider any investigation of the Judicial Review Council which resulted in the exoneration of a judge. (2) In addition to the information required to be submitted under subdivision (1) of this subsection, the Judicial Review Council shall make all complaint files concerning any such judge available to the joint standing committee of the General Assembly having cognizance of matters relating to the judiciary. Notwithstanding any provision of the general statutes, if the disposition of a complaint filed against any such judge involved the issuance of an admonishment to or the public censure or suspension of such judge, (A) no information pertaining to the complaint and the investigation and disposition of such complaint may be removed, redacted or otherwise withheld by the Judicial Review Council prior to making such complaint files available to said committee as required by this subdivision, and (B) the Judicial Review Council shall provide to said committee any information, including, but not limited to, any confidential information in its possession concerning such judge that may be requested in writing by the cochairpersons of said committee. Such information shall be provided to said committee not later than three business days following the date the request is received by the Judicial Review Council. Any confidential information provided to said committee as required by this subdivision shall not be further disclosed to any person or organization. (3) If the Judicial Review Council has reason to believe any such judge is guilty of conduct under section 51-51i, material neglect of duty or incompetence in the conduct of his office, it may refuse to recommend such judge for nomination for appointment to a different court or for reappointment. The Judicial Review Council shall not recommend a judge for nomination for appointment to a different court or for reappointment if the council finds such judge has willfully violated section 51-39a or has been convicted of a felony or of a misdemeanor involving moral turpitude.

(b) The Judicial Review Council shall submit its recommendations concerning the reappointment of any family support magistrate whose term of office is about to expire, including a report of any investigation of any such magistrate by the council, to the Governor.

(c) The Judicial Review Council shall submit its recommendations concerning the nomination for reappointment of any compensation commissioner whose term of office is about to expire, including a report of any investigation of such compensation commissioner by the council, to the Governor and to the joint standing committee of the General Assembly having cognizance of matters relating to the judiciary. The Judicial Review Council shall provide information to said committee concerning any complaint filed against such compensation commissioner and the investigation and disposition of such complaint, including, but not limited to, confidential information, in the same manner and subject to the same requirements as information provided under subdivisions (1) and (2) of subsection (a) of this section.

(d) If a complaint against any such judge, compensation commissioner, or family support magistrate is received by the Judicial Review Council and the Judicial Review Council is unable to make its findings and complete its duties with respect to such judge, compensation commissioner, or family support magistrate prior to the expiration of the term of office of such judge, compensation commissioner, or family support magistrate, the Judicial Review Council shall not refuse to recommend such judge, compensation commissioner, or family support magistrate for reappointment based on such complaint, but shall report the fact of such complaint to the Governor and to the joint standing committee of the General Assembly having cognizance of matters relating to the judiciary.

Sec. 51-51r. Appeals, rules. Any judge or family support magistrate aggrieved by any decision of the Judicial Review Council may appeal the decision to the Supreme Court in accordance with such procedure for the appeal as the Supreme Court shall adopt by rule.

Sec. 51-51s. Disqualification of judge, compensation commissioner or family support magistrate. A judge, compensation commissioner, or family support magistrate is disqualified from acting as a judge, compensation commissioner, or a family support magistrate, as the case may be, while there is pending (1) a charge against him for a crime punishable as a felony under the laws of this state or federal law, or a charge against him in another jurisdiction which would be punishable as a felony under Connecticut or federal law, or (2) a charge against him for a crime under the law of any jurisdiction which involves moral turpitude under Connecticut law, or (3) a recommendation to the Supreme Court or the Governor, as the case may be, by the Judicial Review Council for his suspension or removal.

Sec. 51-51t. Compensation of members. (a) Members of the Judicial Review Council shall not receive compensation for their services but shall be reimbursed for reasonable expenses actually incurred in the performance of their duties as members.

(b) The council may apply for and receive grants from private sources and the federal government.

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<http://www.ct.gov/jrc/online/open/rtview.asp?o=3061&o=384462&ireNav=1>

4/25/2012

<http://www.ct.gov/jrc/online/open/rtview.asp?o=3061&o=384462&ireNav=1>

4/25/2012

EXHIBIT 5

EXHIBIT 5

Name

DAVID S BARRINGTON
ANNE S BARRINGTON

Identifying number as shown on page 1 of your tax return

Use a separate Form 1116 for each category of income listed below. See Categories of Income on page 3 of the instructions. Check only one box on each Form 1116. Report all amounts in U.S. dollars except where specified in Part II below.

- a Passive income
- b High withholding tax interest
- c Financial services income
- d Shipping income
- e Dividends from a DISC or former DISC
- f Certain distributions from a foreign sales corporation (FSC) or former FSC
- g Lump-sum distributions
- h Section 901(j) income
- i Certain income re-sourced by treaty
- j General limitation income

k Resident of (name of country) ▶ **UNITED STATES**

Note: If you paid taxes to only one foreign country or U.S. possession, use column A in Part I and line A in Part II. If you paid taxes to more than one foreign country or U.S. possession, use a separate column and line for each country or possession.

Part I Taxable Income or Loss From Sources Outside the United States (for Category Checked Above)

Enter the name of the foreign country or U.S. possession ▶	Foreign Country or U.S. Possession			Total (Add cols. A, B, and C.)
	A	B	C	
VARIOUS				
1a Gross income from sources within country shown above and of the type checked above (see page 13 of the instr.): DIVIDENDS	9,917			9,917
b Check if line 1a is compensation for personal services as an employee, your total compensation from all sources is \$250,000 or more, and you used an alternative basis to determine its source (see instr.) ▶ <input type="checkbox"/>				
Deductions and losses (Caution: See pages 13 and 14 of the instructions):				
Expenses definitely related to the income on line 1a (attach statement)				
Pro rata share of other deductions not definitely related:				
3 Certain itemized deductions or standard deduction (see instructions)	5,292			
3 Other ded. (attach stmt.) ALIMONY PAID	168,504			
Add lines 3a and 3b	173,796			
4 Gross foreign source income (see instr.)	18,873			
5 Gross income from all sources (see instr.)	1,203,740			
Divide line 3d by line 3e (see instructions)	0.0157			
6 Multiply line 3c by line 3f	2,725			
Pro rata share of interest expense (see instr.):				
Home mortgage interest (use worksheet on page 13 of the instructions)	402			
Other interest expense				
Losses from foreign sources				
Add lines 2, 3g, 4a, 4b, and 5	3,127			
Subtract line 6 from line 1. Enter the result here and on line 14, page 2				3,127
Foreign Taxes Paid or Accrued (see page 14 of the instructions)				6,790

Credit is claimed for taxes (you must check one)		Foreign taxes paid or accrued							(x) Total foreign taxes paid or accrued (add cols. (t) through (w))
(m) <input checked="" type="checkbox"/> Paid	(n) <input type="checkbox"/> Accrued	In foreign currency			In U.S. dollars				
		Taxes withheld at source on:			Taxes withheld at source on:			(w) Other foreign taxes paid or accrued	
(o) Date paid or accrued	(p) Dividends	(q) Rents and royalties	(r) Interest	(s) Other foreign taxes paid or accrued	(t) Dividends	(u) Rents and royalties	(v) Interest		
VARIOUS					71				71

Add lines A through C, column (x). Enter the total here and on line 9, page 2

Part III Summary

16 Combine lines 7 and 15 and enter the result. If line 16 is a loss, skip lines 17 through 20, and go to line 21. If a gain, enter the gain on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 17 below

16 130,676

17 Are lines 15 and 16 both gains?

Yes. Go to line 18.

No. Skip lines 18 through 21, and go to line 22.

18 Enter the amount, if any, from line 7 of the 28% Rate Gain Worksheet on page D-8 of the instructions

18

19 Enter the amount, if any, from line 18 of the Unrecaptured Section 1250 Gain Worksheet on page D-9 of the instructions

19

20 Are lines 18 and 19 both zero or blank?

Yes. Complete Form 1040 through line 43, or Form 1040NR through line 40. Then complete the Qualified Dividends and Capital Gain Tax Worksheet on page 38 of the Instructions for Form 1040 (or in the Instructions for Form 1040NR). Do not complete lines 21 and 22 below.

No. Complete Form 1040 through line 43, or Form 1040NR through line 40. Then complete the Schedule D Tax Worksheet on page D-10 of the instructions. Do not complete lines 21 and 22 below.

If line 16 is a loss, enter here and on Form 1040, line 13, or Form 1040NR, line 14, the smaller of:

• The loss on line 16 or

• (\$3,000), or if married filing separately, (\$1,500)

21

Note. When figuring which amount is smaller, treat both amounts as positive numbers.

Do you have qualified dividends on Form 1040, line 9b, or Form 1040NR, line 10b?

Yes. Complete Form 1040 through line 43, or Form 1040NR through line 40. Then complete the Qualified Dividends and Capital Gain Tax Worksheet on page 38 of the Instructions for Form 1040 (or in the Instructions for Form 1040NR).

No. Complete the rest of Form 1040 or Form 1040NR.

Foreign Tax Credit

(Individual, Estate, or Trust)

▶ Attach to Form 1040, 1040NR, 1041, or 990-T.

▶ See separate instructions.

Department of the Treasury
Internal Revenue Service (99)

Name

VID S BARRINGTON
SUZANNE S SULLIVAN

Identifying number as shown on page 1 of your tax return

Use a separate Form 1116 for each category of income listed below. See Categories of Income beginning on page 3 of the instructions. Check only one box on each Form 1116. Report all amounts in U.S. dollars except where specified in Part II below.

- a Passive category income
- b General category income
- c Section 901(j) income
- d Certain income re-sourced by treaty
- e Lump-sum distributions

f Resident of (name of country) ▶ **UNITED STATES**

Note: If you paid taxes to only one foreign country or U.S. possession, use column A in Part I and line A in Part II. If you paid taxes to more than one foreign country or U.S. possession, use a separate column and line for each country or possession.

Part I Taxable Income or Loss From Sources Outside the United States (for Category Checked Above)

g Enter the name of the foreign country or U.S. possession ▶	Foreign Country or U.S. Possession			Total (Add cols. A, B, and C.)
	A	B	C	
1a Gross income from sources within country shown above and of the type checked above (see page 14 of the instructions): DIVIDENDS	VARIUOS			
b Check if line 1a is compensation for personal services as an employee, your total compensation from all sources is \$250,000 or more, and you used an alternative basis to determine its source (see instructions) ▶ <input type="checkbox"/>	11,866			1a 11,866
Deductions and losses (Caution: See pages 14 and 15 of the instructions):				
2 Expenses definitely related to the income on line 1a (attach statement)				
3 Pro rata share of other deductions not definitely related:				
a Certain itemized deductions or standard deduction (see instructions)	12,230			
b Other ded. (attach stmt.) ALIMONY PAID	168,534			
c Add lines 3a and 3b	180,764			
d Gross foreign source income (see instructions)	26,425			
e Gross income from all sources (see instructions)	1,465,658			
f Divide line 3d by line 3e (see instructions)	0.0180			
g Multiply line 3c by line 3f	3,259			
4 Pro rata share of interest expense (see instructions):				
a Home mortgage interest (use worksheet on page 14 of the instructions)	428			
b Other interest expense				
5 Losses from foreign sources				
6 Add lines 2, 3g, 4a, 4b, and 5	3,687			
7 Subtract line 6 from line 1a. Enter the result here and on line 14, page 2				6 3,687
Part II Foreign Taxes Paid or Accrued (see page 16 of the instructions)				7 8,179

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Country	Credit is claimed for taxes (you must check one) (h) <input checked="" type="checkbox"/> Paid (i) <input type="checkbox"/> Accrued	Foreign taxes paid or accrued							(s) Total foreign taxes paid or accrued (add cols. (o) through (r))
		In foreign currency				In U.S. dollars			
		Taxes withheld at source on:				Taxes withheld at source on:			
	(j) Date paid or accrued	(k) Dividends	(l) Rents and royalties	(m) Interest	(n) Other foreign taxes paid or accrued	(o) Dividends	(p) Rents and royalties	(q) Interest	
A									
B						87			
C									87
8 Add lines A through C, column(s). Enter the total here and on line 9, page 2									8 87

or Paperwork Reduction Act Notice, see page 20 of the instructions.

Part III Figuring the Credit

9	Enter the amount from line 8. These are your total foreign taxes paid or accrued for the category of income checked above Part I	87	
10	Carryback or carryover (attach detailed computation)		
11	Add lines 9 and 10	87	
12	Reduction in foreign taxes (see pages 16 and 17 of the instructions)		
13	Subtract line 12 from line 11. This is the total amount of foreign taxes available for credit (see instructions)		87
14	Enter the amount from line 7. This is your taxable income or (loss) from sources outside the United States (before adjustments) for the category of income checked above Part I (see page 17 of the instructions)		
15	Adjustments to line 14 (see pages 17 and 18 of the instructions)	8,179	
16	Combine the amounts on lines 14 and 15. This is your net foreign source taxable income. (If the result is zero or less, you have no foreign tax credit for the category of income you checked above Part I. Skip lines 17 through 21. However, if you are filing more than one Form 1116, you must complete line 19.)	8,179	
17	Individuals: Enter the amount from Form 1040, line 41. If you are a nonresident alien, enter the amount from Form 1040NR, line 38. Estates and trusts: Enter your taxable income without the deduction for your exemption Caution: If you figured your tax using the lower rates on qualified dividends or capital gains, see page 18 of the instructions.	982,658	
18	Divide line 16 by line 17. If line 16 is more than line 17, enter "1"		0.0083
19	Individuals: Enter the amount from Form 1040, line 41. If you are a nonresident alien, enter the amount from Form 1040NR, line 41. Estates and trusts: Enter the amount from Form 1041, Schedule G, line 2a, or the total of Form 990-T, lines 36 and 37 Caution: If you are completing line 19 for separate category e (lump-sum distributions), see page 20 of the instructions.		314,547
20	Multiply line 19 by line 18 (maximum amount of credit)		2,618
21	Enter the smaller of line 13 or line 20. If this is the only Form 1116 you are filing, skip lines 22 through 26 and enter this amount on line 27. Otherwise, complete the appropriate line in Part IV (see page 20 of the instructions)		87

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Part IV Summary of Credits From Separate Parts III (see page 20 of the instructions)

22	Credit for taxes on passive category income	
23	Credit for taxes on general category income	
24	Credit for taxes on certain income re-sourced by treaty	
25	Credit for taxes on lump-sum distributions	
26	Add lines 22 through 25	
27	Enter the smaller of line 19 or line 26	87
28	Reduction of credit for international boycott operations. See instructions for line 12 beginning on page 16	
29	Subtract line 28 from line 27. This is your foreign tax credit. Enter here and on Form 1040, line 51; Form 1040NR, line 46; Form 1041, Schedule G, line 2a; or Form 990-T, line 40a	87

Federal Statements

Passive Income

Form 1116 line 1 - Gross Income From Sources Within Country

Description	A	B	C
FRGN QUALIFIED DIVIDENDS	\$ 7,594	\$	\$
FRGN CAP GAINS WRK B	3,327		
OTHER FRGN GROSS INCOME	945		
TOTAL	\$ 11,866	\$ 0	\$ 0

Passive Income

Form 1116 line 3e - Gross Income from All Sources

Description	Amount
1040 LINES 7-11, 15B-16B, 19-20A, 21	\$ 1,156,307
GROSS SCH D CAPITAL GAINS	309,351
TOTAL	\$ 1,465,658

2006 State and Local Income Tax Refunds

Description	Amount
'06 CT INCOME TAX REFUND	\$ 2,387
'06 NY INCOME TAX REFUND	18,709
TOTAL	\$ 21,096

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Amount Allocated to Tax Paid in the Following Year

Description	Amount
CT	
1. 2006 PAYMENT PAID IN 2007	\$ 0
2. 2006 EXTENSION PAID IN 2007	0
3. SUM OF LINE 1 AND LINE 2	0
4. TOTAL PAYMENTS ON THE 2006 RETURN	11,707
5. TOTAL 2006 OVERPAYMENT/REFUND	2,387
6. AMOUNT ALLOCATED TO THE FOLLOWING YEAR (LINE 3 DIVIDED BY LINE 4 MULTIPLIED BY LINE 5)	\$ 0
7. STATE/LOCAL TAX REFUND (LINE 5 MINUS LINE 6)	<u>\$ 2,387</u>
NY	
1. 2006 PAYMENT PAID IN 2007	\$ 0
2. 2006 EXTENSION PAID IN 2007	0
3. SUM OF LINE 1 AND LINE 2	0
4. TOTAL PAYMENTS ON THE 2006 RETURN	69,807
5. TOTAL 2006 OVERPAYMENT/REFUND	18,709
6. AMOUNT ALLOCATED TO THE FOLLOWING YEAR (LINE 3 DIVIDED BY LINE 4 MULTIPLIED BY LINE 5)	\$ 0
7. STATE/LOCAL TAX REFUND (LINE 5 MINUS LINE 6)	<u>\$ 18,709</u>
TOTAL OF ALL STATE/LOCAL AMOUNTS ALLOCATED TO 2007 (SUM OF LINES 6)	<u>\$ 0</u>
TOTAL OF ALL STATE/LOCAL TAX REFUNDS (SUM OF LINES 7)	<u>\$ 21,096</u>

Name

DAVID S BARRINGTON & SUZANNE S SULLIVAN

Taxpayer Identification Number

If you have qualified dividends or capital gains, you may be required to use the worksheet on this page to make adjustments to those qualified dividends and gains before taking them into account on line 17. If you qualify for the adjustment exception as detailed in the Form 1116 instructions, no adjustment is necessary. If you figured your tax using the Qualified Dividends and Capital Gain Tax Worksheet, complete the worksheet as follows: Skip lines 2 through 5. On line 6, enter the amount from line 14 of the Qualified Dividends and Capital Gain Tax Worksheet. Complete all other lines as instructed on the worksheet.

Worksheet for Form 1116, Page 2, Line 17

1. Enter the amount from Form 1040, line 41 or Form 1040NR, line 38	2.	1,155,425
2. Enter your worldwide 28% gains (see instructions)	3.	
3. Multiply line 2 by .2000	4.	
4. Enter your worldwide 25% gains (see instructions)	5.	
5. Multiply line 4 by .2857	6.	302,358
6. Enter your worldwide 15% gains and qualified dividends (see instructions)	7.	172,767
7. Multiply line 6 by .5714	8.	
8. Enter your worldwide 5% gains and qualified dividends (see instructions)	9.	
9. Multiply line 8 by .8571	10.	172,767
10. Add lines 3, 5, 7 and 9	11.	982,658
11. Subtract line 10 from line 1. Enter the result here and on Form 1116, line 17		

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Please refer to the Form 1116 instructions for the alternative minimum tax amounts reported on this worksheet

Worksheet for AMT Form 1116, Page 2, Line 17

1. Enter the amount from Form 6251, line 28	2.	1,211,900
2. Enter the amount from Form 6251, line 51	3.	
3. Multiply line 2 by .1071	4.	302,358
4. Enter the amount from Form 6251, line 49	5.	140,385
5. Multiply line 4 by .4643	6.	
6. Enter the amount from Form 6251, line 47	7.	
7. Multiply line 6 by .8214	8.	140,385
8. Add lines 3, 5 and 7	9.	1,071,515
9. Subtract line 8 from line 1. Enter the result here and on the AMT Form 1116, line 17		

Name

WID S BARRINGTON & SUZANNE S SULLIVAN

Taxpayer Identification Number

Regular Tax

	Category #1		Category #2		
	Specify ▶ PASSIVE INC		Specify ▶		
	(1) Short-Term	(2) Long-Term (15%)	(3) Short-Term	(4) Long-Term (15%)	(5) Other
1. Separate category rate group capital gain or (loss)					
2. U.S. capital loss adjustment amount		7,762			
3. Subtotal (subtract line 2 from line 1 gain amounts)					
4. Net U.S. long-term capital loss		7,762			
5. U.S. long-term capital loss adjustment					
6. Excess net U.S. long-term capital loss					
7. Long-term capital gain (or adjustment amount)					
8. Limitation percentage					
9. Long-term limitation amounts					
10. Adjustment amounts					
11. Rate differential adjustments					
12. Long-term gains					
13. Rate differential adjustment					
14. Long-term gain					
15. Adjusted separate category capital gains and losses					

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3,127

Alternative Minimum Tax

	Category #1		Category #2		
	Specify ▶ PASSIVE INC		Specify ▶		
	(1) Short-Term	(2) Long-Term (15%)	(3) Short-Term	(4) Long-Term (15%)	(5) Other
1. Separate category rate group capital gain or (loss)					
2. U.S. capital loss adjustment amount		7,762			
3. Subtotal (subtract line 2 from line 1 gain amounts)					
4. Net U.S. long-term capital loss		7,762			
5. U.S. long-term capital loss adjustment					
6. Excess net U.S. long-term capital loss					
7. Long-term capital gain (or adjustment amount)					
8. Limitation percentage					
9. Long-term limitation amounts					
10. Adjustment amounts					
11. Rate differential adjustments					
12. Long-term gains					
13. Rate differential adjustment					
14. Long-term gain					
15. Adjusted separate category capital gains and losses					

4,158

Foreign Tax Credit Carryover Report

2007

Name DAVID S BARRINGTON & SUZANNE S SULLIVAN Taxpayer Identification Number

Foreign Income Category PASSIVE INCOME

Year	Regular	AMT
1999		
2000		
2001		
2002		
2003		
2004		
2005		
2006		
2007	-2,057	-2,244
	-2,531	-2,942
Carryover to 2008 *		

*Excess limit (-) not included in the Carryover total

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Foreign Tax Credit Carryover Worksheet

2007

Name
DAVID S BARRINGTON & SUZANNE S SULLIVAN

Taxpayer Identification Number

Foreign Income Category
PASSIVE INCOME

Regular

	Foreign Taxes Available	Maximum Credit Allowable	Unused (+) or Excess (-)	Carryback Applied from CY	Carryforward Applied to CY	* CY Unused (+) or Excess (-)
1999						
2000						
2001						
2002						
2003						
2004						
2005						
2006	71	2,128	-2,057			
2007	87	2,618	-2,531			
						-2,057
						-2,531

* Amounts flow to the Foreign Tax Credit Carryover Report

Alternative Minimum Tax

	Foreign Taxes Available	Maximum Credit Allowable	Unused (+) or Excess (-)	Carryback Applied from CY	Carryforward Applied to CY	* CY Unused (+) or Excess (-)
1999						
2000						
2001						
2002						
2003						
2004						
2005						
2006	71	2,315	-2,244			
2007	87	3,029	-2,942			
						-2,244
						-2,942

* Amounts flow to the Foreign Tax Credit Carryover Report

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Foreign Tax Credit

(Individual, Estate, or Trust)
▶ Attach to Form 1040, 1040NR, 1041, or 990-T.
▶ See separate instructions.

DAVID S BARRINGTON AND SUZANNE S SULLIVAN

ID no. as shown on page 1 of your tax return

Use a separate Form 1116 for each category of income listed below. See **Categories of Income** in the instructions. Check only one box on each Form 1116. Report all amounts in U.S. dollars except where specified in Part II below.

- a Passive category income
- b General category income
- c Section 901(j) income
- d Certain income re-sourced by treaty
- e Lump-sum distributions

f Resident of (name of country) ▶ **USA**

Note: If you paid taxes to only one foreign country or U.S. possession, use column A in Part I and line A in Part II. If you paid taxes to more than one foreign country or U.S. possession, use a separate column and line for each country or possession.

Part I Taxable Income or Loss From Sources Outside the United States (for Category Checked Above)

	Foreign Country or U.S. Possession			Total (Add columns A, B, and C.)
	A	B	C	
g Enter the name of the foreign country or U.S. possession. ▶ VARIOUS	VARIOUS			
1a Gross income from sources within country shown above and of the type checked above (see instructions): See Statement 9				
	7,717.			7,717.
b Check if line 1a is compensation for personal services as an employee, your total compensation from all sources is \$250,000 or more, and you used an alternative basis to determine its source (see instructions) ▶ <input type="checkbox"/>				
Deductions and losses (Caution: See instructions):				
2 Expenses definitely related to the income on line 1a (attach statement)				
3 Pro rata share of other deductions not definitely related:				
a Certain itemized deductions or standard deduction (see instructions)	15,811.	15,811.		
b Other deductions (attach statement)	130,800.	130,800.		
c Add lines 3a and 3b	146,611.	146,611.		
d Gross foreign source income (see instructions)	7,717.			
e Gross income from all sources (see instructions)	997,980.	997,980.		
f Divide line 3d by line 3e (see instructions)	0.007733			
g Multiply line 3c by line 3f	1,134.			
4 Pro rata share of interest expense (see instructions):				
a Home mortgage interest (use worksheet in the instructions)	511.			
b Other interest expense				
5 Losses from foreign sources				
6 Add lines 2, 3g, 4a, 4b, and 5	1,645.			
7 Subtract line 6 from line 1a. Enter the result here and on line 14, page 2				1,645.
				6
				7
				6,072.

Part II Foreign Taxes Paid or Accrued (see instructions)

COUNTRY	Credit is claimed for taxes (you must check one)		Foreign taxes paid or accrued							
	(h) <input checked="" type="checkbox"/> Paid	(i) <input type="checkbox"/> Accrued	In foreign currency				In U.S. dollars			
			Taxes withheld at source on:				Taxes withheld at source on:			(r) Other foreign taxes paid or accrued
	(j) Date paid or accrued	(k) Dividends	(l) Rents & royalties	(m) Interest	(n) Other foreign taxes paid or accrued	(o) Dividends	(p) Rents & royalties	(q) Interest		
A	12/15/2008					180.				180.
B										
C										

Add lines A through C, column (s). Enter the total here and on line 9, page 2... **180.**

BAA For Paperwork Reduction Act Notice, see separate instructions.

Part III Figuring the Credit

9	Enter the amount from line 8. These are your total foreign taxes paid or accrued for the category of income checked above Part I.	9	180.	
10	Carryback or carryover (attach detailed computation)	10		
11	Add lines 9 and 10.	11	180.	
12	Reduction in foreign taxes (see instructions)	12		
13	Subtract line 12 from line 11. This is the total amount of foreign taxes available for credit (see instructions)	13		180.
14	Enter amount from line 7. This is your taxable income or (loss) from sources outside the United States (before adjustments) for the category of income checked above Part I (see instructions)	14	6,072.	
15	Adjustments to line 14 (see instructions)	15		
16	Combine the amounts on lines 14 and 15. This is your net foreign source taxable income. (If the result is zero or less, you have no foreign tax credit for the category of income you checked above Part I. Skip lines 17 through 21. However, if you are filing more than one Form 1116, you must complete line 19.)	16	6,072.	
17	Individuals: Enter the amount from Form 1040, line 41 (minus any amount on Form 8914, line 2). If you are a nonresident alien, enter the amount from Form 1040NR, line 38 (minus any amount on Form 8914, line 2). Estates and trusts: Enter your taxable income without the deduction for your exemption. <i>Caution: If you figured your tax using the lower rates on qualified dividends or capital gains, see instructions.</i>	17	673,001.	
18	Divide line 16 by line 17. If line 16 is more than line 17, enter '1'.	18		0.0090
19	Individuals: Enter the amount from Form 1040, line 44. If you are a nonresident alien, enter the amount from Form 1040NR, line 41. Estates and trusts: Enter the amount from Form 1041, Schedule G, line 1a, or the total of Form 990-T, lines 36 and 37. <i>Caution: If you are completing line 19 for separate category e (lump-sum distributions), see instructions.</i>	19		203,859.
20	Multiply line 19 by line 18 (maximum amount of credit).	20		1,835.
21	Enter the smaller of line 13 or line 20. If this is the only Form 1116 you are filing, skip lines 22 through 26 and enter this amount on line 27. Otherwise, complete the appropriate line in Part IV (see instructions)	21		180.

Part IV Summary of Credits From Separate Parts III (see instructions)

22	Credit for taxes on passive category income	22		
23	Credit for taxes on general category income	23		
24	Credit for taxes on certain income re-sourced by treaty	24		
25	Credit for taxes on lump-sum distributions	25		
26	Add lines 22 through 25	26		
27	Enter the smaller of line 19 or line 26	27		180.
28	Reduction of credit for international boycott operations. See instructions for line 12	28		
29	Subtract line 28 from line 27. This is your foreign tax credit . Enter here and on Form 1040, line 47; Form 1040NR, line 44; Form 1041, Schedule G, line 2a; or Form 990-T, line 40a.	29		180.

Foreign Tax Credit

2008

Department of the Treasury
Internal Revenue Service (99)

(Individual, Estate, or Trust)
Attach to Form 1040, 1040NR, 1041, or 990-T.
See separate instructions.

Attachment
Sequence No. **19**
ID no. as shown on page 1 of your tax return

Name **DAVID S BARRINGTON AND SUZANNE S SULLIVAN**

Use a separate Form 1116 for each category of income listed below. See **Categories of Income** in the instructions. Check only one box on each Form 1116. Report all amounts in U.S. dollars except where specified in Part II below.

- a Passive category income
- b General category income
- c Section 901(j) income
- d Certain income re-sourced by treaty
- e Lump-sum distributions

f Resident of (name of country) **USA**

Note: If you paid taxes to only one foreign country or U.S. possession, use column A in Part I and line A in Part II. If you paid taxes to more than one foreign country or U.S. possession, use a separate column and line for each country or possession.

Part I Taxable Income or Loss From Sources Outside the United States (for Category Checked Above)

	Foreign Country or U.S. Possession			Total (Add columns A, B, and C.)
	A	B	C	
g Enter the name of the foreign country or U.S. possession	VARIOUS			
1a Gross income from sources within country shown above and of the type checked above (see instructions): See Statement 10				
	9,259.			1a 9,259.
b Check if line 1a is compensation for personal services as an employee, your total compensation from all sources is \$250,000 or more, and you used an alternative basis to determine its source (see instructions)				<input type="checkbox"/>
Deductions and losses (Caution: See instructions):				
2 Expenses definitely related to the income on line 1a (attach statement)				
3 Pro rata share of other deductions not definitely related:				
a Certain itemized deductions or standard deduction (see instructions)				
b Other deductions (attach statement)				
c Add lines 3a and 3b	130,800.	130,800.		
d Gross foreign source income (see instructions)	130,800.	130,800.		
e Gross income from all sources (see instructions)	9,259.			
f Divide line 3d by line 3e (see instructions)	997,980.	997,980.		
g Multiply line 3c by line 3f	0.009278			
4 Pro rata share of interest expense (see instructions):	1,214.			
a Home mortgage interest (use worksheet in the instructions)				
b Other interest expense	637.			
5 Losses from foreign sources				
6 Add lines 2, 3g, 4a, 4b, and 5				
7 Subtract line 6 from line 1a. Enter the result here and on line 14, page 2	1,851.			6 1,851.
Part II Foreign Taxes Paid or Accrued (see instructions)				7 7,408.

COUNTRY	Credit is claimed for taxes (you must check one)		Foreign taxes paid or accrued						
	(h) <input checked="" type="checkbox"/> Paid	(i) <input type="checkbox"/> Accrued	In foreign currency				In U.S. dollars		
			Taxes withheld at source on:			(n) Other foreign taxes paid or accrued	Taxes withheld at source on:		
	(j) Date paid or accrued	(k) Dividends	(l) Rents & royalties	(m) Interest	(o) Dividends		(p) Rents & royalties	(q) Interest	(r) Other foreign taxes paid or accrued
A	12/15/2008				180.				180.
B									
C									

8 Add lines A through C, column (s). Enter the total here and on line 9, page 2

BAA For Paperwork Reduction Act Notice, see separate instructions.

Part III Figuring the Credit

9	Enter the amount from line 8. These are your total foreign taxes paid or accrued for the category of income checked above Part I	180.	
10	Carryback or carryover (attach detailed computation)		
11	Add lines 9 and 10	180.	
12	Reduction in foreign taxes (see instructions)		
13	Subtract line 12 from line 11. This is the total amount of foreign taxes available for credit (see instructions)		180.
14	Enter amount from line 7. This is your taxable income or (loss) from sources outside the United States (before adjustments) for the category of income checked above Part I (see instructions)	7,408.	
15	Adjustments to line 14 (see instructions)		
16	Combine the amounts on lines 14 and 15. This is your net foreign source taxable income. (If the result is zero or less, you have no foreign tax credit for the category of income you checked above Part I. Skip lines 17 through 21. However, if you are filing more than one Form 1116, you must complete line 19.)	7,408.	
17	Individuals: Enter the amount from Form 1040, line 41 (minus any amount on Form 8914, line 2). If you are a nonresident alien, enter the amount from Form 1040NR, line 38 (minus any amount on Form 8914, line 2). Estates and trusts: Enter your taxable income without the deduction for your exemption. <i>Caution: If you figured your tax using the lower rates on qualified dividends or capital gains, see instructions.</i>	762,528.	
18	Divide line 16 by line 17. If line 16 is more than line 17, enter '1'		0.0097
19	Individuals: Enter the amount from Form 1040, line 44. If you are a nonresident alien, enter the amount from Form 1040NR, line 41. Estates and trusts: Enter the amount from Form 1041, Schedule G, line 1a, or the total of Form 990-T, lines 36 and 37. <i>Caution: If you are completing line 19 for separate category e (lump-sum distributions), see instructions.</i>		210,008.
20	Multiply line 19 by line 18 (maximum amount of credit)		2,037.
21	Enter the smaller of line 13 or line 20. If this is the only Form 1116 you are filing, skip lines 22 through 26 and enter this amount on line 27. Otherwise, complete the appropriate line in Part IV (see instructions)		180.

Part IV Summary of Credits From Separate Parts III (see instructions)

22	Credit for taxes on passive category income		
23	Credit for taxes on general category income		
24	Credit for taxes on certain income re-sourced by treaty		
25	Credit for taxes on lump-sum distributions		
26	Add lines 22 through 25		
27	Enter the smaller of line 19 or line 26		180.
28	Reduction of credit for international boycott operations. See instructions for line 12		
29	Subtract line 28 from line 27. This is your foreign tax credit . Enter here and on Form 1040, line 47; Form 1040NR, line 44; Form 1041, Schedule G, line 2a; or Form 990-T, line 40a		180.

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Federal Statements

Client BARINGSU

DAVID S BARRINGTON AND SUZANNE S SULLIVAN

3/25/09

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Statement 5
Schedule A, Line 17
Contributions Other than Cash

CLOTHING DONATIONS.....		
	Total	\$ 500.
		<u>\$ 500.</u>

Statement 6
Schedule A, Line 21
Unreimbursed Employee Expenses

Form 2106 (Spouse).....		\$ 9,530.
Form 2106 (Taxpayer).....		12,000.
	Total	\$ 21,530.
		<u>\$ 21,530.</u>

Statement 7
Schedule A, Line 23
Other Expenses

CABLE TV/INTERNET.....		\$ 2,040.
JOB SEARCH (T).....		1,810.
	Total	\$ 3,850.
		<u>\$ 3,850.</u>

Statement 8
Schedule D, Line 13
Capital Gain Distributions

JAMES GIDDENS.....		\$ 408.
RIDGE CLEARING.....		4,966.
	Total	\$ 5,374.
		<u>\$ 5,374.</u>

Statement 9
Form 1116, Line 1a - Passive Income
Gross Income From Sources Outside U.S.

Dividends.....			
Gross Foreign Source Qualified Dividends.....			1,136.
Foreign Source Qualified Dividend Adjustment.....	14,402.		
Net Foreign Source Qualified Dividends.....	<u>-8,229.</u>		
Capital Gain Distributions.....			6,173.
Net Foreign Source Capital Gain Distributions.....	408.		
	Total	\$	<u>7,717.</u>

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Federal Statements

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Statement 10
Form 1116, Line 1a - Passive Income (AMT)
Gross Income From Sources Outside U.S.

Dividends.....		
Gross Foreign Source Qualified Dividends.....		1,136.
Foreign Source Qualified Dividend Adjustment.....	14,402.	
Net Foreign Source Qualified Dividends.....	<u>-6,687.</u>	
Capital Gain Distributions.....		7,715.
Net Foreign Source Capital Gain Distributions.....	408.	
		<u>408.</u>
	Total \$	<u><u>9,259.</u></u>

Statement 11 - VP ADVERTISING SALES
Form 2106, Page 1, Line 4
Other Business Expenses

GIFTS.....		510.
TELEPHONE.....		1,090.
TRADE PUBLICATIONS.....		485.
	Total \$	<u><u>2,085.</u></u>

Statement 12 - ADVERTISING EXECUTIVE
Form 2106, Page 1, Line 4
Other Business Expenses

GIFTS.....		470.
TELEPHONE.....		980.
TRADE PUBLICATIONS.....		325.
	Total \$	<u><u>1,775.</u></u>