

**Proposed Substitute
Bill No. 1126**

LCO No. 5975

AN ACT CONCERNING REVISIONS TO CAMPAIGN FINANCE LAWS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Subdivision (3) of section 9-601 of the general statutes is
2 repealed and the following is substituted in lieu thereof (*Effective from*
3 *passage*):

4 (3) "Political committee" means (A) a committee organized by a
5 business entity or organization, (B) persons other than individuals, or
6 two or more individuals organized or acting jointly conducting their
7 activities in or outside the state, (C) an exploratory committee, (D) a
8 committee established by or on behalf of a slate of candidates in a
9 primary for the office of justice of the peace, but does not mean a
10 candidate committee or a party committee, (E) a legislative caucus
11 committee, [or] (F) a legislative leadership committee, or (G) an
12 independent expenditure political committee.

13 Sec. 2. (NEW) (*Effective from passage*) As used in chapter 155 and
14 chapter 157, "independent expenditure political committee" means a
15 political committee that may make independent expenditures and is
16 prohibited from making any contribution, except that it may make
17 unlimited contributions to other independent expenditure political
18 committees.

19 Sec. 3. Subsection (a) of section 9-601a of the general statutes is
20 repealed and the following is substituted in lieu thereof (*Effective from*
21 *passage*):

22 (a) As used in this chapter and chapter 157, "contribution" means:

23 (1) Any gift, subscription, loan, advance, payment or deposit of
24 money or anything of value, made to promote the success or defeat of
25 any [candidate] person seeking the nomination for election, or election
26 or for the purpose of aiding or promoting the success or defeat of any
27 referendum question or the success or defeat of any political party;

28 (2) A written contract, promise or agreement to make a contribution
29 for any such purpose;

30 (3) The payment by any person, other than a candidate or treasurer,
31 of compensation for the personal services of any other person which
32 are rendered without charge to a committee or candidate for any such
33 purpose;

34 (4) An expenditure that is not an independent expenditure; or

35 (5) Funds received by a committee which are transferred from
36 another committee or other source for any such purpose.

37 Sec. 4. Subsections (a) and (b) of section 9-601b of the general
38 statutes are repealed and the following is substituted in lieu thereof
39 (*Effective from passage*):

40 (a) As used in this chapter and chapter 157, the term "expenditure"
41 means:

42 (1) Any purchase, payment, distribution, loan, advance, deposit or
43 gift of money or anything of value, when made to promote the success
44 or defeat of any [candidate] person seeking the nomination for
45 election, or election [, of any person] or for the purpose of aiding or
46 promoting the success or defeat of any referendum question or the
47 success or defeat of any political party;

48 (2) Any communication that (A) refers to one or more clearly
49 identified candidates, and (B) is broadcast by radio, television, other
50 than on a public access channel, or by satellite communication or via
51 the Internet, or as a paid-for telephone communication, or appears in a
52 newspaper, magazine or on a billboard, or is sent by mail; or

53 (3) The transfer of funds by a committee to another committee.

54 (b) The term "expenditure" does not mean:

55 (1) A loan of money, made in the ordinary course of business, by a
56 state or national bank;

57 (2) A communication made by any corporation, organization or
58 association solely to its members, owners, stockholders, executive or
59 administrative personnel, or their families;

60 (3) Nonpartisan voter registration and get-out-the-vote campaigns
61 by any corporation, organization or association aimed at its members,
62 owners, stockholders, executive or administrative personnel, or their
63 families;

64 (4) Uncompensated services provided by individuals volunteering
65 their time on behalf of a party committee, political committee, slate
66 committee or candidate committee, including any services provided
67 for the benefit of nonparticipating and participating candidates under
68 the Citizens' Election Program and any unreimbursed travel expenses
69 made by an individual who volunteers the individual's personal
70 services to any such committee. For purposes of this subdivision, an
71 individual is a volunteer if such individual is not receiving
72 compensation for such services regardless of whether such individual
73 received compensation in the past or may receive compensation for
74 similar services that may be performed in the future;

75 (5) Any news story, commentary or editorial distributed through
76 the facilities of any broadcasting station, newspaper, magazine or
77 other periodical, unless such facilities are owned or controlled by any
78 political party, committee or candidate;

79 (6) The use of real or personal property, a portion or all of the cost of
80 invitations and the cost of food or beverages, voluntarily provided by
81 an individual to a candidate, including a nonparticipating or
82 participating candidate under the Citizens' Election Program, or to a
83 party, political or slate committee, in rendering voluntary personal
84 services at the individual's residential premises or a community room
85 in the individual's residence facility, to the extent that the cumulative
86 value of the invitations, food or beverages provided by an individual
87 on behalf of any candidate or committee does not exceed four hundred
88 dollars with respect to any single event or does not exceed eight
89 hundred dollars for any such event hosted by two or more individuals,
90 provided at least one such individual owns or resides at the residential
91 premises, and further provided the cumulative value of the invitations,
92 food or beverages provided by an individual on behalf of any such
93 candidate or committee does not exceed eight hundred dollars with
94 respect to a calendar year or single election, as the case may be;

95 (7) A communication described in subdivision (2) of subsection (a)
96 of this section that includes speech or expression [made] (A) made
97 prior to the ninety-day period preceding the date of a primary or an
98 election at which the clearly identified candidate or candidates are
99 seeking nomination to public office or position, [that is] including a
100 communication made for the purpose of influencing any legislative or
101 administrative action, as defined in section 1-91, or executive action,
102 [or] (B) made during a legislative session for the purpose of
103 influencing legislative action, or (C) that constitutes a candidate debate
104 or that solely promotes any such debate and is made by or on behalf of
105 the person sponsoring the debate;

106 (8) An organization expenditure by a party committee, legislative
107 caucus committee or legislative leadership committee;

108 (9) A commercial advertisement that refers to an owner, director or
109 officer of a business entity who is also a candidate and that had
110 previously been broadcast or appeared when the owner, director or
111 officer was not a candidate;

112 (10) A communication containing an endorsement on behalf of a
113 candidate for nomination or election to the office of Governor,
114 Lieutenant Governor, Secretary of the State, State Treasurer, State
115 Comptroller, Attorney General, state senator or state representative,
116 from a candidate for the office of Governor, Lieutenant Governor,
117 Secretary of the State, State Treasurer, State Comptroller, Attorney
118 General, state senator or state representative, shall not be an
119 expenditure attributable to the endorsing candidate, if the candidate
120 making the endorsement is unopposed at the time of the
121 communication;

122 (11) A communication that is sent by mail to addresses in the district
123 for which a candidate being endorsed by another candidate pursuant
124 to the provisions of this subdivision is seeking nomination or election
125 to the office of state senator or state representative, containing an
126 endorsement on behalf of such candidate for such nomination or
127 election, from a candidate for the office of state senator or state
128 representative, shall not be an expenditure attributable to the
129 endorsing candidate, if the candidate making the endorsement is not
130 seeking election to the office of state senator or state representative for
131 a district that contains any geographical area shared by the district for
132 the office to which the endorsed candidate is seeking nomination or
133 election;

134 (12) Campaign training events provided to multiple individuals by
135 a legislative caucus committee and any associated materials, provided
136 the cumulative value of such events and materials does not exceed six
137 thousand dollars in the aggregate for a calendar year;

138 (13) A lawful communication by any charitable organization which
139 is a tax-exempt organization under Section 501(c)(3) of the Internal
140 Revenue Code of 1986, or any subsequent corresponding internal
141 revenue code of the United States, as from time to time amended;

142 (14) The use of offices, telephones, computers and similar
143 equipment provided by a party committee, legislative caucus
144 committee or legislative leadership committee that serve as

145 headquarters for or are used by such party committee, legislative
146 caucus committee or legislative leadership committee; or

147 (15) An expense or expenses incurred by a human being acting
148 alone in an amount that is two hundred dollars or less, in the
149 aggregate, that benefits a candidate for a single election.

150 Sec. 5. Section 9-601c of the general statutes is repealed and the
151 following is substituted in lieu thereof (*Effective from passage*):

152 (a) As used in this chapter and chapter 157, [the term] "independent
153 expenditure" means an expenditure, as defined in section 9-601b, as
154 amended by this act, that is made without the consent, coordination [,
155 or consultation of,] or consultation of a candidate or agent of [the] a
156 candidate, candidate committee, political committee or party
157 committee.

158 (b) As used in this section, "candidate" includes any person who
159 later becomes a candidate who benefits from an expenditure made by
160 a coordinated spender or other coordinated spending.

161 (c) As used in this section, "coordinated spender," with respect to
162 any candidate or candidate committee, means:

163 (1) Any person directly or indirectly formed, controlled or
164 established in the current election cycle by, at the request or suggestion
165 of, or with the encouragement of, such candidate, candidate committee
166 or any agent thereof, including with the express or tacit approval of
167 such candidate, candidate committee or any agent thereof;

168 (2) (A) Except as provided in subparagraph (B) of this subdivision,
169 any person on whose behalf during an election cycle such candidate,
170 candidate committee or any agent thereof solicits funds or engages in
171 fundraising activity, including by providing to such person the name
172 of any potential donor or other list to be used by such person in
173 engaging in fundraising activity, regardless of whether such person
174 pays fair market value for any such name or list provided;

175 (B) If any funds raised by any candidate, candidate committee or
176 agent thereof are (i) segregated from all other accounts controlled by
177 the person on whose behalf such candidate, candidate committee or
178 agent thereof solicits such funds, and (ii) are not used to make (I)
179 independent expenditures that benefit such candidate or candidate
180 committee, or (II) contributions or covered transfers to any other
181 person who later makes independent expenditures, contributions or
182 covered transfers that benefit such candidate or candidate committee,
183 such person shall not be considered a coordinated spender under this
184 subdivision;

185 (3) Any person established, directed or managed by any person
186 who, during the current election cycle (A) was employed or retained as
187 a political, media or fundraising advisor or consultant for such
188 candidate or candidate committee or any entity directly or indirectly
189 controlled by such candidate or candidate committee, or (B) held a
190 formal position with a title for such candidate or candidate committee;
191 or

192 (4) (A) Any person established, directed or managed by any
193 member of the family of such candidate or, in the case of a person that
194 is an independent expenditure political committee, that has received
195 contributions in excess of two thousand dollars, in the aggregate, from
196 one or more members of the family, as defined in subsection (d) of this
197 section, of such candidate in an election cycle; or

198 (B) Any person or any officer or agent of such person that has had
199 more than incidental discussion regarding any campaign advertising,
200 message, strategy, policy, polling, allocation of resources, fundraising
201 or campaign operations of such candidate or candidate committee with
202 any member of the family, as defined in subsection (d) of this section,
203 of such candidate or, in the case of a person that is an independent
204 expenditure political committee, that has received contributions in
205 excess of two thousand dollars, in the aggregate, from one or more
206 members of the family, as defined in subsection (d) of this section, of
207 such candidate in an election cycle.

208 (d) As used in this section, "member of the family" means a spouse
209 and any child, parent, grandparent, brother, half-brother, sister or half-
210 sister of the candidate and the spouses of such persons.

211 (e) (1) For purposes of this section, if the person who makes an
212 expenditure is a coordinated spender with respect to a candidate or
213 candidate committee, such person shall be deemed to have made the
214 expenditure with the consent, coordination or consultation of, or at the
215 request or suggestion of, such candidate or candidate committee.

216 (2) For purposes of this section, a payment shall not be considered
217 to be made by a person with the consent, coordination or consultation
218 of, or at the request or suggestion of, any candidate or candidate
219 committee solely on the grounds that such person or any agent of such
220 person engaged in discussion with such candidate or candidate
221 committee or any agent thereof regarding such person's position on a
222 legislative or policy matter, including urging such candidate or
223 candidate committee to adopt such person's position, provided there is
224 no discussion between such person and such candidate, candidate
225 committee or agent thereof regarding any campaign advertising,
226 message, strategy, policy, polling, allocation of resources, fundraising
227 or campaign operations of such candidate or candidate committee.

228 ~~[(b)]~~ (f) When the State Elections Enforcement Commission
229 evaluates an expenditure that is not covered under subdivision (1) of
230 subsection (e) of this section, to determine whether such expenditure is
231 an independent expenditure, there shall be a rebuttable presumption
232 that the following expenditures are not independent expenditures:

233 (1) An expenditure made by a person in cooperation, consultation or
234 in concert with, at the request, suggestion or direction of, or pursuant
235 to a general or particular understanding with (A) a candidate,
236 candidate committee, political committee or party committee, or (B) a
237 consultant or other agent acting on behalf of a candidate, candidate
238 committee, political committee or party committee;

239 (2) An expenditure made by a person for the production,

240 dissemination, distribution or publication, in whole or in substantial
241 part, of any broadcast or any video, audio, written, graphic or other
242 form of political advertising or campaign communication prepared by
243 (A) a candidate, candidate committee, political committee or party
244 committee, or (B) a consultant or other agent acting on behalf of a
245 candidate, candidate committee, political committee or party
246 committee, and that is used in support of such candidate or committee
247 or in opposition to any candidate;

248 (3) An expenditure made by a person based on information about a
249 candidate's, political committee's, or party committee's plans, projects
250 or needs, provided by (A) a candidate, candidate committee, political
251 committee or party committee, or (B) a consultant or other agent acting
252 on behalf of a candidate, candidate committee, political committee or
253 party committee, with the intent that such expenditure be made;

254 (4) An expenditure made by an individual who, in the same election
255 cycle, is serving or has served as the campaign chairperson, treasurer
256 or deputy treasurer of a candidate committee, political committee or
257 party committee benefiting from such expenditure, or in any other
258 executive or policymaking position, including as a member, employee,
259 fundraiser, consultant or other agent, of a candidate, candidate
260 committee, political committee or party committee;

261 (5) An expenditure made by a person or an entity on or after
262 January first in the year of an election in which a candidate is seeking
263 public office that benefits such candidate when such person or entity
264 has hired an individual as an employee or consultant and such
265 individual was an employee of or consultant to [such candidate's
266 candidate committee or such candidate's opponent's candidate
267 committee during any part of the eighteen-month period preceding
268 such expenditure] such candidate or the candidate committee of such
269 candidate or the candidate committee of such candidate's opponent
270 during the current election cycle;

271 (6) An expenditure made by a person for fundraising activities (A)
272 with or for a candidate, candidate committee, political committee or

273 party committee, or a consultant or other agent acting on behalf of a
274 candidate, candidate committee, political committee or party
275 committee, or (B) for the solicitation or receipt of contributions on
276 behalf of a candidate, candidate committee, political committee or
277 party committee, or a consultant or other agent acting on behalf of a
278 candidate, candidate committee, political committee or party
279 committee;

280 (7) An expenditure made by a person based on information about a
281 candidate's campaign plans, projects or needs, that is directly or
282 indirectly provided by a candidate, the candidate's candidate
283 committee, a political committee or a party committee, or a consultant
284 or other agent acting on behalf of such candidate, candidate
285 committee, political committee or party committee, to the person
286 making the expenditure or such person's agent, with an express or tacit
287 understanding that such person is considering making the
288 expenditure;

289 (8) An expenditure made by a person for a communication that
290 clearly identifies a candidate during an election campaign, if the
291 person making the expenditure, or such person's agent, has informed
292 the candidate who benefits from the expenditure, that candidate's
293 candidate committee, a political committee or a party committee, or a
294 consultant or other agent acting on behalf of the benefiting candidate
295 or candidate committee, political committee, or party committee,
296 concerning the communication's contents, or of the intended audience,
297 timing, location or mode or frequency of dissemination. As used in this
298 subdivision, a communication clearly identifies a candidate when that
299 communication contains the name, nickname, initials, photograph or
300 drawing of the candidate or an unambiguous reference to that
301 candidate, which includes, but is not limited to, a reference that can
302 only mean that candidate; and

303 (9) An expenditure made by a person or an entity for consultant or
304 creative services, including, but not limited to, services related to
305 communications strategy or design or campaign strategy or to engage

306 a campaign-related vendor, to be used to promote or oppose a
307 candidate's election to office if the provider of such services is or has
308 provided consultant or creative services to such candidate, such
309 candidate's candidate committee or an agent of such candidate
310 committee, or to any opposing candidate's candidate committee or an
311 agent of such candidate committee after January first of the year in
312 which the expenditure occurs. For purposes of this subdivision,
313 communications strategy or design does not include the costs of
314 printing or costs for the use of a medium for the purpose of
315 communications. For purposes of this subdivision, campaign-related
316 vendor includes, but is not limited to, a vendor that provides the
317 following services: Polling, mail design, mail strategy, political
318 strategy, general campaign advice or telephone banking.

319 [(c) When the State Elections Enforcement Commission evaluates an
320 expenditure to determine whether an expenditure by entity is an
321 independent expenditure, the following shall not be presumed to
322 constitute evidence of consent, coordination or consultation within the
323 meaning of subsection (a) of this section: (1) Participation by a
324 candidate or an agent of the candidate in an event sponsored by the
325 entity, unless such event promotes the success of the candidate's
326 candidacy or the defeat of the candidate's opponent, or unless the
327 event is during the period that is forty-five days prior to the primary
328 for which the candidate is seeking nomination for election or election
329 to office; (2) membership of the candidate or agent of the candidate in
330 the entity, unless the candidate or agent of the candidate holds an
331 executive or policymaking position within the entity after the
332 candidate becomes a candidate; or (3) financial support for, or
333 solicitation or fundraising on behalf of the entity by a candidate or an
334 agent of the candidate, unless the entity has made or obligated to make
335 independent expenditures in support of such candidate in the election
336 or primary for which the candidate is a candidate.]

337 [(d)] (g) When the State Elections Enforcement Commission
338 evaluates an expenditure to determine whether such expenditure is an
339 independent expenditure, the commission shall consider, as an

340 effective rebuttal to the presumptions provided in subsection [(b)] (f)
341 of this section, the establishment by the person making the
342 expenditure of a firewall policy designed and implemented to prohibit
343 the flow of information between (1) employees, consultants or other
344 individuals providing services to the person paying for the
345 expenditure, and (2) the candidate or agents of the candidate.

346 Sec. 6. Section 9-601d of the general statutes is repealed and the
347 following is substituted in lieu thereof (*Effective from passage*):

348 (a) Any person, as defined in section 9-601, as amended by this act,
349 may, unless otherwise restricted or prohibited by law, including, but
350 not limited to, any provision of this chapter or chapter 157, make
351 unlimited independent expenditures, as defined in section 9-601c, as
352 amended by this act, and accept unlimited covered transfers, as
353 defined in [said] section 9-601, as amended by this act. Except as
354 provided pursuant to this section, any such person who makes or
355 obligates to make an independent expenditure or expenditures in
356 excess of one thousand dollars, in the aggregate, shall file statements
357 according to the same schedule and in the same manner as is required
358 of a treasurer of a [candidate] political committee pursuant to section
359 9-608, as amended by this act. Such statements shall be filed on the
360 forms described in subsections (c) and (d) of this section.

361 (b) Any person who makes or obligates to make an independent
362 expenditure or expenditures in an election or primary for the office of
363 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
364 State Comptroller, Attorney General, state senator or state
365 representative, which exceed one thousand dollars, in the aggregate,
366 [during a primary campaign or a general election campaign, as defined
367 in section 9-700,] during the period beginning on or after July first in
368 the year of a regular election and ending the day following the primary
369 or election for which any such independent expenditure is made or
370 incurred shall file, electronically, a long-form and a short-form report
371 of such independent expenditure or expenditures with the State
372 Elections Enforcement Commission pursuant to subsections (c) and (d)

373 of this section. The person that makes or obligates to make such
374 independent expenditure or expenditures shall file such reports not
375 later than twenty-four hours after (1) making any such payment, or (2)
376 obligating to make any such payment, with respect to the primary or
377 election. If any such person makes or incurs a subsequent independent
378 expenditure, such person shall report such expenditure pursuant to
379 subsection (d) of this section. Such reports shall be filed under penalty
380 of false statement.

381 (c) The independent expenditure long-form report shall identify: (1)
382 The name of the person making or obligating to make such
383 expenditure or expenditures; (2) the tax exempt status, Federal
384 Employee Identification Number and Federal Election Commission
385 Identification Number of such person, if applicable; (3) the mailing
386 street address of such person; (4) the principal business address of the
387 person, if different from the mailing street address; (5) the address,
388 telephone number and electronic mail address of the agent for service
389 of process in this state of such person; (6) the date of the primary or
390 election or referendum question for which the independent
391 expenditure or expenditures were made or obligated to be made; (7)
392 the name of any candidate who, or the text of any referendum question
393 that, was the subject of any independent expenditure, [or expenditures
394 and whether the independent expenditure or expenditures were]
395 whether such independent expenditure was in support of or in
396 opposition to such candidate or referendum question and all other
397 information required under subsection (d) of this section for such
398 expenditure; and (8) the name, telephone number and electronic mail
399 address for the individual filing such report. Such individual filing
400 such report shall affirm that the expenditure reported is an
401 independent expenditure under penalty of false statement.

402 (d) As part of any filing made pursuant to subsection (c) of this
403 section and for each subsequent independent expenditure made or
404 obligated to be made by a person with respect to the primary or
405 election for which a long-form report pursuant to subsection (c) of this
406 section has been filed on behalf of such person, an individual shall file

407 [, electronically,] a short-form report for each such independent
408 expenditure. [, not later than twenty-four hours after such person
409 makes a payment for an independent expenditure or obligates to make
410 such an independent expenditure.] Such short-form report shall
411 identify: (1) The name of the person making or obligating to make such
412 independent expenditure; (2) the amount of the independent
413 expenditure; (3) whether the independent expenditure was in support
414 of or in opposition to a candidate or referendum question and the
415 name of such candidate or text of such referendum question; (4) a brief
416 description of the expenditure made, including the type of
417 communication, based on categories determined by the State Elections
418 Enforcement Commission, and the allocation of such expenditure in
419 support of or in opposition to each candidate, if such expenditure was
420 made in support of or in opposition to more than one candidate; [and]
421 (5) the name, telephone number and electronic mail address for the
422 individual filing such report; and (6) any other information that the
423 State Elections Enforcement Commission may require to facilitate
424 compliance with the provisions of this chapter or chapter 157. Such
425 individual filing such report shall affirm that the expenditure reported
426 is an independent expenditure under penalty of false statement.

427 (e) No person reporting an independent expenditure pursuant to
428 the provisions of subsection (c) or (d) of this section shall be required
429 to file a statement pursuant to section 9-608, as amended by this act,
430 for such independent expenditure.

431 (f) (1) Except as provided in subdivision (2) of this subsection, as
432 part of any statement filed pursuant to this section, if a person who
433 makes or obligates to make an independent expenditure (A) has
434 received a covered transfer during the twelve-month period prior to a
435 primary or election, as applicable to the reported expenditure, [for an
436 office that a candidate described in subdivision (7) of subsection (c) of
437 this section is seeking,] and (B) such independent expenditure is made
438 or obligated to be made on or after the date that is one hundred eighty
439 days prior to such primary or election, such person shall disclose the
440 source and the amount of any such covered transfer such person

441 received that is in an amount that is five thousand dollars or more, in
442 the aggregate, during the twelve-month period prior to such primary
443 or election, as applicable to the reported expenditure.

444 (2) The provisions of subdivision (1) of this subsection shall not
445 apply to any person who discloses the source and amount of a covered
446 transfer described in subdivision (1) of this subsection as part of any
447 report to the Federal Election Commission or the Internal Revenue
448 Service, provided such person includes a copy of, or information
449 sufficient to find, any such report as part of the report of each
450 applicable independent expenditure pursuant to this section. If a
451 source and amount of a covered transfer is not included as part of any
452 such report, the maker of the expenditure shall disclose the source and
453 amount of such covered transfer pursuant to subdivision (1) of this
454 subsection, if applicable.

455 (g) (1) A person may, unless otherwise restricted or prohibited by
456 law, including, but not limited to, any provision of this chapter or
457 chapter 157, establish a dedicated independent expenditure account,
458 for the purpose of engaging in independent expenditures, that is
459 segregated from all other accounts controlled by such person. Such
460 dedicated independent expenditure account may receive covered
461 transfers directly from persons other than the person establishing the
462 dedicated account and may not receive transfers from another account
463 controlled by the person establishing the dedicated account, except as
464 provided in subdivision (2) of this subsection. If an independent
465 expenditure is made from such segregated account, any report
466 required pursuant to this section or disclaimer required pursuant to
467 section 9-621, as amended by this act, may include only those persons
468 who made covered transfers directly to the dedicated independent
469 expenditure account.

470 (2) If a person who has made a covered transfer to another account
471 controlled by the person establishing a dedicated independent
472 expenditure account requests that such covered transfer be used for
473 the purposes of making an independent expenditure from the

474 dedicated independent expenditure account, the amount of such
475 covered transfer may be transferred to the dedicated independent
476 expenditure account and shall be treated as a covered transfer directly
477 to the dedicated independent expenditure account.

478 (h) Any person may file a complaint with the commission upon the
479 belief that (1) any such independent expenditure report or statement is
480 false, or (2) any person who is required to file an independent
481 expenditure report under this subsection has failed to do so. The
482 commission shall make a prompt determination on such a complaint.

483 (i) (1) If a person fails to file a report in accordance with the
484 provisions of this section for an independent expenditure or
485 expenditures made or obligated to be made more than ninety days
486 before the day of a primary or election, the person shall be subject to a
487 civil penalty, imposed by the State Elections Enforcement Commission,
488 of not more than ten thousand dollars. If a person fails to file a report
489 required in accordance with the provisions of this section for an
490 independent expenditure or expenditures made or obligated to be
491 made ninety days or less before the day of a primary or election, such
492 person shall be subject to a civil penalty, imposed by the State
493 Elections Enforcement Commission, of not more than twenty thousand
494 dollars or twice the amount of any unreported expenditure, whichever
495 is greater.

496 (2) If any such failure is knowing and wilful, the person responsible
497 for the failure shall also be fined not more than fifty thousand dollars
498 or ten times the amount of any unreported expenditure, whichever is
499 greater, and the commission may refer the matter to the office of the
500 Chief State's Attorney.

501 Sec. 7. Subsections (a) and (b) of section 9-603 of the general statutes
502 are repealed and the following is substituted in lieu thereof (*Effective*
503 *from passage*):

504 (a) Statements filed by party committees, political committees
505 formed to aid or promote the success or defeat of a referendum

506 question proposing a constitutional convention, constitutional
507 amendment or revision of the Constitution, individual lobbyists, [and]
508 those political committees and candidate committees formed to aid or
509 promote the success or defeat of any candidate for the office of
510 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
511 State Comptroller, Attorney General, judge of probate and members of
512 the General Assembly and those persons making independent
513 expenditures in excess of one thousand dollars, in the aggregate, for
514 any such candidates or referendum questions pursuant to section 9-
515 601d, as amended by this act, shall be filed with the State Elections
516 Enforcement Commission. A political committee formed for a slate of
517 candidates in a primary for the office of justice of the peace shall file
518 statements with the town clerk of the municipality in which the
519 primary is to be held.

520 (b) Statements filed by political committees formed solely to aid or
521 promote the success or defeat of a referendum question to be voted
522 upon by the electors of a single municipality, [and] those political
523 committees or candidate committees formed to aid or promote the
524 success or defeat of any candidate for public office, other than those
525 enumerated in subsection (a) of this section, or the position of town
526 committee member and those persons making any independent
527 expenditure or expenditures in excess of one thousand dollars, in the
528 aggregate, for any such candidates or referendum questions pursuant
529 to section 9-601d, as amended by this act, shall be filed only with the
530 town clerk of the municipality in which the election or referendum is
531 to be held. Each unsalaried town clerk shall be entitled to receive ten
532 cents from the town for the filing of each such statement.

533 Sec. 8. Subsections (b) to (d), inclusive, of section 9-605 of the
534 general statutes are repealed and the following is substituted in lieu
535 thereof (*Effective from passage*):

536 (b) The registration statement shall include: (1) The name and
537 address of the committee; (2) a statement of the purpose of the
538 committee; (3) the name and address of its treasurer, and deputy

539 treasurer if applicable; (4) the name, address and position of its
540 chairman, and other principal officers if applicable; (5) the name and
541 address of the depository institution for its funds; (6) the name of each
542 person, other than an individual, that is a member of the committee;
543 (7) the name and party affiliation of each candidate whom the
544 committee is supporting and the office or position sought by each
545 candidate; (8) if the committee is supporting the entire ticket of any
546 party, a statement to that effect and the name of the party; (9) if the
547 committee is supporting or opposing any referendum question, a brief
548 statement identifying the substance of the question; (10) if the
549 committee is established by a business entity or organization or by an
550 individual acting as the agent of another person, the name of the
551 [entity or organization] entity, organization or other person; (11) if the
552 committee is established by an organization, whether it will receive its
553 funds from the organization's treasury or from voluntary
554 contributions; (12) if the committee or the person establishing the
555 committee through an individual agent files reports with the Federal
556 Elections Commission or any out-of-state agency, a statement to that
557 effect including the name of the agency; (13) a statement indicating
558 whether the committee is established for a single primary, election or
559 referendum or for ongoing political activities; (14) if the committee is
560 established or controlled by a lobbyist, a statement to that effect and
561 the name of the lobbyist; (15) the name and address of the person
562 making the initial contribution or disbursement, if any, to the
563 committee; and (16) any information that the State Elections
564 Enforcement Commission requires to facilitate compliance with the
565 provisions of this chapter or chapter 157. If no such initial contribution
566 or disbursement has been made at the time of the filing of such
567 statement, the treasurer of the committee shall, not later than forty-
568 eight hours after receipt of such contribution or disbursement, file a
569 report with the State Elections Enforcement Commission. The report
570 shall be in the same form as statements filed under section 9-608, as
571 amended by this act.

572 (c) The treasurer of each political committee shall report any
573 addition to or change in information previously submitted in a

574 statement of organization to the proper authority not later than ten
575 days after the addition or change, provided if an officer of the
576 committee has changed, such amended statement shall be filed by the
577 chairperson of the committee.

578 (d) A group of two or more individuals who have joined solely to
579 promote the success or defeat of a referendum question or to form an
580 independent expenditure political committee shall not be required to
581 file as a political committee, make such designations in accordance
582 with subsections (a) and (b) of this section or file statements pursuant
583 to section 9-608, [if] as amended by this act, provided the group does
584 not receive [or expend] any contribution or contributions or make or
585 incur any expenditure or expenditures in excess of one thousand
586 dollars, in the aggregate, for the entire campaign. If the group receives
587 [funds] contributions or makes or incurs expenditures exceeding one
588 thousand dollars, in the aggregate, the group shall complete the
589 statement of organization and file as a political committee not later
590 than (1) three business days thereafter for a group formed solely to
591 promote the success or defeat of a referendum question, or (2) ten
592 business days thereafter for an independent expenditure political
593 committee. The group shall provide the designated treasurer with all
594 information required for completion of the statements for filing as
595 required by section 9-608, as amended by this act.

596 Sec. 9. Subdivision (1) of subsection (g) of section 9-607 of the
597 general statutes is repealed and the following is substituted in lieu
598 thereof (*Effective from passage*):

599 (g) (1) As used in this subsection, (A) "the lawful purposes of the
600 committee" means: (i) For a candidate committee or exploratory
601 committee, the promoting of the nomination or election of the
602 candidate who established the committee, except that after a political
603 party nominates candidates for election to the offices of Governor and
604 Lieutenant Governor, whose names shall be so placed on the ballot in
605 the election that an elector will cast a single vote for both candidates,
606 as prescribed in section 9-181, a candidate committee established by

607 either such candidate may also promote the election of the other such
608 candidate; (ii) for a political committee, the promoting of a political
609 party, including party building activities, the success or defeat of
610 candidates for nomination and election to public office or position
611 subject to the requirements of this chapter, or the success or defeat of
612 referendum questions, provided a political committee formed for a
613 single referendum question shall not promote the success or defeat of
614 any candidate, and provided further a legislative leadership committee
615 or a legislative caucus committee may expend funds to defray costs for
616 conducting legislative or constituency-related business which are not
617 reimbursed or paid by the state; [and] (iii) for a party committee, the
618 promoting of the party, party building activities, the candidates of the
619 party and continuing operating costs of the party; and (iv) for an
620 independent expenditure political committee, the promoting of a
621 political party, the success or defeat of any candidate for nomination or
622 election to public office or position subject to the requirements of this
623 chapter, or the success or defeat of any referendum question, provided
624 an independent expenditure political committee shall act entirely
625 independently of any candidate or agent thereof, candidate committee,
626 political committee or party committee, and (B) "immediate family"
627 means a spouse or dependent child of a candidate who resides in the
628 candidate's household.

629 Sec. 10. Subdivision (1) of subsection (c) of section 9-608 of the
630 general statutes is repealed and the following is substituted in lieu
631 thereof (*Effective from passage*):

632 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
633 section shall include, but not be limited to: (A) An itemized accounting
634 of each contribution, if any, including the full name and complete
635 address of each contributor and the amount of the contribution and,
636 for an independent expenditure political committee, if any contributor
637 is a recipient of a covered transfer or transfers amounting to twenty-
638 five thousand dollars or more, in the aggregate, the name of any
639 person or persons who made the covered transfer or transfers to such
640 recipient during the twelve-month period prior to the primary or

641 election, as applicable; (B) an itemized accounting of each expenditure,
642 if any, including the full name and complete address of each payee,
643 including secondary payees whenever the primary or principal payee
644 is known to include charges which the primary payee has already paid
645 or will pay directly to another person, vendor or entity, the amount
646 and the purpose of the expenditure, the candidate supported or
647 opposed by the expenditure, whether the expenditure is made
648 independently of the candidate supported or is an in-kind contribution
649 to the candidate, and a statement of the balance on hand or deficit, as
650 the case may be; (C) an itemized accounting of each expense incurred
651 but not paid, provided if the expense is incurred by use of a credit
652 card, the accounting shall include secondary payees, and the amount
653 owed to each such payee; (D) the name and address of any person who
654 is the guarantor of a loan to, or the cosigner of a note with, the
655 candidate on whose behalf the committee was formed, or the treasurer
656 in the case of a party committee or a political committee or who has
657 advanced a security deposit to a telephone company, as defined in
658 section 16-1, for telecommunications service for a committee; (E) for
659 each business entity or person purchasing advertising space in a
660 program for a fund-raising affair or on signs at a fund-raising affair,
661 the name and address of the business entity or the name and address
662 of the person, and the amount and aggregate amounts of such
663 purchases; (F) for each individual who contributes in excess of one
664 hundred dollars but not more than one thousand dollars, in the
665 aggregate, to the extent known, the principal occupation of such
666 individual and the name of the individual's employer, if any; (G) for
667 each individual who contributes in excess of one thousand dollars in
668 the aggregate, the principal occupation of such individual and the
669 name of the individual's employer, if any; (H) for each itemized
670 contribution made by a lobbyist, the spouse of a lobbyist or any
671 dependent child of a lobbyist who resides in the lobbyist's household,
672 a statement to that effect; and (I) for each individual who contributes in
673 excess of four hundred dollars in the aggregate to or for the benefit of
674 any candidate's campaign for nomination at a primary or election to
675 the office of chief executive officer or a slate or town committee

676 financing the nomination or election or a candidate for chief executive
677 officer of a town, city or borough, a statement indicating whether the
678 individual or a business with which he is associated has a contract
679 with said municipality that is valued at more than five thousand
680 dollars. Each treasurer shall include in such statement (i) an itemized
681 accounting of the receipts and expenditures relative to any testimonial
682 affair held under the provisions of section 9-609 or any other fund-
683 raising affair, which is referred to in subsection (b) of section 9-601a,
684 and (ii) the date, location and a description of the affair, except that a
685 treasurer shall not be required to include the name of any individual
686 who has purchased items at a fund-raising affair or food at a town fair,
687 county fair or similar mass gathering, if the cumulative value of items
688 purchased by such individual does not exceed one hundred dollars, or
689 the name of any individual who has donated food or beverages for a
690 meeting. A treasurer shall not be required to report or retain any
691 receipts or expenditures related to any de minimis donations described
692 in subdivision (17) of subsection (b) of section 9-601a.

693 Sec. 11. Section 9-611 of the general statutes is repealed and the
694 following is substituted in lieu thereof (*Effective from passage*):

695 (a) No individual shall make a contribution or contributions to, for
696 the benefit of, or pursuant to the authorization or request of, a
697 candidate or a committee supporting or opposing any candidate's
698 campaign for nomination at a primary, or any candidate's campaign
699 for election, to the office of (1) Governor, in excess of three thousand
700 five hundred dollars; (2) Lieutenant Governor, Secretary of the State,
701 Treasurer, Comptroller or Attorney General, in excess of two thousand
702 dollars; (3) chief executive officer of a town, city or borough, in excess
703 of one thousand dollars; (4) state senator or probate judge, in excess of
704 one thousand dollars; or (5) state representative or any other office of a
705 municipality not previously included in this subsection, in excess of
706 two hundred fifty dollars. The limits imposed by this subsection shall
707 be applied separately to primaries and elections.

708 (b) (1) No individual shall make a contribution or contributions to,

709 or for the benefit of, an exploratory committee, in excess of three
710 hundred seventy-five dollars, if the candidate establishing the
711 exploratory committee certifies on the statement of organization for
712 the exploratory committee pursuant to subsection (c) of section 9-604
713 that the candidate will not be a candidate for the office of state
714 representative. No individual shall make a contribution or
715 contributions to, or for the benefit of, any exploratory committee, in
716 excess of two hundred fifty dollars, if the candidate establishing the
717 exploratory committee does not so certify.

718 (2) No individual shall make a contribution or contributions to, or
719 for the benefit of, a political committee formed by a slate of candidates
720 in a primary for the office of justice of the peace, in excess of two
721 hundred fifty dollars.

722 [(c) No individual shall make contributions to such candidates or
723 committees which in the aggregate exceed thirty thousand dollars for
724 any single election and primary preliminary to such election.]

725 [(d)] (c) No individual shall make a contribution to any candidate or
726 committee, other than a contribution in kind, in excess of one hundred
727 dollars except by personal check or credit card of that individual.

728 [(e)] (d) No individual who is less than eighteen years of age shall
729 make a contribution or contributions, in excess of thirty dollars to, for
730 the benefit of, or pursuant to the authorization or request of: (1) A
731 candidate or a committee supporting or opposing any candidate's
732 campaign for nomination at a primary to any office; (2) a candidate or
733 a committee supporting or opposing any candidate's campaign for
734 election to any office; (3) an exploratory committee; (4) any other
735 political committee in any calendar year; or (5) a party committee in
736 any calendar year. Notwithstanding any provision of subdivision (2) of
737 section 9-7b, any individual who is less than eighteen years of age who
738 violates any provision of this subsection shall not be subject to the
739 provisions of subdivision (2) of section 9-7b.

740 Sec. 12. Subsection (a) of section 9-612 of the general statutes is

741 repealed and the following is substituted in lieu thereof (*Effective from*
742 *passage*):

743 (a) No individual shall make a contribution or contributions in any
744 one calendar year in excess of ten thousand dollars to the state central
745 committee of any party, or for the benefit of such committee pursuant
746 to its authorization or request; or two thousand dollars to a town
747 committee of any political party, or for the benefit of such committee
748 pursuant to its authorization or request; or two thousand dollars to a
749 legislative caucus committee or legislative leadership committee, or
750 one thousand dollars to any other political committee other than (1) a
751 political committee formed solely to aid or promote the success or
752 defeat of a referendum question, (2) an exploratory committee, (3) a
753 political committee established by an organization, or for the benefit of
754 such committee pursuant to its authorization or request, [or] (4) a
755 political committee formed by a slate of candidates in a primary for the
756 office of justice of the peace of the same town, or (5) an independent
757 expenditure political committee.

758 Sec. 13. Section 9-613 of the general statutes is repealed and the
759 following is substituted in lieu thereof (*Effective from passage*):

760 (a) No business entity shall make any contributions or expenditures
761 to, or for the benefit of, any candidate's campaign for election to any
762 public office or position subject to this chapter or for nomination at a
763 primary for any such office or position, or to promote the defeat of any
764 candidate for any such office or position. No business entity shall
765 make any other [contributions or expenditures] contributions,
766 including coordinated expenditures, as described in section 9-601c, as
767 amended by this act, to promote the success or defeat of any political
768 party, except as provided in subsection (b) of this section. No business
769 entity shall establish more than one political committee. A political
770 committee shall be deemed to have been established by a business
771 entity if the initial disbursement or contribution to the committee is
772 made under subsection (b) of this section or by an officer, director,
773 owner, limited or general partner or holder of stock constituting five

774 per cent or more of the total outstanding stock of any class of the
775 business entity.

776 (b) A business entity may make reasonable and necessary transfers
777 or disbursements to or for the benefit of a political committee
778 established by such business entity, for the administration of, or
779 solicitation of contributions to, such political committee. Nonmonetary
780 contributions by a business entity which are incidental in nature and
781 are directly attributable to the administration of such political
782 committee shall be exempt from the reporting requirements of this
783 chapter.

784 (c) The provisions of this section shall not preclude a business entity
785 from making contributions or expenditures to promote the success or
786 defeat of a referendum question.

787 (d) [A] Except as provided in subsection (f) of this section, a political
788 committee organized by a business entity shall not make a
789 contribution or contributions to, or for the benefit of, any candidate's
790 campaign for nomination at a primary or any candidate's campaign for
791 election to the office of: (1) Governor, in excess of five thousand
792 dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer,
793 Comptroller or Attorney General, in excess of three thousand dollars;
794 (3) state senator, probate judge or chief executive officer of a town, city
795 or borough, in excess of one thousand five hundred dollars; (4) state
796 representative, in excess of seven hundred fifty dollars; or (5) any other
797 office of a municipality not included in subdivision (3) of this
798 subsection, in excess of three hundred seventy-five dollars. The limits
799 imposed by this subsection shall apply separately to primaries and
800 elections and contributions by any such committee to candidates
801 designated in this subsection shall not exceed one hundred thousand
802 dollars in the aggregate for any single election and primary
803 preliminary thereto. Contributions to such committees shall also be
804 subject to the provisions of section 9-618, as amended by this act, in the
805 case of committees formed for ongoing political activity or section 9-
806 619, as amended by this act, in the case of committees formed for a

807 single election or primary.

808 (e) ~~[No]~~ Except as provided in subsection (f) of this section, no
809 political committee organized by a business entity shall make a
810 contribution or contributions to (1) a state central committee of a
811 political party, in excess of seven thousand five hundred dollars in any
812 calendar year, (2) a town committee of any political party, in excess of
813 one thousand five hundred dollars in any calendar year, (3) an
814 exploratory committee in excess of three hundred seventy-five dollars,
815 or (4) any other kind of political committee, in excess of two thousand
816 dollars in any calendar year.

817 (f) No independent expenditure political committee organized by a
818 business entity may make any contribution, unless the recipient of
819 such contribution is another independent expenditure political
820 committee.

821 ~~[(f)]~~ (g) As used in this subsection, "investment services" means
822 investment legal services, investment banking services, investment
823 advisory services, underwriting services, financial advisory services or
824 brokerage firm services. No political committee established by a firm
825 which provides investment services and to which the State Treasurer
826 pays compensation, expenses or fees or issues a contract shall make a
827 contribution to, or solicit contributions on behalf of, an exploratory
828 committee or candidate committee established by a candidate for
829 nomination or election to the office of State Treasurer during the term
830 of office of the State Treasurer who does business with such firm.

831 ~~[(g)]~~ (h) Notwithstanding the provisions of this section, a
832 corporation, cooperative association, limited partnership, professional
833 association, limited liability company or limited liability partnership,
834 whether formed in this state or any other, ~~[acting alone,]~~ may make
835 independent expenditures or contributions to independent
836 expenditure political committees.

837 Sec. 14. Section 9-614 of the general statutes is repealed and the
838 following is substituted in lieu thereof (*Effective from passage*):

839 (a) An organization may make [contributions or] contributions,
840 including coordinated expenditures, other than those made to promote
841 the success or defeat of a referendum question, only by first forming its
842 own political committee. The political committee shall then be
843 authorized to receive funds exclusively from the organization's
844 treasury or from voluntary contributions made by its members, but not
845 both, from another political committee or, from a candidate committee
846 distributing a surplus and (1) to make contributions or expenditures to,
847 or for the benefit of, a candidate's campaign or a political party, or (2)
848 to make contributions to another political committee. No organization
849 shall form more than one political committee. A political committee
850 shall be deemed to have been established by an organization if the
851 initial contribution to the committee is made by the organization's
852 treasury or an officer or director of the organization.

853 (b) A political committee established by an organization may elect
854 to alter the manner in which it is funded if it complies with the
855 requirements of this subsection. The committee chairperson shall
856 notify the repository with which the committee's most recent statement
857 of organization is filed, in writing, of the committee's intent to alter its
858 manner of funding. Within fifteen days after the date of receipt of such
859 notification, the treasurer of such political committee shall return any
860 funds remaining in the account of the committee to the organization's
861 treasury after payment of each outstanding liability. Within seven days
862 after the distribution and payments have been made, the treasurer
863 shall file a statement with the same repository itemizing each such
864 distribution and payment. Upon such filing, the treasurer may receive
865 voluntary contributions from any member of the organization which
866 established such committee subject to the limitations imposed in
867 subsection (b) of section 9-612.

868 (c) The chairperson of each political committee established by an
869 organization on or after July 1, 1985, shall designate the manner in
870 which the committee shall be funded in the committee's statement of
871 organization.

872 (d) Notwithstanding the provisions of this section, an organization
873 [, acting alone,] may make independent expenditures and
874 contributions to independent expenditure political committees.

875 Sec. 15. Section 9-615 of the general statutes is repealed and the
876 following is substituted in lieu thereof (*Effective from passage*):

877 (a) No political committee established by an organization shall
878 make a contribution or contributions to, or for the benefit of, any
879 candidate's campaign for nomination at a primary or for election to the
880 office of: (1) Governor, in excess of five thousand dollars; (2)
881 Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or
882 Attorney General, in excess of three thousand dollars; (3) chief
883 executive officer of a town, city or borough, in excess of one thousand
884 five hundred dollars; (4) state senator or probate judge, in excess of
885 one thousand five hundred dollars; (5) state representative, in excess of
886 seven hundred fifty dollars; or (6) any other office of a municipality
887 not previously included in this subsection, in excess of three hundred
888 seventy-five dollars.

889 (b) No such committee shall make a contribution or contributions to,
890 or for the benefit of, an exploratory committee, in excess of three
891 hundred seventy-five dollars. Any such committee may make
892 unlimited contributions to a political committee formed solely to aid or
893 promote the success or defeat of a referendum question.

894 (c) The limits imposed by subsection (a) of this section shall apply
895 separately to primaries and elections and no such committee shall
896 make contributions to the candidates designated in this section which
897 in the aggregate exceed fifty thousand dollars for any single election
898 and primary preliminary thereto.

899 (d) [No] Except as provided in subsection (f) of this section, no
900 political committee established by an organization shall make
901 contributions in any one calendar year to, or for the benefit of, (1) the
902 state central committee of a political party, in excess of seven thousand
903 five hundred dollars; (2) a town committee, in excess of one thousand

904 five hundred dollars; or (3) any political committee, other than an
905 exploratory committee or a committee formed solely to aid or promote
906 the success or defeat of a referendum question, in excess of two
907 thousand dollars.

908 (e) Contributions to a political committee established by an
909 organization for the purpose of making contributions, including
910 coordinated expenditures, shall be subject to the provisions of section
911 9-618, as amended by this act, in the case of a committee formed for
912 ongoing political activity or section 9-619, as amended by this act, in
913 the case of a committee formed for a single election or primary.

914 (f) No independent expenditure political committee established by
915 an organization may make any contribution, unless the recipient of
916 such contribution is another independent expenditure political
917 committee.

918 Sec. 16. Subsections (b) and (c) of section 9-617 of the general
919 statutes are repealed and the following is substituted in lieu thereof
920 (*Effective from passage*):

921 (b) (1) No state central committee shall make a contribution or
922 contributions to, for the benefit of, or pursuant to the authorization or
923 request of, a candidate or a committee supporting or opposing any
924 candidate's campaign for nomination at a primary, or any candidate's
925 campaign for election, to the office of: (A) Governor, in excess of fifty
926 thousand dollars; (B) Lieutenant Governor, Secretary of the State,
927 Treasurer, Comptroller or Attorney General, in excess of thirty-five
928 thousand dollars; (C) state senator, probate judge or chief executive
929 officer of a town, city or borough, in excess of ten thousand dollars; (D)
930 state representative, in excess of five thousand dollars; or (E) any other
931 office of a municipality not previously included in this subsection, in
932 excess of five thousand dollars. The limits imposed by this subdivision
933 shall apply separately to primaries and elections.

934 (2) No state central committee shall make a contribution or
935 contributions in any one calendar year to, or for the benefit of (A) a

936 legislative caucus committee or legislative leadership committee, in
937 excess of ten thousand dollars, or (B) any other political committee,
938 other than an exploratory committee or a committee formed solely to
939 aid or promote the success or defeat of a referendum question or an
940 independent expenditure committee, in excess of two thousand five
941 hundred dollars. No state central committee shall make contributions
942 in excess of three hundred seventy-five dollars to an exploratory
943 committee.

944 (c) (1) No town committee shall make a contribution or
945 contributions to, for the benefit of, or pursuant to the authorization or
946 request of, a candidate or a committee supporting or opposing any
947 candidate's campaign for nomination at a primary, or any candidate's
948 campaign for election, to the office of: (A) Governor, in excess of seven
949 thousand five hundred dollars; (B) Lieutenant Governor, Secretary of
950 the State, Treasurer, Comptroller or Attorney General, in excess of five
951 thousand dollars; (C) state senator, in excess of five thousand dollars;
952 (D) state representative, probate judge or chief executive officer of a
953 town, city or borough, in excess of three thousand dollars; or (E) any
954 other office of a municipality not previously included in this
955 subsection, in excess of one thousand five hundred dollars. The limits
956 imposed by this subdivision shall apply separately to primaries and
957 elections.

958 (2) No town committee shall make a contribution or contributions in
959 any one calendar year to, or for the benefit of (A) a legislative caucus
960 committee or legislative leadership committee, in excess of two
961 thousand dollars, or (B) any other political committee, other than an
962 exploratory committee or a committee formed solely to aid or promote
963 the success or defeat of a referendum question or an independent
964 expenditure committee, in excess of one thousand five hundred
965 dollars. No town committee shall make contributions in excess of three
966 hundred seventy-five dollars to an exploratory committee.

967 Sec. 17. Subsection (a) of section 9-618 of the general statutes is
968 repealed and the following is substituted in lieu thereof (*Effective from*

969 passage):

970 (a) A political committee organized for ongoing political activities
971 may make unlimited contributions to, or for the benefit of, any
972 national committee of a political party; or a committee of a candidate
973 for federal or out-of-state office. Except as provided in subdivision (3)
974 of subsection (d) of this section, no such political committee shall make
975 a contribution or contributions in excess of two thousand dollars to
976 another political committee in any calendar year. No political
977 committee organized for ongoing political activities shall make a
978 contribution in excess of three hundred seventy-five dollars to an
979 exploratory committee. If such an ongoing committee is established by
980 an organization or a business entity, its contributions shall be subject to
981 the limits imposed by sections 9-613 to 9-615, inclusive, as amended by
982 this act. A political committee organized for ongoing political activities
983 may make [contributions] donations to a charitable organization which
984 is a tax-exempt organization under Section 501(c)(3) of the Internal
985 Revenue Code, as from time to time amended, or make memorial
986 [contributions] donations. No independent expenditure political
987 committee organized for ongoing political activities may make any
988 contribution, unless the recipient of such contribution is another
989 independent expenditure political committee.

990 Sec. 18. Subsection (a) of section 9-619 of the general statutes is
991 repealed and the following is substituted in lieu thereof (*Effective from*
992 *passage*):

993 (a) No political committee established for a single primary or
994 election shall make contributions to a national committee, or a
995 committee of a candidate for federal or out-of-state office. If such a
996 political committee is established by an organization or a business
997 entity, its contributions shall also be subject to the limitations imposed
998 by sections 9-613 to 9-615, inclusive, as amended by this act. Except as
999 provided in subdivision (2) of subsection (d) of this section, no political
1000 committee formed for a single election or primary shall, with respect to
1001 such election or primary make a contribution or contributions in excess

1002 of two thousand dollars to another political committee, provided no
1003 such political committee shall make a contribution in excess of three
1004 hundred seventy-five dollars to an exploratory committee. No
1005 independent expenditure political committee established for a single
1006 primary or election may make any contribution, unless the recipient of
1007 such contribution is another independent expenditure political
1008 committee.

1009 Sec. 19. Subsection (j) of section 9-621 of the general statutes is
1010 repealed and the following is substituted in lieu thereof (*Effective from*
1011 *passage*):

1012 (j) [(1) Except as provided in subdivisions (2) and (3) of this
1013 subsection, if] If any person whose name is included on a disclaimer of
1014 a communication pursuant to the provisions of this section, as a person
1015 who made a covered transfer to the maker of the communication, is
1016 also a recipient of a covered transfer, the maker of the communication,
1017 as part of any report filed pursuant to section 9-601d, as amended by
1018 this act, or 9-608, as amended by this act, associated with the making of
1019 such communication, shall include the names of the five persons who
1020 made the top five largest aggregate covered transfers to such recipient
1021 during the twelve-month period [immediately prior to the primary or
1022 election, as applicable] prior to the covered transfer to the recipient
1023 and the amount of such covered transfer.

1024 [(2) The name of any person who made a covered transfer to a tax-
1025 exempt organization recognized under Section 501(c)(4) of the Internal
1026 Revenue Code of 1986, or any subsequent corresponding internal
1027 revenue code of the United States, as amended from time to time, that
1028 has not had its tax exempt status revoked, shall not be disclosed
1029 pursuant to the provisions of subdivision (1) of this subsection.

1030 (3) The name of any person who made a covered transfer to a
1031 person whose name is included on a disclaimer pursuant to the
1032 provisions of this section shall not be disclosed pursuant to the
1033 provisions of subdivision (1) of this subsection if the recipient of such
1034 covered transfer accepts covered transfers from at least one hundred

1035 different sources, provided no such source accounts for ten per cent or
1036 more of the total amount of covered transfers accepted by the recipient
1037 during the twelve-month period immediately prior to the primary or
1038 election, as applicable.]

1039 Sec. 20. Subsection (a) of section 9-703 of the general statutes is
1040 repealed and the following is substituted in lieu thereof (*Effective from*
1041 *passage*):

1042 (a) Each candidate for nomination or election to the office of state
1043 senator or state representative in 2008, or thereafter, or the office of
1044 Governor, Lieutenant Governor, Attorney General, State Comptroller,
1045 Secretary of the State or State Treasurer in 2010, or thereafter, shall file
1046 an affidavit with the State Elections Enforcement Commission. The
1047 affidavit shall include a written certification that the candidate either
1048 intends to abide by the expenditure limits under the Citizens' Election
1049 Program set forth in subsection (c) of section 9-702, or does not intend
1050 to abide by said limits. If the candidate intends to abide by said limits,
1051 the affidavit shall also include written certifications (1) that the
1052 treasurer of the candidate committee for said candidate shall expend
1053 any moneys received from the Citizens' Election Fund in accordance
1054 with the provisions of subsection (g) of section 9-607, as amended by
1055 this act, and regulations adopted by the State Elections Enforcement
1056 Commission under subsection (e) of section 9-706, (2) that the
1057 candidate shall repay to the fund any such moneys that are not
1058 expended in accordance with subsection (g) of section 9-607, as
1059 amended by this act, and said regulations, (3) that the candidate and
1060 the treasurer shall comply with the provisions of subdivision (1) of
1061 subsection (a) of section 9-711, and (4) stating the candidate's status as
1062 a major party, minor party or petitioning party candidate and, in the
1063 case of a major party or minor party candidate, the name of such party.
1064 The written certification described in subdivision (3) of this subsection
1065 shall be made by both the candidate and the treasurer of the candidate
1066 committee for said candidate. A candidate for nomination or election
1067 to any such office shall file such affidavit not later than four o'clock
1068 p.m. on the twenty-fifth day before the day of a primary, if applicable,

1069 or on [the fortieth day before the day of the election for such office] the
1070 tenth day after the primary in the year in which the election for such
1071 office is held, except that in the case of a special election for the office
1072 of state senator or state representative, the candidate shall file such
1073 affidavit not later than four o'clock p.m. on the twenty-fifth day before
1074 the day of such special election. Notwithstanding the provisions of this
1075 subsection, a candidate who is not required to form a candidate
1076 committee pursuant to subdivision (3) or (4) of subsection (b) of
1077 section 9-604, files a certification with the commission pursuant to
1078 subsection (c) of section 9-603 and does not intend to participate in the
1079 Citizens' Election Program shall not be required to file such affidavit of
1080 intent not to abide by the expenditure limits of said program. Any
1081 such candidate shall be referred to as a nonparticipating candidate, in
1082 accordance with subsection (b) of this section.

1083 Sec. 21. Section 9-452 of the general statutes is repealed and the
1084 following is substituted in lieu thereof (*Effective from passage*):

1085 All minor parties nominating candidates for any elective office shall
1086 make such nominations and certify and file a list of such nominations,
1087 as required by this section, not later than [the sixty-second day prior to
1088 the day of the election at which such candidates are to be voted for]
1089 four o'clock p.m. on the tenth day after the primary in the year in
1090 which the election for such office is held. A list of nominees in printed
1091 or typewritten form that includes each candidate's name as authorized
1092 by each candidate to appear on the ballot, the signature of each
1093 candidate, the full street address of each candidate and the title and
1094 district of the office for which each candidate is nominated shall be
1095 certified by the presiding officer of the committee, meeting or other
1096 authority making such nomination and shall be filed by such presiding
1097 officer with the Secretary of the State, in the case of state or district
1098 office or the municipal office of state representative, state senator or
1099 judge of probate, or with the clerk of the municipality, in the case of
1100 municipal office, not later than the sixty-second day prior to the day of
1101 the election. The registrars of voters of such municipality shall
1102 promptly verify and correct the names on any such list filed with him,

1103 or the names of nominees forwarded to the clerk of the municipality
1104 by the Secretary of the State, in accordance with the registry list of such
1105 municipality and endorse the same as having been so verified and
1106 corrected. For purposes of this section, a list of nominations shall be
1107 deemed to be filed when it is received by the Secretary or clerk, as
1108 appropriate.

1109 Sec. 22. Subsection (a) of section 9-453i of the general statutes is
1110 repealed and the following is substituted in lieu thereof (*Effective from*
1111 *passage*):

1112 (a) Each page of a nominating petition proposing a candidate for an
1113 office to be filled at a regular election shall be submitted to the
1114 appropriate town clerk or to the Secretary of the State not later than
1115 four o'clock p.m. on the [ninetieth day preceding the day of the regular
1116 election] tenth day after the primary in the year in which the election
1117 for such office is held.

1118 Sec. 23. Subsection (d) of section 9-705 of the general statutes is
1119 repealed and the following is substituted in lieu thereof (*Effective from*
1120 *passage*):

1121 (d) (1) [For] Except as provided in subdivision (2) of this subsection,
1122 for elections held in 2014, and thereafter, the amount of the grants in
1123 subsections (a), (b) and (c) of this section shall be adjusted by the State
1124 Elections Enforcement Commission not later than January 15, 2014,
1125 and quadrennially thereafter, in accordance with any change in the
1126 consumer price index for all urban consumers as published by the
1127 United States Department of Labor, Bureau of Labor Statistics, during
1128 the period beginning on January 1, 2010, and ending on December
1129 thirty-first in the year preceding the year in which said adjustment is
1130 to be made.

1131 (2) The amount of the grants in subsections (a), (b) and (c) of this
1132 section, as adjusted by the State Elections Enforcement Commission as
1133 of January 15, 2014, shall not be further adjusted by said commission
1134 until January 15, 2018.

1135 Sec. 24. Subsection (h) of section 9-705 of the general statutes is
1136 repealed and the following is substituted in lieu thereof (*Effective from*
1137 *passage*):

1138 (h) (1) [For] Except as provided in subdivision (2) of this subsection,
1139 for elections held in 2010, and thereafter, the amount of the grants in
1140 subsections (e), (f) and (g) of this section shall be adjusted by the State
1141 Elections Enforcement Commission not later than January 15, 2010,
1142 and biennially thereafter, in accordance with any change in the
1143 consumer price index for all urban consumers as published by the
1144 United States Department of Labor, Bureau of Labor Statistics, during
1145 the period beginning on January 1, 2008, and ending on December
1146 thirty-first in the year preceding the year in which said adjustment is
1147 to be made.

1148 (2) The amount of the grants in subsections (e), (f) and (g) of this
1149 section, as adjusted by the State Elections Enforcement Commission as
1150 of January 15, 2014, shall not be further adjusted by said commission
1151 until January 15, 2018.

1152 Sec. 25. Subsection (j) of section 9-705 of the general statutes is
1153 repealed and the following is substituted in lieu thereof (*Effective from*
1154 *passage*):

1155 (j) Notwithstanding the provisions of subsections (a) to (i), inclusive,
1156 of this section:

1157 (1) The initial grant that a qualified candidate committee for a
1158 candidate is eligible to receive under subsections (a) to (i), inclusive, of
1159 this section shall be reduced by the amount of any personal funds that
1160 the candidate provides for the candidate's campaign for nomination or
1161 election pursuant to subsection (c) of section 9-710;

1162 (2) If a participating candidate is nominated at a primary and does
1163 not expend the entire grant for the primary campaign authorized
1164 under subsection (a), (b), (e) or (f) of this section, the amount of the
1165 grant for the general election campaign shall be reduced by the total

1166 amount of any such unexpended primary campaign grant and
1167 moneys;

1168 (3) If a participating candidate who is nominated for election does
1169 not have any opponent in the general election campaign, the amount
1170 of the general election campaign grant for which the qualified
1171 candidate committee for said candidate shall be eligible shall be
1172 [thirty] twenty per cent of the applicable amount set forth in
1173 subsections (a) to (i), inclusive, of this section. For purposes of this
1174 subdivision, a participating candidate shall be deemed to have an
1175 opponent if (A) a major party has properly endorsed any other
1176 candidate and made the requisite filing with the Secretary of the State
1177 within the time specified in section 9-391 or 9-400, as applicable, (B)
1178 any candidate of any other major party has received not less than
1179 fifteen per cent of the vote of convention delegates and has complied
1180 with the filing requirements set forth in section 9-400, or (C) any
1181 candidate of any other major party has circulated a petition and
1182 obtained the required number of signatures for filing a candidacy for
1183 nomination and has either qualified for the primary or been deemed
1184 the party's nominee;

1185 (4) If the only opponent or opponents of a participating candidate
1186 who is nominated for election to an office are eligible minor party
1187 candidates or eligible petitioning party candidates and no such eligible
1188 minor party candidate's or eligible petitioning party candidate's
1189 candidate committee has received a total amount of contributions of
1190 any type that is equal to or greater than the amount of the qualifying
1191 contributions that a candidate for such office is required to receive
1192 under section 9-704 to be eligible for grants from the Citizens' Election
1193 Fund, the amount of the general election campaign grant for such
1194 participating candidate shall be sixty per cent of the applicable amount
1195 set forth in this section; and

1196 (5) The amount of the primary grant or general election campaign
1197 grant for a qualified candidate committee shall be reduced, pursuant to
1198 the provisions of this subdivision, if such candidate committee has

1199 control and custody over lawn signs from any prior election or
1200 primary in the following applicable amount: (A) Five hundred or more
1201 lawn signs for the qualified candidate committee of a candidate for the
1202 office of Governor, Lieutenant Governor, Attorney General, State
1203 Comptroller, Secretary of the State or State Treasurer, (B) one hundred
1204 or more lawn signs for the qualified candidate committee of a
1205 candidate for the office of state senator, or (C) fifty or more lawn signs
1206 for the qualified candidate committee of a candidate for the office of
1207 state representative. If such qualified candidate committee has custody
1208 and control over lawn signs in the applicable amount, as described in
1209 this subdivision, the grant from the fund for the primary campaign or
1210 general election campaign, as applicable, for such qualified candidate
1211 committee shall be reduced as follows: (i) Two thousand five hundred
1212 dollars for the qualified candidate committee of a candidate for the
1213 office of Governor, Lieutenant Governor, Attorney General, State
1214 Comptroller, Secretary of the State or State Treasurer, (ii) five hundred
1215 dollars for the qualified candidate committee of a candidate for the
1216 office of state senator, or (iii) two hundred fifty dollars for the qualified
1217 candidate committee of a candidate for the office of state
1218 representative. In no event shall such a reduction be made both to a
1219 qualified candidate committee's primary campaign grant and to such
1220 candidate committee's general election grant. No reduction in either
1221 the primary campaign or general election campaign for a qualified
1222 candidate committee's grant shall be taken for any lawn sign that is not
1223 in the custody or control of the qualified candidate committee.
1224 Nothing in this subdivision shall be construed to apply to any item
1225 other than lawn signs.

1226 Sec. 26. Section 9-718 of the general statutes is repealed and the
1227 following is substituted in lieu thereof (*Effective from passage*):

1228 (a) Notwithstanding any provision of the general statutes and
1229 except as provided in subsection (e) of this section, no town committee,
1230 legislative caucus committee or legislative leadership committee shall
1231 make [an] organization [expenditure] expenditures for the benefit of a
1232 participating candidate or the candidate committee of a participating

1233 candidate in the Citizens' Election Program for the office of state
1234 senator in an amount that exceeds ten thousand dollars, in the
1235 aggregate, for the general election campaign.

1236 (b) Notwithstanding any provision of the general statutes no party
1237 committee, legislative caucus committee or legislative leadership
1238 committee shall make [an] any organization expenditure for the
1239 purposes described in subparagraph (A) of subdivision (25) of section
1240 9-601 for the benefit of a participating candidate or the candidate
1241 committee of a participating candidate in the Citizens' Election
1242 Program for the office of state senator for the primary campaign.

1243 (c) Notwithstanding any provision of the general statutes and
1244 except as provided in subsection (e) of this section, no town committee,
1245 legislative caucus committee or legislative leadership committee shall
1246 make [an] organization [expenditure] expenditures for the benefit of a
1247 participating candidate or the candidate committee of a participating
1248 candidate in the Citizens' Election Program for the office of state
1249 representative in an amount that exceeds three thousand five hundred
1250 dollars, in the aggregate, for the general election campaign.

1251 (d) Notwithstanding any provision of the general statutes, no party
1252 committee, legislative caucus committee or legislative leadership
1253 committee shall make [an] any organization expenditure for the
1254 purposes described in subparagraph (A) of subdivision (25) of section
1255 9-601 for the benefit of a participating candidate or the candidate
1256 committee of a participating candidate in the Citizens' Election
1257 Program for the office of state representative for the primary
1258 campaign.

1259 (e) For any election held in 2014, and thereafter, the amount of the
1260 limitations on organization expenditures provided in subsections (a)
1261 and (c) of this section shall be adjusted by the State Elections
1262 Enforcement Commission not later than January 15, 2014, and
1263 biennially thereafter, in accordance with any change in the consumer
1264 price index for all urban consumers as published by the United States
1265 Department of Labor, Bureau of Labor Statistics, during the period

1266 beginning on January 1, 2010, and ending on December thirty-first in
 1267 the year preceding the year in which said adjustment is to be made.

1268 (f) Notwithstanding any provision of the general statutes, no state
 1269 central committee shall make organization expenditures for the benefit
 1270 of a participating candidate or the candidate committee of a
 1271 participating candidate in the Citizens' Election Program for the office
 1272 of state senator or state representative in an amount that exceeds two
 1273 hundred fifty thousand dollars, in the aggregate, for the general
 1274 election campaign.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	9-601(3)
Sec. 2	<i>from passage</i>	New section
Sec. 3	<i>from passage</i>	9-601a(a)
Sec. 4	<i>from passage</i>	9-601b(a) and (b)
Sec. 5	<i>from passage</i>	9-601c
Sec. 6	<i>from passage</i>	9-601d
Sec. 7	<i>from passage</i>	9-603(a) and (b)
Sec. 8	<i>from passage</i>	9-605(b) to (d)
Sec. 9	<i>from passage</i>	9-607(g)(1)
Sec. 10	<i>from passage</i>	9-608(c)(1)
Sec. 11	<i>from passage</i>	9-611
Sec. 12	<i>from passage</i>	9-612(a)
Sec. 13	<i>from passage</i>	9-613
Sec. 14	<i>from passage</i>	9-614
Sec. 15	<i>from passage</i>	9-615
Sec. 16	<i>from passage</i>	9-617(b) and (c)
Sec. 17	<i>from passage</i>	9-618(a)
Sec. 18	<i>from passage</i>	9-619(a)
Sec. 19	<i>from passage</i>	9-621(j)
Sec. 20	<i>from passage</i>	9-703(a)
Sec. 21	<i>from passage</i>	9-452
Sec. 22	<i>from passage</i>	9-453i(a)
Sec. 23	<i>from passage</i>	9-705(d)
Sec. 24	<i>from passage</i>	9-705(h)
Sec. 25	<i>from passage</i>	9-705(j)
Sec. 26	<i>from passage</i>	9-718

