SECTION 3

CERTIFICATION PAGE

R-39 Rev. 03/2012
(Certification page—see Instructions on back)

CERTIFICATION

This certification statement must be completed in full, including items 3 and 4, if they are applicable.

1) I hereby certify that the above *(check one)* ☒ Regulations ☐ Emergency Regulations

2) are *(check all that apply)* ☒ adopted ☐ amended ☐ repealed **by this agency pursuant to the following authority(ies):** *(complete all that apply)*

a. *Connecticut General Statutes* section(s) 8-68f.

b. Public Act Number(s) _____.
(Provide public act number(s) if the act has not yet been codified in the Connecticut General Statutes.)

3) And I further certify that notice of intent to adopt, amend or repeal said regulations was published in the *Connecticut Law Journal* on 6/25/2002 & 1/3/2012;
(Insert date of notice publication if publication was required by CGS Section 4-168.)

4) And that a public hearing regarding the proposed regulations was held on _____;
(Insert date(s) of public hearing(s) held pursuant to CGS Section 4-168(a)(7), if any, or pursuant to other applicable statute.)

5) And that said regulations are EFFECTIVE *(check one, and complete as applicable)*

☒ When filed with the Secretary of the State

OR ☐ on *(insert date)* _____

DATE	SIGNED *(Head of Board, Agency or Commission)*	OFFICIAL TITLE, DULY AUTHORIZED
1/30/2014		Evonne M. Klein Commissioner

***APPROVED** by the **Attorney General** as to legal sufficiency in accordance with CGS Section 4-169, as amended*

DATE	SIGNED *(Attorney General or AG's designated representative)*	OFFICIAL TITLE, DULY AUTHORIZED
2/4/14	Joseph Rubin	ASSOC. ATTY. GENERAL

*Proposed regulations are **DEEMED APPROVED** by the Attorney General in accordance with CGS Section 4-169, as amended, if the attorney General fails to give notice to the agency of any legal insufficiency within thirty (30) days of the receipt of the proposed regulation.*

(For Regulation Review Committee Use ONLY)

☐ Approved ☐ Rejected without prejudice

☐ Approved with technical corrections ☐ Disapproved in part, *(Indicate Section Numbers disapproved only)*

☐ Deemed approved pursuant to CGS Section 4-170(c)

By the Legislative Regulation Review Committee in accordance with CGS Section 4-170, as amended	DATE	SIGNED *(Administrator, Legislative Regulation Review Committee)*

Two certified copies received and filed and one such copy forwarded to the Commission on Official Legal Publications in accordance with CGS Section 4-172, as amended.

DATE	SIGNED *(Secretary of the State)*	BY

(For Secretary of the State Use ONLY)

Department of Housing

Connecticut
still revolutionary

Evonne M. Klein
Commissioner

I, Evonne M. Klein, Commissioner of the Department of Housing ("**DOH**"), an agency of the State of Connecticut, hereby certify that:

(1) On June 25, 2002, the Department of Economic and Community Development ("**DECD**") gave notice in the Connecticut Law Journal of its intent to amend or adopt its regulations concerning "Tenant Rights in State Public Housing" (the "**Regulations**"). A true copy of the notices is attached in Section 6.

(2) The purpose of the Regulations is to implement the provisions of 8-68f of the Connecticut General Statutes ("**CGS**"), which requires the DOH Commissioner to establish, for housing owned or operated by a Landlord which receives financial assistance under any state housing program, uniform minimum standards to: (1) provide each of its Tenants with a written lease, (2) adopt a procedure for hearing Tenant complaints and Grievances, adopt procedures for soliciting Tenant comment on proposed changes in Landlord policies and procedures, including changes to its form of lease and to its admission and occupancy policies, and encourage Tenant participation in the Landlord's operation of state housing programs, including, where appropriate, the facilitation of Tenant participation in the management of housing projects.

(3) On June 25, 2002, DECD gave notice by mail to each joint standing committee of the general assembly having cognizance of the subject matter of the proposed regulation.

(4) On January 3, 2012, DECD gave notice in the Connecticut Law Journal of its intent to amend or adopt the Regulations. This second notice was provided due to the passage of time and to ensure that all interested parties received notice of DECD's intended action.

(5) Pursuant to Connecticut General Statutes § 8-37r, DOH is the successor-in-interest to DECD for all affordable housing matters. Consequently, the oversight and handling of the Regulations was transferred to DOH as of July 1, 2013.

(6) Prior to July 1, 2013, DECD gave notice by mail to those persons who have requested advance notice of the regulation-making proceeding of the agency and after July 1, 2013, DOH gave notice by mail to those persons who have requested advance notice of the regulation-making proceeding of the agency.

(7) The agencies provided a copy of the proposed Regulations to those persons requesting it.

(8) DECD prepared a fiscal note, including an estimate of the cost or of the revenue impact on the state or any municipality of the state. A true copy of the fiscal note is attached in Section 4.

(9) DECD prepared a small business impact statement which indicated that no regulatory flexibility analysis was required. A true copy of such statement is attached in Section 5.

(10) All interested persons were given until 4:30 p.m. July 25, 2002 and thirty (30) days from January 3, 2012 to submit data, views or arguments concerning the proposed Regulations and to inspect and copy the proposed Regulations.

(11) No hearing was held by DECD concerning the Regulations.

(12) Pursuant to CGS § 4-169, the Regulations were submitted to the Office of the Attorney General (the "**OAG**") and were subsequently approved by the OAG on February 27, 2013. A true copy of the signed Certification indicating such approval is attached in Section 3.

(13) Prior to July 1, 2013, DECD and after July 1, 2013, DOH fully considered all written and oral submissions with respect to the proposed Regulations and, where it deemed appropriate, the respective agencies made changes to the proposed Regulations.

(14) Throughout the regulation drafting process, the agency provided electronic copies of the current draft Regulations and revisions to any party who expressed interest for the purpose of soliciting additional comments on the Regulations. Additionally, a series of meetings were held with interested parties to gain consensus on the language of the Regulations prior to the submission to the Legislative Regulation Review Committee (the "**Committee**").

(15) The Committee rejected the Regulations without prejudice at its June, 2013 meeting and provided DECD with a letter containing suggested substantive and technical changes to be made prior to resubmission of the Regulations. A true copy of the Committee's letter, with DOH's response to each suggestion typed below each item in italicized type, is attached in Section 7.

(16) DECD staff members, now employed by DOH, met with staff of the Committee for the purpose of reviewing the Committee's letter and planning revisions to the language of the Regulations.

(17) DOH subsequently revised the Regulations and shared the Committee's letter, the agency's proposed response to each suggested change, and the revised Regulations with all interested parties for the purpose of soliciting input. One set of comments was received by email and all suggested changes referenced therein were incorporated. A true copy of said email in attached in Section 7.

(18) DOH has provided the revised Regulations to OAG staff and received informal comments and suggestions, all of which have been incorporated into the Regulations.

(19) In anticipation of the resubmission of the revised Regulations to the Committee pursuant to CGS § 4-170, DOH now submits Sections 1-7 (attached), including Section 2, the Proposed Regulations with Statement of Purpose, for formal review and approval by the OAG pursuant to CGS § 4-169.

DEPARTMENT OF HOUSING

Evonne M. Klein
Commissioner

2/4/2014
Date

R-39 Rev. 03/2012
(Certification page—see Instructions on back)

CERTIFICATION

This certification statement must be completed in full, including items 3 and 4, if they are applicable.

1) **I hereby certify that the above** *(check one)* ☒ Regulations ☐ Emergency Regulations

2) **are** *(check all that apply)* ☒ adopted ☐ amended ☐ repealed **by this agency pursuant to the following authority(ies):** *(complete all that apply)*

   a. *Connecticut General Statutes* section(s) 8-68f.

   b. Public Act Number(s) _____.
   (Provide public act number(s) if the act has not yet been codified in the Connecticut General Statutes.)

3) **And I further certify that notice of intent to adopt, amend or repeal said regulations was published in the *Connecticut Law Journal* on** 6/25/2002 & 1/3/2012;
(Insert date of notice publication if publication was required by CGS Section 4-168.)

4) **And that a public hearing regarding the proposed regulations was held on** _____;
(Insert date(s) of public hearing(s) held pursuant to CGS Section 4-168(a)(7), if any, or pursuant to other applicable statute.)

5) **And that said regulations are EFFECTIVE** *(check one, and complete as applicable)*
   ☒ When filed with the Secretary of the State
   OR ☐ on *(insert date)* _____

DATE	SIGNED *(Head of Board, Agency or Commission)*	OFFICIAL TITLE, DULY AUTHORIZED
2-14-13		Commissioner

***APPROVED** by the **Attorney General** as to legal sufficiency in accordance with CGS Section 4-169, as amended*

DATE	SIGNED *(Attorney General or AG's designated representative)*	OFFICIAL TITLE, DULY AUTHORIZED
2/27/13	Joseph Rubin	ASSOC. ATTY. GENERAL

*Proposed regulations are **DEEMED APPROVED** by the Attorney General in accordance with CGS Section 4-169, as amended, if the attorney General fails to give notice to the agency of any legal insufficiency within thirty (30) days of the receipt of the proposed regulation.*

(For Regulation Review Committee Use ONLY)

☐ Approved ☐ Rejected without prejudice

☐ Approved with technical corrections ☐ Disapproved in part, *(Indicate Section Numbers disapproved only)*

☐ Deemed approved pursuant to CGS Section 4-170(c)

By the Legislative Regulation Review Committee in accordance with CGS Section 4-170, as amended	DATE	SIGNED *(Administrator, Legislative Regulation Review Committee)*

Two certified copies received and filed and one such copy forwarded to the Commission on Official Legal Publications in accordance with CGS Section 4-172, as amended.

DATE	SIGNED *(Secretary of the State)*	BY

(For Secretary of the State Use ONLY)

I, Catherine H. Smith, Commissioner of the Department of Economic and Community Development, an Executive Branch Agency of the State of Connecticut, hereby certify that:

(1) On June 25, 2002 the Agency gave notice by mail to each joint standing committee of the general assembly having cognizance of the subject matter of the proposed regulations.

(2) On June 25, 2002 and January 3, 2012 the Agency gave notice in the Connecticut Law Journal of its intention to adopt regulations concerning ***Tenant Rights in State Public Housing***. A true copy of the notices is attached hereto as Exhibit A.

(3) The Agency gave notice by mail to those persons who requested advance notice of regulation-making proceedings.

(4) The Agency provided a copy of the proposed regulations to those persons requesting it.

(5) Following publication of the notice in the Connecticut Law Journal, the agency prepared a fiscal note, including an estimate of the cost or of the revenue impact on the state or any municipality of the state. A true copy of the fiscal note is attached as Exhibit B.

(6) All interested persons were given until 4:30 p.m. July 25, 2002 and 30 days from January 3, 2012 to submit data, views or arguments concerning the proposed regulations and to inspect and copy the proposed regulations.

(7) The Agency has considered fully all written and oral submissions regarding the proposed regulations.

2-14-13
Date

Catherine H. Smith
Commissioner