



*Roderick L. Bremby*  
*Commissioner*

# STATE OF CONNECTICUT

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July 2, 2014

Senator Andres Ayala, Co-Chair  
Representative Selim G. Noujaim, Co-Chair  
Legislative Regulation Review Committee  
State Capitol – Room 011  
Hartford, CT 06106

RE: Regulation Regarding the Audit of Providers

Dear Senator Ayala and Representative Noujaim:

On September 24, 2013, the Department of Social Services (“the Department”) submitted for your approval a regulation regarding the audit of providers. The proposed regulation was on the agenda for the November 2013 Legislative Regulatory Review Committee (“LRRC”) meeting, but was withdrawn due to several minor substantive issues. These issues were resolved, and the proposed regulation was submitted again and placed on the agenda for the April 2014 LRRC meeting. Despite the fact that the Legislative Commissioner’s Office (“LCO”) found the proposed regulations legally sufficient and recommended approval, the Department withdrew the regulations from the April 2014 LRRC meeting per your request, pending the outcome of House Bill 5500.

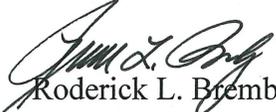
Pursuant to section 4-170 (b) of the Connecticut General Statutes, I am once again submitting for your approval a proposed regulation regarding the Audit of Providers. The regulation proposes the adoption of new sections 17b-99(d)-1 to 17b-99(d)-6, inclusive, to the Regulations of Connecticut State Agencies.

The purpose of the proposed regulation is to implement the provisions of subsection (d) of section 17b-99 of the Connecticut General Statutes. The regulations set forth how the department may initiate audits, proceed with and conclude audits, and what the department may review during an audit. The regulations describe sampling methodologies the department may use, how the department may conduct audits, how providers may obtain review of provider’s items of grievance in a final audit report, and how the department may recover overpayments found by an audit.

Notice of the proposed regulation was published in the Connecticut Law Journal on October 16, 2012. A public hearing was held on December 10, 2012. A copy of the Department’s Responses to Public Comment is included in this submission. On June 26, 2014, the Office of the Attorney General approved the proposed regulation as legally sufficient. A copy of the proposed regulation has been submitted to the Office of Fiscal Analysis and the Committee of Cognizance.

If you or your staff require additional information or clarification concerning this proposed regulation, please contact John McCormick at 860-424-5920 or Attorney Joseph Smiga at 860-424-5480.

Sincerely,

  
Roderick L. Bremby  
Commissioner

RLB/bp/s

Enclosures 11-13

cc: John McCormick  
Heather Rossi