



General Assembly

January Session, 2015

***Raised Bill No. 1126***

LCO No. 5653



Referred to Committee on GOVERNMENT ADMINISTRATION  
AND ELECTIONS

Introduced by:  
(GAE)

***AN ACT CONCERNING REVISIONS TO CAMPAIGN FINANCE LAWS.***

Be it enacted by the Senate and House of Representatives in General  
Assembly convened:

1 Section 1. Section 9-601 of the general statutes is amended by adding  
2 subdivision (32) as follows (*Effective from passage*):

3 (NEW) (32) "Independent expenditure political committee" means a  
4 political committee, as defined in subparagraph (A) or (B) of  
5 subdivision (3) of this section, that may make independent  
6 expenditures and is prohibited from making any contribution, except  
7 as provided in subsection (f) of section 9-613, as amended by this act,  
8 subsection (f) of section 9-615, as amended by this act, subsection (a) of  
9 section 9-618, as amended by this act, and subsection (a) of section 9-  
10 619, as amended by this act.

11 Sec. 2. Subsection (a) of section 9-601a of the general statutes is  
12 repealed and the following is substituted in lieu thereof (*Effective from*  
13 *passage*):

14 (a) As used in this chapter and chapter 157, "contribution" means:

15 (1) Any gift, subscription, loan, advance, payment or deposit of  
16 money or anything of value, made to promote the success or defeat of  
17 any [candidate] person seeking the nomination for election, or election  
18 or for the purpose of aiding or promoting the success or defeat of any  
19 referendum question or the success or defeat of any political party;

20 (2) A written contract, promise or agreement to make a contribution  
21 for any such purpose;

22 (3) The payment by any person, other than a candidate or treasurer,  
23 of compensation for the personal services of any other person which  
24 are rendered without charge to a committee or candidate for any such  
25 purpose;

26 (4) An expenditure that is not an independent expenditure; or

27 (5) Funds received by a committee which are transferred from  
28 another committee or other source for any such purpose.

29 Sec. 3. Subsections (a) and (b) of section 9-601b of the general  
30 statutes are repealed and the following is substituted in lieu thereof  
31 (*Effective from passage*):

32 (a) As used in this chapter and chapter 157, the term "expenditure"  
33 means:

34 (1) Any purchase, payment, distribution, loan, advance, deposit or  
35 gift of money or anything of value, when made to promote the success  
36 or defeat of any [candidate] person seeking the nomination for  
37 election, or election [, of any person] or for the purpose of aiding or  
38 promoting the success or defeat of any referendum question or the  
39 success or defeat of any political party;

40 (2) Any communication that (A) refers to one or more clearly  
41 identified candidates, and (B) is broadcast by radio, television, other

42 than on a public access channel, or by satellite communication or via  
43 the Internet, or as a paid-for telephone communication, or appears in a  
44 newspaper, magazine or on a billboard, or is sent by mail; or

45 (3) The transfer of funds by a committee to another committee.

46 (b) The term "expenditure" does not mean:

47 (1) A loan of money, made in the ordinary course of business, by a  
48 state or national bank;

49 (2) A communication made by any corporation, organization or  
50 association solely to its members, owners, stockholders, executive or  
51 administrative personnel, or their families;

52 (3) Nonpartisan voter registration and get-out-the-vote campaigns  
53 by any corporation, organization or association aimed at its members,  
54 owners, stockholders, executive or administrative personnel, or their  
55 families;

56 (4) Uncompensated services provided by individuals volunteering  
57 their time on behalf of a party committee, political committee, slate  
58 committee or candidate committee, including any services provided  
59 for the benefit of nonparticipating and participating candidates under  
60 the Citizens' Election Program and any unreimbursed travel expenses  
61 made by an individual who volunteers the individual's personal  
62 services to any such committee. For purposes of this subdivision, an  
63 individual is a volunteer if such individual is not receiving  
64 compensation for such services regardless of whether such individual  
65 received compensation in the past or may receive compensation for  
66 similar services that may be performed in the future;

67 (5) Any news story, commentary or editorial distributed through  
68 the facilities of any broadcasting station, newspaper, magazine or  
69 other periodical, unless such facilities are owned or controlled by any  
70 political party, committee or candidate;

71 (6) The use of real or personal property, a portion or all of the cost of  
72 invitations and the cost of food or beverages, voluntarily provided by  
73 an individual to a candidate, including a nonparticipating or  
74 participating candidate under the Citizens' Election Program, or to a  
75 party, political or slate committee, in rendering voluntary personal  
76 services at the individual's residential premises or a community room  
77 in the individual's residence facility, to the extent that the cumulative  
78 value of the invitations, food or beverages provided by an individual  
79 on behalf of any candidate or committee does not exceed four hundred  
80 dollars with respect to any single event or does not exceed eight  
81 hundred dollars for any such event hosted by two or more individuals,  
82 provided at least one such individual owns or resides at the residential  
83 premises, and further provided the cumulative value of the invitations,  
84 food or beverages provided by an individual on behalf of any such  
85 candidate or committee does not exceed eight hundred dollars with  
86 respect to a calendar year or single election, as the case may be;

87 (7) A communication described in subdivision (2) of subsection (a)  
88 of this section that includes speech or expression [made] (A) made  
89 prior to the ninety-day period preceding the date of a primary or an  
90 election at which the clearly identified candidate or candidates are  
91 seeking nomination to public office or position, [that is] including a  
92 communication made for the purpose of influencing any legislative or  
93 administrative action, as defined in section 1-91, or executive action,  
94 [or] (B) made during a legislative session for the purpose of  
95 influencing legislative action, or (C) that constitutes a candidate debate  
96 or that solely promotes any such debate and is made by or on behalf of  
97 the person sponsoring the debate;

98 (8) An organization expenditure by a party committee, legislative  
99 caucus committee or legislative leadership committee;

100 (9) A commercial advertisement that refers to an owner, director or  
101 officer of a business entity who is also a candidate and that had  
102 previously been broadcast or appeared when the owner, director or

103 officer was not a candidate;

104 (10) A communication containing an endorsement on behalf of a  
105 candidate for nomination or election to the office of Governor,  
106 Lieutenant Governor, Secretary of the State, State Treasurer, State  
107 Comptroller, Attorney General, state senator or state representative,  
108 from a candidate for the office of Governor, Lieutenant Governor,  
109 Secretary of the State, State Treasurer, State Comptroller, Attorney  
110 General, state senator or state representative, shall not be an  
111 expenditure attributable to the endorsing candidate, if the candidate  
112 making the endorsement is unopposed at the time of the  
113 communication;

114 (11) A communication that is sent by mail to addresses in the district  
115 for which a candidate being endorsed by another candidate pursuant  
116 to the provisions of this subdivision is seeking nomination or election  
117 to the office of state senator or state representative, containing an  
118 endorsement on behalf of such candidate for such nomination or  
119 election, from a candidate for the office of state senator or state  
120 representative, shall not be an expenditure attributable to the  
121 endorsing candidate, if the candidate making the endorsement is not  
122 seeking election to the office of state senator or state representative for  
123 a district that contains any geographical area shared by the district for  
124 the office to which the endorsed candidate is seeking nomination or  
125 election;

126 (12) Campaign training events provided to multiple individuals by  
127 a legislative caucus committee and any associated materials, provided  
128 the cumulative value of such events and materials does not exceed six  
129 thousand dollars in the aggregate for a calendar year;

130 (13) A lawful communication by any charitable organization which  
131 is a tax-exempt organization under Section 501(c)(3) of the Internal  
132 Revenue Code of 1986, or any subsequent corresponding internal  
133 revenue code of the United States, as from time to time amended;

134 (14) The use of offices, telephones, computers and similar  
135 equipment provided by a party committee, legislative caucus  
136 committee or legislative leadership committee that serve as  
137 headquarters for or are used by such party committee, legislative  
138 caucus committee or legislative leadership committee; or

139 (15) An expense or expenses incurred by a human being acting  
140 alone in an amount that is two hundred dollars or less, in the  
141 aggregate, that benefits a candidate for a single election.

142 Sec. 4. Section 9-601c of the general statutes is repealed and the  
143 following is substituted in lieu thereof (*Effective from passage*):

144 (a) (1) As used in this chapter and chapter 157, [the term]  
145 "independent expenditure" means an expenditure, as defined in  
146 section 9-601b, as amended by this act, that is made without the  
147 consent, coordination [, or consultation of,] or consultation of a  
148 candidate or agent of [the] a candidate, candidate committee, political  
149 committee or party committee.

150 (2) "Independent expenditure" does not include an expenditure  
151 made by a person for the production, dissemination, distribution or  
152 publication, in whole or in substantial part, of any broadcast or any  
153 video, audio, written, graphic or other form of political advertising or  
154 campaign communication prepared by (A) any candidate, candidate  
155 committee, political committee or party committee, or (B) any  
156 consultant or other agent acting on behalf of any candidate, candidate  
157 committee, political committee or party committee, and that is used in  
158 support of such candidate or committee or in opposition to any  
159 candidate.

160 (b) As used in this section, "coordinated spender," with respect to  
161 any candidate or candidate committee, means:

162 (1) Any person directly or indirectly formed, controlled or  
163 established in the current election cycle by, at the request or suggestion

164 of, or with the encouragement of, such candidate, candidate committee  
165 or any agent thereof, including with the express or tacit approval of  
166 such candidate, candidate committee or any agent thereof;

167 (2) (A) Except as provided in subparagraph (B) of this subdivision,  
168 any person on whose behalf during an election cycle such candidate,  
169 candidate committee or any agent thereof solicits funds or engages in  
170 fundraising activity, including by providing to such person the name  
171 of any potential donor or other list to be used by such person in  
172 engaging in fundraising activity, regardless of whether such person  
173 pays fair market value for any such name or list provided; or

174 (B) If any funds raised by any candidate, candidate committee or  
175 agent thereof are (i) segregated from all other accounts controlled by  
176 the person on whose behalf such candidate, candidate committee or  
177 agent thereof solicits such funds, and (ii) are not used to make (I)  
178 independent expenditures that benefit such candidate or candidate  
179 committee, or (II) contributions or covered transfers to any other  
180 person who later makes independent expenditures, contributions or  
181 covered transfers that benefit such candidate or candidate committee,  
182 such person shall not be considered a coordinated spender under  
183 subdivision (2) of subsection (c) of this section;

184 (3) Any person established, directed or managed by any person  
185 who, during the current election cycle (A) was employed or retained as  
186 a political, media or fundraising advisor or consultant for such  
187 candidate or candidate committee or any entity directly or indirectly  
188 controlled by such candidate or candidate committee, or (B) held a  
189 formal position with a title for such candidate or candidate committee;  
190 or

191 (4) (A) Any person established, directed or managed by any  
192 member of the family of such candidate or, in the case of a person that  
193 is a political committee, that has received contributions in excess of  
194 two thousand dollars, in the aggregate, from one or more members of

195 the immediate family, as defined in subsection (d) of this section, of  
196 such candidate in any election cycle; or

197 (B) Any person or any officer or agent of such person that has had  
198 more than incidental discussion regarding any campaign advertising,  
199 message, strategy, policy, polling, allocation of resources, fundraising  
200 or campaign operations of such candidate or candidate committee with  
201 any member of the family of such candidate or, in the case of a person  
202 that is a political committee, that has received contributions in excess  
203 of two thousand dollars, in the aggregate, from one or more members  
204 of the immediate family, as defined in subsection (d) of this section, of  
205 such candidate in any election cycle.

206 (c) As used in this section, "member of the immediate family" means  
207 a spouse and any child, parent, grandparent, brother, half-brother,  
208 sister or half-sister of the candidate and the spouses of such persons.

209 (d) (1) For purposes of this section, if the person who makes an  
210 expenditure is a coordinated spender with respect to a candidate or  
211 candidate committee, such person shall be deemed to have made the  
212 expenditure with the consent, coordination or consultation of, or at the  
213 request or suggestion of, such candidate or candidate committee.

214 (2) For purposes of this section, a payment shall not be considered  
215 to be made by a person with the consent, coordination or consultation  
216 of, or at the request or suggestion of, any candidate or candidate  
217 committee solely on the grounds that such person or any agent of such  
218 person engaged in discussion with such candidate or candidate  
219 committee or any agent thereof regarding such person's position on a  
220 legislative or policy matter, including urging such candidate or  
221 candidate committee to adopt such person's position, provided there is  
222 no discussion between such person and such candidate, candidate  
223 committee or agent thereof regarding any campaign advertising,  
224 message, strategy, policy, polling, allocation of resources, fundraising  
225 or campaign operations of such candidate or candidate committee.

226 [(b)] (e) When the State Elections Enforcement Commission  
227 evaluates an expenditure to determine whether such expenditure is an  
228 independent expenditure, there shall be a rebuttable presumption that  
229 the following expenditures are not independent expenditures:

230 (1) An expenditure made by a person in cooperation, consultation or  
231 in concert with, at the request, suggestion or direction of, or pursuant  
232 to a general or particular understanding with (A) a candidate,  
233 candidate committee, political committee or party committee, or (B) a  
234 consultant or other agent acting on behalf of a candidate, candidate  
235 committee, political committee or party committee;

236 [(2) An expenditure made by a person for the production,  
237 dissemination, distribution or publication, in whole or in substantial  
238 part, of any broadcast or any written, graphic or other form of political  
239 advertising or campaign communication prepared by (A) a candidate,  
240 candidate committee, political committee or party committee, or (B) a  
241 consultant or other agent acting on behalf of a candidate, candidate  
242 committee, political committee or party committee;]

243 [(3)] (2) An expenditure made by a person based on information  
244 about a candidate's, political committee's, or party committee's plans,  
245 projects or needs, provided by (A) a candidate, candidate committee,  
246 political committee or party committee, or (B) a consultant or other  
247 agent acting on behalf of a candidate, candidate committee, political  
248 committee or party committee, with the intent that such expenditure  
249 be made;

250 [(4)] (3) An expenditure made by an individual who, in the same  
251 election cycle, is serving or has served as the campaign chairperson,  
252 treasurer or deputy treasurer of a candidate committee, political  
253 committee or party committee benefiting from such expenditure, or in  
254 any other executive or policymaking position, including as a member,  
255 employee, fundraiser, consultant or other agent, of a candidate,  
256 candidate committee, political committee or party committee;

257        [(5)] (4) An expenditure made by a person or an entity on or after  
258        January first in the year of an election in which a candidate is seeking  
259        public office that benefits such candidate when such person or entity  
260        has hired an individual as an employee or consultant and such  
261        individual was an employee of or consultant to [such candidate's  
262        candidate committee or such candidate's opponent's candidate  
263        committee during any part of the eighteen-month period preceding  
264        such expenditure] such candidate or the candidate committee of such  
265        candidate or the candidate committee of such candidate's opponent  
266        during the current election cycle;

267        [(6)] (5) An expenditure made by a person for fundraising activities  
268        (A) with or for a candidate, candidate committee, political committee  
269        or party committee, or a consultant or other agent acting on behalf of a  
270        candidate, candidate committee, political committee or party  
271        committee, or (B) for the solicitation or receipt of contributions on  
272        behalf of a candidate, candidate committee, political committee or  
273        party committee, or a consultant or other agent acting on behalf of a  
274        candidate, candidate committee, political committee or party  
275        committee;

276        [(7)] (6) An expenditure made by a person based on information  
277        about a candidate's campaign plans, projects or needs, that is directly  
278        or indirectly provided by a candidate, the candidate's candidate  
279        committee, a political committee or a party committee, or a consultant  
280        or other agent acting on behalf of such candidate, candidate  
281        committee, political committee or party committee, to the person  
282        making the expenditure or such person's agent, with an express or tacit  
283        understanding that such person is considering making the  
284        expenditure;

285        [(8)] (7) An expenditure made by a person for a communication that  
286        clearly identifies a candidate during an election campaign, if the  
287        person making the expenditure, or such person's agent, has informed  
288        the candidate who benefits from the expenditure, that candidate's

289 candidate committee, a political committee or a party committee, or a  
290 consultant or other agent acting on behalf of the benefiting candidate  
291 or candidate committee, political committee, or party committee,  
292 concerning the communication's contents, or of the intended audience,  
293 timing, location or mode or frequency of dissemination. As used in this  
294 subdivision, a communication clearly identifies a candidate when that  
295 communication contains the name, nickname, initials, photograph or  
296 drawing of the candidate or an unambiguous reference to that  
297 candidate, which includes, but is not limited to, a reference that can  
298 only mean that candidate; and

299 [(9)] (8) An expenditure made by a person or an entity for  
300 consultant or creative services, including, but not limited to, services  
301 related to communications strategy or design or campaign strategy or  
302 to engage a campaign-related vendor, to be used to promote or oppose  
303 a candidate's election to office if the provider of such services is or has  
304 provided consultant or creative services to such candidate, such  
305 candidate's candidate committee or an agent of such candidate  
306 committee, or to any opposing candidate's candidate committee or an  
307 agent of such candidate committee after January first of the year in  
308 which the expenditure occurs. For purposes of this subdivision,  
309 communications strategy or design does not include the costs of  
310 printing or costs for the use of a medium for the purpose of  
311 communications. For purposes of this subdivision, campaign-related  
312 vendor includes, but is not limited to, a vendor that provides the  
313 following services: Polling, mail design, mail strategy, political  
314 strategy, general campaign advice or telephone banking.

315 [(c) When the State Elections Enforcement Commission evaluates an  
316 expenditure to determine whether an expenditure by entity is an  
317 independent expenditure, the following shall not be presumed to  
318 constitute evidence of consent, coordination or consultation within the  
319 meaning of subsection (a) of this section: (1) Participation by a  
320 candidate or an agent of the candidate in an event sponsored by the  
321 entity, unless such event promotes the success of the candidate's

322 candidacy or the defeat of the candidate's opponent, or unless the  
323 event is during the period that is forty-five days prior to the primary  
324 for which the candidate is seeking nomination for election or election  
325 to office; (2) membership of the candidate or agent of the candidate in  
326 the entity, unless the candidate or agent of the candidate holds an  
327 executive or policymaking position within the entity after the  
328 candidate becomes a candidate; or (3) financial support for, or  
329 solicitation or fundraising on behalf of the entity by a candidate or an  
330 agent of the candidate, unless the entity has made or obligated to make  
331 independent expenditures in support of such candidate in the election  
332 or primary for which the candidate is a candidate.]

333 [(d)] (f) When the State Elections Enforcement Commission  
334 evaluates an expenditure to determine whether such expenditure is an  
335 independent expenditure, the commission shall consider, as an  
336 effective rebuttal to the presumptions provided in subsection [(b)] (e)  
337 of this section, the establishment by the person making the  
338 expenditure of a firewall policy designed and implemented to prohibit  
339 the flow of information between (1) employees, consultants or other  
340 individuals providing services to the person paying for the  
341 expenditure, and (2) the candidate or agents of the candidate.

342 Sec. 5. Section 9-601d of the general statutes is repealed and the  
343 following is substituted in lieu thereof (*Effective from passage*):

344 (a) Any person, as defined in section 9-601, as amended by this act,  
345 may, unless otherwise restricted or prohibited by law, including, but  
346 not limited to, any provision of this chapter or chapter 157, make  
347 unlimited independent expenditures, as defined in section 9-601c, as  
348 amended by this act, and accept unlimited covered transfers, as  
349 defined in [said] section 9-601, as amended by this act. Except as  
350 provided pursuant to this section, any such person who makes or  
351 obligates to make an independent expenditure or expenditures in  
352 excess of one thousand dollars, in the aggregate, shall file statements  
353 according to the same schedule and in the same manner as is required

354 of a treasurer of a [candidate] political committee pursuant to section  
355 9-608, as amended by this act. Such statements shall be filed on the  
356 forms described in subsections (c) and (d) of this section.

357 (b) Any person who makes or obligates to make an independent  
358 expenditure or expenditures in an election or primary for the office of  
359 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,  
360 State Comptroller, Attorney General, state senator or state  
361 representative, which exceed one thousand dollars, in the aggregate,  
362 [during a primary campaign or a general election campaign, as defined  
363 in section 9-700,] during the period beginning on or after July first in  
364 the year of a regular election and ending the day following the primary  
365 or election for which any such independent expenditure is made or  
366 incurred shall file, electronically, a long-form and a short-form report  
367 of such independent expenditure or expenditures with the State  
368 Elections Enforcement Commission pursuant to subsections (c) and (d)  
369 of this section. The person that makes or obligates to make such  
370 independent expenditure or expenditures shall file such reports not  
371 later than twenty-four hours after (1) making any such payment, or (2)  
372 obligating to make any such payment, with respect to the primary or  
373 election. If any such person makes or incurs a subsequent independent  
374 expenditure, such person shall report such expenditure pursuant to  
375 subsection (d) of this section. Such reports shall be filed under penalty  
376 of false statement.

377 (c) The independent expenditure long-form report shall identify: (1)  
378 The name of the person making or obligating to make such  
379 expenditure or expenditures; (2) the tax exempt status, Federal  
380 Employee Identification Number and Federal Election Commission  
381 Identification Number of such person, if applicable; (3) the mailing  
382 street address of such person; (4) the principal business address of the  
383 person, if different from the mailing street address; (5) the address,  
384 telephone number and electronic mail address of the agent for service  
385 of process in this state of such person; (6) the date of the primary or  
386 election or referendum question for which the independent

387 expenditure or expenditures were made or obligated to be made; (7)  
388 the name of any candidate who, or the text of any referendum question  
389 that, was the subject of any independent expenditure, [or expenditures  
390 and whether the independent expenditure or expenditures were]  
391 whether such independent expenditure was in support of or in  
392 opposition to such candidate or referendum question and all other  
393 information required under subsection (d) for such expenditure; and  
394 (8) the name, telephone number and electronic mail address for the  
395 individual filing such report. Such individual filing such report shall  
396 affirm that the expenditure reported is an independent expenditure  
397 under penalty of false statement.

398 (d) As part of any filing made pursuant to subsection (c) of this  
399 section and for each subsequent independent expenditure made or  
400 obligated to be made by a person with respect to the primary or  
401 election for which a long-form report pursuant to subsection (c) of this  
402 section has been filed on behalf of such person, an individual shall file  
403 [, electronically,] a short-form report for each such independent  
404 expenditure. [, not later than twenty-four hours after such person  
405 makes a payment for an independent expenditure or obligates to make  
406 such an independent expenditure.] Such short-form report shall  
407 identify: (1) The name of the person making or obligating to make such  
408 independent expenditure; (2) the amount of the independent  
409 expenditure; (3) whether the independent expenditure was in support  
410 of or in opposition to a candidate or referendum question and the  
411 name of such candidate or text of such referendum question; (4) a brief  
412 description of the expenditure made, including the type of  
413 communication, based on categories determined by the State Elections  
414 Enforcement Commission, and the allocation of such expenditure in  
415 support of or in opposition to each candidate, if such expenditure was  
416 made in support of or in opposition to more than one candidate; [and]  
417 (5) the name, telephone number and electronic mail address for the  
418 individual filing such report; and (6) any other information that the  
419 State Elections Enforcement Commission may require to facilitate

420 compliance with the provisions of this chapter or chapter 157. Such  
421 individual filing such report shall affirm that the expenditure reported  
422 is an independent expenditure under penalty of false statement.

423 (e) No person reporting an independent expenditure pursuant to  
424 the provisions of subsection (c) or (d) of this section shall be required  
425 to file a statement pursuant to section 9-608, as amended by this act,  
426 for such independent expenditure.

427 (f) (1) Except as provided in subdivision (2) of this subsection, as  
428 part of any statement filed pursuant to this section, if a person who  
429 makes or obligates to make an independent expenditure (A) has  
430 received a covered transfer during the twelve-month period prior to a  
431 primary or election, as applicable to the reported expenditure, [for an  
432 office that a candidate described in subdivision (7) of subsection (c) of  
433 this section is seeking,] and (B) such independent expenditure is made  
434 or obligated to be made on or after the date that is one hundred eighty  
435 days prior to such primary or election, such person shall disclose the  
436 source and the amount of any such covered transfer such person  
437 received that is in an amount that is five thousand dollars or more, in  
438 the aggregate, during the twelve-month period prior to such primary  
439 or election, as applicable to the reported expenditure.

440 (2) The provisions of subdivision (1) of this subsection shall not  
441 apply to any person who discloses the source and amount of a covered  
442 transfer described in subdivision (1) of this subsection as part of any  
443 report to the Federal Election Commission or the Internal Revenue  
444 Service, provided such person includes a copy of, or information  
445 sufficient to find, any such report as part of the report of each  
446 applicable independent expenditure pursuant to this section. If a  
447 source and amount of a covered transfer is not included as part of any  
448 such report, the maker of the expenditure shall disclose the source and  
449 amount of such covered transfer pursuant to subdivision (1) of this  
450 subsection, if applicable.

451 (g) (1) A person may, unless otherwise restricted or prohibited by  
452 law, including, but not limited to, any provision of this chapter or  
453 chapter 157, establish a dedicated independent expenditure account,  
454 for the purpose of engaging in independent expenditures, that is  
455 segregated from all other accounts controlled by such person. Such  
456 dedicated independent expenditure account may receive covered  
457 transfers directly from persons other than the person establishing the  
458 dedicated account and may not receive transfers from another account  
459 controlled by the person establishing the dedicated account, except as  
460 provided in subdivision (2) of this subsection. If an independent  
461 expenditure is made from such segregated account, any report  
462 required pursuant to this section or disclaimer required pursuant to  
463 section 9-621, as amended by this act, may include only those persons  
464 who made covered transfers directly to the dedicated independent  
465 expenditure account.

466 (2) If a person who has made a covered transfer to another account  
467 controlled by the person establishing a dedicated independent  
468 expenditure account requests that such covered transfer be used for  
469 the purposes of making an independent expenditure from the  
470 dedicated independent expenditure account, the amount of such  
471 covered transfer may be transferred to the dedicated independent  
472 expenditure account and shall be treated as a covered transfer directly  
473 to the dedicated independent expenditure account.

474 (h) Any person may file a complaint with the commission upon the  
475 belief that (1) any such independent expenditure report or statement is  
476 false, or (2) any person who is required to file an independent  
477 expenditure report under this subsection has failed to do so. The  
478 commission shall make a prompt determination on such a complaint.

479 (i) (1) If a person fails to file a report in accordance with the  
480 provisions of this section for an independent expenditure or  
481 expenditures made or obligated to be made more than ninety days  
482 before the day of a primary or election, the person shall be subject to a

483 civil penalty, imposed by the State Elections Enforcement Commission,  
484 of not more than ten thousand dollars. If a person fails to file a report  
485 required in accordance with the provisions of this section for an  
486 independent expenditure or expenditures made or obligated to be  
487 made ninety days or less before the day of a primary or election, such  
488 person shall be subject to a civil penalty, imposed by the State  
489 Elections Enforcement Commission, of not more than twenty thousand  
490 dollars or twice the amount of any unreported expenditure, whichever  
491 is greater.

492 (2) If any such failure is knowing and wilful, the person responsible  
493 for the failure shall also be fined not more than fifty thousand dollars  
494 or ten times the amount of any unreported expenditure, whichever is  
495 greater, and the commission may refer the matter to the office of the  
496 Chief State's Attorney.

497 Sec. 6. Subsections (a) and (b) of section 9-603 of the general statutes  
498 are repealed and the following is substituted in lieu thereof (*Effective*  
499 *from passage*):

500 (a) Statements filed by party committees, political committees  
501 formed to aid or promote the success or defeat of a referendum  
502 question proposing a constitutional convention, constitutional  
503 amendment or revision of the Constitution, individual lobbyists, [and]  
504 those political committees and candidate committees formed to aid or  
505 promote the success or defeat of any candidate for the office of  
506 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,  
507 State Comptroller, Attorney General, judge of probate and members of  
508 the General Assembly and those persons making independent  
509 expenditures in excess of one thousand dollars, in the aggregate, for  
510 any such candidates or referendum questions pursuant to section 9-  
511 610d, as amended by this act, shall be filed with the State Elections  
512 Enforcement Commission. A political committee formed for a slate of  
513 candidates in a primary for the office of justice of the peace shall file  
514 statements with the town clerk of the municipality in which the

515 primary is to be held.

516 (b) Statements filed by political committees formed solely to aid or  
517 promote the success or defeat of a referendum question to be voted  
518 upon by the electors of a single municipality, [and] those political  
519 committees or candidate committees formed to aid or promote the  
520 success or defeat of any candidate for public office, other than those  
521 enumerated in subsection (a) of this section, or the position of town  
522 committee member and those persons making any independent  
523 expenditure or expenditures in excess of one thousand dollars, in the  
524 aggregate, for any such candidates or referendum questions pursuant  
525 to section 9-601d, as amended by this act, shall be filed only with the  
526 town clerk of the municipality in which the election or referendum is  
527 to be held. Each unsalaried town clerk shall be entitled to receive ten  
528 cents from the town for the filing of each such statement.

529 Sec. 7. Subsections (b) to (d), inclusive, of section 9-605 of the  
530 general statutes are repealed and the following is substituted in lieu  
531 thereof (*Effective from passage*):

532 (b) The registration statement shall include: (1) The name and  
533 address of the committee; (2) a statement of the purpose of the  
534 committee; (3) the name and address of its treasurer, and deputy  
535 treasurer if applicable; (4) the name, address and position of its  
536 chairman, and other principal officers if applicable; (5) the name and  
537 address of the depository institution for its funds; (6) the name of each  
538 person, other than an individual, that is a member of the committee;  
539 (7) the name and party affiliation of each candidate whom the  
540 committee is supporting and the office or position sought by each  
541 candidate; (8) if the committee is supporting the entire ticket of any  
542 party, a statement to that effect and the name of the party; (9) if the  
543 committee is supporting or opposing any referendum question, a brief  
544 statement identifying the substance of the question; (10) if the  
545 committee is established by a business entity or organization or by an  
546 individual acting as the agent of another person, the name of the

547 [entity or organization] entity, organization or other person; (11) if the  
548 committee is established by an organization, whether it will receive its  
549 funds from the organization's treasury or from voluntary  
550 contributions; (12) if the committee or the person establishing the  
551 committee through an individual agent files reports with the Federal  
552 Elections Commission or any out-of-state agency, a statement to that  
553 effect including the name of the agency; (13) a statement indicating  
554 whether the committee is established for a single primary, election or  
555 referendum or for ongoing political activities; (14) if the committee is  
556 established or controlled by a lobbyist, a statement to that effect and  
557 the name of the lobbyist; (15) the name and address of the person  
558 making the initial contribution or disbursement, if any, to the  
559 committee; and (16) any information that the State Elections  
560 Enforcement Commission requires to facilitate compliance with the  
561 provisions of this chapter or chapter 157. If no such initial contribution  
562 or disbursement has been made at the time of the filing of such  
563 statement, the treasurer of the committee shall, not later than forty-  
564 eight hours after receipt of such contribution or disbursement, file a  
565 report with the State Elections Enforcement Commission. The report  
566 shall be in the same form as statements filed under section 9-608, as  
567 amended by this act.

568 (c) The treasurer of each political committee shall report any  
569 addition to or change in information previously submitted in a  
570 statement of organization to the proper authority not later than ten  
571 days after the addition or change, provided if an officer of the  
572 committee has changed, such amended statement shall be filed by the  
573 chairperson of the committee.

574 (d) A group of two or more individuals who have joined solely to  
575 promote the success or defeat of a referendum question or to form an  
576 independent expenditure political committee shall not be required to  
577 file as a political committee, make such designations in accordance  
578 with subsections (a) and (b) of this section or file statements pursuant  
579 to section 9-608, [if] as amended by this act, provided the group does

580 not receive [or expend] any contribution or contributions or make or  
581 incur any expenditure or expenditures in excess of one thousand  
582 dollars, in the aggregate, for the entire campaign. If the group receives  
583 [funds] contributions or makes or incurs expenditures exceeding one  
584 thousand dollars, in the aggregate, the group shall complete the  
585 statement of organization and file as a political committee not later  
586 than (1) three business days thereafter for a group formed solely to  
587 promote the success or defeat of a referendum question, or (2) ten  
588 business days thereafter for an independent expenditure political  
589 committee. The group shall provide the designated treasurer with all  
590 information required for completion of the statements for filing as  
591 required by section 9-608, as amended by this act.

592 Sec. 8. Subdivision (1) of subsection (g) of section 9-607 of the  
593 general statutes is repealed and the following is substituted in lieu  
594 thereof (*Effective from passage*):

595 (g) (1) As used in this subsection, (A) "the lawful purposes of the  
596 committee" means: (i) For a candidate committee or exploratory  
597 committee, the promoting of the nomination or election of the  
598 candidate who established the committee, except that after a political  
599 party nominates candidates for election to the offices of Governor and  
600 Lieutenant Governor, whose names shall be so placed on the ballot in  
601 the election that an elector will cast a single vote for both candidates,  
602 as prescribed in section 9-181, a candidate committee established by  
603 either such candidate may also promote the election of the other such  
604 candidate; (ii) for a political committee, the promoting of a political  
605 party, including party building activities, the success or defeat of  
606 candidates for nomination and election to public office or position  
607 subject to the requirements of this chapter, or the success or defeat of  
608 referendum questions, provided a political committee formed for a  
609 single referendum question shall not promote the success or defeat of  
610 any candidate, and provided further a legislative leadership committee  
611 or a legislative caucus committee may expend funds to defray costs for  
612 conducting legislative or constituency-related business which are not

613 reimbursed or paid by the state; [and] (iii) for a party committee, the  
614 promoting of the party, party building activities, the candidates of the  
615 party and continuing operating costs of the party; and (iv) for an  
616 independent expenditure political committee, the promoting of a  
617 political party, the success or defeat of any candidate for nomination or  
618 election to public office or position subject to the requirements of this  
619 chapter, or the success or defeat of any referendum question, provided  
620 an independent expenditure political committee shall act entirely  
621 independently of any candidate or agent thereof, candidate committee,  
622 political committee or party committee, and (B) "immediate family"  
623 means a spouse or dependent child of a candidate who resides in the  
624 candidate's household.

625 Sec. 9. Subdivision (1) of subsection (c) of section 9-608 of the  
626 general statutes is repealed and the following is substituted in lieu  
627 thereof (*Effective from passage*):

628 (c) (1) Each statement filed under subsection (a), (e) or (f) of this  
629 section shall include, but not be limited to: (A) An itemized accounting  
630 of each contribution, if any, including the full name and complete  
631 address of each contributor and the amount of the contribution and,  
632 for an independent expenditure political committee, if any contributor  
633 is a recipient of a covered transfer or transfers amounting to twenty-  
634 five thousand dollars or more, in the aggregate, the name of any  
635 person or persons who made the covered transfer or transfers to such  
636 recipient during the twelve-month period prior to the primary or  
637 election, as applicable; (B) an itemized accounting of each expenditure,  
638 if any, including the full name and complete address of each payee,  
639 including secondary payees whenever the primary or principal payee  
640 is known to include charges which the primary payee has already paid  
641 or will pay directly to another person, vendor or entity, the amount  
642 and the purpose of the expenditure, the candidate supported or  
643 opposed by the expenditure, whether the expenditure is made  
644 independently of the candidate supported or is an in-kind contribution  
645 to the candidate, and a statement of the balance on hand or deficit, as

646 the case may be; (C) an itemized accounting of each expense incurred  
647 but not paid, provided if the expense is incurred by use of a credit  
648 card, the accounting shall include secondary payees, and the amount  
649 owed to each such payee; (D) the name and address of any person who  
650 is the guarantor of a loan to, or the cosigner of a note with, the  
651 candidate on whose behalf the committee was formed, or the treasurer  
652 in the case of a party committee or a political committee or who has  
653 advanced a security deposit to a telephone company, as defined in  
654 section 16-1, for telecommunications service for a committee; (E) for  
655 each business entity or person purchasing advertising space in a  
656 program for a fund-raising affair or on signs at a fund-raising affair,  
657 the name and address of the business entity or the name and address  
658 of the person, and the amount and aggregate amounts of such  
659 purchases; (F) for each individual who contributes in excess of one  
660 hundred dollars but not more than one thousand dollars, in the  
661 aggregate, to the extent known, the principal occupation of such  
662 individual and the name of the individual's employer, if any; (G) for  
663 each individual who contributes in excess of one thousand dollars in  
664 the aggregate, the principal occupation of such individual and the  
665 name of the individual's employer, if any; (H) for each itemized  
666 contribution made by a lobbyist, the spouse of a lobbyist or any  
667 dependent child of a lobbyist who resides in the lobbyist's household,  
668 a statement to that effect; and (I) for each individual who contributes in  
669 excess of four hundred dollars in the aggregate to or for the benefit of  
670 any candidate's campaign for nomination at a primary or election to  
671 the office of chief executive officer or a slate or town committee  
672 financing the nomination or election or a candidate for chief executive  
673 officer of a town, city or borough, a statement indicating whether the  
674 individual or a business with which he is associated has a contract  
675 with said municipality that is valued at more than five thousand  
676 dollars. Each treasurer shall include in such statement (i) an itemized  
677 accounting of the receipts and expenditures relative to any testimonial  
678 affair held under the provisions of section 9-609 or any other fund-  
679 raising affair, which is referred to in subsection (b) of section 9-601a,

680 and (ii) the date, location and a description of the affair, except that a  
681 treasurer shall not be required to include the name of any individual  
682 who has purchased items at a fund-raising affair or food at a town fair,  
683 county fair or similar mass gathering, if the cumulative value of items  
684 purchased by such individual does not exceed one hundred dollars, or  
685 the name of any individual who has donated food or beverages for a  
686 meeting. A treasurer shall not be required to report or retain any  
687 receipts or expenditures related to any de minimis donations described  
688 in subdivision (17) of subsection (b) of section 9-601a.

689 Sec. 10. Section 9-611 of the general statutes is repealed and the  
690 following is substituted in lieu thereof (*Effective from passage*):

691 (a) No individual shall make a contribution or contributions to, for  
692 the benefit of, or pursuant to the authorization or request of, a  
693 candidate or a committee supporting or opposing any candidate's  
694 campaign for nomination at a primary, or any candidate's campaign  
695 for election, to the office of (1) Governor, in excess of three thousand  
696 five hundred dollars; (2) Lieutenant Governor, Secretary of the State,  
697 Treasurer, Comptroller or Attorney General, in excess of two thousand  
698 dollars; (3) chief executive officer of a town, city or borough, in excess  
699 of one thousand dollars; (4) state senator or probate judge, in excess of  
700 one thousand dollars; or (5) state representative or any other office of a  
701 municipality not previously included in this subsection, in excess of  
702 two hundred fifty dollars. The limits imposed by this subsection shall  
703 be applied separately to primaries and elections.

704 (b) (1) No individual shall make a contribution or contributions to,  
705 or for the benefit of, an exploratory committee, in excess of three  
706 hundred seventy-five dollars, if the candidate establishing the  
707 exploratory committee certifies on the statement of organization for  
708 the exploratory committee pursuant to subsection (c) of section 9-604  
709 that the candidate will not be a candidate for the office of state  
710 representative. No individual shall make a contribution or  
711 contributions to, or for the benefit of, any exploratory committee, in

712 excess of two hundred fifty dollars, if the candidate establishing the  
713 exploratory committee does not so certify.

714 (2) No individual shall make a contribution or contributions to, or  
715 for the benefit of, a political committee formed by a slate of candidates  
716 in a primary for the office of justice of the peace, in excess of two  
717 hundred fifty dollars.

718 [(c) No individual shall make contributions to such candidates or  
719 committees which in the aggregate exceed thirty thousand dollars for  
720 any single election and primary preliminary to such election.]

721 [(d)] (c) No individual shall make a contribution to any candidate or  
722 committee, other than a contribution in kind, in excess of one hundred  
723 dollars except by personal check or credit card of that individual.

724 [(e)] (d) No individual who is less than eighteen years of age shall  
725 make a contribution or contributions, in excess of thirty dollars to, for  
726 the benefit of, or pursuant to the authorization or request of: (1) A  
727 candidate or a committee supporting or opposing any candidate's  
728 campaign for nomination at a primary to any office; (2) a candidate or  
729 a committee supporting or opposing any candidate's campaign for  
730 election to any office; (3) an exploratory committee; (4) any other  
731 political committee in any calendar year; or (5) a party committee in  
732 any calendar year. Notwithstanding any provision of subdivision (2) of  
733 section 9-7b, any individual who is less than eighteen years of age who  
734 violates any provision of this subsection shall not be subject to the  
735 provisions of subdivision (2) of section 9-7b.

736 Sec. 11. Subsection (a) of section 9-612 of the general statutes is  
737 repealed and the following is substituted in lieu thereof (*Effective from*  
738 *passage*):

739 (a) No individual shall make a contribution or contributions in any  
740 one calendar year in excess of ten thousand dollars to the state central  
741 committee of any party, or for the benefit of such committee pursuant

742 to its authorization or request; or two thousand dollars to a town  
743 committee of any political party, or for the benefit of such committee  
744 pursuant to its authorization or request; or two thousand dollars to a  
745 legislative caucus committee or legislative leadership committee, or  
746 one thousand dollars to any other political committee other than (1) a  
747 political committee formed solely to aid or promote the success or  
748 defeat of a referendum question, (2) an exploratory committee, (3) a  
749 political committee established by an organization, or for the benefit of  
750 such committee pursuant to its authorization or request, [or] (4) a  
751 political committee formed by a slate of candidates in a primary for the  
752 office of justice of the peace of the same town, or (5) an independent  
753 expenditure political committee.

754 Sec. 12. Section 9-613 of the general statutes is repealed and the  
755 following is substituted in lieu thereof (*Effective from passage*):

756 (a) No business entity shall make any contributions or expenditures  
757 to, or for the benefit of, any candidate's campaign for election to any  
758 public office or position subject to this chapter or for nomination at a  
759 primary for any such office or position, or to promote the defeat of any  
760 candidate for any such office or position. No business entity shall  
761 make any other [contributions or expenditures] contributions,  
762 including coordinated expenditures, as described in section 9-601c, as  
763 amended by this act, to promote the success or defeat of any political  
764 party, except as provided in subsection (b) of this section. No business  
765 entity shall establish more than one political committee. A political  
766 committee shall be deemed to have been established by a business  
767 entity if the initial disbursement or contribution to the committee is  
768 made under subsection (b) of this section or by an officer, director,  
769 owner, limited or general partner or holder of stock constituting five  
770 per cent or more of the total outstanding stock of any class of the  
771 business entity.

772 (b) A business entity may make reasonable and necessary transfers  
773 or disbursements to or for the benefit of a political committee

774 established by such business entity, for the administration of, or  
775 solicitation of contributions to, such political committee. Nonmonetary  
776 contributions by a business entity which are incidental in nature and  
777 are directly attributable to the administration of such political  
778 committee shall be exempt from the reporting requirements of this  
779 chapter.

780 (c) The provisions of this section shall not preclude a business entity  
781 from making contributions or expenditures to promote the success or  
782 defeat of a referendum question.

783 (d) [A] Except as provided in subsection (f) of this section, a political  
784 committee organized by a business entity shall not make a  
785 contribution or contributions to, or for the benefit of, any candidate's  
786 campaign for nomination at a primary or any candidate's campaign for  
787 election to the office of: (1) Governor, in excess of five thousand  
788 dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer,  
789 Comptroller or Attorney General, in excess of three thousand dollars;  
790 (3) state senator, probate judge or chief executive officer of a town, city  
791 or borough, in excess of one thousand five hundred dollars; (4) state  
792 representative, in excess of seven hundred fifty dollars; or (5) any other  
793 office of a municipality not included in subdivision (3) of this  
794 subsection, in excess of three hundred seventy-five dollars. The limits  
795 imposed by this subsection shall apply separately to primaries and  
796 elections and contributions by any such committee to candidates  
797 designated in this subsection shall not exceed one hundred thousand  
798 dollars in the aggregate for any single election and primary  
799 preliminary thereto. Contributions to such committees shall also be  
800 subject to the provisions of section 9-618, as amended by this act, in the  
801 case of committees formed for ongoing political activity or section 9-  
802 619, as amended by this act, in the case of committees formed for a  
803 single election or primary.

804 (e) [No] Except as provided in subsection (f) of this section, no  
805 political committee organized by a business entity shall make a

806 contribution or contributions to (1) a state central committee of a  
807 political party, in excess of seven thousand five hundred dollars in any  
808 calendar year, (2) a town committee of any political party, in excess of  
809 one thousand five hundred dollars in any calendar year, (3) an  
810 exploratory committee in excess of three hundred seventy-five dollars,  
811 or (4) any other kind of political committee, in excess of two thousand  
812 dollars in any calendar year.

813 (f) No independent expenditure political committee organized by a  
814 business entity may make any contribution, unless the recipient of  
815 such contribution is another independent expenditure political  
816 committee.

817 ~~[(f)]~~ (g) As used in this subsection, "investment services" means  
818 investment legal services, investment banking services, investment  
819 advisory services, underwriting services, financial advisory services or  
820 brokerage firm services. No political committee established by a firm  
821 which provides investment services and to which the State Treasurer  
822 pays compensation, expenses or fees or issues a contract shall make a  
823 contribution to, or solicit contributions on behalf of, an exploratory  
824 committee or candidate committee established by a candidate for  
825 nomination or election to the office of State Treasurer during the term  
826 of office of the State Treasurer who does business with such firm.

827 ~~[(g)]~~ (h) Notwithstanding the provisions of this section, a  
828 corporation, cooperative association, limited partnership, professional  
829 association, limited liability company or limited liability partnership,  
830 whether formed in this state or any other, [acting alone,] may make  
831 independent expenditures or contributions to independent  
832 expenditure political committees.

833 Sec. 13. Section 9-614 of the general statutes is repealed and the  
834 following is substituted in lieu thereof (*Effective from passage*):

835 (a) An organization may make [contributions or] contributions,  
836 including coordinated expenditures, other than those made to promote

837 the success or defeat of a referendum question, only by first forming its  
838 own political committee. The political committee shall then be  
839 authorized to receive funds exclusively from the organization's  
840 treasury or from voluntary contributions made by its members, but not  
841 both, from another political committee or, from a candidate committee  
842 distributing a surplus and (1) to make contributions or expenditures to,  
843 or for the benefit of, a candidate's campaign or a political party, or (2)  
844 to make contributions to another political committee. No organization  
845 shall form more than one political committee. A political committee  
846 shall be deemed to have been established by an organization if the  
847 initial contribution to the committee is made by the organization's  
848 treasury or an officer or director of the organization.

849 (b) A political committee established by an organization may elect  
850 to alter the manner in which it is funded if it complies with the  
851 requirements of this subsection. The committee chairperson shall  
852 notify the repository with which the committee's most recent statement  
853 of organization is filed, in writing, of the committee's intent to alter its  
854 manner of funding. Within fifteen days after the date of receipt of such  
855 notification, the treasurer of such political committee shall return any  
856 funds remaining in the account of the committee to the organization's  
857 treasury after payment of each outstanding liability. Within seven days  
858 after the distribution and payments have been made, the treasurer  
859 shall file a statement with the same repository itemizing each such  
860 distribution and payment. Upon such filing, the treasurer may receive  
861 voluntary contributions from any member of the organization which  
862 established such committee subject to the limitations imposed in  
863 subsection (b) of section 9-612.

864 (c) The chairperson of each political committee established by an  
865 organization on or after July 1, 1985, shall designate the manner in  
866 which the committee shall be funded in the committee's statement of  
867 organization.

868 (d) Notwithstanding the provisions of this section, an organization

869 [, acting alone,] may make independent expenditures and  
870 contributions to independent expenditure political committees.

871 Sec. 14. Section 9-615 of the general statutes is repealed and the  
872 following is substituted in lieu thereof (*Effective from passage*):

873 (a) No political committee established by an organization shall  
874 make a contribution or contributions to, or for the benefit of, any  
875 candidate's campaign for nomination at a primary or for election to the  
876 office of: (1) Governor, in excess of five thousand dollars; (2)  
877 Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or  
878 Attorney General, in excess of three thousand dollars; (3) chief  
879 executive officer of a town, city or borough, in excess of one thousand  
880 five hundred dollars; (4) state senator or probate judge, in excess of  
881 one thousand five hundred dollars; (5) state representative, in excess of  
882 seven hundred fifty dollars; or (6) any other office of a municipality  
883 not previously included in this subsection, in excess of three hundred  
884 seventy-five dollars.

885 (b) No such committee shall make a contribution or contributions to,  
886 or for the benefit of, an exploratory committee, in excess of three  
887 hundred seventy-five dollars. Any such committee may make  
888 unlimited contributions to a political committee formed solely to aid or  
889 promote the success or defeat of a referendum question.

890 (c) The limits imposed by subsection (a) of this section shall apply  
891 separately to primaries and elections and no such committee shall  
892 make contributions to the candidates designated in this section which  
893 in the aggregate exceed fifty thousand dollars for any single election  
894 and primary preliminary thereto.

895 (d) [No] Except as provided in subsection (f) of this section, no  
896 political committee established by an organization shall make  
897 contributions in any one calendar year to, or for the benefit of, (1) the  
898 state central committee of a political party, in excess of seven thousand  
899 five hundred dollars; (2) a town committee, in excess of one thousand

900 five hundred dollars; or (3) any political committee, other than an  
901 exploratory committee or a committee formed solely to aid or promote  
902 the success or defeat of a referendum question, in excess of two  
903 thousand dollars.

904 (e) Contributions to a political committee established by an  
905 organization for the purpose of making contributions, including  
906 coordinated expenditures, shall be subject to the provisions of section  
907 9-618, as amended by this act, in the case of a committee formed for  
908 ongoing political activity or section 9-619, as amended by this act, in  
909 the case of a committee formed for a single election or primary.

910 (f) No independent expenditure political committee established by  
911 an organization may make any contribution, unless the recipient of  
912 such contribution is another independent expenditure political  
913 committee.

914 Sec. 15. Subdivision (2) of subsection (b) of section 9-617 of the  
915 general statutes is repealed and the following is substituted in lieu  
916 thereof (*Effective from passage*):

917 (2) No state central committee shall make a contribution or  
918 contributions in any one calendar year to, or for the benefit of (A) a  
919 legislative caucus committee or legislative leadership committee, in  
920 excess of ten thousand dollars, or (B) any other political committee,  
921 other than an exploratory committee or a committee formed solely to  
922 aid or promote the success or defeat of a referendum question or an  
923 independent expenditure political committee, in excess of two  
924 thousand five hundred dollars. No state central committee shall make  
925 contributions in excess of three hundred seventy-five dollars to an  
926 exploratory committee.

927 Sec. 16. Subsection (a) of section 9-618 of the general statutes is  
928 repealed and the following is substituted in lieu thereof (*Effective from*  
929 *passage*):

930 (a) A political committee organized for ongoing political activities  
931 may make unlimited contributions to, or for the benefit of, any  
932 national committee of a political party; or a committee of a candidate  
933 for federal or out-of-state office. Except as provided in subdivision (3)  
934 of subsection (d) of this section, no such political committee shall make  
935 a contribution or contributions in excess of two thousand dollars to  
936 another political committee in any calendar year. No political  
937 committee organized for ongoing political activities shall make a  
938 contribution in excess of three hundred seventy-five dollars to an  
939 exploratory committee. If such an ongoing committee is established by  
940 an organization or a business entity, its contributions shall be subject to  
941 the limits imposed by sections 9-613 to 9-615, inclusive, as amended by  
942 this act. A political committee organized for ongoing political activities  
943 may make [contributions] donations to a charitable organization which  
944 is a tax-exempt organization under Section 501(c)(3) of the Internal  
945 Revenue Code, as from time to time amended, or make memorial  
946 [contributions] donations. No independent expenditure political  
947 committee organized for ongoing political activities may make any  
948 contribution, unless the recipient of such contribution is another  
949 independent expenditure political committee.

950 Sec. 17. Subsection (a) of section 9-619 of the general statutes is  
951 repealed and the following is substituted in lieu thereof (*Effective from*  
952 *passage*):

953 (a) No political committee established for a single primary or  
954 election shall make contributions to a national committee, or a  
955 committee of a candidate for federal or out-of-state office. If such a  
956 political committee is established by an organization or a business  
957 entity, its contributions shall also be subject to the limitations imposed  
958 by sections 9-613 to 9-615, inclusive, as amended by this act. Except as  
959 provided in subdivision (2) of subsection (d) of this section, no political  
960 committee formed for a single election or primary shall, with respect to  
961 such election or primary make a contribution or contributions in excess  
962 of two thousand dollars to another political committee, provided no

963 such political committee shall make a contribution in excess of three  
964 hundred seventy-five dollars to an exploratory committee. No  
965 independent expenditure political committee established for a single  
966 primary or election may make any contribution, unless the recipient of  
967 such contribution is another independent expenditure political  
968 committee.

969 Sec. 18. Subsection (j) of section 9-621 of the general statutes is  
970 repealed and the following is substituted in lieu thereof (*Effective from*  
971 *passage*):

972 (j) [(1) Except as provided in subdivisions (2) and (3) of this  
973 subsection, if] If any person whose name is included on a disclaimer of  
974 a communication pursuant to the provisions of this section, as a person  
975 who made a covered transfer to the maker of the communication, is  
976 also a recipient of a covered transfer, the maker of the communication,  
977 as part of any report filed pursuant to section 9-601d, as amended by  
978 this act, or 9-608, as amended by this act, associated with the making of  
979 such communication, shall include the names of the five persons who  
980 made the top five largest aggregate covered transfers to such recipient  
981 during the twelve-month period [immediately prior to the primary or  
982 election, as applicable] prior to the covered transfer to the recipient  
983 and the amount of such covered transfer.

984 [(2) The name of any person who made a covered transfer to a tax-  
985 exempt organization recognized under Section 501(c)(4) of the Internal  
986 Revenue Code of 1986, or any subsequent corresponding internal  
987 revenue code of the United States, as amended from time to time, that  
988 has not had its tax exempt status revoked, shall not be disclosed  
989 pursuant to the provisions of subdivision (1) of this subsection.

990 (3) The name of any person who made a covered transfer to a  
991 person whose name is included on a disclaimer pursuant to the  
992 provisions of this section shall not be disclosed pursuant to the  
993 provisions of subdivision (1) of this subsection if the recipient of such

994 covered transfer accepts covered transfers from at least one hundred  
995 different sources, provided no such source accounts for ten per cent or  
996 more of the total amount of covered transfers accepted by the recipient  
997 during the twelve-month period immediately prior to the primary or  
998 election, as applicable.]

999 Sec. 19. Subsection (a) of section 9-703 of the general statutes is  
1000 repealed and the following is substituted in lieu thereof (*Effective from*  
1001 *passage*):

1002 (a) Each candidate for nomination or election to the office of state  
1003 senator or state representative in 2008, or thereafter, or the office of  
1004 Governor, Lieutenant Governor, Attorney General, State Comptroller,  
1005 Secretary of the State or State Treasurer in 2010, or thereafter, shall file  
1006 an affidavit with the State Elections Enforcement Commission. The  
1007 affidavit shall include a written certification that the candidate either  
1008 intends to abide by the expenditure limits under the Citizens' Election  
1009 Program set forth in subsection (c) of section 9-702, or does not intend  
1010 to abide by said limits. If the candidate intends to abide by said limits,  
1011 the affidavit shall also include written certifications (1) that the  
1012 treasurer of the candidate committee for said candidate shall expend  
1013 any moneys received from the Citizens' Election Fund in accordance  
1014 with the provisions of subsection (g) of section 9-607, as amended by  
1015 this act, and regulations adopted by the State Elections Enforcement  
1016 Commission under subsection (e) of section 9-706, (2) that the  
1017 candidate shall repay to the fund any such moneys that are not  
1018 expended in accordance with subsection (g) of section 9-607, as  
1019 amended by this act, and said regulations, (3) that the candidate and  
1020 the treasurer shall comply with the provisions of subdivision (1) of  
1021 subsection (a) of section 9-711, and (4) stating the candidate's status as  
1022 a major party, minor party or petitioning party candidate and, in the  
1023 case of a major party or minor party candidate, the name of such party.  
1024 The written certification described in subdivision (3) of this subsection  
1025 shall be made by both the candidate and the treasurer of the candidate  
1026 committee for said candidate. A candidate for nomination or election

1027 to any such office shall file such affidavit not later than four o'clock  
1028 p.m. on the twenty-fifth day before the day of a primary, if applicable,  
1029 or on [the fortieth day before the day of the election for such office]  
1030 August fifteenth in the year in which the election for such office is  
1031 held, except that in the case of a special election for the office of state  
1032 senator or state representative, the candidate shall file such affidavit  
1033 not later than four o'clock p.m. on the twenty-fifth day before the day  
1034 of such special election. Notwithstanding the provisions of this  
1035 subsection, a candidate who is not required to form a candidate  
1036 committee pursuant to subdivision (3) or (4) of subsection (b) of  
1037 section 9-604, files a certification with the commission pursuant to  
1038 subsection (c) of section 9-603 and does not intend to participate in the  
1039 Citizens' Election Program shall not be required to file such affidavit of  
1040 intent not to abide by the expenditure limits of said program. Any  
1041 such candidate shall be referred to as a nonparticipating candidate, in  
1042 accordance with subsection (b) of this section.

1043 Sec. 20. Section 9-452 of the general statutes is repealed and the  
1044 following is substituted in lieu thereof (*Effective from passage*):

1045 [All] (a) Except as provided in subsection (b) of this section for  
1046 participants in the Citizens' Election Program under chapter 157, minor  
1047 parties nominating candidates for any elective office shall make such  
1048 nominations and certify and file a list of such nominations, as required  
1049 by this section, not later than the sixty-second day prior to the day of  
1050 the election at which such candidates are to be voted for. A list of  
1051 nominees in printed or typewritten form that includes each candidate's  
1052 name as authorized by each candidate to appear on the ballot, the  
1053 signature of each candidate, the full street address of each candidate  
1054 and the title and district of the office for which each candidate is  
1055 nominated shall be certified by the presiding officer of the committee,  
1056 meeting or other authority making such nomination and shall be filed  
1057 by such presiding officer with the Secretary of the State, in the case of  
1058 state or district office or the municipal office of state representative,  
1059 state senator or judge of probate, or with the clerk of the municipality,

1060 in the case of municipal office, not later than the sixty-second day prior  
1061 to the day of the election. The registrars of voters of such municipality  
1062 shall promptly verify and correct the names on any such list filed with  
1063 him, or the names of nominees forwarded to the clerk of the  
1064 municipality by the Secretary of the State, in accordance with the  
1065 registry list of such municipality and endorse the same as having been  
1066 so verified and corrected. For purposes of this section, a list of  
1067 nominations shall be deemed to be filed when it is received by the  
1068 Secretary or clerk, as appropriate.

1069 (b) For any participant in the Citizens' Election Program under  
1070 chapter 157 seeking the state office of Governor, Lieutenant Governor,  
1071 Attorney General, State Comptroller, Secretary of the State or State  
1072 Treasurer or the state or district office or the municipal office of state  
1073 senator or state representative, a candidacy shall be filed not later than  
1074 four o'clock p.m. on the sixty-third day preceding the second Tuesday  
1075 in August in the year in which the election for such office is held.

1076 Sec. 21. Subsection (a) of section 9-453i of the general statutes is  
1077 repealed and the following is substituted in lieu thereof (*Effective from*  
1078 *passage*):

1079 (a) [Each] (1) Except as provided in subdivision (2) of this subsection  
1080 for participants in the Citizens' Election Program under chapter 157,  
1081 each page of a nominating petition proposing a candidate for an office  
1082 to be filled at a regular election shall be submitted to the appropriate  
1083 town clerk or to the Secretary of the State not later than four o'clock  
1084 p.m. on the ninetieth day preceding the day of the regular election.

1085 (2) For any participant in the Citizens' Election Program under  
1086 chapter 157 seeking the state office of Governor, Lieutenant Governor,  
1087 Attorney General, State Comptroller, Secretary of the State or State  
1088 Treasurer or the state or district office or the municipal office of state  
1089 senator or state representative, a candidacy shall be filed not later than  
1090 four o'clock p.m. on the sixty-third day preceding the second Tuesday

1091 in August in the year in which the election for such office is held.

1092 Sec. 22. Subsection (d) of section 9-705 of the general statutes is  
1093 repealed and the following is substituted in lieu thereof (*Effective from*  
1094 *passage*):

1095 (d) For elections held in 2014, and thereafter, the amount of the  
1096 grants in subsections (a), (b) and (c) of this section shall be adjusted by  
1097 the State Elections Enforcement Commission not later than January 15,  
1098 [2014] 2018, and quadrennially thereafter, in accordance with any  
1099 change in the consumer price index for all urban consumers as  
1100 published by the United States Department of Labor, Bureau of Labor  
1101 Statistics, during the period beginning on January 1, 2010, and ending  
1102 on December thirty-first in the year preceding the year in which said  
1103 adjustment is to be made.

1104 Sec. 23. Subsection (h) of section 9-705 of the general statutes is  
1105 repealed and the following is substituted in lieu thereof (*Effective from*  
1106 *passage*):

1107 (h) For elections held in 2010, and thereafter, the amount of the  
1108 grants in subsections (e), (f) and (g) of this section shall be adjusted by  
1109 the State Elections Enforcement Commission not later than January 15,  
1110 [2010] 2018, and biennially thereafter, in accordance with any change  
1111 in the consumer price index for all urban consumers as published by  
1112 the United States Department of Labor, Bureau of Labor Statistics,  
1113 during the period beginning on January 1, 2008, and ending on  
1114 December thirty-first in the year preceding the year in which said  
1115 adjustment is to be made.

1116 Sec. 24. Subsection (j) of section 9-705 of the general statutes is  
1117 repealed and the following is substituted in lieu thereof (*Effective from*  
1118 *passage*):

1119 (j) Notwithstanding the provisions of subsections (a) to (i), inclusive,  
1120 of this section:

1121 (1) The initial grant that a qualified candidate committee for a  
1122 candidate is eligible to receive under subsections (a) to (i), inclusive, of  
1123 this section shall be reduced by the amount of any personal funds that  
1124 the candidate provides for the candidate's campaign for nomination or  
1125 election pursuant to subsection (c) of section 9-710;

1126 (2) If a participating candidate is nominated at a primary and does  
1127 not expend the entire grant for the primary campaign authorized  
1128 under subsection (a), (b), (e) or (f) of this section, the amount of the  
1129 grant for the general election campaign shall be reduced by the total  
1130 amount of any such unexpended primary campaign grant and  
1131 moneys;

1132 (3) If a participating candidate who is nominated for election does  
1133 not have any opponent in the general election campaign, the amount  
1134 of the general election campaign grant for which the qualified  
1135 candidate committee for said candidate shall be eligible shall be  
1136 [~~thirty~~] twenty per cent of the applicable amount set forth in  
1137 subsections (a) to (i), inclusive, of this section. For purposes of this  
1138 subdivision, a participating candidate shall be deemed to have an  
1139 opponent if (A) a major party has properly endorsed any other  
1140 candidate and made the requisite filing with the Secretary of the State  
1141 within the time specified in section 9-391 or 9-400, as applicable, (B)  
1142 any candidate of any other major party has received not less than  
1143 fifteen per cent of the vote of convention delegates and has complied  
1144 with the filing requirements set forth in section 9-400, or (C) any  
1145 candidate of any other major party has circulated a petition and  
1146 obtained the required number of signatures for filing a candidacy for  
1147 nomination and has either qualified for the primary or been deemed  
1148 the party's nominee;

1149 (4) If the only opponent or opponents of a participating candidate  
1150 who is nominated for election to an office are eligible minor party  
1151 candidates or eligible petitioning party candidates and no such eligible  
1152 minor party candidate's or eligible petitioning party candidate's

1153 candidate committee has received a total amount of contributions of  
1154 any type that is equal to or greater than the amount of the qualifying  
1155 contributions that a candidate for such office is required to receive  
1156 under section 9-704 to be eligible for grants from the Citizens' Election  
1157 Fund, the amount of the general election campaign grant for such  
1158 participating candidate shall be sixty per cent of the applicable amount  
1159 set forth in this section; and

1160 (5) The amount of the primary grant or general election campaign  
1161 grant for a qualified candidate committee shall be reduced, pursuant to  
1162 the provisions of this subdivision, if such candidate committee has  
1163 control and custody over lawn signs from any prior election or  
1164 primary in the following applicable amount: (A) Five hundred or more  
1165 lawn signs for the qualified candidate committee of a candidate for the  
1166 office of Governor, Lieutenant Governor, Attorney General, State  
1167 Comptroller, Secretary of the State or State Treasurer, (B) one hundred  
1168 or more lawn signs for the qualified candidate committee of a  
1169 candidate for the office of state senator, or (C) fifty or more lawn signs  
1170 for the qualified candidate committee of a candidate for the office of  
1171 state representative. If such qualified candidate committee has custody  
1172 and control over lawn signs in the applicable amount, as described in  
1173 this subdivision, the grant from the fund for the primary campaign or  
1174 general election campaign, as applicable, for such qualified candidate  
1175 committee shall be reduced as follows: (i) Two thousand five hundred  
1176 dollars for the qualified candidate committee of a candidate for the  
1177 office of Governor, Lieutenant Governor, Attorney General, State  
1178 Comptroller, Secretary of the State or State Treasurer, (ii) five hundred  
1179 dollars for the qualified candidate committee of a candidate for the  
1180 office of state senator, or (iii) two hundred fifty dollars for the qualified  
1181 candidate committee of a candidate for the office of state  
1182 representative. In no event shall such a reduction be made both to a  
1183 qualified candidate committee's primary campaign grant and to such  
1184 candidate committee's general election grant. No reduction in either  
1185 the primary campaign or general election campaign for a qualified

1186 candidate committee's grant shall be taken for any lawn sign that is not  
1187 in the custody or control of the qualified candidate committee.  
1188 Nothing in this subdivision shall be construed to apply to any item  
1189 other than lawn signs.

1190 Sec. 25. Subdivision (5) of subsection (a) of section 9-7b of the  
1191 general statutes is repealed and the following is substituted in lieu  
1192 thereof (*Effective from passage*):

1193 (5) (A) To inspect or audit at any reasonable time and upon  
1194 reasonable notice the accounts or records of any treasurer or principal  
1195 treasurer, except as provided for in subparagraph (B) of this  
1196 subdivision, as required by chapter 155 or 157 and to audit any such  
1197 election, primary or referendum held within the state; provided, (i) (I)  
1198 not later than [two months preceding the day of an election at which a  
1199 candidate is seeking election] three months after the notification  
1200 described in subparagraph (C) of this subdivision, the commission  
1201 shall complete any audit it has initiated in the absence of a complaint  
1202 that involves a committee of the same candidate from a previous  
1203 election, and (II) during the two-month period preceding the day of an  
1204 election at which a candidate is seeking election, the commission shall  
1205 not initiate an audit in the absence of a complaint that involves a  
1206 committee of the same candidate from a previous election, and (ii) the  
1207 commission shall not audit any caucus, as defined in subdivision (1) of  
1208 section 9-372. (B) When conducting an audit after an election or  
1209 primary, the commission shall randomly audit not more than fifty per  
1210 cent of candidate committees, which shall be selected through the  
1211 process of a lottery conducted by the commission, except that the  
1212 commissioner shall (i) audit all candidate committees for candidates  
1213 for a state-wide office, and (ii) not audit the candidate committee of (I)  
1214 any candidate for state senator, judge of probate or state representative  
1215 whose candidate committee was audited at the preceding election or  
1216 primary for the same such office, or (II) any candidate for a municipal  
1217 office, as defined in section 9-372, other than that of state senator,  
1218 judge of probate or state representative, whose candidate committee

1219 was audited at the preceding election or primary for the same such  
 1220 office. (C) The commission shall notify, in writing, any committee of a  
 1221 candidate for an office in the general election, or of any candidate who  
 1222 had a primary for nomination to any such office not later than May  
 1223 thirty-first of the year immediately following such election. In no case  
 1224 shall the commission audit any such candidate committee that the  
 1225 commission fails to provide notice to in accordance with this  
 1226 subparagraph;

1227       Sec. 26. Subdivision (8) of subsection (b) of section 9-706-2 of the  
 1228 regulations of Connecticut state agencies is repealed. (*Effective from*  
 1229 *passage*)

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	9-601
Sec. 2	<i>from passage</i>	9-601a(a)
Sec. 3	<i>from passage</i>	9-601b(a) and (b)
Sec. 4	<i>from passage</i>	9-601c
Sec. 5	<i>from passage</i>	9-601d
Sec. 6	<i>from passage</i>	9-603(a) and (b)
Sec. 7	<i>from passage</i>	9-605(b) to (d)
Sec. 8	<i>from passage</i>	9-607(g)(1)
Sec. 9	<i>from passage</i>	9-608(c)(1)
Sec. 10	<i>from passage</i>	9-611
Sec. 11	<i>from passage</i>	9-612(a)
Sec. 12	<i>from passage</i>	9-613
Sec. 13	<i>from passage</i>	9-614
Sec. 14	<i>from passage</i>	9-615
Sec. 15	<i>from passage</i>	9-617(b)(2)
Sec. 16	<i>from passage</i>	9-618(a)
Sec. 17	<i>from passage</i>	9-619(a)
Sec. 18	<i>from passage</i>	9-621(j)
Sec. 19	<i>from passage</i>	9-703(a)
Sec. 20	<i>from passage</i>	9-452
Sec. 21	<i>from passage</i>	9-453i(a)
Sec. 22	<i>from passage</i>	9-705(d)

Sec. 23	<i>from passage</i>	9-705(h)
Sec. 24	<i>from passage</i>	9-705(j)
Sec. 25	<i>from passage</i>	9-7b(a)(5)
Sec. 26	<i>from passage</i>	Repealer section

***Statement of Purpose:***

To increase disclosure with respect to independent expenditures, to clarify distinctions between coordinated and independent spending, enhancing enforcement of campaign finance laws and to conform statutes to rulings by the United States Supreme Court and the Second Circuit Court of Appeals.

*[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]*