

SECTION 1: COMMISSIONER'S LETTER



STATE OF CONNECTICUT
INSURANCE DEPARTMENT

December 15, 2014

Legislative Regulation Review Committee
Room 011
State Capitol
Hartford, CT 06106
Attention: Kirstin L. Breiner, Administrator

Re: Proposed Amended Regulations Concerning Holding Company Act

Dear Senator Ayala, Representative Noujaim, and Committee Members:

In accordance with section 4-170 of the Connecticut General Statutes, the Connecticut Insurance Department hereby submits the original of the above-referenced proposed regulation bearing the approval of the Attorney General issued December 8, 2014 as to legal sufficiency and the final fiscal note prepared in accordance with section 4-168 of the Connecticut General Statutes. This submission has been prepared in the format mandated by your Committee in rules issued February 21, 2013.

The proposed amendment is to permit, at the discretion of the Commissioner, electronic filing of all Forms and to require a statement regarding corporate governance on the Form B - Annual Registration Statement filing. While this governance statement is required pursuant to section 38a-135 of the Connecticut General Statutes, there is no provision for it in the Form B. The amendment also corrects a typo in Item 13 of Form A.

As always, if you have any questions concerning this proposed regulation please do not hesitate to call me or Beth Cook, Counsel at 860-297-3998. Thank you for your anticipated assistance and cooperation in this matter.

Sincerely,

Anne Melissa Dowling
Deputy Insurance Commissioner

Attachment

Cc: Beth Cook, Esq.
Jon Arsenault, Esq.