



General Assembly

February Session, 2012

Raised Bill No. 5528

LCO No. 2450

02450_____GAE

Referred to Committee on Government Administration and Elections

Introduced by:
(GAE)

AN ACT CONCERNING CHANGES TO THE PUBLIC FINANCING ACT AND OTHER ELECTION LAWS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 9-601 of the 2012 supplement to the general
2 statutes is repealed and the following is substituted in lieu thereof
3 (*Effective from passage*):

4 As used in this chapter and chapter 157:

5 (1) "Committee" means a party committee, political committee or a
6 candidate committee organized, as the case may be, for a single
7 primary, election or referendum, or for ongoing political activities, to
8 aid or promote the success or defeat of any political party, any one or
9 more candidates for public office or the position of town committee
10 member or any referendum question.

11 (2) "Party committee" means a state central committee or a town
12 committee. "Party committee" does not mean a party-affiliated or
13 district, ward or borough committee which receives all of its funds
14 from the state central committee of its party or from a single town

15 committee with the same party affiliation. Any such committee so
16 funded shall be construed to be a part of its state central or town
17 committee for purposes of this chapter and chapter 157.

18 (3) "Political committee" means (A) a committee organized by a
19 business entity or organization, (B) persons other than individuals, or
20 two or more individuals organized or acting jointly conducting their
21 activities in or outside the state, (C) an exploratory committee, (D) a
22 committee established by or on behalf of a slate of candidates in a
23 primary for the office of justice of the peace, but does not mean a
24 candidate committee or a party committee, (E) a legislative caucus
25 committee, or (F) a legislative leadership committee.

26 (4) "Candidate committee" means any committee designated by a
27 single candidate, or established with the consent, authorization or
28 cooperation of a candidate, for the purpose of a single primary or
29 election and to aid or promote such candidate's candidacy alone for a
30 particular public office or the position of town committee member, but
31 does not mean a political committee or a party committee. For
32 purposes of this chapter, "candidate committee" includes candidate
33 committees for participating and nonparticipating candidates, unless
34 the context of a provision clearly indicates otherwise.

35 (5) "Exploratory committee" means a committee established by a
36 candidate for a single primary or election (A) to determine whether to
37 seek nomination or election to (i) the General Assembly, (ii) a state
38 office, as defined in subsection (e) of section 9-610, as amended by this
39 act, or (iii) any other public office, and (B) if applicable, to aid or
40 promote such candidate's candidacy for nomination to the General
41 Assembly or any such state office.

42 (6) "National committee" means the organization which according to
43 the bylaws of a political party is responsible for the day-to-day
44 operation of the party at the national level.

45 (7) "Organization" means all labor organizations, (A) as defined in

46 the Labor-Management Reporting and Disclosure Act of 1959, as from
47 time to time amended, or (B) as defined in subdivision (9) of section
48 31-101, employee organizations as defined in subsection (d) of section
49 5-270 and subdivision (6) of section 7-467, bargaining representative
50 organizations for teachers, any local, state or national organization, to
51 which a labor organization pays membership or per capita fees, based
52 upon its affiliation or membership, and trade or professional
53 associations which receive their funds exclusively from membership
54 dues, whether organized in or outside of this state, but does not mean
55 a candidate committee, party committee or a political committee.

56 (8) "Business entity" means the following, whether organized in or
57 outside of this state: Stock corporations, banks, insurance companies,
58 business associations, bankers associations, insurance associations,
59 trade or professional associations which receive funds from
60 membership dues and other sources, partnerships, joint ventures,
61 private foundations, as defined in Section 509 of the Internal Revenue
62 Code of 1986, or any subsequent corresponding internal revenue code
63 of the United States, as from time to time amended; trusts or estates;
64 corporations organized under sections 38a-175 to 38a-192, inclusive,
65 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and
66 chapters 594 to 597, inclusive; cooperatives, and any other association,
67 organization or entity which is engaged in the operation of a business
68 or profit-making activity; but does not include professional service
69 corporations organized under chapter 594a and owned by a single
70 individual, nonstock corporations which are not engaged in business
71 or profit-making activity, organizations, as defined in subdivision (7)
72 of this section, candidate committees, party committees and political
73 committees as defined in this section. For purposes of this chapter,
74 corporations which are component members of a controlled group of
75 corporations, as those terms are defined in Section 1563 of the Internal
76 Revenue Code of 1986, or any subsequent corresponding internal
77 revenue code of the United States, as from time to time amended, shall
78 be deemed to be one corporation.

79 (9) "Individual" means a human being, a sole proprietorship, or a
80 professional service corporation organized under chapter 594a and
81 owned by a single human being.

82 (10) "Person" means an individual, committee, firm, partnership,
83 organization, association, syndicate, company trust, corporation,
84 limited liability company or any other legal entity of any kind but does
85 not mean the state or any political or administrative subdivision of the
86 state.

87 (11) "Candidate" means an individual who seeks nomination for
88 election or election to public office whether or not such individual is
89 elected, and for the purposes of this chapter and chapter 157, an
90 individual shall be deemed to seek nomination for election or election
91 if such individual has (A) been endorsed by a party or become eligible
92 for a position on the ballot at an election or primary, or (B) solicited or
93 received contributions, made expenditures or given such individual's
94 consent to any other person to solicit or receive contributions or make
95 expenditures with the intent to bring about such individual's
96 nomination for election or election to any such office. "Candidate" also
97 means a slate of candidates which is to appear on the ballot in a
98 primary for the office of justice of the peace. For the purposes of
99 sections 9-600 to 9-610, inclusive, as amended by this act, and section 9-
100 621, as amended by this act, "candidate" also means an individual who
101 is a candidate in a primary for town committee members.

102 (12) ["Campaign treasurer"] "Treasurer" means the individual
103 appointed by a candidate or by the chairperson of a party committee
104 or a political committee to receive and disburse funds on behalf of the
105 candidate or committee.

106 (13) "Deputy [campaign] treasurer" means the individual appointed
107 by the candidate or by the chairperson of a committee to serve in the
108 capacity of the [campaign] treasurer if the [campaign] treasurer is
109 unable to perform the [campaign] treasurer's duties.

110 (14) "Solicitor" means an individual appointed by a [campaign]
111 treasurer of a committee to receive, but not to disburse, funds on
112 behalf of the committee.

113 (15) "Referendum question" means a question to be voted upon at
114 any election or referendum, including a proposed constitutional
115 amendment.

116 (16) "Lobbyist" means a lobbyist, as defined in section 1-91, and
117 "communicator lobbyist" means a communicator lobbyist, as defined
118 in section 1-91, and "client lobbyist" means a client lobbyist, as defined
119 in section 1-91.

120 (17) "Business with which he is associated" means any business in
121 which the contributor is a director, officer, owner, limited or general
122 partner or holder of stock constituting five per cent or more of the total
123 outstanding stock of any class. Officer refers only to the president,
124 executive or senior vice-president or treasurer of such business.

125 (18) "Agent" means a person authorized to act for or in place of
126 another.

127 (19) "Entity" means the following, whether organized in this or any
128 other state: An organization, corporation, cooperative association,
129 limited partnership, professional association, limited liability
130 company, [and] limited liability partnership and nonprofit
131 organization.

132 (20) "Federal account" means a depository account that is subject to
133 the disclosure and contribution limits provided under the Federal
134 Election Campaign Act of 1971, as amended from time to time.

135 (21) "Public funds" means funds belonging to, or under the control
136 of, the state or a political subdivision of the state.

137 (22) "Legislative caucus committee" means a committee established
138 under subdivision (2) of subsection (e) of section 9-605, as amended by

139 this act, by the majority of the members of a political party who are
140 also state representatives or state senators.

141 (23) "Legislative leadership committee" means a committee
142 established under subdivision (3) of subsection (e) of section 9-605, as
143 amended by this act, by a leader of the General Assembly.

144 (24) "Immediate family" means the spouse or a dependent child of
145 an individual.

146 (25) "Organization expenditure" means an expenditure by a party
147 committee, legislative caucus committee or legislative leadership
148 committee for the benefit of a candidate or candidate committee for:

149 (A) The preparation, display or mailing or other distribution of a
150 party candidate listing. As used in this subparagraph, "party candidate
151 listing" means any communication that meets the following criteria: (i)
152 The communication lists the name or names of candidates for election
153 to public office, (ii) the communication is distributed through public
154 advertising such as broadcast stations, cable television, newspapers or
155 similar media, or through direct mail, telephone, electronic mail,
156 publicly accessible sites on the Internet or personal delivery, (iii) if
157 more than one candidate is referred to, the treatment of all candidates
158 in the communication is substantially similar, and (iv) the content of
159 the communication is limited to (I) for each such candidate, identifying
160 information, including photographs, the office sought, the office
161 currently held by the candidate, if any, the party enrollment of the
162 candidate, a brief statement concerning the candidate's positions,
163 philosophy, goals, accomplishments or biography and the positions,
164 philosophy, goals or accomplishments of the candidate's party, (II)
165 information concerning how each such candidate contrasts with such
166 candidate's opponent, if any, (III) encouragement to vote for each such
167 candidate, and [(III)] (IV) information concerning voting, including
168 voting hours and locations;

169 (B) A document in printed or electronic form, including a party

170 platform, a copy of an issue paper, information pertaining to the
171 requirements of this title, a list of registered voters and voter
172 identification information, which document is created or maintained
173 by a party committee, legislative caucus committee or legislative
174 leadership committee for the general purposes of party or caucus
175 building and is provided (i) to a candidate who is a member of the
176 party that has established such party committee, or (ii) to a candidate
177 who is a member of the party of the caucus or leader who has
178 established such legislative caucus committee or legislative leadership
179 committee, whichever is applicable;

180 (C) A campaign event at which a candidate or candidates are
181 present;

182 (D) The retention of the services of an advisor to provide assistance
183 relating to campaign organization, financing, accounting, strategy, law
184 or media. [; or]

185 [(E) The use of offices, telephones, computers and similar
186 equipment which does not result in additional cost to the party
187 committee, legislative caucus committee or legislative leadership
188 committee.]

189 (26) "Solicit" means (A) requesting that a contribution be made, (B)
190 participating in any fund-raising activities for a candidate committee,
191 exploratory committee, political committee or party committee,
192 including, but not limited to, forwarding tickets to potential
193 contributors, receiving contributions for transmission to any such
194 committee or bundling contributions, (C) serving as chairperson,
195 treasurer or deputy treasurer of any such committee, or (D)
196 establishing a political committee for the sole purpose of soliciting or
197 receiving contributions for any committee. "Solicit" does not include (i)
198 making a contribution that is otherwise permitted under this chapter,
199 (ii) informing any person of a position taken by a candidate for public
200 office or a public official, (iii) notifying the person of any activities of,
201 or contact information for, any candidate for public office, or (iv)

202 serving as a member in any party committee or as an officer of such
203 committee that is not otherwise prohibited in this subdivision.

204 (27) "Bundle" means the forwarding of five or more contributions to
205 a single committee by a communicator lobbyist, an agent of such
206 lobbyist, or a member of the immediate family of such lobbyist, or
207 raising contributions for a committee at a fund-raising affair held by,
208 sponsored by, or hosted by a communicator lobbyist or an agent of
209 such lobbyist, or a member of the immediate family of such lobbyist.

210 (28) "Slate committee" means a political committee formed by two or
211 more candidates for nomination or election to any municipal office in
212 the same town, city or borough, or in a primary for the office of justice
213 of the peace or the position of town committee member, whenever
214 such political committee will serve as the sole funding vehicle for the
215 candidates' campaigns.

216 (29) "Electioneering communication" means any broadcast, cable or
217 satellite communication that mentions a candidate on or after January
218 first of the year during which there will be an election for the office
219 that the candidate is seeking.

220 (30) "Campaign-related disbursement" means (A) an independent
221 expenditure, as defined in section 9-601c, as amended by this act, (B)
222 an electioneering communication, or (C) a covered transfer.

223 (31) "Covered transfer" means any transfer or payment of funds by
224 an organization or entity covered by the disclosure provisions under
225 this chapter to another person if the transferor designates that the
226 funds should be used for a campaign-related disbursement, if the
227 transfer was made in response to a solicitation that the funds are to be
228 used for a campaign-related disbursement, if there were discussions
229 between the transferor and the recipient about making a campaign-
230 related disbursement, if the transferor or recipient had made
231 campaign-related disbursements of ten thousand dollars or more in the
232 prior two years, or if the transferor had reason to know the recipient

233 would make campaign-related disbursements of ten thousand dollars
234 or more in the next two years.

235 Sec. 2. Section 9-601a of the 2012 supplement to the general statutes
236 is repealed and the following is substituted in lieu thereof (*Effective*
237 *from passage*):

238 (a) As used in this chapter and chapter 157, "contribution" means:

239 (1) Any gift, subscription, loan, advance, payment or deposit of
240 money or anything of value, made for the purpose of influencing the
241 nomination for election, or election, of any person or for the purpose of
242 aiding or promoting the success or defeat of any referendum question
243 or on behalf of any political party;

244 (2) A written contract, promise or agreement to make a contribution
245 for any such purpose;

246 (3) The payment by any person, other than a candidate or
247 [campaign] treasurer, of compensation for the personal services of any
248 other person which are rendered without charge to a committee or
249 candidate for any such purpose;

250 (4) An expenditure that is not an independent expenditure; or

251 (5) Funds received by a committee which are transferred from
252 another committee or other source for any such purpose.

253 (b) As used in this chapter and chapter 157, "contribution" does not
254 mean:

255 (1) A loan of money made in the ordinary course of business by a
256 national or state bank;

257 (2) Any communication made by a corporation, organization or
258 association to its members, owners, stockholders, executive or
259 administrative personnel, or their families;

260 (3) Nonpartisan voter registration and get-out-the-vote campaigns
261 by any corporation, organization or association aimed at its members,
262 owners, stockholders, executive or administrative personnel, or their
263 families;

264 (4) Uncompensated services provided by individuals volunteering
265 their time on behalf of a party committee, political committee, slate
266 committee or candidate committee, including any services provided
267 for the benefit of nonparticipating and participating candidates under
268 the Citizens' Election Program and any unreimbursed travel expenses
269 made by an individual who volunteers the individual's personal
270 services to any such committee. For purposes of this subdivision, an
271 individual is a volunteer if such individual is not receiving
272 compensation for such services regardless of whether such individual
273 received compensation in the past or may receive compensation in the
274 future for such services;

275 (5) The use of real or personal property, and the cost of invitations,
276 food or beverages, voluntarily provided by an individual to a
277 candidate, including a nonparticipating or participating candidate
278 under the Citizens' Election Program, party, political or slate
279 committee, in rendering voluntary personal services at the individual's
280 residential premises or a community room in the individual's
281 residence facility, to the extent that the cumulative value of the
282 invitations, food or beverages provided for any single event by an
283 individual on behalf of any candidate or committee does not exceed
284 four hundred dollars with respect to any calendar year or primary or
285 general election, as the case may be, and does not exceed eight
286 hundred dollars for any such event hosted by two or more individuals,
287 provided at least one such individual owns or resides at the residential
288 premises, and further provided the cumulative value of the invitations,
289 food or beverages provided by an individual on behalf of any such
290 candidate or committee does not exceed eight hundred dollars in any
291 calendar year or single election, as the case may be;

292 (6) The sale of food or beverage for use by a party, political, slate or
293 candidate committee, including those for a participating or
294 nonparticipating candidate, at a discount, if the charge is not less than
295 the cost to the vendor, to the extent that the cumulative value of the
296 discount given to or on behalf of any single candidate committee does
297 not exceed four hundred dollars with respect to any single primary or
298 election, or to or on behalf of any party, political or slate committee,
299 does not exceed six hundred dollars in a calendar year;

300 (7) The display of a lawn sign by a human being or on real property;

301 (8) The payment, by a party committee or slate committee of the
302 costs of preparation, display, mailing or other distribution incurred by
303 the committee or individual with respect to any printed slate card,
304 sample ballot or other printed list containing the names of three or
305 more candidates;

306 (9) The donation of any item of personal property by an individual
307 to a committee for a fund-raising affair, including a tag sale or auction,
308 or the purchase by an individual of any such item at such an affair, to
309 the extent that the cumulative value donated or purchased does not
310 exceed one hundred dollars;

311 (10) (A) The purchase of advertising space which clearly identifies
312 the purchaser, in a program for a fund-raising affair sponsored by the
313 candidate committee of a candidate for an office of a municipality,
314 provided the cumulative purchase of such space does not exceed two
315 hundred fifty dollars from any single such candidate or the candidate's
316 committee with respect to any single election campaign if the
317 purchaser is a business entity or fifty dollars for purchases by any
318 other person;

319 (B) The purchase of advertising space which clearly identifies the
320 purchaser, in a program for a fund-raising affair or on signs at a fund-
321 raising affair sponsored by a town committee, provided the
322 cumulative purchase of such space does not exceed two hundred fifty

323 dollars from any single town committee in any calendar year if the
324 purchaser is a business entity or fifty dollars for purchases by any
325 other person. Notwithstanding the provisions of this subparagraph,
326 the following may not purchase advertising space in a program for a
327 fund-raising affair or on signs at a fund-raising affair sponsored by a
328 town committee: (i) A communicator lobbyist, (ii) a member of the
329 immediate family of a communicator lobbyist, (iii) a state contractor,
330 (iv) a prospective state contractor, or (v) a principal of a state
331 contractor or prospective state contractor. As used in this
332 subparagraph, "state contractor", "prospective state contractor" and
333 "principal of a state contractor or prospective state contractor" have the
334 same meanings as provided in subsection (g) of section 9-612, as
335 amended by this act;

336 (11) The payment of money by a candidate to the candidate's
337 candidate committee, provided the committee is for a nonparticipating
338 candidate;

339 (12) The donation of goods or services by a business entity to a
340 committee for a fund-raising affair, including a tag sale or auction, to
341 the extent that the cumulative value donated does not exceed two
342 hundred dollars;

343 (13) The advance of a security deposit by an individual to a
344 telephone company, as defined in section 16-1, for telecommunications
345 service for a committee or to another utility company, such as an
346 electric company, provided the security deposit is refunded to the
347 individual;

348 (14) The provision of facilities, equipment, technical and managerial
349 support, and broadcast time by a community antenna television
350 company, as defined in section 16-1, for community access
351 programming pursuant to section 16-331a, unless (A) the major
352 purpose of providing such facilities, equipment, support and time is to
353 influence the nomination or election of a candidate, or (B) such
354 facilities, equipment, support and time are provided on behalf of a

355 political party;

356 (15) The sale of food or beverage by a town committee to an
357 individual at a town fair, county fair, local festival or similar mass
358 gathering held within the state, to the extent that the cumulative
359 payment made by any one individual for such items does not exceed
360 fifty dollars;

361 (16) An organization expenditure by a party committee, legislative
362 caucus committee or legislative leadership committee;

363 (17) The donation of food or beverage by an individual for
364 consumption at a slate, candidate, political committee or party
365 committee meeting, event or activity that is not a fund-raising affair to
366 the extent that the cumulative value of the food or beverages donated
367 by an individual for a single meeting or event does not exceed fifty
368 dollars; [or]

369 (18) The value associated with the de minimis activity on behalf of a
370 party committee, political committee, slate committee or candidate
371 committee, including for activities including, but not limited to, (A) the
372 creation of electronic or written communications created on a
373 voluntary basis without compensation, including, but not limited to,
374 the creation and ongoing content development and delivery of social
375 media on the Internet or telephone, including, but not limited to, the
376 sending or receiving of electronic mail or messages, (B) the posting or
377 display of a candidate's name or group of candidates' names at a town
378 fair, county fair, local festival or similar mass gathering by a party
379 committee, or (C) the use of personal property or a service that is
380 customarily attendant to the occupancy of a residential dwelling, or
381 the donation of an item or items of personal property that are
382 customarily used for campaign purposes, by an individual, to a
383 candidate committee, provided the cumulative fair market value of
384 such use of personal property or service or items of personal property
385 does not exceed one hundred dollars in the aggregate for any single
386 election or calendar year, as the case may be. For purposes of this

387 subdivision, "social media" means an electronic medium where users
388 may create and view user-generated content, such as uploaded or
389 downloaded videos or still photographs, blogs, video blogs, podcasts
390 or instant messages; or

391 (19) The use of offices, telephones, computers and similar
392 equipment provided by a party committee, legislative caucus
393 committee or legislative leadership committee that serves as
394 headquarters for such party committee, legislative caucus committee
395 or legislative leadership committee.

396 Sec. 3. Section 9-601b of the general statutes is repealed and the
397 following is substituted in lieu thereof (*Effective from passage*):

398 (a) As used in this chapter and chapter 157, the term "expenditure"
399 means:

400 (1) Any purchase, payment, distribution, loan, advance, deposit or
401 gift of money or anything of value, when made for the purpose of
402 influencing the nomination for election, or election, of any person or
403 for the purpose of aiding or promoting the success or defeat of any
404 referendum question or [on behalf] the success or defeat of any
405 political party;

406 (2) Any advertisement that (A) refers to one or more clearly
407 identified candidates, (B) is broadcast by radio or television other than
408 on a public access channel, or appears in a newspaper, magazine or on
409 a billboard, and (C) is broadcast or appears during the ninety-day
410 period preceding the date of a primary or an election, other than a
411 commercial advertisement that refers to an owner, director or officer of
412 a business entity who is also a candidate and that had previously been
413 broadcast or appeared when the owner, director or officer was not a
414 candidate; or

415 (3) The transfer of funds by a committee to another committee.

416 (b) The term "expenditure" does not mean:

417 (1) A loan of money, made in the ordinary course of business, by a
418 state or national bank;

419 (2) A communication made by any corporation, organization or
420 association to its members, owners, stockholders, executive or
421 administrative personnel, or their families;

422 (3) Nonpartisan voter registration and get-out-the-vote campaigns
423 by any corporation, organization or association aimed at its members,
424 owners, stockholders, executive or administrative personnel, or their
425 families;

426 (4) Uncompensated services provided by individuals volunteering
427 their time on behalf of a party committee, political committee, slate
428 committee or candidate committee, including any services provided
429 for the benefit of nonparticipating and participating candidates under
430 the Citizens' Election Program and any unreimbursed travel expenses
431 made by an individual who volunteers the individual's personal
432 services to any such committee. For purposes of this subdivision, an
433 individual is a volunteer if such individual is not receiving
434 compensation for such services regardless of whether such individual
435 received compensation in the past or may receive compensation in the
436 future for such services;

437 (5) Any news story, commentary or editorial distributed through
438 the facilities of any broadcasting station, newspaper, magazine or
439 other periodical, unless such facilities are owned or controlled by any
440 political party, committee or candidate;

441 (6) The use of real or personal property, and the cost of invitations,
442 food or beverages, voluntarily provided by an individual to a
443 candidate or on behalf of a state central or town committee, in
444 rendering voluntary personal services for candidate or party-related
445 activities at the individual's residence, to the extent that the cumulative
446 value of the invitations, food or beverages provided by the individual
447 on behalf of any single candidate for nomination or election does not

448 exceed two hundred dollars with respect to any single election, and on
449 behalf of all state central and town committees does not exceed four
450 hundred dollars in a calendar year;

451 (7) Any unreimbursed payment for travel expenses made by an
452 individual who, on his own behalf, volunteers his personal services to
453 any single candidate to the extent that the cumulative value does not
454 exceed two hundred dollars with respect to any single election, and on
455 behalf of all state or town committees does not exceed four hundred
456 dollars in a calendar year; or

457 (8) An organization expenditure by a party committee, legislative
458 caucus committee or legislative leadership committee.

459 (c) "Expense incurred but not paid" means any receipt of goods or
460 services for which payment is required but not made or a written
461 contract, promise or agreement to make an expenditure.

462 Sec. 4. Section 9-601c of the 2012 supplement to the general statutes
463 is repealed and the following is substituted in lieu thereof (*Effective*
464 *from passage*):

465 (a) As used in this chapter and chapter 157, the term "independent
466 expenditure" means an expenditure, as defined in section 9-601b, as
467 amended by this act, that is made without the consent, coordination, or
468 consultation of, a candidate or agent of the candidate, candidate
469 committee, political committee or party committee.

470 (b) When the State Elections Enforcement Commission evaluates an
471 expenditure to determine whether such expenditure is an independent
472 expenditure, there shall be a rebuttable presumption that the following
473 expenditures are not independent expenditures and are coordinated
474 expenditures, if made on or after January first of the year during which
475 there will be an election for the office that the candidate is seeking:

476 (1) An expenditure made by a person in cooperation, consultation or
477 in concert with, at the request, suggestion or direction of, or pursuant

478 to a general or particular understanding with (A) a candidate,
479 candidate committee, political committee or party committee, or (B) a
480 consultant or other agent acting on behalf of a candidate, candidate
481 committee, political committee or party committee;

482 (2) An expenditure made by a person for the production,
483 dissemination, distribution or publication, in whole or in substantial
484 part, of any broadcast or any written, graphic or other form of political
485 advertising or campaign communication prepared by (A) a candidate,
486 candidate committee, political committee or party committee, or (B) a
487 consultant or other agent acting on behalf of a candidate, candidate
488 committee, political committee or party committee;

489 (3) An expenditure made by a person based on information about a
490 candidate's, political committee's, or party committee's plans, projects
491 or needs, provided by (A) a candidate, candidate committee, political
492 committee or party committee, or (B) a consultant or other agent acting
493 on behalf of a candidate, candidate committee, political committee or
494 party committee, with the intent that such expenditure be made;

495 (4) An expenditure made by an individual who, in the same election
496 cycle, is serving or has served as the campaign chairperson,
497 [campaign] treasurer or deputy treasurer of a candidate committee,
498 political committee or party committee benefiting from such
499 expenditure, or in any other executive or policymaking position,
500 including as a member, employee, fundraiser, consultant or other
501 agent, of a candidate, candidate committee, political committee or
502 party committee;

503 (5) An expenditure made by a person whose officer, director,
504 member, employee, fundraiser, consultant or other agent who serves
505 the person in an executive or policymaking position also serves as or
506 has served in the same election cycle as the candidate or the campaign
507 chairperson, [campaign] treasurer or deputy treasurer of a candidate
508 committee, political committee or party committee benefiting from
509 such expenditure, or in any other executive or policymaking position

510 of the candidate committee, political committee or party committee;

511 (6) An expenditure made by a person for fundraising activities (A)
512 with or for a candidate, candidate committee, political committee or
513 party committee, or a consultant or other agent acting on behalf of a
514 candidate, candidate committee, political committee or party
515 committee, or (B) for the solicitation or receipt of contributions on
516 behalf of a candidate, candidate committee, political committee or
517 party committee, or a consultant or other agent acting on behalf of a
518 candidate, candidate committee, political committee or party
519 committee;

520 (7) An expenditure made by a person based on information about a
521 candidate's campaign plans, projects or needs, that is directly or
522 indirectly provided by a candidate, the candidate's candidate
523 committee, a political committee or a party committee, or a consultant
524 or other agent acting on behalf of such candidate, candidate
525 committee, political committee or party committee, to the person
526 making the expenditure or such person's agent, with an express or tacit
527 understanding that such person is considering making the
528 expenditure;

529 (8) An expenditure made by a person for a communication that
530 clearly identifies a candidate during an election campaign, if the
531 person making the expenditure, or such person's agent, has informed
532 the candidate who benefits from the expenditure, that candidate's
533 candidate committee, a political committee or a party committee, or a
534 consultant or other agent acting on behalf of the benefiting candidate
535 or candidate committee, political committee, or party committee,
536 concerning the communication's contents, or of the intended audience,
537 timing, location or mode or frequency of dissemination. As used in this
538 subdivision, a communication clearly identifies a candidate when that
539 communication contains the name, nickname, initials, photograph or
540 drawing of the candidate or an unambiguous reference to that
541 candidate, which includes, but is not limited to, a reference that can

542 only mean that candidate; [and]

543 (9) An expenditure made by a person or an entity for consultant or
544 creative services, including, but not limited to, services related to
545 communications strategy or design or campaign strategy, to be used to
546 promote or oppose a candidate's election to office if the provider of
547 such services is also providing consultant or creative services to such
548 candidate, such candidate's candidate committee, or to any opposing
549 candidate in the same primary or election, or to such opposing
550 candidate's candidate committee. For purposes of this subdivision,
551 communications strategy or design does not include the costs of
552 printing or costs for the use of a medium for the purpose of
553 communications;

554 (10) An expenditure made by a person or an entity to hire a vendor
555 who had worked for the candidate in the same election cycle, but not
556 necessarily at the same time as working for such person or entity; and

557 (11) An expenditure described in subdivisions (1) to (10), inclusive,
558 of this subsection, by (A) a political committee, group or person who
559 previously served as an operative or consultant for a candidate in the
560 current election cycle or prior election cycle, (B) any political
561 committee or group for whom the candidate or the candidate's agents
562 are doing fundraising, or (C) any group established with the express or
563 tacit encouragement of the candidate or the candidate's agents.

564 Sec. 5. Section 9-602 of the general statutes is repealed and the
565 following is substituted in lieu thereof (*Effective from passage*):

566 (a) Except with respect to an individual acting alone, or with respect
567 to a group of two or more individuals acting together that receives
568 funds or makes or incurs expenditures not exceeding one thousand
569 dollars in the aggregate, no contributions may be made, solicited or
570 received and no expenditures may be made, directly or indirectly, in
571 aid of or in opposition to the candidacy for nomination or election of
572 any individual or any party or referendum question, unless (1) the

573 candidate or chairman of the committee has filed a designation of a
574 [campaign] treasurer and a depository institution situated in this state
575 as the depository for the committee's funds, or (2) the candidate has
576 filed a certification in accordance with the provisions of section 9-604,
577 as amended by this act. In the case of a political committee, the filing of
578 the statement of organization by the chairman of such committee, in
579 accordance with the provisions of section 9-605, as amended by this
580 act, shall constitute compliance with the provisions of this subsection.

581 (b) No contribution in aid of or in opposition to the candidacy of
582 any person or to any party or referendum question shall be made at
583 any time, except to the committee's [campaign] treasurer whose
584 designation is on file with the proper authority, a solicitor or a
585 candidate who is exempt from the requirement to form a candidate
586 committee and has filed a certification.

587 (c) An individual who is designated as [campaign] treasurer of a
588 committee shall be responsible for all duties required of him under this
589 chapter until the committee is terminated. The [campaign] treasurer
590 shall be relieved of such duties upon his permanent incapacity,
591 resignation or replacement, provided a statement to that effect is filed
592 with the proper authority, as provided in section 9-603. In the event of
593 the death of the [campaign] treasurer or after a statement has been
594 filed concerning the [campaign] treasurer's incapacity, resignation or
595 replacement, if a deputy [campaign] treasurer has been designated, the
596 deputy [campaign] treasurer shall be responsible for all duties
597 required of the [campaign] treasurer under this chapter until the
598 candidate or chairman of the committee files with the proper authority
599 a designation of a successor [campaign] treasurer. If a deputy
600 [campaign] treasurer has not been designated, the candidate or
601 chairman shall designate a successor [campaign] treasurer and file
602 such designation with the proper authority not more than ten days
603 after the death of the [campaign] treasurer or the filing of the statement
604 of his incapacity, resignation or replacement.

605 (d) (1) In addition to its jurisdiction over persons who are residents
606 of this state, the State Elections Enforcement Commission may exercise
607 personal jurisdiction over any nonresident person, or the agent of such
608 nonresident person, who makes a payment of money, gives anything
609 of value or makes a contribution or expenditure, in excess of two
610 hundred dollars, to or for the benefit of any committee or candidate.

611 (2) Where personal jurisdiction is based solely upon this subsection,
612 an appearance does not confer personal jurisdiction with respect to
613 causes of action not arising from an act enumerated in this subsection.

614 (3) Any nonresident person or the agent of such person over whom
615 the State Elections Enforcement Commission may exercise personal
616 jurisdiction, as provided in subdivision (1) of this subsection, shall be
617 deemed to have appointed the Secretary of the State as the person's or
618 agent's attorney and to have agreed that any process in any complaint,
619 investigation or other matter conducted pursuant to section 9-7b, as
620 amended by this act, and brought against the nonresident person, or
621 said person's agent, may be served upon the Secretary of the State and
622 shall have the same validity as if served upon such nonresident person
623 or agent personally. The process shall be served upon the Secretary of
624 the State by the officer to whom the same is directed by leaving with or
625 at the office of the Secretary of the State, at least twelve days before
626 any required appearance day of such process, a true and attested copy
627 of such process, and by sending to the nonresident person or agent so
628 served, at the person's or agent's last-known address, by registered or
629 certified mail, postage prepaid, return receipt requested, a like and
630 attested copy with an endorsement thereon of the service upon the
631 Secretary of the State. The Secretary of the State shall keep a record of
632 each such process and the day and hour of service.

633 Sec. 6. Section 9-604 of the general statutes is repealed and the
634 following is substituted in lieu thereof (*Effective from passage*):

635 (a) Each candidate for a particular public office or the position of
636 town committee member shall form a single candidate committee for

637 which he shall designate a [campaign] treasurer and a depository
638 institution situated in this state as the depository for the committee's
639 funds and shall file a committee statement containing such
640 designations, not later than ten days after becoming a candidate, with
641 the proper authority as required by section 9-603. The candidate may
642 also designate a deputy [campaign] treasurer on such committee
643 statement. The [campaign] treasurer and any deputy [campaign]
644 treasurer so designated shall sign a statement accepting such
645 designation which the candidate shall include as part of, or file with,
646 the committee statement.

647 (b) The formation of a candidate committee by a candidate and the
648 filing of statements pursuant to section 9-608, as amended by this act,
649 shall not be required if the candidate files a certification with the
650 proper authority required by section 9-603, not later than ten days after
651 becoming a candidate, and any of the following conditions exist for the
652 campaign: (1) The candidate is one of a slate of candidates whose
653 campaigns are funded solely by a party committee or a political
654 committee formed for a single election or primary and expenditures
655 made on behalf of the candidate's campaign are reported by the
656 committee sponsoring the candidate's candidacy; (2) the candidate
657 finances the candidate's campaign entirely from personal funds and
658 does not solicit or receive contributions, provided if said candidate
659 personally makes an expenditure or expenditures in excess of one
660 thousand dollars to, or for the benefit of, said candidate's campaign for
661 nomination at a primary or election to an office or position, said
662 candidate shall file statements according to the same schedule and in
663 the same manner as is required of a [campaign] treasurer of a
664 candidate committee under section 9-608, as amended by this act; (3)
665 the candidate does not receive or expend funds in excess of one
666 thousand dollars; or (4) the candidate does not receive or expend any
667 funds, including personal funds, for the candidate's campaign. If the
668 candidate no longer qualifies for the exemption under any of these
669 conditions, the candidate shall comply with the provisions of
670 subsection (a) of this section, not later than three business days

671 thereafter and shall provide the candidate's designated [campaign]
672 treasurer with all information required for completion of the
673 treasurer's statements and filings as required by section 9-608, as
674 amended by this act. If the candidate no longer qualifies for the
675 exemption due to the condition stated in the candidate's certification
676 but so qualifies due to a different condition specified in this subsection,
677 the candidate shall file an amended certification with the proper
678 authority and provide the new condition for the candidate's
679 qualification not later than three business days following the change in
680 circumstances of the financing of the candidate's campaign. The filing
681 of a certification under this subsection shall not relieve the candidate
682 from compliance with the provisions of this chapter.

683 (c) The chairman of a political committee formed to support a single
684 candidate for public office shall, not later than seven days after filing a
685 statement of organization with the proper authority under section 9-
686 603, send the candidate a notice, by certified mail, of such filing. If a
687 candidate (1) does not, within fourteen days after receiving such
688 notice, disavow such committee, in writing, to the proper authority
689 under section 9-603, or (2) disavows such committee within such
690 period, but, at any time before such disavowal, accepts funds from the
691 committee for his campaign, such committee shall be deemed to have
692 been authorized by such candidate and shall constitute a candidate
693 committee for the purposes of this chapter. No candidate shall
694 establish, agree to or assist in establishing, or give his consent or
695 authorization to establishing a committee other than a single candidate
696 committee to promote his candidacy for any public office except that a
697 candidate may establish an exploratory committee. The candidate shall
698 designate on the statement of organization for the exploratory
699 committee the type of office to which the candidate is determining
700 whether to seek nomination or election, as follows: (A) The General
701 Assembly, (B) a state office, or (C) any other public office. The
702 candidate may also certify on the statement of organization that the
703 candidate will not be a candidate for the office of state representative.
704 Not later than [~~fifteen~~] ten days after a public declaration by the

705 candidate of the candidate's intention to seek nomination or election to
706 a particular public office, the candidate shall form a single candidate
707 committee, except that in the case of a candidate establishing an
708 exploratory committee for purposes including aiding or promoting the
709 candidate's candidacy for nomination or election to the General
710 Assembly or a state office, the candidate shall form a single candidate
711 committee not later than fifteen days after the date that the [campaign]
712 treasurer of such exploratory committee is required to file a notice of
713 intent to dissolve the committee under subsection (f) of section 9-608,
714 as amended by this act. As used in this subsection, "state office" has the
715 same meaning as provided in subsection (e) of section 9-610, as
716 amended by this act.

717 (d) A slate of candidates in a primary for the office of justice of the
718 peace shall designate a chairperson to form a single political committee
719 to comply with the requirements of section 9-605, as amended by this
720 act, except if the individuals on the slate unanimously consent to have
721 their campaign financed solely by a town committee and such
722 committee consents to such financing by filing a statement of consent
723 with the town clerk of the municipality in which the primary is to be
724 held.

725 Sec. 7. Section 9-605 of the general statutes is repealed and the
726 following is substituted in lieu thereof (*Effective from passage*):

727 (a) The chairperson of each political committee shall designate a
728 [campaign] treasurer and may designate a deputy [campaign]
729 treasurer. The [campaign] treasurer and any deputy [campaign]
730 treasurer so designated shall sign a statement accepting the
731 designation. The chairperson of each political committee shall file a
732 registration statement described in subsection (b) of this section along
733 with the statement signed by the designated [campaign] treasurer and
734 deputy [campaign] treasurer with the proper authority, within ten
735 days after its organization, provided that the chairperson of any
736 political committee organized within ten days prior to any primary,

737 election or referendum in connection with which it intends to make
738 any contributions or expenditures, shall immediately file a registration
739 statement.

740 (b) The registration statement shall include: (1) The name and
741 address of the committee; (2) a statement of the purpose of the
742 committee; (3) the name and address of its [campaign] treasurer, and
743 deputy [campaign] treasurer if applicable; (4) the name, address and
744 position of its chairman, and other principal officers if applicable; (5)
745 the name and address of the depository institution for its funds; (6) the
746 name of each person, other than an individual, that is a member of the
747 committee; (7) the name and party affiliation of each candidate whom
748 the committee is supporting and the office or position sought by each
749 candidate; (8) if the committee is supporting the entire ticket of any
750 party, a statement to that effect and the name of the party; (9) if the
751 committee is supporting or opposing any referendum question, a brief
752 statement identifying the substance of the question; (10) if the
753 committee is established by a business entity or organization, the name
754 of the entity or organization; (11) if the committee is established by an
755 organization, whether it will receive its funds from the organization's
756 treasury or from voluntary contributions; (12) if the committee files
757 reports with the Federal Elections Commission or any out-of-state
758 agency, a statement to that effect including the name of the agency;
759 (13) a statement indicating whether the committee is established for a
760 single primary, election or referendum or for ongoing political
761 activities; (14) if the committee is established or controlled by a
762 lobbyist, a statement to that effect and the name of the lobbyist; (15) the
763 name and address of the person making the initial contribution or
764 disbursement, if any, to the committee; and (16) any information that
765 the State Elections Enforcement Commission requires to facilitate
766 compliance with the provisions of this chapter or chapter 157. If no
767 such initial contribution or disbursement has been made at the time of
768 the filing of such statement, the [campaign] treasurer of the committee
769 shall, not later than forty-eight hours after receipt of such contribution
770 or disbursement, file a report with the State Elections Enforcement

771 Commission. The report shall be in the same form as statements filed
772 under section 9-608, as amended by this act.

773 (c) The chairman of each political committee shall report any
774 addition to or change in information previously submitted in a
775 statement of organization to the proper authority not later than ten
776 days after the addition or change.

777 (d) A group of two or more individuals who have joined solely to
778 promote the success or defeat of a referendum question shall not be
779 required to file as a political committee, make such designations in
780 accordance with subsections (a) and (b) of this section or file
781 statements pursuant to section 9-608, as amended by this act, if the
782 group does not receive or expend in excess of one thousand dollars for
783 the entire campaign. If the group receives funds or makes or incurs
784 expenditures exceeding one thousand dollars in the aggregate, the
785 group shall complete the statement of organization and file as a
786 political committee not later than three business days thereafter. The
787 group shall provide the designated [campaign] treasurer with all
788 information required for completion of the statements for filing as
789 required by section 9-608, as amended by this act.

790 (e) (1) No individual shall establish or control more than one
791 political committee. The indicia of establishment or control of a
792 political committee by an individual includes the individual serving as
793 chairperson or [campaign] treasurer of the committee and may
794 include, but shall not be limited to, the individual making the initial
795 contribution to the committee. Such indicia shall not include (A) an
796 individual communicating with (i) an officer of the political committee,
797 or (ii) any individual establishing or controlling the political
798 committee, or (B) the individual monitoring contributions made by the
799 political committee. Any individual who, on December 31, 2006, has
800 established or controls more than one political committee shall, not
801 later than thirty days after said date, disavow all but one of such
802 committees, in writing, to the State Elections Enforcement

803 Commission. The provisions of this subdivision shall not apply to the
804 establishment of an exploratory committee by an elected public
805 official.

806 (2) The members of the same political party in a house of the
807 General Assembly may establish a single legislative caucus committee.
808 The chairperson of each such committee shall certify the designation of
809 such committee as a legislative caucus committee and shall file such
810 certification along with the statement of organization pursuant to
811 subsection (a) of this section. Each such committee shall be identified
812 in such designation by the house of the General Assembly in which
813 such legislators serve and the political party to which they belong. A
814 legislative caucus committee shall not be subject to the limitation in
815 subdivision (1) of this subsection on the establishment or control of one
816 political committee by any individual.

817 (3) The speaker of the House of Representatives, majority leader of
818 the House of Representatives, president pro tempore of the Senate and
819 majority leader of the Senate may each establish a single legislative
820 leadership committee, and the minority leader of the House of
821 Representatives and the minority leader of the Senate may each
822 establish two legislative leadership committees. The chairperson of
823 each such committee shall certify the designation of such committee as
824 a legislative leadership committee and shall file such certification
825 along with the statement of organization pursuant to subsection (a) of
826 this section. Each such committee shall be identified in such
827 designation by the General Assembly leader who establishes the
828 committee. A legislative leadership committee shall not be subject to
829 the limitation in subdivision (1) of this subsection on the establishment
830 or control of one political committee by any individual.

831 Sec. 8. Section 9-606 of the 2012 supplement to the general statutes is
832 repealed and the following is substituted in lieu thereof (*Effective from*
833 *passage*):

834 (a) The [campaign] treasurer of each committee shall be responsible

835 for (1) depositing, receiving and reporting all contributions and other
836 funds in the manner specified in section 9-608, as amended by this act,
837 (2) making and reporting expenditures, (3) reporting expenses
838 incurred but not yet paid, (4) filing the statements required under
839 section 9-608, as amended by this act, and (5) keeping internal records
840 of each entry made on such statements. The [campaign] treasurer of
841 each committee shall deposit contributions in the committee's
842 designated depository [within fourteen] not later than twenty days
843 after receiving them. The [campaign] treasurer of each political
844 committee or party committee which makes a contribution of goods to
845 another committee shall send written notice to the [campaign]
846 treasurer of the recipient committee before the close of the reporting
847 period during which the contribution was made. The notice shall be
848 signed by the [campaign] treasurer of the committee making the
849 contribution and shall include the full name of such committee, the
850 date on which the contribution was made, a complete description of
851 the contribution and the value of the contribution. Any dispute
852 concerning the information contained in such notice shall be resolved
853 by the [campaign] treasurer of the recipient committee. Such resolution
854 shall not impair in any way the authority of the State Elections
855 Enforcement Commission under section 9-7b, as amended by this act.
856 The [campaign] treasurer of the recipient committee shall preserve
857 each such notice received for the period prescribed by subsection (f) of
858 section 9-607, as amended by this act.

859 (b) A contribution in the form of a check drawn on a joint bank
860 account shall, for the purpose of allocation, be deemed to be a
861 contribution made by the individual who signed the check. If a check
862 is signed by more than one individual, the total amount of the check
863 shall be divided equally among the cosigners for the purpose of
864 allocation, except such contribution shall be allocated in accordance
865 with the provisions of a written statement, if any, from the holders of
866 such joint bank account that indicates how such contribution should be
867 differently allocated. If a committee receives an anonymous
868 contribution, the [campaign] treasurer shall immediately remit the

869 contribution to the State Elections Enforcement Commission for
870 deposit in the General Fund.

871 (c) The [campaign] treasurer of each committee, other than a
872 political committee established by an organization which receives its
873 funds from the organization's treasury, may appoint solicitors. If
874 solicitors are appointed, the [campaign] treasurer shall receive and
875 report all contributions made or promised to each solicitor. Each
876 solicitor shall submit to the [campaign] treasurer a list of all
877 contributions made or promised to him. The list shall be complete as of
878 seventy-two hours immediately preceding midnight of the day
879 preceding the dates on which the [campaign] treasurer is required to
880 file a sworn statement as provided in section 9-608, as amended by this
881 act. Lists shall be received by the [campaign] treasurer not later than
882 twenty-four hours immediately preceding each required filing date.
883 Each solicitor shall deposit all contributions with the [campaign]
884 treasurer, within seven days after receipt. No solicitor shall expend any
885 contributions received by him or disburse such contributions to any
886 person other than the [campaign] treasurer.

887 (d) No person shall act as a [campaign] treasurer or deputy
888 [campaign] treasurer unless the person is an elector of this state, and a
889 statement, signed by the chairman in the case of a party committee or
890 political committee or by the candidate in the case of a candidate
891 committee, designating the person as [campaign] treasurer or deputy
892 [campaign] treasurer, has been filed in accordance with section 9-603.
893 In the case of a political committee, the filing of a statement of
894 organization by the chairman of the committee, in accordance with the
895 provisions of section 9-605, as amended by this act, shall constitute
896 compliance with the filing requirements of this section. No provision
897 of this subsection shall prevent the [campaign] treasurer, deputy
898 [campaign] treasurer or solicitor of any committee from being the
899 [campaign] treasurer, deputy [campaign] treasurer or solicitor of any
900 other committee or prevent any committee from having more than one
901 solicitor, but no candidate shall have more than one [campaign]

902 treasurer. A candidate shall not serve as the candidate's own
903 [campaign] treasurer or deputy [campaign] treasurer, except that a
904 candidate who is exempt from forming a candidate committee under
905 subsection (b) of section 9-604, as amended by this act, and has filed a
906 certification that the candidate is financing the candidate's campaign
907 from the candidate's own personal funds or is not receiving or
908 expending in excess of one thousand dollars may perform the duties of
909 a [campaign] treasurer for the candidate's own campaign.

910 Sec. 9. Section 9-607 of the general statutes is repealed and the
911 following is substituted in lieu thereof (*Effective from passage*):

912 (a) No financial obligation shall be incurred by a committee unless
913 authorized by the [campaign] treasurer, except that certain
914 expenditures of a candidate's personal funds may be reimbursed as
915 provided in subsection (k) of this section.

916 (b) No candidate, [campaign] treasurer, or committee shall be liable
917 for any debt incurred in aid of or in opposition to any political party,
918 referendum question or the candidacy of any person or persons for
919 said offices or positions unless such debt was incurred pursuant to an
920 authorization issued under subsection (a) of this section.

921 (c) On any day on which an election or primary is being held, the
922 [campaign] treasurer of any committee which functions as a town
923 committee may give a check to one individual in each voting district of
924 the municipality in which the election or primary is being held. The
925 check shall be drawn by the [campaign] treasurer against the
926 committee's depository institution account to the order of such
927 individual in an amount not to exceed two hundred fifty dollars. Such
928 individual may use the proceeds of the check to make cash
929 expenditures in such voting district for per diem allotments to
930 campaign workers, or expenses incurred by campaign workers on
931 election or primary day, including but not limited to, food, beverages,
932 gasoline and other similar ordinary and necessary expenses. Such
933 individual shall submit to the [campaign] treasurer, within forty-eight

934 hours after the closing of the polls, a detailed accounting of all such
935 expenditures. The [campaign] treasurer shall report the names of all
936 such individuals and the expenditures made by them in accordance
937 with the provisions of section 9-608, as amended by this act.

938 (d) Except as provided in subsections (j) and (k) of this section, no
939 payment in satisfaction of any financial obligation incurred by a
940 committee shall be made by or accepted from any person other than
941 the [campaign] treasurer and then only according to the tenor of an
942 authorization issued pursuant to subsection (a) of this section.

943 (e) (1) Any such payment shall be by check drawn by the
944 [campaign] treasurer, on the designated depository. Any payment in
945 satisfaction of any financial obligation incurred by a committee may
946 also be made by debit card or credit card. In the case of payment made
947 under a contract between a committee and a community antenna
948 television company, as defined in section 16-1, for the purchase of
949 advertisement space, the [campaign] treasurer of such committee may
950 pay for such services using a bank or cashier's check, as defined in
951 section 42a-3-104, if so required by the contract, provided the
952 [campaign] treasurer maintains documentation substantiating that the
953 funds used to pay for such advertising space were expended from the
954 committee's funds. (2) The [campaign] treasurer of each committee
955 may draw a check, not to exceed one hundred dollars, to establish a
956 petty cash fund and may deposit additional funds to maintain it, but
957 the fund shall not exceed one hundred dollars at any time. All
958 expenditures from a petty cash fund shall be reported in the same
959 manner as any other expenditure.

960 (f) The [campaign] treasurer shall preserve all internal records of
961 transactions required to be entered in reports filed pursuant to section
962 9-608, as amended by this act, for four years from the date of the report
963 in which the transactions were entered. Internal records required to be
964 maintained in order for any permissible expenditure to be paid from
965 committee funds include, but are not limited to, contemporaneous

966 invoices, receipts, bills, statements, itineraries, or other written or
967 documentary evidence showing the campaign or other lawful purpose
968 of the expenditure. If a committee incurs expenses by credit card, the
969 [campaign] treasurer shall preserve all credit card statements and
970 receipts for four years from the date of the report in which the
971 transaction was required to be entered. If any checks are issued
972 pursuant to subsection (e) of this section, the [campaign] treasurer who
973 issues them shall preserve all cancelled checks and bank statements for
974 four years from the date on which they are issued. If debit card
975 payments are made pursuant to subsection (e) of this section, the
976 [campaign] treasurer who makes said payments shall preserve all debit
977 card slips and bank statements for four years from the date on which
978 the payments are made. In the case of a candidate committee, the
979 [campaign] treasurer or the candidate, if the candidate so requests,
980 shall preserve all internal records, cancelled checks, debit cards slips
981 and bank statements for four years from the date of the last report
982 required to be filed under subsection (a) of section 9-608, as amended
983 by this act.

984 (g) (1) As used in this subsection, (A) "the lawful purposes of his
985 committee" means: (i) For a candidate committee or exploratory
986 committee, the promoting of the nomination or election of the
987 candidate who established the committee, except that after a political
988 party nominates candidates for election to the offices of Governor and
989 Lieutenant Governor, whose names shall be so placed on the ballot in
990 the election that an elector will cast a single vote for both candidates,
991 as prescribed in section 9-181, a candidate committee established by
992 either such candidate may also promote the election of the other such
993 candidate; (ii) for a political committee, the promoting of a political
994 party, including party-building activities, the success or defeat of
995 candidates for nomination and election to public office or position
996 subject to the requirements of this chapter, or the success or defeat of
997 referendum questions, provided a political committee formed for a
998 single referendum question shall not promote the success or defeat of
999 any candidate, and provided further a legislative leadership committee

1000 and a legislative caucus committee may expend funds to defray costs
1001 of its members for conducting legislative or constituency-related
1002 business which are not reimbursed or paid by the state; and (iii) for a
1003 party committee, the promoting of the party, the candidates of the
1004 party and continuing operating costs of the party, and (B) "immediate
1005 family" means a spouse or dependent child of a candidate who resides
1006 in the candidate's household.

1007 (2) Unless otherwise provided by this chapter, any [campaign]
1008 treasurer, in accomplishing the lawful purposes of his committee, may
1009 pay the expenses of: (A) Advertising in electronic and print media; (B)
1010 any other form of printed advertising or communications including
1011 "thank you" advertising after the election; (C) campaign items,
1012 including, but not limited to, brochures, leaflets, flyers, invitations,
1013 stationery, envelopes, reply cards, return envelopes, campaign
1014 business cards, direct mailings, postcards, palm cards, "thank you"
1015 notes, sample ballots and other similar items; (D) political banners and
1016 billboards; (E) political paraphernalia, which is customarily given or
1017 sold to supporters including, but not limited to, campaign buttons,
1018 stickers, pins, pencils, pens, matchbooks, balloons, pads, calendars,
1019 magnets, key chains, hats, tee shirts, sweatshirts, frisbees, pot holders,
1020 jar openers and other similar items; (F) purchasing office supplies for
1021 campaign or political purposes, campaign photographs, raffle or other
1022 fund-raising permits required by law, fund-raiser prizes, postage,
1023 express mail delivery services, bulk mail permits, and computer
1024 supplies and services; (G) banking service charges to maintain
1025 campaign and political accounts; (H) subscriptions to newspapers and
1026 periodicals which enhance the candidacy of the candidate or party; (I)
1027 lease or rental of office space for campaign or political purposes and
1028 expenses in connection therewith including, but not limited to,
1029 furniture, parking, storage space, utilities and maintenance, provided a
1030 party committee or political committee organized for ongoing political
1031 activities may purchase such office space; (J) lease or rental of vehicles
1032 for campaign use only; (K) lease, rental or use charges of any ordinary
1033 and necessary campaign office equipment including, but not limited

1034 to, copy machines, telephones, postage meters, facsimile machines,
1035 computer hardware, software and printers, provided a party
1036 committee or political committee organized for ongoing political
1037 activities may purchase office equipment, and provided further that a
1038 candidate committee or a political committee, other than a political
1039 committee formed for ongoing political activities or an exploratory
1040 committee, may purchase computer equipment; (L) compensation for
1041 campaign or committee staff, fringe benefits and payroll taxes,
1042 provided the candidate and any member of his immediate family shall
1043 not receive compensation; (M) travel, meals and lodging expenses of
1044 speakers, campaign or committee workers, the candidate and the
1045 candidate's spouse for political and campaign purposes; (N) fund
1046 raising; (O) reimbursements to candidates and campaign or committee
1047 workers made in accordance with the provisions of this section for
1048 campaign-related expenses for which a receipt is received by the
1049 [campaign] treasurer; (P) campaign or committee services of attorneys,
1050 accountants, consultants or other professional persons for campaign
1051 activities, obtaining or contesting ballot status, nomination, or election,
1052 and compliance with this chapter; (Q) purchasing campaign finance
1053 reports; (R) repaying permissible campaign loans made to the
1054 committee that are properly reported and refunding contributions
1055 received from an impermissible source or in excess of the limitations
1056 set forth in this chapter; (S) conducting polls concerning any political
1057 party, issue, candidate or individual; (T) gifts to campaign or
1058 committee workers or purchasing flowers or other commemorative
1059 items for political purposes not to exceed one hundred dollars to any
1060 one recipient in a calendar year or for the campaign, as the case may
1061 be; (U) purchasing tickets or advertising from charities, inaugural
1062 committees, or other civic organizations if for a political purpose, for
1063 any candidate, a candidate's spouse, a member of a candidate's
1064 campaign staff, or members of committees; (V) the inauguration of an
1065 elected candidate by that candidate's candidate committee; (W) hiring
1066 of halls, rooms, music and other entertainment for political meetings
1067 and events; (X) reasonable compensation for public speakers hired by

1068 the committee; (Y) transporting electors to the polls and other get-out-
1069 the-vote activities on election day; and (Z) any other necessary
1070 campaign or political expense.

1071 (3) Nothing in this section shall prohibit a candidate from
1072 purchasing equipment from his personal funds and leasing or renting
1073 such equipment to his candidate committee or his exploratory
1074 committee, provided the candidate and his [campaign] treasurer sign a
1075 written lease or rental agreement. Such agreement shall include the
1076 lease or rental price, which shall not exceed the fair lease or rental
1077 value of the equipment. The candidate shall not receive lease or rental
1078 payments which in the aggregate exceed his cost of purchasing the
1079 equipment.

1080 (4) As used in this subdivision, expenditures for "personal use"
1081 include expenditures to defray normal living expenses for the
1082 candidate, the immediate family of the candidate or any other
1083 individual and expenditures for the personal benefit of the candidate
1084 or any other individual having no direct connection with, or effect
1085 upon, the campaign of the candidate or the lawful purposes of the
1086 committee, as defined in subdivision (2) of this section. No goods,
1087 services, funds and contributions received by any committee under
1088 this chapter shall be used or be made available for the personal use of
1089 any candidate or any other individual. No candidate, committee, or
1090 any other individual shall use such goods, services, funds or
1091 contributions for any purpose other than campaign purposes
1092 permitted by this chapter.

1093 (h) No [campaign] treasurer of a political committee may provide an
1094 honorarium to, compensate or make a gift to, any elected public
1095 official who is subject to the provisions of this chapter, for any
1096 speaking engagement or other services rendered on behalf of such
1097 committee, except that the provisions of this subsection shall not apply
1098 to: (1) Reimbursement for actual travel expenses or food and beverage
1099 for the personal consumption of such public official or members of his

1100 immediate family, in connection with the rendering of any such
1101 services by the public official; or (2) any contribution made to such
1102 public official in connection with his campaign for nomination or
1103 election to an office or position included in this chapter, which is
1104 reported in accordance with the provisions of this chapter. Except as
1105 provided in this subsection, no such elected public official may receive
1106 any gift, honorarium or compensation from a political committee.

1107 (i) The right of any person to expend money for proper legal
1108 expenses in maintaining or contesting the results of any election or
1109 primary shall not be affected or limited by the provisions of this
1110 chapter or chapter 157, provided only sources eligible to contribute to
1111 the candidate for the campaign may contribute to the payment of legal
1112 expenses.

1113 (j) A candidate or his committee worker shall be reimbursed by the
1114 [campaign] treasurer for any permissible expenditure which the
1115 candidate or committee worker has paid from his own personal funds
1116 if (1) the [campaign] treasurer authorized the expenditure, (2) the
1117 candidate or worker provides the [campaign] treasurer with a written
1118 receipt or other documentary evidence from the vendor proving his
1119 payment of the expenditure, and (3) in the case of a reimbursement to
1120 the candidate, a detailed accounting of the expenditure is included in
1121 the report of the [campaign] treasurer. Internal records required to be
1122 maintained in order for any candidate or committee worker to be
1123 reimbursed from committee funds include, but are not limited to,
1124 contemporaneous invoices, receipts, bills, statements, itineraries, or
1125 other written or documentary evidence showing the campaign or
1126 lawful purpose of the expenditure. The [campaign] treasurer shall
1127 preserve all such internal records for the same period of time as
1128 required in the case of cancelled checks, except that the [campaign]
1129 treasurer of a candidate committee may, upon request of the
1130 candidate, give such internal records to the candidate to keep for such
1131 period.

1132 (k) A candidate shall report to his [campaign] treasurer each
1133 campaign expenditure of more than fifty dollars which he has made
1134 directly from his own personal funds, except those expenditures for his
1135 own telephone calls, travel and meals for which the candidate does not
1136 seek reimbursement from his committee, by the close of the reporting
1137 period in which the expenditures were made. The candidate shall
1138 indicate whether or not he expects reimbursement by the committee.
1139 The [campaign] treasurer shall report all such reimbursed and
1140 nonreimbursed expenditures as "campaign expenses paid by the
1141 candidate" on the sworn financial statements he is required to file in
1142 accordance with section 9-608, as amended by this act, and in the same
1143 manner as committee expenditures.

1144 (l) Each check issued by the [campaign] treasurer of a political
1145 committee to a candidate committee, party committee or another
1146 political committee (1) shall have typed, stamped, or printed other
1147 than by hand, on its face, the name and address of the political
1148 committee making the contribution and (2) shall legibly indicate the
1149 name of the [campaign] treasurer of the political committee.

1150 (m) Any obligation or restriction imposed by this section and
1151 sections 9-608, as amended by this act, 9-610, as amended by this act, 9-
1152 611, as amended by this act, 9-613, as amended by this act, 9-615, as
1153 amended by this act, 9-616, 9-618, as amended by this act, 9-620, 9-621,
1154 as amended by this act, 9-622, as amended by this act, and 9-623, as
1155 amended by this act, on a [campaign] treasurer or a candidate
1156 committee shall be deemed to be imposed on any candidate who is
1157 exempt from forming a candidate committee and has filed a
1158 certification pursuant to subsection (b) of section 9-604, as amended by
1159 this act, with the proper authority.

1160 Sec. 10. Section 9-608 of the 2012 supplement to the general statutes
1161 is repealed and the following is substituted in lieu thereof (*Effective*
1162 *from passage*):

1163 (a) (1) Each treasurer of a committee, other than a state central

1164 committee, shall file a statement, sworn under penalty of false
1165 statement with the proper authority in accordance with the provisions
1166 of section 9-603, (A) on the tenth calendar day in the months of
1167 January, April, July and October, provided, if such tenth calendar day
1168 is a Saturday, Sunday or legal holiday, the statement shall be filed on
1169 the next business day, (B) on the seventh day preceding each regular
1170 state election, except that (i) in the case of a candidate or exploratory
1171 committee established for an office to be elected at a municipal
1172 election, the statement shall be filed on the seventh day preceding a
1173 regular municipal election in lieu of such date, (ii) in the case of a town
1174 committee, the statement shall be filed on the seventh day preceding
1175 each municipal election in addition to such date, and (iii) in the case of
1176 a candidate committee in a state election that is required to file any
1177 supplemental campaign finance statements pursuant to subdivisions
1178 (1) and (2) of subsection (a) of section 9-712, as amended by this act,
1179 such supplemental campaign finance statements shall satisfy the filing
1180 requirement under this subdivision, and (C) if the committee has made
1181 or received a contribution or expenditure in connection with any other
1182 election, a primary or a referendum, on the seventh day preceding the
1183 election, primary or referendum, except that in the case of a candidate
1184 committee in a primary that is required to file statements pursuant to
1185 subdivisions (1) and (2) of subsection (a) of section 9-712, as amended
1186 by this act, such statements shall satisfy the filing requirement under
1187 this subdivision. The statement shall be complete as of eleven fifty-
1188 nine o'clock p.m. of the last day of the month preceding the month in
1189 which the statement is required to be filed, except that for the
1190 statement required to be filed on the seventh day preceding the
1191 election, primary or referendum, the statement shall be complete as of
1192 eleven fifty-nine o'clock p.m. of the second day immediately preceding
1193 the required filing day. The statement shall cover a period to begin
1194 with the first day not included in the last filed statement. In the case of
1195 a candidate committee, the statement required to be filed in January
1196 shall be in lieu of the statement formerly required to be filed within
1197 forty-five days following an election.

1198 (2) Each [campaign] treasurer of a candidate committee, within
1199 thirty days following any primary, and each [campaign] treasurer of a
1200 political committee formed for a single primary, election or
1201 referendum, within forty-five days after any election or referendum
1202 not held in November, shall file statements in the same manner as is
1203 required of them under subdivision (1) of this subsection. If the
1204 [campaign] treasurer of a candidate committee established by a
1205 candidate, who is unsuccessful in the primary or has terminated his
1206 candidacy prior to the primary, distributes all surplus funds within
1207 thirty days following the scheduled primary and discloses the
1208 distribution on the postprimary statement, such [campaign] treasurer
1209 shall not be required to file any subsequent statement unless the
1210 committee has a deficit, in which case he shall file any required
1211 statements in accordance with the provisions of subdivision (3) of
1212 subsection (e) of this section.

1213 (3) In the case of state central committees, (A) on the tenth calendar
1214 day in the months of January, April and July, provided, if such tenth
1215 calendar day is a Saturday, Sunday or legal holiday, on the next
1216 business day, and (B) on the twelfth day preceding any election, the
1217 [campaign] treasurer of each such committee shall file with the proper
1218 authority, a statement, sworn under penalty of false statement,
1219 complete as of the last day of the month immediately preceding the
1220 month in which such statement is to be filed in the case of statements
1221 required to be filed in January, April and July, and complete as of the
1222 nineteenth day preceding an election, in the case of the statement
1223 required to be filed on the twelfth day preceding an election, and in
1224 each case covering a period to begin with the first day not included in
1225 the last filed statement.

1226 (b) The statements required to be filed under subsection (a) of this
1227 section and subdivisions (2) and (3) of subsection (e) of this section,
1228 shall not be required to be filed by: (1) A candidate committee or
1229 political committee formed for a single primary or election until such
1230 committee receives or expends an amount in excess of one thousand

1231 dollars for purposes of the primary or election for which such
1232 committee was formed; (2) a political committee formed solely to aid
1233 or promote the success or defeat of any referendum question until such
1234 committee receives or expends an amount in excess of one thousand
1235 dollars; or (3) a party or political committee organized for ongoing
1236 political activities until such committee receives or expends an amount
1237 in excess of one thousand dollars for the calendar year except the
1238 statements required to be filed on the tenth calendar day in the month
1239 of January and on the seventh day preceding any election shall be so
1240 filed. The provisions of this subsection shall not apply to state central
1241 committees or to the statement required to be filed by an exploratory
1242 committee upon its termination. A committee which is exempted from
1243 filing statements under the provisions of this subsection shall file in
1244 lieu thereof a statement sworn under penalty of false statement,
1245 indicating that the committee has not received or expended an amount
1246 in excess of one thousand dollars.

1247 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
1248 section shall include, but not be limited to: (A) An itemized accounting
1249 of each contribution, if any, including the full name and complete
1250 address of each contributor and the amount of the contribution; (B) an
1251 itemized accounting of each expenditure, if any, including the full
1252 name and complete address of each payee, including secondary payees
1253 whenever the primary or principal payee is known to include charges
1254 which the primary payee has already paid or will pay directly to
1255 another person, vendor or entity, the amount and the purpose of the
1256 expenditure, the candidate supported or opposed by the expenditure,
1257 whether the expenditure is made independently of the candidate
1258 supported or is an in-kind contribution to the candidate, and a
1259 statement of the balance on hand or deficit, as the case may be; (C) an
1260 itemized accounting of each expense incurred but not paid, provided if
1261 the expense is incurred by use of a credit card, the accounting shall
1262 include secondary payees, and the amount owed to each such payee;
1263 (D) the name and address of any person who is the guarantor of a loan
1264 to, or the cosigner of a note with, the candidate on whose behalf the

1265 committee was formed, or the [campaign] treasurer in the case of a
1266 party committee or a political committee or who has advanced a
1267 security deposit to a telephone company, as defined in section 16-1, for
1268 telecommunications service for a committee; (E) for each business
1269 entity or person purchasing advertising space in a program for a fund-
1270 raising affair or on signs at a fund-raising affair, the name and address
1271 of the business entity or the name and address of the person, and the
1272 amount and aggregate amounts of such purchases; (F) for each
1273 individual who contributes in excess of one hundred dollars but not
1274 more than one thousand dollars, in the aggregate, to the extent known,
1275 the principal occupation of such individual and the name of the
1276 individual's employer, if any; (G) for each individual who contributes
1277 in excess of one thousand dollars in the aggregate, the principal
1278 occupation of such individual and the name of the individual's
1279 employer, if any; (H) for each itemized contribution made by a
1280 lobbyist, the spouse of a lobbyist or any dependent child of a lobbyist
1281 who resides in the lobbyist's household, a statement to that effect; and
1282 (I) for each individual who contributes in excess of four hundred
1283 dollars in the aggregate to or for the benefit of any candidate's
1284 campaign for nomination at a primary or election to the office of chief
1285 executive officer or a slate or town committee financing the
1286 nomination or election or a candidate for chief executive officer of a
1287 town, city or borough, a statement indicating whether the individual
1288 or a business with which he is associated has a contract with said
1289 municipality that is valued at more than five thousand dollars. Each
1290 [campaign] treasurer shall include in such statement (i) an itemized
1291 accounting of the receipts and expenditures relative to any testimonial
1292 affair held under the provisions of section 9-609, as amended by this
1293 act, or any other fund-raising affair, which is referred to in subsection
1294 (b) of section 9-601a, as amended by this act, and (ii) the date, location
1295 and a description of the affair, except that a [campaign] treasurer shall
1296 not be required to include the name of any individual who has
1297 purchased items at a fund-raising affair or food at a town fair, county
1298 fair or similar mass gathering, if the cumulative value of items

1299 purchased by such individual does not exceed one hundred dollars, or
1300 the name of any individual who has donated food or beverages for a
1301 meeting. A [campaign] treasurer shall not be required to report or
1302 retain any receipts or expenditures related to any de minimis
1303 donations described in subdivision (17) of subsection (b) of section 9-
1304 601a, as amended by this act.

1305 (2) Each contributor described in subparagraph (F), (G), (H) or (I) of
1306 subdivision (1) of this subsection shall, at the time the contributor
1307 makes such a contribution, provide the information that the
1308 [campaign] treasurer is required to include under said subparagraph
1309 in the statement filed under subsection (a), (e) or (f) of this section.
1310 Notwithstanding any provision of subdivision (2) of section 9-7b, as
1311 amended by this act, any contributor described in subparagraph (F) of
1312 subdivision (1) of this subsection who does not provide such
1313 information at the time the contributor makes such a contribution and
1314 any treasurer shall not be subject to the provisions of subdivision (2) of
1315 section 9-7b, as amended by this act. If a [campaign] treasurer receives
1316 a contribution from an individual which separately, or in the
1317 aggregate, is in excess of one thousand dollars and the contributor has
1318 not provided the information required by said subparagraph (G) or if a
1319 [campaign] treasurer receives a contribution from an individual to or
1320 for the benefit of any candidate's campaign for nomination at a
1321 primary or election to the office of chief executive officer of a town, city
1322 or borough, which separately, or in the aggregate, is in excess of four
1323 hundred dollars and the contributor has not provided the information
1324 required by said subparagraph (I), the [campaign] treasurer: (i) Not
1325 later than three business days after receiving the contribution, shall
1326 send a request for such information to the contributor by certified mail,
1327 return receipt requested; (ii) shall not deposit the contribution until the
1328 [campaign] treasurer obtains such information from the contributor,
1329 notwithstanding the provisions of section 9-606, as amended by this
1330 act; and (iii) shall return the contribution to the contributor if the
1331 contributor does not provide the required information not later than
1332 fourteen days after the treasurer's written request or the end of the

1333 reporting period in which the contribution was received, whichever is
1334 later. Any failure of a contributor to provide the information which the
1335 [campaign] treasurer is required to include under said subparagraph
1336 (F) or (H), which results in noncompliance by the [campaign] treasurer
1337 with the provisions of said subparagraph (F) or (H), shall be a
1338 complete defense to any action against the [campaign] treasurer for
1339 failure to disclose such information.

1340 (3) In addition to the requirements of subdivision (2) of this
1341 subsection, each contributor who makes a contribution to a candidate
1342 or exploratory committee for Governor, Lieutenant Governor,
1343 Attorney General, State Comptroller, Secretary of the State, State
1344 Treasurer, state senator or state representative, any political committee
1345 authorized to make contributions to such candidates or committees,
1346 and any party committee that separately, or in the aggregate, exceeds
1347 fifty dollars shall provide with the contribution: (A) The name of the
1348 contributor's employer, if any; (B) the contributor's status as a
1349 communicator lobbyist, as defined in section 1-91, a member of the
1350 immediate family of a communicator lobbyist, a state contractor, a
1351 prospective state contractor or a principal of a state contractor or
1352 prospective state contractor, as defined in section 9-612, as amended by
1353 this act; and (C) a certification that the contributor is not prohibited
1354 from making a contribution to such candidate or committee. The State
1355 Elections Enforcement Commission shall prepare a sample form for
1356 such certification by the contributor and shall make it available to
1357 [campaign] treasurers and contributors. Such sample form shall
1358 include an explanation of the terms "communicator lobbyist",
1359 "principal of a state contractor or prospective state contractor",
1360 "immediate family", "state contractor" and "prospective state
1361 contractor". The information on such sample form shall be included in
1362 any written solicitation conducted by any such committee. If a
1363 [campaign] treasurer receives such a contribution and the contributor
1364 has not provided such certification, the [campaign] treasurer shall: (i)
1365 Not later than three business days after receiving the contribution,
1366 send a request for the certification to the contributor by certified mail,

1367 return receipt requested; (ii) not deposit the contribution until the
1368 [campaign] treasurer obtains the certification from the contributor,
1369 notwithstanding the provisions of section 9-606, as amended by this
1370 act; and (iii) return the contribution to the contributor if the contributor
1371 does not provide the certification not later than fourteen days after the
1372 treasurer's written request or at the end of the reporting period in
1373 which the contribution was received, whichever is later. No treasurer
1374 shall be required to obtain and keep more than one certification from
1375 each contributor, unless information certified to by the contributor,
1376 other than the amount contributed, changes. If a [campaign] treasurer
1377 deposits a contribution based on a certification that is later determined
1378 to be false, the treasurer shall have a complete defense to any action,
1379 including but not limited to, any complaint investigated by the State
1380 Elections Enforcement Commission or any other investigation initiated
1381 by said commission, against such [campaign] treasurer for the receipt
1382 of such contribution.

1383 (4) Contributions from a single individual to a [campaign] treasurer
1384 in the aggregate totaling fifty dollars or less need not be individually
1385 identified in the statement, but a sum representing the total amount of
1386 all such contributions made by all such individuals during the period
1387 to be covered by such statement shall be a separate entry, identified
1388 only by the words "total contributions from small contributors".

1389 (5) Each statement filed by the [campaign] treasurer of a party
1390 committee, a legislative caucus committee or a legislative leadership
1391 committee shall include an itemized accounting of each organization
1392 expenditure made by the committee. Concomitant with the filing of
1393 any such statement containing an accounting of an organization
1394 expenditure made by the committee for the benefit of any candidate
1395 for the office of state senator, state representative, Governor,
1396 Lieutenant Governor, Attorney General, Secretary of the State, State
1397 Comptroller or State Treasurer such [campaign] treasurer shall provide
1398 notice of the organization expenditure to the candidate committee of
1399 such candidate.

1400 (6) The commission shall post a link on the home page of the
1401 commission's Internet web site to a listing of all organizational
1402 expenditures reported by a party, legislative leadership or caucus
1403 committee under subdivision (5) of this subsection. Such information
1404 shall include reported information on the committee making the
1405 expenditure, the committee receiving the expenditure and the date and
1406 purpose for the expenditure.

1407 (7) Statements filed in accordance with this section shall remain
1408 public records of the state for five years from the date such statements
1409 are filed.

1410 (d) At the time of filing statements required under this section, the
1411 [campaign] treasurer of each candidate committee shall send to the
1412 candidate a duplicate statement and the [campaign] treasurer of each
1413 party committee and each political committee other than an
1414 exploratory committee shall send to the chairman of the committee a
1415 duplicate statement. Each statement required to be filed with the
1416 commission under this section, subsection (e) of section 9-612, as
1417 amended by this act, section 9-706, as amended by this act, or section
1418 9-712, as amended by this act, shall be deemed to be filed in a timely
1419 manner if: (1) For a statement filed as a hard copy, including, but not
1420 limited to, a statement delivered by the United States Postal Service,
1421 courier service, parcel service or hand delivery, the statement is
1422 received by the commission by five o'clock p.m. on the day the
1423 statement is required to be filed, (2) for a statement authorized by the
1424 commission to be filed electronically, including, but not limited to, a
1425 statement filed via dedicated electronic mail, facsimile machine, a web-
1426 based program created by the commission or other electronic means,
1427 the statement is transmitted to the commission not later than eleven
1428 fifty-nine o'clock p.m. on the day the statement is required to be filed,
1429 or (3) for a statement required to be filed pursuant to subsection (e) of
1430 section 9-612, as amended by this act, section 9-706, as amended by this
1431 act, or section 9-712, as amended by this act, by the deadline specified
1432 in each such section. Any other filing required to be filed with a town

1433 clerk pursuant to this section shall be deemed to be filed in a timely
1434 manner if it is delivered by hand to the office of the town clerk in
1435 accordance with the provisions of section 9-603 before four-thirty
1436 o'clock p.m. or postmarked by the United States Postal Service before
1437 midnight on the required filing day. If the day for any filing falls on a
1438 Saturday, Sunday or legal holiday, the statement shall be filed on the
1439 next business day thereafter.

1440 (e) (1) Notwithstanding any provisions of this chapter, in the event
1441 of a surplus the [campaign] treasurer of a candidate committee or of a
1442 political committee, other than a political committee formed for
1443 ongoing political activities or an exploratory committee, shall
1444 distribute or expend such surplus not later than ninety days, or for the
1445 purposes of subparagraph (H) of this subdivision, one hundred twenty
1446 days after a primary which results in the defeat of the candidate, an
1447 election or referendum not held in November or by March thirty-first
1448 following an election or referendum held in November, or for the
1449 purposes of subparagraph (H) of this subdivision, June thirtieth
1450 following an election or referendum held in November, in the
1451 following manner:

1452 (A) Such committees may distribute their surplus to a party
1453 committee, or a political committee organized for ongoing political
1454 activities, return such surplus to all contributors to the committee on a
1455 prorated basis of contribution, distribute all or any part of such surplus
1456 to the Citizens' Election Fund established in section 9-701 or distribute
1457 such surplus to any charitable organization which is a tax-exempt
1458 organization under Section 501(c)(3) of the Internal Revenue Code of
1459 1986, or any subsequent corresponding internal revenue code of the
1460 United States, as from time to time amended, provided (i) no candidate
1461 committee may distribute such surplus to a committee which has been
1462 established to finance future political campaigns of the candidate, (ii) a
1463 candidate committee which received moneys from the Citizens'
1464 Election Fund shall distribute such surplus to such fund, and (iii) a
1465 candidate committee for a nonparticipating candidate, as described in

1466 subsection (b) of section 9-703, as amended by this act, may only
1467 distribute any such surplus to the Citizens' Election Fund or to a
1468 charitable organization;

1469 (B) Each such political committee established by an organization
1470 which received its funds from the organization's treasury shall return
1471 its surplus to its sponsoring organization;

1472 (C) (i) Each political committee formed solely to aid or promote the
1473 success or defeat of any referendum question, which does not receive
1474 contributions from a business entity or an organization, shall distribute
1475 its surplus to a party committee, to a political committee organized for
1476 ongoing political activities, to a national committee of a political party,
1477 to all contributors to the committee on a prorated basis of contribution,
1478 to state or municipal governments or agencies or to any organization
1479 which is a tax-exempt organization under Section 501(c)(3) of the
1480 Internal Revenue Code of 1986, or any subsequent corresponding
1481 internal revenue code of the United States, as from time to time
1482 amended. (ii) Each political committee formed solely to aid or promote
1483 the success or defeat of any referendum question, which receives
1484 contributions from a business entity or an organization, shall distribute
1485 its surplus to all contributors to the committee on a prorated basis of
1486 contribution, to state or municipal governments or agencies, or to any
1487 organization which is tax-exempt under said provisions of the Internal
1488 Revenue Code. Notwithstanding the provisions of this subsection, a
1489 committee formed for a single referendum shall not be required to
1490 expend its surplus not later than ninety days after the referendum and
1491 may continue in existence if a substantially similar referendum
1492 question on the same issue will be submitted to the electorate within
1493 six months after the first referendum. If two or more substantially
1494 similar referenda on the same issue are submitted to the electorate,
1495 each no more than six months apart, the committee shall expend such
1496 surplus within ninety days following the date of the last such
1497 referendum;

1498 (D) The [campaign] treasurer of the candidate committee of a
1499 candidate who is elected to office may, upon the authorization of such
1500 candidate, expend surplus campaign funds to pay for the cost of
1501 clerical, secretarial or other office expenses necessarily incurred by
1502 such candidate in preparation for taking office; except such surplus
1503 shall not be distributed for the personal benefit of any individual or to
1504 any organization;

1505 (E) The [campaign] treasurer of a candidate committee, or of a
1506 political committee, other than a political committee formed for
1507 ongoing political activities or an exploratory committee, shall, prior to
1508 the dissolution of such committee, either (i) distribute any equipment
1509 purchased, including, but not limited to, computer equipment, to any
1510 recipient as set forth in subparagraph (A) of this subdivision, or (ii) sell
1511 any equipment purchased, including but not limited to computer
1512 equipment, to any person for fair market value and then distribute the
1513 proceeds of such sale to any recipient as set forth in said subparagraph
1514 (A);

1515 (F) The [campaign] treasurer of a qualified candidate committee
1516 may, following an election or unsuccessful primary, provide a post-
1517 primary thank you meal or a post-election thank you meal for
1518 committee workers, provided such meal (i) occurs not later than
1519 fourteen days after the applicable election or primary day, and (ii) the
1520 cost for such meal does not exceed thirty dollars per worker;

1521 (G) The [campaign] treasurer of a qualified candidate committee
1522 may, following an election or unsuccessful primary, make payment to
1523 a [campaign] treasurer or campaign staff for services rendered to the
1524 candidate committee, provided such payment does not exceed one
1525 thousand dollars per individual; and

1526 (H) The [campaign] treasurer of a candidate committee may,
1527 following an election or unsuccessful primary, utilize funds for the
1528 purpose of complying with any audit conducted by the State Elections
1529 Enforcement Commission pursuant to subdivision (5) of subsection (a)

1530 of section 9-7b, as amended by this act.

1531 (2) Notwithstanding any provision of this chapter, the [campaign]
1532 treasurer of the candidate committee of a candidate who has
1533 withdrawn from a primary or election may, prior to the primary or
1534 election, distribute its surplus to any organization which is tax-exempt
1535 under Section 501(c)(3) of the Internal Revenue Code of 1986, or any
1536 subsequent corresponding internal revenue code of the United States,
1537 as from time to time amended, or return such surplus to all
1538 contributors to the committee on a prorated basis of contribution.

1539 (3) Not later than seven days after such distribution or not later than
1540 seven days after all funds have been expended in accordance with
1541 subparagraph (D) of subdivision (1) of this subsection, the [campaign]
1542 treasurer shall file a supplemental statement, sworn under penalty of
1543 false statement, with the proper authority, identifying all further
1544 contributions received since the previous statement and explaining
1545 how any surplus has been distributed or expended in accordance with
1546 this section. No surplus may be distributed or expended until after the
1547 election, primary or referendum.

1548 (4) In the event of a deficit, the [campaign] treasurer shall file a
1549 supplemental statement ninety days after an election, primary or
1550 referendum not held in November or on the seventh calendar day in
1551 February, or the next business day if such day is a Saturday, Sunday or
1552 legal holiday, after an election or referendum held in November, with
1553 the proper authority and, thereafter, on the seventh day of each month
1554 following if on the last day of the previous month there was an
1555 increase or decrease in the deficit in excess of five hundred dollars
1556 from that reported on the last statement filed. The [campaign]
1557 treasurer shall file such supplemental statements as required until the
1558 deficit is eliminated. If any such committee does not have a surplus or
1559 a deficit, the statement required to be filed not later than forty-five
1560 days following any election or referendum not held in November or on
1561 the seventh calendar day in January, or the next business day if such

1562 day is a Saturday, Sunday or legal holiday, following an election or
1563 referendum held in November, or not later than thirty days following
1564 any primary shall be the last required statement.

1565 (f) If an exploratory committee has been established by a candidate
1566 pursuant to subsection (c) of section 9-604, as amended by this act, the
1567 [campaign] treasurer of the committee shall file a notice of intent to
1568 dissolve it with the appropriate authority not later than fifteen days
1569 after the candidate's declaration of intent to seek nomination or
1570 election to a particular public office, except that in the case of an
1571 exploratory committee established by a candidate for purposes that
1572 include aiding or promoting the candidate's candidacy for nomination
1573 or election to the General Assembly or a state office, the [campaign]
1574 treasurer of the committee shall file such notice of intent to dissolve the
1575 committee not later than fifteen days after the earlier of: (1) The
1576 candidate's declaration of intent to seek nomination or election to a
1577 particular public office, (2) the candidate's endorsement at a
1578 convention, caucus or town committee meeting, or (3) the candidate's
1579 filing of a candidacy for nomination under section 9-400 or 9-405. The
1580 [campaign] treasurer shall also file a statement identifying all
1581 contributions received or expenditures made by the exploratory
1582 committee since the previous statement and the balance on hand or
1583 deficit, as the case may be. In the event of a surplus, the [campaign]
1584 treasurer shall, not later than the filing of the statement, distribute the
1585 surplus to the candidate committee established pursuant to said
1586 section, except that (A) in the case of a surplus of an exploratory
1587 committee established by a candidate who intends to be a participating
1588 candidate, as defined in section 9-703, as amended by this act, in the
1589 Citizens' Election Program, the [campaign] treasurer may distribute to
1590 the candidate committee only that portion of such surplus that is
1591 attributable to contributions that meet the criteria for qualifying
1592 contributions for the candidate committee under section 9-704, as
1593 amended by this act, and shall distribute the remainder of such
1594 surplus to the Citizens' Election Fund established in section 9-701, and
1595 (B) in the case of a surplus of an exploratory committee established for

1596 nomination or election to an office other than the General Assembly or
1597 a state office (i) the [campaign] treasurer may only distribute to the
1598 candidate committee for nomination or election to the General
1599 Assembly or state office of such candidate that portion of such surplus
1600 which is in excess of the total contributions which the exploratory
1601 committee received from lobbyists or political committees established
1602 by lobbyists, during any period in which the prohibitions in subsection
1603 (e) of section 9-610, as amended by this act, apply, and (ii) any
1604 remaining amount shall be returned to all such lobbyists and political
1605 committees established by or on behalf of lobbyists, on a prorated basis
1606 of contribution, or distributed to any charitable organization which is a
1607 tax-exempt organization under Section 501(c)(3) of the Internal
1608 Revenue Code of 1986, or any subsequent corresponding internal
1609 revenue code of the United States, as from time to time amended. If the
1610 candidate decides not to seek nomination or election to any office, the
1611 [campaign] treasurer shall, within fifteen days after such decision,
1612 comply with the provisions of this subsection and distribute any
1613 surplus in the manner provided by this section for political committees
1614 other than those formed for ongoing political activities, except that if
1615 the surplus is from an exploratory committee established by the State
1616 Treasurer, any portion of the surplus that is received from a principal
1617 of an investment services firm or a political committee established by
1618 such firm shall be returned to such principal or committee on a
1619 prorated basis of contribution. In the event of a deficit, the [campaign]
1620 treasurer shall file a statement thirty days after the decision or
1621 declaration with the proper authority and, thereafter, on the seventh
1622 day of each month following if on the last day of the previous month
1623 there was an increase or decrease in such deficit in excess of five
1624 hundred dollars from that reported on the last statement filed. The
1625 [campaign] treasurer shall file supplemental statements until the
1626 deficit is eliminated. If the exploratory committee does not have a
1627 surplus or deficit, the statement filed after the candidate's declaration
1628 or decision shall be the last required statement. If a candidate certifies
1629 on the statement of organization for the exploratory committee

1630 pursuant to subsection (c) of section 9-604, as amended by this act, that
1631 the candidate will not be a candidate for the office of state
1632 representative and subsequently establishes a candidate committee for
1633 the office of state representative, the [campaign] treasurer of the
1634 candidate committee shall pay to the State Treasurer, for deposit in the
1635 General Fund, an amount equal to the portion of any contribution
1636 received by said exploratory committee that exceeded two hundred
1637 fifty dollars. As used in this subsection, "principal of an investment
1638 services firm" has the meaning set forth in subsection (f) of section 9-
1639 612, as amended by this act, and "state office" has the same meaning
1640 set forth in subsection (e) of section 9-610, as amended by this act.

1641 Sec. 11. Subsection (a) of section 9-609 of the 2012 supplement to the
1642 general statutes is repealed and the following is substituted in lieu
1643 thereof (*Effective from passage*):

1644 (a) The chairman of each party committee shall designate a
1645 [campaign] treasurer and may designate a deputy [campaign]
1646 treasurer, or in the case of a state central committee, not more than two
1647 deputy [campaign] treasurers. The [campaign] treasurer and any
1648 deputy [campaign] treasurers so designated shall sign a statement
1649 accepting the designation, which shall be filed with the proper
1650 authority with the statement of designation required under
1651 subdivision (1) of subsection (a) of section 9-602, as amended by this
1652 act. No state central committee or town committee shall establish a
1653 committee other than a single party committee for purposes of this
1654 chapter. A party committee or a political committee organized for
1655 ongoing political activities shall form no other political committees,
1656 except that two or more such committees may join to form a political
1657 committee for the purpose of a single fund-raising event.

1658 Sec. 12. Section 9-610 of the 2012 supplement to the general statutes
1659 is repealed and the following is substituted in lieu thereof (*Effective*
1660 *from passage*):

1661 (a) [Any] Notwithstanding any provision of this chapter, [to the

1662 contrary notwithstanding,] a candidate committee may join with one
1663 or more candidate committees to establish a political committee for the
1664 purpose of sponsoring one or more fund-raising events for those
1665 candidates. Any individual, other than a candidate benefited, who is
1666 eligible and qualifies to serve in accordance with the provisions of
1667 subsection (d) of section 9-606, as amended by this act, may serve as
1668 the [campaign] treasurer or deputy [campaign] treasurer of such a
1669 political committee. The statements required to be filed by a political
1670 committee under this chapter shall apply to any political committee
1671 established pursuant to this subsection. After all expenses of the
1672 political committee have been paid by its [campaign] treasurer for each
1673 event, he shall distribute all remaining funds from such event to the
1674 [campaign] treasurers of each of the candidate committees which
1675 established the political committee. The distribution to each candidate
1676 committee shall be made not later than fourteen days after the event,
1677 either in accordance with a prior agreement of the candidates or, if no
1678 prior agreement was made, in equal proportions to each candidate
1679 committee. Any contribution which is made to such political
1680 committee shall, for purposes of determining compliance with the
1681 limitations imposed by this chapter, be deemed to have been made in
1682 equal proportions to each candidate's campaign unless (1) a prior
1683 agreement was made by the candidates as to the disposition of
1684 remaining funds, and (2) those who contributed to the political
1685 committee were notified of such disposition, in which case the
1686 contribution shall be deemed to have been made to each candidate's
1687 campaign in accordance with the agreement.

1688 (b) A candidate committee may pay its pro rata share of the
1689 expenses of operating a campaign headquarters and of preparing,
1690 printing and disseminating any political communication on behalf of
1691 that candidate and any other candidate or candidates.
1692 Notwithstanding the provisions of subdivision (1) of subsection (a) of
1693 section 9-616, a candidate committee may reimburse a party committee
1694 for any expenditure such party committee has incurred for the benefit
1695 of such candidate committee.

1696 (c) A candidate may make any expenditure permitted by section 9-
1697 607, as amended by this act, to aid or promote the success of his
1698 campaign for nomination or election from his personal funds, or the
1699 funds of his immediate family, which for the purposes of this chapter
1700 shall consist of the candidate's spouse and issue. Any such expenditure
1701 shall not be deemed a contribution to any committee.

1702 (d) (1) No incumbent holding office shall, during the three months
1703 preceding an election in which he is a candidate for reelection or
1704 election to another office, use public funds to mail or print flyers or
1705 other promotional materials intended to bring about his election or
1706 reelection.

1707 (2) No official or employee of the state or a political subdivision of
1708 the state shall authorize the use of public funds for a television, radio,
1709 movie theater, billboard, bus poster, newspaper or magazine
1710 promotional campaign or advertisement, which (A) features the name,
1711 face or voice of a candidate for public office, or (B) promotes the
1712 nomination or election of a candidate for public office, during the
1713 twelve-month period preceding the election being held for the office
1714 which the candidate described in this subdivision is seeking.

1715 (3) As used in subdivisions (1) and (2) of this subsection, "public
1716 funds" does not include any grant or moneys paid to a qualified
1717 candidate committee from the Citizens' Election Fund under this
1718 chapter.

1719 (e) For purposes of this subsection and subsection (f) of this section,
1720 the exclusions to the term "contribution" in subsection (b) of section 9-
1721 601a, as amended by this act, shall not apply; the term "state office"
1722 means the office of Governor, Lieutenant Governor, Attorney General,
1723 State Comptroller, State Treasurer or Secretary of the State; and the
1724 term "state officer" means the Governor, Lieutenant Governor,
1725 Attorney General, State Comptroller, State Treasurer or Secretary of
1726 the State. Notwithstanding any provision of this chapter, [to the
1727 contrary,] during any regular session of the General Assembly, during

1728 any special session of the General Assembly held between the
1729 adjournment of the regular session in an odd-numbered year and the
1730 convening of the regular session in the following even-numbered year
1731 or during any reconvened session of the General Assembly held in an
1732 odd-numbered year to reconsider vetoed bills, (1) no lobbyist or
1733 political committee established by or on behalf of a lobbyist shall make
1734 or offer to make a contribution to or on behalf of, and no lobbyist shall
1735 solicit a contribution on behalf of, (A) a candidate or exploratory
1736 committee established by a candidate for nomination or election to the
1737 General Assembly or a state office, or (B) a political committee (i)
1738 established for an assembly or senatorial district, (ii) established by a
1739 member of the General Assembly or a state officer or such member or
1740 officer's agent, or in consultation with, or at the request or suggestion
1741 of, any such member, officer or agent, or (iii) controlled by such
1742 member, officer or agent, to aid or promote the nomination or election
1743 of any candidate or candidates to the General Assembly or a state
1744 office, and (2) no such candidate or political committee shall accept
1745 such a contribution. The provisions of this subsection shall not apply
1746 to a candidate committee established by a member of the General
1747 Assembly or a candidate for nomination or election to the General
1748 Assembly, at a special election for the General Assembly, from the date
1749 on which the candidate or the chairman of the committee files the
1750 designation of a [campaign] treasurer and a depository institution
1751 under section 9-602, as amended by this act, with the State Elections
1752 Enforcement Commission, to the date on which the special election is
1753 held, inclusive, or to an exploratory committee established by a
1754 member of the General Assembly to promote his candidacy for an
1755 office other than the General Assembly.

1756 (f) (1) A political committee established by two or more individuals
1757 under subparagraph (B) of subdivision (3) of section 9-601, as
1758 amended by this act, other than a committee established solely for the
1759 purpose of aiding or promoting any candidate or candidates for
1760 municipal office or the success or defeat of a referendum question,
1761 shall be subject to the prohibition on acceptance of lobbyist

1762 contributions under subsection (e) of this section unless the [campaign]
1763 treasurer of the committee has filed a registration statement as
1764 described in subsection (b) of section 9-605, as amended by this act,
1765 with the State Elections Enforcement Commission, on or before
1766 November 15, 2012, for all such political committees in existence on
1767 such date, or, if the committee is not in existence on such date, not later
1768 than ten days after the organization of the committee pursuant to
1769 subsection (a) of section 9-605, as amended by this act, and on or
1770 before November fifteenth of each even-numbered year thereafter.
1771 Such statements shall be filed even if there are no changes, additions or
1772 deletions to the registration statement previously filed with the
1773 commission.

1774 (2) A political committee established for ongoing political activities
1775 and required pursuant to subsection (a) of section 9-603 to file
1776 statements with the commission shall be subject to the prohibition on
1777 making contributions under subsection (e) of this section unless the
1778 [campaign] treasurer of the committee has filed a registration
1779 statement as described in subsection (b) of section 9-605, as amended
1780 by this act, with the commission, on forms prescribed by the
1781 commission, on or before November 15, 2012, for all such political
1782 committees in existence on such date, or, if the committee is not in
1783 existence on such date, not later than ten days after the organization of
1784 the committee pursuant to subsection (a) of section 9-605, as amended
1785 by this act, and on or before November fifteenth of each even-
1786 numbered year thereafter. Such statements shall be filed even if there
1787 are no changes, additions or deletions to the registration statement
1788 previously filed with the commission.

1789 (3) The commission shall prepare a list of all such committees
1790 subject to the prohibitions under subsection (e) of this section, based
1791 upon an evaluation of registrations filed pursuant to this subsection
1792 and subsection (b) of section 9-605, as amended by this act. Such list
1793 shall be available prior to the opening of each regular session of the
1794 General Assembly, and shall provide a copy of the list to the president

1795 pro tempore of the Senate, the speaker of the House of
1796 Representatives, the minority leader of the Senate, the minority leader
1797 of the House of Representatives and each state officer. During each
1798 such regular session, the commission shall prepare a supplemental list
1799 of committees that register after November fifteenth and are subject to
1800 such prohibitions, and the commission shall provide the supplemental
1801 list to such legislative leaders and state officers. The filing of the
1802 registration statement by the [campaign] treasurer of the committee
1803 shall not impair the authority of the commission to act under section 9-
1804 7b, as amended by this act. Any lobbyist or [campaign] treasurer who
1805 acts in reliance on such lists in good faith shall have an absolute
1806 defense in any action brought under subsection (e) and this subsection,
1807 subsection (c) of section 9-604, as amended by this act, and subsection
1808 (f) of section 9-608, as amended by this act.

1809 (g) No communicator lobbyist, member of the immediate family of a
1810 communicator lobbyist, or political committee established or
1811 controlled by a communicator lobbyist or a member of the immediate
1812 family of a communicator lobbyist shall make a contribution or
1813 contributions in excess of one hundred dollars to, or for the benefit of
1814 (1) an exploratory committee or a candidate committee established by
1815 a candidate for nomination or election to the office of Governor,
1816 Lieutenant Governor, Attorney General, State Comptroller, State
1817 Treasurer, Secretary of the State, state senator or state representative,
1818 (2) a political committee established or controlled by any such
1819 candidate, (3) a legislative caucus committee or a legislative leadership
1820 committee, or (4) a party committee.

1821 (h) On and after January 1, 2011, no communicator lobbyist,
1822 immediate family member of a communicator lobbyist, agent of a
1823 communicator lobbyist, or political committee established or
1824 controlled by a communicator lobbyist or any such immediate family
1825 member or agent shall knowingly solicit from any individual who is a
1826 member of the board of directors of, an employee of or a partner in, or
1827 who has an ownership interest of five per cent or more in, any client

1828 lobbyist that the communicator lobbyist lobbies on behalf of pursuant
1829 to the communicator lobbyist's registration under chapter 10 (1) a
1830 contribution on behalf of a candidate committee or an exploratory
1831 committee established by a candidate for the office of Governor,
1832 Lieutenant Governor, Attorney General, State Comptroller, State
1833 Treasurer, Secretary of the State, state senator or state representative, a
1834 political committee established or controlled by any such candidate, a
1835 legislative caucus committee, a legislative leadership committee or a
1836 party committee, or (2) the purchase of advertising space in a program
1837 for a fund-raising affair sponsored by a town committee, as described
1838 in subparagraph (B) of subdivision (10) of subsection (b) of section 9-
1839 601a, as amended by this act.

1840 (i) No communicator lobbyist or agent of such lobbyist, or member
1841 of the immediate family of a communicator lobbyist shall bundle
1842 contributions to, (1) an exploratory committee or a candidate
1843 committee established by a candidate for nomination or election to the
1844 office of Governor, Lieutenant Governor, Attorney General, State
1845 Comptroller, State Treasurer, Secretary of the State, state senator or
1846 state representative, (2) a political committee established or controlled
1847 by any such candidate, (3) a legislative caucus committee or a
1848 legislative leadership committee, or (4) a party committee.

1849 (j) The provisions of subsections (g), (h) and (i) of this subsection
1850 shall not apply to the campaign of a communicator lobbyist,
1851 immediate family member of a communicator lobbyist or agent of a
1852 communicator lobbyist who is a candidate for public office or to an
1853 immediate family member of a communicator lobbyist who is an
1854 elected public official.

1855 (k) Any person who violates any provision of subsections (g), (h)
1856 and (i) of this section shall be subject to a civil penalty, imposed by the
1857 State Elections Enforcement Commission, of not more than five
1858 thousand dollars or twice the amount of any contribution donated,
1859 solicited or bundled in violation of subsection (g), (h) or (i) of this

1860 section, whichever is greater.

1861 Sec. 13. Section 9-611 of the general statutes is repealed and the
1862 following is substituted in lieu thereof (*Effective from passage*):

1863 (a) No individual shall make a contribution or contributions to, for
1864 the benefit of, or pursuant to the authorization or request of, a
1865 candidate or a committee supporting or opposing any candidate's
1866 campaign for nomination at a primary, or any candidate's campaign
1867 for election, to the office of (1) Governor, in excess of [~~three~~] five
1868 thousand [~~five hundred~~] dollars; (2) Lieutenant Governor, Secretary of
1869 the State, Treasurer, Comptroller or Attorney General, in excess of
1870 [~~two~~] four thousand dollars; (3) chief executive officer of a town, city
1871 or borough, in excess of one thousand five hundred dollars; (4) state
1872 senator or probate judge, in excess of one thousand five hundred
1873 dollars; or (5) state representative or any other office of a municipality
1874 not previously included in this subsection, in excess of [~~two hundred~~
1875 five hundred] five hundred dollars. The limits imposed by this subsection shall
1876 be applied separately to primaries and elections.

1877 (b) (1) No individual shall make a contribution or contributions to,
1878 or for the benefit of, an exploratory committee, in excess of three
1879 hundred seventy-five dollars, if the candidate establishing the
1880 exploratory committee certifies on the statement of organization for
1881 the exploratory committee pursuant to subsection (c) of section 9-604,
1882 as amended by this act, that the candidate will not be a candidate for
1883 the office of state representative. No individual shall make a
1884 contribution or contributions to, or for the benefit of, any exploratory
1885 committee, in excess of two hundred fifty dollars, if the candidate
1886 establishing the exploratory committee does not so certify.

1887 (2) No individual shall make a contribution or contributions to, or
1888 for the benefit of, a political committee formed by a slate of candidates
1889 in a primary for the office of justice of the peace, in excess of two
1890 hundred fifty dollars.

1891 (c) No individual shall make contributions to such candidates or
1892 committees which in the aggregate exceed [~~fifteen~~] thirty thousand
1893 dollars for any single election and primary preliminary [~~thereto~~] to
1894 such election.

1895 (d) No individual shall make a contribution to any candidate or
1896 committee, other than a contribution in kind, in excess of one hundred
1897 dollars except by personal check or credit card of that individual.

1898 (e) No individual who is less than eighteen years of age shall make a
1899 contribution or contributions, in excess of thirty dollars to, for the
1900 benefit of, or pursuant to the authorization or request of: (1) A
1901 candidate or a committee supporting or opposing any candidate's
1902 campaign for nomination at a primary to any office; (2) a candidate or
1903 a committee supporting or opposing any candidate's campaign for
1904 election to any office; (3) an exploratory committee; (4) any other
1905 political committee in any calendar year; or (5) a party committee in
1906 any calendar year. Notwithstanding any provision of subdivision (2) of
1907 section 9-7b, as amended by this act, any individual who is less than
1908 eighteen years of age who violates any provision of this subsection
1909 shall not be subject to the provisions of subdivision (2) of section 9-7b,
1910 as amended by this act.

1911 Sec. 14. Section 9-612 of the general statutes is repealed and the
1912 following is substituted in lieu thereof (*Effective from passage*):

1913 (a) No individual shall make a contribution or contributions in any
1914 one calendar year in excess of [~~five~~] ten thousand dollars to the state
1915 central committee of any party, or for the benefit of such committee
1916 pursuant to its authorization or request; or [~~one~~] five thousand dollars
1917 to a town committee of any political party, or for the benefit of such
1918 committee pursuant to its authorization or request; or [~~one~~] two
1919 thousand dollars to a legislative caucus committee or legislative
1920 leadership committee, or [~~seven hundred fifty~~] one thousand dollars to
1921 any other political committee other than (1) a political committee
1922 formed solely to aid or promote the success or defeat of a referendum

1923 question, (2) an exploratory committee, (3) a political committee
1924 established by an organization, or for the benefit of such committee
1925 pursuant to its authorization or request, or (4) a political committee
1926 formed by a slate of candidates in a primary for the office of justice of
1927 the peace of the same town.

1928 (b) No individual shall make a contribution to a political committee
1929 established by an organization which receives its funds from the
1930 organization's treasury. With respect to a political committee
1931 established by an organization which has complied with the provisions
1932 of subsection (b) or (c) of section 9-614, as amended by this act, and has
1933 elected to receive contributions, no individual other than a member of
1934 the organization may make contributions to the committee, in which
1935 case the individual may contribute not more than seven hundred fifty
1936 dollars in any one calendar year to such committee or for the benefit of
1937 such committee pursuant to its authorization or request.

1938 (c) In no event may any individual make contributions to a
1939 candidate committee and a political committee formed solely to
1940 support one candidate other than an exploratory committee or for the
1941 benefit of a candidate committee and a political committee formed
1942 solely to support one candidate pursuant to the authorization or
1943 request of any such committee, in an amount which in the aggregate is
1944 in excess of the maximum amount which may be contributed to the
1945 candidate.

1946 (d) Any individual may make unlimited contributions or
1947 expenditures to aid or promote the success or defeat of any
1948 referendum question, provided any individual who makes an
1949 expenditure or expenditures in excess of one thousand dollars to
1950 promote the success or defeat of any referendum question shall file
1951 statements according to the same schedule and in the same manner as
1952 is required of a [campaign] treasurer of a political committee under
1953 section 9-608, as amended by this act.

1954 (e) (1) Any individual, entity or committee acting alone may make

1955 unlimited independent expenditures. Except as provided in
1956 subdivision (2) of this subsection, any such individual, entity or
1957 committee that makes or obligates to make an independent
1958 expenditure or expenditures in excess of one thousand dollars, in the
1959 aggregate, shall file statements according to the same schedule and in
1960 the same manner as is required of a [campaign] treasurer of a
1961 candidate committee under section 9-608, as amended by this act.

1962 (2) Any individual, entity or committee that makes or obligates to
1963 make an independent expenditure or expenditures to promote the
1964 success or defeat of a candidate for the office of Governor, Lieutenant
1965 Governor, Secretary of the State, State Treasurer, State Comptroller,
1966 Attorney General, state senator or state representative, which exceeds
1967 one thousand dollars, in the aggregate, during a primary campaign or
1968 a general election campaign, as defined in section 9-700, as amended
1969 by this act, on or after January 1, 2008, shall file a report of such
1970 independent expenditure to the State Elections Enforcement
1971 Commission. The report shall be in the same form as statements filed
1972 under section 9-608, as amended by this act, except that such report
1973 shall be filed electronically. If the individual, entity or committee
1974 makes or obligates to make such independent expenditure or
1975 expenditures more than ninety days before the day of a primary or
1976 election, the individual, entity or committee shall file such report not
1977 later than [forty-eight] twenty-four hours after such payment or
1978 obligation. If the individual, entity or committee makes or obligates to
1979 make such independent expenditure or expenditures ninety days or
1980 less before the day of a primary or election, the person shall file such
1981 report not later than [twenty-four] twelve hours after such payment or
1982 obligation. The report shall be filed under penalty of false statement.

1983 (3) The independent expenditure report shall (A) identify the
1984 candidate for whom the independent expenditure or expenditures is
1985 intended to promote the success or defeat, (B) affirm under penalty of
1986 false statement that the expenditure is an independent expenditure,
1987 and (C) provide any information that the State Elections Enforcement

1988 Commission requires to facilitate compliance with the provisions of
1989 this chapter or chapter 157.

1990 (4) Any person may file a complaint with the commission upon the
1991 belief that (A) any such independent expenditure report or statement
1992 is false, or (B) any individual, entity or committee that is required to
1993 file an independent expenditure report under this subsection has failed
1994 to do so. The commission shall make a prompt determination on such
1995 a complaint.

1996 (5) (A) If an individual, entity or committee fails to file a report
1997 required under subdivision (2) of this subsection for an independent
1998 expenditure or expenditures made or obligated to be made more than
1999 ninety days before the day of a primary or election, the person shall be
2000 subject to a civil penalty, imposed by the State Elections Enforcement
2001 Commission, of not more than five thousand dollars. If an individual,
2002 entity or committee fails to file a report required under subdivision (2)
2003 of this subsection for an independent expenditure or expenditures
2004 made or obligated to be made ninety days or less before the day of a
2005 primary or election, such individual, entity or committee shall be
2006 subject to a civil penalty, imposed by the State Elections Enforcement
2007 Commission, of not more than ten thousand dollars. (B) If any such
2008 failure is knowing and wilful, the person responsible for the failure
2009 shall also be fined not more than five thousand dollars or imprisoned
2010 not more than five years, or both.

2011 (f) (1) As used in this subsection and subsection (f) of section 9-608,
2012 as amended by this act, (A) "investment services" means investment
2013 legal services, investment banking services, investment advisory
2014 services, underwriting services, financial advisory services or
2015 brokerage firm services, and (B) "principal of an investment services
2016 firm" means (i) an individual who is a director of or has an ownership
2017 interest in an investment services firm to which the State Treasurer
2018 pays compensation, expenses or fees or issues a contract, except for an
2019 individual who owns less than five per cent of the shares of an

2020 investment services firm, (ii) an individual who is employed by such
2021 an investment services firm as president, treasurer, or executive vice
2022 president, (iii) an employee of such an investment services firm who
2023 has managerial or discretionary responsibilities with respect to any
2024 investment services provided to the State Treasurer, (iv) the spouse or
2025 a dependent child who is eighteen years of age or older of an
2026 individual described in this subparagraph, or (v) a political committee
2027 established or controlled by an individual described in this
2028 subparagraph.

2029 (2) No principal of an investment services firm shall make a
2030 contribution to, or solicit contributions on behalf of, an exploratory
2031 committee or candidate committee established by a candidate for
2032 nomination or election to the office of State Treasurer during the term
2033 of office of the State Treasurer who pays compensation, expenses or
2034 fees or issues a contract to such firm. The provisions of this subdivision
2035 shall apply only to contributions and the solicitation of contributions
2036 that are not prohibited under subdivision (2) of subsection (g) of this
2037 section.

2038 (3) Neither the State Treasurer, the Deputy State Treasurer, any
2039 unclassified employee of the office of the State Treasurer acting on
2040 behalf of the State Treasurer or Deputy State Treasurer, any candidate
2041 for the office of State Treasurer, any member of the Investment
2042 Advisory Council established under section 3-13b nor any agent of any
2043 such candidate may knowingly, wilfully or intentionally solicit
2044 contributions on behalf of an exploratory committee or candidate
2045 committee established by a candidate for nomination or election to any
2046 public office, a political committee or a party committee, from a
2047 principal of an investment services firm. The provisions of this
2048 subdivision shall apply only to contributions and the solicitation of
2049 contributions that are not prohibited under subdivision (3) of
2050 subsection (g) of this section.

2051 (4) No member of the Investment Advisory Council appointed

2052 under section 3-13b shall make a contribution to, or solicit
2053 contributions on behalf of, an exploratory committee or candidate
2054 committee established by a candidate for nomination or election to the
2055 office of State Treasurer.

2056 (5) The provisions of this subsection shall not restrict an individual
2057 from establishing an exploratory or candidate committee or from
2058 soliciting for and making contributions to a town committee or
2059 political committee that the candidate has designated in accordance
2060 with subsection (b) of section 9-604, as amended by this act, for the
2061 financing of the individual's own campaign or from soliciting
2062 contributions for such committees from persons not prohibited from
2063 making contributions under this subsection.

2064 (g) (1) As used in this subsection and subsections (h) and (i) of this
2065 section:

2066 (A) "Quasi-public agency" has the same meaning as provided in
2067 section 1-120.

2068 (B) "State agency" means any office, department, board, council,
2069 commission, institution or other agency in the executive or legislative
2070 branch of state government.

2071 (C) "State contract" means an agreement or contract with the state or
2072 any state agency or any quasi-public agency, let through a
2073 procurement process or otherwise, having a value of fifty thousand
2074 dollars or more, or a combination or series of such agreements or
2075 contracts having a value of one hundred thousand dollars or more in a
2076 calendar year, for (i) the rendition of services, (ii) the furnishing of any
2077 goods, material, supplies, equipment or any items of any kind, (iii) the
2078 construction, alteration or repair of any public building or public work,
2079 (iv) the acquisition, sale or lease of any land or building, (v) a licensing
2080 arrangement, or (vi) a grant, loan or loan guarantee. "State contract"
2081 does not include any agreement or contract with the state, any state
2082 agency or any quasi-public agency that is exclusively federally funded,

2083 an education loan, a loan to an individual for other than commercial
2084 purposes or any agreement or contract between the state or any state
2085 agency and the United States Department of the Navy or the United
2086 States Department of Defense.

2087 (D) "State contractor" means a person, business entity or nonprofit
2088 organization that enters into a state contract. Such person, business
2089 entity or nonprofit organization shall be deemed to be a state
2090 contractor until December thirty-first of the year in which such
2091 contract terminates. "State contractor" does not include a municipality
2092 or any other political subdivision of the state, including any entities or
2093 associations duly created by the municipality or political subdivision
2094 exclusively amongst themselves to further any purpose authorized by
2095 statute or charter, or an employee in the executive or legislative branch
2096 of state government or a quasi-public agency, whether in the classified
2097 or unclassified service and full or part-time, and only in such person's
2098 capacity as a state or quasi-public agency employee.

2099 (E) "Prospective state contractor" means a person, business entity or
2100 nonprofit organization that (i) submits a response to a state contract
2101 solicitation by the state, a state agency or a quasi-public agency, or a
2102 proposal in response to a request for proposals by the state, a state
2103 agency or a quasi-public agency, until the contract has been entered
2104 into, or (ii) holds a valid prequalification certificate issued by the
2105 Commissioner of Administrative Services under section 4a-100.
2106 "Prospective state contractor" does not include a municipality or any
2107 other political subdivision of the state, including any entities or
2108 associations duly created by the municipality or political subdivision
2109 exclusively amongst themselves to further any purpose authorized by
2110 statute or charter, or an employee in the executive or legislative branch
2111 of state government or a quasi-public agency, whether in the classified
2112 or unclassified service and full or part-time, and only in such person's
2113 capacity as a state or quasi-public agency employee.

2114 (F) "Principal of a state contractor or prospective state contractor"

2115 means (i) any individual who is a member of the board of directors of,
2116 or has an ownership interest of five per cent or more in, a state
2117 contractor or prospective state contractor, which is a business entity,
2118 except for an individual who is a member of the board of directors of a
2119 nonprofit organization, (ii) an individual who is employed by a state
2120 contractor or prospective state contractor, which is a business entity, as
2121 president, treasurer or executive vice president, (iii) an individual who
2122 is the chief executive officer of a state contractor or prospective state
2123 contractor, which is not a business entity, or if a state contractor or
2124 prospective state contractor has no such officer, then the officer who
2125 duly possesses comparable powers and duties, (iv) an officer or an
2126 employee of any state contractor or prospective state contractor who
2127 has managerial or discretionary responsibilities with respect to a state
2128 contract, (v) the spouse or a dependent child who is eighteen years of
2129 age or older of an individual described in this subparagraph, or (vi) a
2130 political committee established or controlled by an individual
2131 described in this subparagraph or the business entity or nonprofit
2132 organization that is the state contractor or prospective state contractor.

2133 (G) "Dependent child" means a child residing in an individual's
2134 household who may legally be claimed as a dependent on the federal
2135 income tax return of such individual.

2136 (H) "Managerial or discretionary responsibilities with respect to a
2137 state contract" means having direct, extensive and substantive
2138 responsibilities with respect to the negotiation of the state contract and
2139 not peripheral, clerical or ministerial responsibilities.

2140 (I) "Rendition of services" means the provision of any service to a
2141 state agency or quasi-public agency in exchange for a fee,
2142 remuneration or compensation of any kind from the state or through
2143 an arrangement with the state.

2144 (J) "State contract solicitation" means a request by a state agency or
2145 quasi-public agency, in whatever form issued, including, but not
2146 limited to, an invitation to bid, request for proposals, request for

2147 information or request for quotes, inviting bids, quotes or other types
2148 of submittals, through a competitive procurement process or another
2149 process authorized by law waiving competitive procurement.

2150 (K) "Subcontractor" means any person, business entity or nonprofit
2151 organization that contracts to perform part or all of the obligations of a
2152 state contractor's state contract. Such person, business entity or
2153 nonprofit organization shall be deemed to be a subcontractor until
2154 December thirty-first of the year in which the subcontract terminates.
2155 "Subcontractor" does not include (i) a municipality or any other
2156 political subdivision of the state, including any entities or associations
2157 duly created by the municipality or political subdivision exclusively
2158 amongst themselves to further any purpose authorized by statute or
2159 charter, or (ii) an employee in the executive or legislative branch of
2160 state government or a quasi-public agency, whether in the classified or
2161 unclassified service and full or part-time, and only in such person's
2162 capacity as a state or quasi-public agency employee.

2163 (L) "Principal of a subcontractor" means (i) any individual who is a
2164 member of the board of directors of, or has an ownership interest of
2165 five per cent or more in, a subcontractor, which is a business entity,
2166 except for an individual who is a member of the board of directors of a
2167 nonprofit organization, (ii) an individual who is employed by a
2168 subcontractor, which is a business entity, as president, treasurer or
2169 executive vice president, (iii) an individual who is the chief executive
2170 officer of a subcontractor, which is not a business entity, or if a
2171 subcontractor has no such officer, then the officer who duly possesses
2172 comparable powers and duties, (iv) an officer or an employee of any
2173 subcontractor who has managerial or discretionary responsibilities
2174 with respect to a subcontract with a state contractor, (v) the spouse or a
2175 dependent child who is eighteen years of age or older of an individual
2176 described in this subparagraph, or (vi) a political committee
2177 established or controlled by an individual described in this
2178 subparagraph or the business entity or nonprofit organization that is
2179 the subcontractor.

2180 (2) (A) No state contractor, prospective state contractor, principal of
2181 a state contractor or principal of a prospective state contractor, with
2182 regard to a state contract or a state contract solicitation with or from a
2183 state agency in the executive branch or a quasi-public agency or a
2184 holder, or principal of a holder of a valid prequalification certificate,
2185 shall make a contribution to, or, on and after January 1, 2011,
2186 knowingly solicit contributions from the state contractor's or
2187 prospective state contractor's employees or from a subcontractor or
2188 principals of the subcontractor on behalf of (i) an exploratory
2189 committee or candidate committee established by a candidate for
2190 nomination or election to the office of Governor, Lieutenant Governor,
2191 Attorney General, State Comptroller, Secretary of the State or State
2192 Treasurer, (ii) a political committee authorized to make contributions
2193 or expenditures to or for the benefit of such candidates, or (iii) a party
2194 committee;

2195 (B) No state contractor, prospective state contractor, principal of a
2196 state contractor or principal of a prospective state contractor, with
2197 regard to a state contract or a state contract solicitation with or from
2198 the General Assembly or a holder, or principal of a holder, of a valid
2199 prequalification certificate, shall make a contribution to, or, on and
2200 after January 1, 2011, knowingly solicit contributions from the state
2201 contractor's or prospective state contractor's employees or from a
2202 subcontractor or principals of the subcontractor on behalf of (i) an
2203 exploratory committee or candidate committee established by a
2204 candidate for nomination or election to the office of state senator or
2205 state representative, (ii) a political committee authorized to make
2206 contributions or expenditures to or for the benefit of such candidates,
2207 or (iii) a party committee;

2208 (C) If a state contractor or principal of a state contractor makes or
2209 solicits a contribution as prohibited under subparagraph (A) or (B) of
2210 this subdivision, as determined by the State Elections Enforcement
2211 Commission, the contracting state agency or quasi-public agency may,
2212 in the case of a state contract executed on or after February 8, 2007,

2213 void the existing contract with said contractor, and no state agency or
2214 quasi-public agency shall award the state contractor a state contract or
2215 an extension or an amendment to a state contract for one year after the
2216 election for which such contribution is made or solicited unless the
2217 commission determines that mitigating circumstances exist concerning
2218 such violation. No violation of the prohibitions contained in
2219 subparagraph (A) or (B) of this subdivision shall be deemed to have
2220 occurred if, and only if, the improper contribution is returned to the
2221 principal by the later of thirty days after receipt of such contribution
2222 by the recipient committee treasurer or the filing date that corresponds
2223 with the reporting period in which such contribution was made; and

2224 (D) If a prospective state contractor or principal of a prospective
2225 state contractor makes or solicits a contribution as prohibited under
2226 subparagraph (A) or (B) of this subdivision, as determined by the State
2227 Elections Enforcement Commission, no state agency or quasi-public
2228 agency shall award the prospective state contractor the contract
2229 described in the state contract solicitation or any other state contract
2230 for one year after the election for which such contribution is made or
2231 solicited unless the commission determines that mitigating
2232 circumstances exist concerning such violation. The Commissioner of
2233 Administrative Services shall notify applicants of the provisions of this
2234 subparagraph and subparagraphs (A) and (B) of this subdivision
2235 during the prequalification application process.

2236 (E) The State Elections Enforcement Commission shall make
2237 available to each state agency and quasi-public agency a written notice
2238 advising state contractors and prospective state contractors of the
2239 contribution and solicitation prohibitions contained in subparagraphs
2240 (A) and (B) of this subdivision. Such notice shall: (i) Direct each state
2241 contractor and prospective state contractor to inform each individual
2242 described in subparagraph (F) of subdivision (1) of this subsection,
2243 with regard to said state contractor or prospective state contractor,
2244 about the provisions of subparagraph (A) or (B) of this subdivision,
2245 whichever is applicable, and this subparagraph; (ii) inform each state

2246 contractor and prospective state contractor of the civil and criminal
2247 penalties that could be imposed for violations of such prohibitions if
2248 any such contribution is made or solicited; (iii) inform each state
2249 contractor and prospective state contractor that, in the case of a state
2250 contractor, if any such contribution is made or solicited, the contract
2251 may be voided; (iv) inform each state contractor and prospective state
2252 contractor that, in the case of a prospective state contractor, if any such
2253 contribution is made or solicited, the contract described in the state
2254 contract solicitation shall not be awarded, unless the commission
2255 determines that mitigating circumstances exist concerning such
2256 violation; and (v) inform each state contractor and prospective state
2257 contractor that the state will not award any other state contract to
2258 anyone found in violation of such prohibitions for a period of one year
2259 after the election for which such contribution is made or solicited,
2260 unless the commission determines that mitigating circumstances exist
2261 concerning such violation. Each state agency and quasi-public agency
2262 shall distribute such notice to the chief executive officer of its
2263 contractors and prospective state contractors, or an authorized
2264 signatory to a state contract, and shall obtain a written
2265 acknowledgement of the receipt of such notice.

2266 (3) (A) On and after December 31, 2006, neither the Governor,
2267 Lieutenant Governor, Attorney General, State Comptroller, Secretary
2268 of the State or State Treasurer, any candidate for any such office nor
2269 any agent of any such official or candidate shall knowingly, wilfully or
2270 intentionally solicit contributions on behalf of an exploratory
2271 committee or candidate committee established by a candidate for
2272 nomination or election to any public office, a political committee or a
2273 party committee, from a person who he or she knows is prohibited
2274 from making contributions, including a principal of a state contractor
2275 or prospective state contractor with regard to a state contract
2276 solicitation with or from a state agency in the executive branch or a
2277 quasi-public agency or a holder of a valid prequalification certificate.

2278 (B) On and after December 31, 2006, neither a member of the

2279 General Assembly, any candidate for any such office nor any agent of
2280 any such official or candidate shall knowingly, wilfully or intentionally
2281 solicit contributions on behalf of an exploratory committee or
2282 candidate committee established by a candidate for nomination or
2283 election to any public office, a political committee or a party
2284 committee, from a person who he or she knows is prohibited from
2285 making contributions, including a principal of a state contractor or
2286 prospective state contractor with regard to a state contract solicitation
2287 with or from the General Assembly or a holder of a valid
2288 prequalification certificate.

2289 (4) The provisions of this subsection shall not apply to the campaign
2290 of a principal of a state contractor or prospective state contractor or to
2291 a principal of a state contractor or prospective state contractor who is
2292 an elected public official.

2293 (5) Each state contractor and prospective state contractor shall make
2294 reasonable efforts to comply with the provisions of this subsection. If
2295 the State Elections Enforcement Commission determines that a state
2296 contractor or prospective state contractor has failed to make reasonable
2297 efforts to comply with this subsection, the commission may impose
2298 civil penalties against such state contractor or prospective state
2299 contractor in accordance with subsection (a) of section 9-7b, as
2300 amended by this act.

2301 (h) (1) Not later than thirty days after February 8, 2007, each state
2302 agency and quasi-public agency shall prepare and forward to the State
2303 Elections Enforcement Commission, on a form prescribed by said
2304 commission, a list of the names of the state contractors and prospective
2305 state contractors with which such agency is a party to a contract, and
2306 any state contract solicitations or prequalification certificates issued by
2307 the agency. Not less than once per month, each state agency and quasi-
2308 public agency shall forward to said commission, on a form prescribed
2309 by the commission, any changes additions or deletions to said lists, not
2310 later than the fifteenth day of the month.

2311 (2) Not later than sixty days after February 8, 2007, the State
2312 Elections Enforcement Commission shall (A) compile a master list of
2313 state contractors and prospective state contractors for all state agencies
2314 and quasi-public agencies, based on the information received under
2315 subdivision (1) of this subsection, (B) publish the master list on the
2316 commission's Internet web site, and (C) provide copies of the master
2317 list to [campaign] treasurers upon request. The commission shall
2318 update the master list every month.

2319 (i) The State Contracting Standards Board shall study subcontracts
2320 for state contracts and, not later than February 1, 2010, submit
2321 proposed legislation for extending the provisions of this subsection to
2322 such subcontracts to the joint standing committee of the General
2323 Assembly having cognizance of matters relating to elections.

2324 (j) (1) As used in this subsection:

2325 (A) "Quasi-public agency" has the same meaning as provided in
2326 section 1-120.

2327 (B) "Unclassified service" has the same meaning as provided in
2328 section 5-196.

2329 (2) On and after December 31, 2006:

2330 (A) No executive head of a state agency in the executive branch,
2331 executive head of a quasi-public agency, deputy of any such executive
2332 head, other full-time official or employee of any such state agency or
2333 quasi-public agency who is appointed by the Governor, other full-time
2334 official or employee of any such state agency or quasi-public agency
2335 who is in the unclassified service, or member of the immediate family
2336 of any such person, shall make a contribution or contributions (i) to, or
2337 for the benefit of, any candidate's campaign for nomination at a
2338 primary or election to the office of Governor or Lieutenant Governor,
2339 in excess of one hundred dollars for each such campaign, or (ii) to a
2340 political committee established by any such candidate, in excess of one

2341 hundred dollars in any calendar year;

2342 (B) No official or employee of the office of the Attorney General,
2343 State Comptroller, Secretary of the State or State Treasurer who is in
2344 the unclassified service, or member of the immediate family of any
2345 such person, shall make a contribution or contributions (i) to, or for the
2346 benefit of, any candidate's campaign for nomination at a primary or
2347 election to the office in which such official or employee serves, in
2348 excess of one hundred dollars for each such campaign, or (ii) to a
2349 political committee established by any such candidate, in excess of one
2350 hundred dollars in any calendar year; and

2351 (C) No member of a caucus staff for a major party in the Senate or
2352 House of Representatives, or member of the immediate family of such
2353 person, shall make a contribution or contributions (i) to, or for the
2354 benefit of, any candidate's campaign for nomination at a primary or
2355 election to the office of state senator or state representative, in excess of
2356 one hundred dollars for each such campaign, (ii) to a political
2357 committee established by any such candidate, in excess of one hundred
2358 dollars in any calendar year, or (iii) to a legislative caucus committee
2359 or a legislative leadership committee, in excess of one hundred dollars
2360 in any calendar year.

2361 (k) (1) Notwithstanding any provision of this chapter, as part of any
2362 statement filed pursuant to this section, if an entity or political
2363 committee that engages in independent expenditures or electioneering
2364 communications paid for such campaign-related disbursement out of a
2365 segregated bank account consisting only of funds donated directly to
2366 the account and not transferred to the account by the entity or political
2367 committee, the entity or political committee shall disclose those donors
2368 who gave an aggregate of one thousand dollars or more to the account
2369 on or after January first of the year during which there will be an
2370 election for the office that the candidate who benefited from such
2371 expenditures is seeking, the amount of each donation and the
2372 aggregate amount given, except as provided for in subdivision (3) of

2373 this subsection.

2374 (2) Notwithstanding any provision of this chapter, as part of any
2375 statement filed pursuant to this section, if an entity or political
2376 committee that engages in independent expenditures or electioneering
2377 communications paid for such campaign-related disbursement out of
2378 its general treasury, the entity or political committee shall disclose the
2379 source of all donations, including dues payments, of one hundred
2380 dollars or more to the entity or political committee on or after January
2381 first of the year during which there will be an election for the office
2382 that the candidate who benefited from such expenditures is seeking,
2383 the amount of each donation and the aggregate, except as provided for
2384 in subdivision (3) of this subsection, and excluding any funds received
2385 in a commercial transaction or in the form of an investment.

2386 (3) If a donor restricts his or her donation to the entity or political
2387 committee from being used for a campaign-related disbursement, and
2388 the entity or political committee consents to the restriction and
2389 segregates the money, the identity of the donor does not have to be
2390 disclosed.

2391 Sec. 15. Section 9-613 of the general statutes is repealed and the
2392 following is substituted in lieu thereof (*Effective from passage*):

2393 (a) No business entity shall make any contributions or expenditures
2394 to, or for the benefit of, any candidate's campaign for election to any
2395 public office or position subject to this chapter or for nomination at a
2396 primary for any such office or position, or to promote the defeat of any
2397 candidate for any such office or position. No business entity shall
2398 make any other contributions or expenditures to promote the success
2399 or defeat of any political party, except as provided in subsection (b) of
2400 this section. No business entity shall establish more than one political
2401 committee. A political committee shall be deemed to have been
2402 established by a business entity if the initial disbursement or
2403 contribution to the committee is made under subsection (b) of this
2404 section or by an officer, director, owner, limited or general partner or

2405 holder of stock constituting five per cent or more of the total
2406 outstanding stock of any class of the business entity.

2407 (b) A business entity may make reasonable and necessary transfers
2408 or disbursements to or for the benefit of a political committee
2409 established by such business entity, for the administration of, or
2410 solicitation of contributions to, such political committee. Nonmonetary
2411 contributions by a business entity which are incidental in nature and
2412 are directly attributable to the administration of such political
2413 committee shall be exempt from the reporting requirements of this
2414 chapter.

2415 (c) The provisions of this section shall not preclude a business entity
2416 from making contributions or expenditures to promote the success or
2417 defeat of a referendum question.

2418 (d) A political committee organized by a business entity shall not
2419 make a contribution or contributions to or for the benefit of any
2420 candidate's campaign for nomination at a primary or any candidate's
2421 campaign for election to the office of: (1) Governor, in excess of [five]
2422 ten thousand dollars; (2) Lieutenant Governor, Secretary of the State,
2423 Treasurer, Comptroller or Attorney General, in excess of [three] six
2424 thousand dollars; (3) state senator, probate judge or chief executive
2425 officer of a town, city or borough, in excess of [one] three thousand
2426 [five hundred] dollars; (4) state representative, in excess of [seven
2427 hundred fifty] one thousand five hundred dollars; or (5) any other
2428 office of a municipality not included in subdivision (3) of this
2429 subsection, in excess of [three] seven hundred [seventy-five] fifty
2430 dollars. The limits imposed by this subsection shall apply separately to
2431 primaries and elections and contributions by any such committee to
2432 candidates designated in this subsection shall not exceed [one] two
2433 hundred thousand dollars in the aggregate for any single election and
2434 primary preliminary [thereto] to such election. Contributions to such
2435 committees shall also be subject to the provisions of section 9-618, as
2436 amended by this act, in the case of committees formed for ongoing

2437 political activity or section 9-619 in the case of committees formed for a
2438 single election or primary.

2439 (e) No political committee organized by a business entity shall make
2440 a contribution or contributions to (1) a state central committee of a
2441 political party, in excess of [seven] fifteen thousand [five hundred]
2442 dollars in any calendar year, (2) a town committee of any political
2443 party, in excess of [one] three thousand [five hundred] dollars in any
2444 calendar year, (3) an exploratory committee in excess of [three
2445 hundred seventy-five] seven hundred fifty dollars, or (4) any other
2446 kind of political committee, in excess of [two] four thousand dollars in
2447 any calendar year.

2448 (f) As used in this subsection, "investment services" means
2449 investment legal services, investment banking services, investment
2450 advisory services, underwriting services, financial advisory services or
2451 brokerage firm services. No political committee established by a firm
2452 which provides investment services and to which the State Treasurer
2453 pays compensation, expenses or fees or issues a contract shall make a
2454 contribution to, or solicit contributions on behalf of, an exploratory
2455 committee or candidate committee established by a candidate for
2456 nomination or election to the office of State Treasurer during the term
2457 of office of the State Treasurer who does business with such firm.

2458 (g) Notwithstanding the provisions of this section, a corporation,
2459 cooperative association, limited partnership, professional association,
2460 limited liability company or limited liability partnership, whether
2461 formed in this state or any other, acting alone, may make independent
2462 expenditures.

2463 Sec. 16. Subsection (b) of section 9-614 of the general statutes is
2464 repealed and the following is substituted in lieu thereof (*Effective from*
2465 *passage*):

2466 (b) A political committee established by an organization may elect
2467 to alter the manner in which it is funded if it complies with the

2468 requirements of this subsection. The committee chairperson shall
2469 notify the repository with which the committee's most recent statement
2470 of organization is filed, in writing, of the committee's intent to alter its
2471 manner of funding. Within fifteen days after the date of receipt of such
2472 notification, the [campaign] treasurer of such political committee shall
2473 return any funds remaining in the account of the committee to the
2474 organization's treasury after payment of each outstanding liability.
2475 Within seven days after the distribution and payments have been
2476 made, the [campaign] treasurer shall file a statement with the same
2477 repository itemizing each such distribution and payment. Upon such
2478 filing, the [campaign] treasurer may receive voluntary contributions
2479 from any member of the organization which established such
2480 committee subject to the limitations imposed in subsection (b) of
2481 section 9-612, as amended by this act.

2482 Sec. 17. Section 9-615 of the general statutes is repealed and the
2483 following is substituted in lieu thereof (*Effective from passage*):

2484 (a) No political committee established by an organization shall
2485 make a contribution or contributions to, or for the benefit of, any
2486 candidate's campaign for nomination at a primary or for election to the
2487 office of: (1) Governor, in excess of [~~five~~] ten thousand dollars; (2)
2488 Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or
2489 Attorney General, in excess of [~~three~~] six thousand dollars; (3) chief
2490 executive officer of a town, city or borough, in excess of [~~one thousand~~
2491 ~~five hundred~~] three thousand dollars; (4) state senator or probate
2492 judge, in excess of [~~one thousand five hundred~~] three thousand dollars;
2493 (5) state representative, in excess of [~~seven hundred fifty~~] one thousand
2494 five hundred dollars; or (6) any other office of a municipality not
2495 previously included in this subsection, in excess of [~~three hundred~~
2496 ~~seventy-five~~] seven hundred fifty dollars.

2497 (b) No such committee shall make a contribution or contributions to,
2498 or for the benefit of, an exploratory committee, in excess of three
2499 hundred seventy-five dollars. Any such committee may make

2500 unlimited contributions to a political committee formed solely to aid or
2501 promote the success or defeat of a referendum question.

2502 (c) The limits imposed by subsection (a) of this section shall apply
2503 separately to primaries and elections and no such committee shall
2504 make contributions to the candidates designated in this section which
2505 in the aggregate exceed fifty thousand dollars for any single election
2506 and primary preliminary [thereto] to the election.

2507 (d) No political committee established by an organization shall
2508 make contributions in any one calendar year to, or for the benefit of, (1)
2509 the state central committee of a political party, in excess of [seven
2510 thousand five hundred] fifteen thousand dollars; (2) a town committee,
2511 in excess of [one thousand five hundred] three thousand dollars; or (3)
2512 any political committee, other than an exploratory committee or a
2513 committee formed solely to aid or promote the success or defeat of a
2514 referendum question, in excess of [two] four thousand dollars.

2515 (e) No political committee established by an organization shall make
2516 contributions to the committees designated in subsection (d) of this
2517 section, which in the aggregate exceed [fifteen] thirty thousand dollars
2518 in any one calendar year. Contributions to a political committee
2519 established by an organization shall also be subject to the provisions of
2520 section 9-618, as amended by this act, in the case of a committee
2521 formed for ongoing political activity or section 9-619 in the case of a
2522 committee formed for a single election or primary.

2523 Sec. 18. Subsection (b) of section 9-617 of the general statutes is
2524 repealed and the following is substituted in lieu thereof (*Effective from*
2525 *passage*):

2526 (b) (1) No state central committee shall make a contribution or
2527 contributions to, for the benefit of, or pursuant to the authorization or
2528 request of, a candidate or a committee supporting or opposing any
2529 candidate's campaign for nomination at a primary, or any candidate's
2530 campaign for election, to the office of: (A) Governor, in excess of [fifty]

2531 seventy-five thousand dollars; (B) Lieutenant Governor, Secretary of
2532 the State, Treasurer, Comptroller or Attorney General, in excess of
2533 [thirty-five] fifty thousand dollars; (C) state senator, probate judge or
2534 chief executive officer of a town, city or borough, in excess of [ten]
2535 twenty thousand dollars; (D) state representative, in excess of [five] ten
2536 thousand dollars; or (E) any other office of a municipality not
2537 previously included in this subsection, in excess of [five] ten thousand
2538 dollars. The limits imposed by this subdivision shall apply separately
2539 to primaries and elections.

2540 (2) No state central committee shall make a contribution or
2541 contributions in any one calendar year to, or for the benefit of (A) a
2542 legislative caucus committee or legislative leadership committee, in
2543 excess of [ten] twenty thousand dollars, or (B) any other political
2544 committee, other than an exploratory committee or a committee
2545 formed solely to aid or promote the success or defeat of a referendum
2546 question, in excess of [two thousand five hundred] five thousand
2547 dollars. No state central committee shall make contributions in excess
2548 of three hundred seventy-five dollars to an exploratory committee.

2549 Sec. 19. Section 9-618 of the general statutes is repealed and the
2550 following is substituted in lieu thereof (*Effective from passage*):

2551 (a) A political committee organized for ongoing political activities
2552 may make unlimited contributions to, or for the benefit of, any
2553 national committee of a political party; or a committee of a candidate
2554 for federal or out-of-state office. Except as provided in subdivision (3)
2555 of subsection (d) of this section, no such political committee shall make
2556 a contribution or contributions in excess of [two] four thousand dollars
2557 to another political committee in any calendar year. No political
2558 committee organized for ongoing political activities shall make a
2559 contribution in excess of three hundred seventy-five dollars to an
2560 exploratory committee. If such an ongoing committee is established by
2561 an organization or a business entity, its contributions shall be subject to
2562 the limits imposed by sections 9-613 to 9-615, inclusive, as amended by

2563 this act. A political committee organized for ongoing political activities
2564 may make contributions to a charitable organization which is a tax-
2565 exempt organization under Section 501(c)(3) of the Internal Revenue
2566 Code, as from time to time amended, or make memorial contributions.

2567 (b) No political committee organized for ongoing political purposes,
2568 except a legislative caucus committee or legislative leadership
2569 committee, shall make a contribution or contributions to, for the
2570 benefit of, or pursuant to the authorization or request of, a candidate
2571 or a committee supporting or opposing any candidate's campaign for
2572 nomination at a primary, or any candidate's campaign for election, to
2573 the office of: (1) Governor, in excess of five thousand dollars; (2)
2574 Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or
2575 Attorney General, in excess of three thousand dollars; (3) chief
2576 executive officer of a town, city or borough, in excess of one thousand
2577 five hundred dollars; (4) state senator or probate judge, in excess of
2578 one thousand five hundred dollars; (5) state representative, in excess of
2579 seven hundred fifty dollars; or (6) any other office of a municipality
2580 not previously included in this subsection, in excess of three hundred
2581 seventy-five dollars. The limits imposed by this subsection shall apply
2582 separately to primaries and elections.

2583 (c) No political committee organized for ongoing political purposes,
2584 except a legislative caucus committee or legislative leadership
2585 committee, shall make a contribution or contributions in a calendar
2586 year to, or for the benefit of (1) the state central committee of a political
2587 party, in excess of seven thousand five hundred dollars; or (2) a town
2588 committee of a political party, in excess of one thousand five hundred
2589 dollars.

2590 (d) (1) No legislative caucus committee or legislative leadership
2591 committee shall make a contribution or contributions to, for the benefit
2592 of, or pursuant to the authorization or request of, a candidate or a
2593 committee supporting or opposing any candidate's campaign for
2594 nomination at a primary, or any candidate's campaign for election, to

2595 the office of: (A) State senator, in excess of ten thousand dollars; or (B)
2596 state representative, in excess of five thousand dollars. The limits
2597 imposed by this subdivision shall apply separately to primaries and
2598 elections. No legislative caucus committee or legislative leadership
2599 committee shall make a contribution or contributions to, for the benefit
2600 of, or pursuant to the authorization or request of, a candidate or a
2601 committee supporting or opposing any candidate's campaign for
2602 nomination at a primary, or any candidate's campaign for election, to
2603 any office not included in this subdivision.

2604 (2) No legislative caucus committee or legislative leadership
2605 committee shall make a contribution or contributions in any calendar
2606 year to, or for the benefit of, the state central committee of a political
2607 party, in excess of ten thousand dollars.

2608 (3) No legislative caucus committee or legislative leadership
2609 committee shall make a contribution or contributions to, or for the
2610 benefit of, any committee except as provided in this subsection.

2611 (e) A political committee organized for ongoing political activities
2612 may receive contributions from the federal account of a national
2613 committee of a political party, but may not receive contributions from
2614 any other account of a national committee of a political party or from a
2615 committee of a candidate for federal or out-of-state office.

2616 Sec. 20. Section 9-621 of the general statutes is repealed and the
2617 following is substituted in lieu thereof (*Effective from passage*):

2618 (a) No individual shall make or incur any expenditure with the
2619 consent of, in coordination with or in consultation with any candidate,
2620 candidate committee or candidate's agent, no group of two or more
2621 individuals acting together that receives funds or makes or incurs
2622 expenditures not exceeding one thousand dollars in the aggregate and
2623 has not formed a political committee shall make or incur any
2624 expenditure, and no candidate or committee shall make or incur any
2625 expenditure including an organization expenditure for a party

2626 candidate listing, as defined in subparagraph (A) of subdivision (25) of
2627 section 9-601, as amended by this act, for any electioneering
2628 communication that is written, typed or other printed communication,
2629 or any web-based, written communication, which promotes the
2630 success or defeat of any candidate's campaign for nomination at a
2631 primary or election or promotes or opposes any political party or
2632 solicits funds to benefit any political party or committee unless such
2633 communication bears upon its face as a disclaimer (1) the words "paid
2634 for by" and the following: (A) In the case of such an individual, the
2635 name and address of such individual; (B) in the case of a committee
2636 other than a party committee, the name of the committee and its
2637 [campaign] treasurer; (C) in the case of a party committee, the name of
2638 the committee; or (D) in the case of a group of two or more individuals
2639 that receives funds or makes or incurs expenditures not exceeding one
2640 thousand dollars in the aggregate and has not formed a political
2641 committee, the name of the group and the name and address of its
2642 agent, and (2) the words "approved by" and the following: (A) In the
2643 case of an individual, group or committee other than a candidate
2644 committee making or incurring an expenditure with the consent of, in
2645 coordination with or in consultation with any candidate, candidate
2646 committee or candidate's agent, the name of the candidate; or (B) in the
2647 case of a candidate committee, the name of the candidate.

2648 (b) In addition to the requirements of subsection (a) of this section:

2649 (1) No candidate or candidate committee or exploratory committee
2650 established by a candidate shall make or incur any expenditure for
2651 electioneering communication that is television advertising or Internet
2652 video advertising, which promotes the success of such candidate's
2653 campaign for nomination at a primary or election or the defeat of
2654 another candidate's campaign for nomination at a primary or election,
2655 unless, as a disclaimer, (A) at the end of such advertising there appears
2656 simultaneously, for a period of not less than four seconds, (i) a clearly
2657 identifiable photographic or similar image of the candidate making
2658 such expenditure, (ii) a clearly readable printed statement identifying

2659 such candidate, and indicating that such candidate has approved the
2660 advertising, and (iii) a simultaneous, personal audio message, in the
2661 following form: "I am (candidate's name) and I approved this
2662 message", and (B) the candidate's name and image appear in, and the
2663 candidate's voice is contained in, the narrative of the advertising,
2664 before the end of such advertising;

2665 (2) No candidate or candidate committee or exploratory committee
2666 established by a candidate shall make or incur any expenditure for
2667 electioneering communication as radio advertising or Internet audio
2668 advertising, which promotes the success of such candidate's campaign
2669 for nomination at a primary or election or the defeat of another
2670 candidate's campaign for nomination at a primary or election, unless,
2671 as a disclaimer, (A) the advertising ends with a personal audio
2672 statement by the candidate making such expenditure (i) identifying
2673 such candidate and the office such candidate is seeking, and (ii)
2674 indicating that such candidate has approved the advertising in the
2675 following form: "I am (candidate's name) and I approved this
2676 message", and (B) the candidate's name and voice are contained in the
2677 narrative of the advertising, before the end of such advertising; and

2678 (3) No candidate or candidate committee or exploratory committee
2679 established by a candidate shall make or incur any expenditure for
2680 automated telephone calls which promote the success of such
2681 candidate's campaign for nomination at a primary or election or the
2682 defeat of another candidate's campaign for nomination at a primary or
2683 election, unless the candidate's name and voice are contained in the
2684 narrative of the call, before the end of such call.

2685 (c) No business entity, organization, association, committee, or
2686 group of two or more individuals who have joined solely to promote
2687 the success or defeat of a referendum question shall make or incur any
2688 expenditure for any electioneering communication that is written,
2689 typed or other printed communication which promotes the success or
2690 defeat of any referendum question unless such communication bears

2691 upon its face, as a disclaimer, the words "paid for by" and the
2692 following: (1) In the case of a business entity, organization or
2693 association, the name of the business entity, organization or association
2694 and the name of its chief executive officer or equivalent and a list of the
2695 top five donors for such communication, and a link to a web site that
2696 lists all such donors and their addresses; (2) in the case of a political
2697 committee, the name of the committee and the name of its [campaign]
2698 treasurer; (3) in the case of a party committee, the name of the
2699 committee; or (4) in the case of such a group of two or more
2700 individuals, the name of the group and the name and address of its
2701 agent.

2702 (d) The provisions of subsections (a), (b) and (c) of this section do
2703 not apply to (1) any editorial, news story, or commentary published in
2704 any newspaper, magazine or journal on its own behalf and upon its
2705 own responsibility and for which it does not charge or receive any
2706 compensation whatsoever, (2) any banner, (3) political paraphernalia
2707 including pins, buttons, badges, emblems, hats, bumper stickers or
2708 other similar materials, or (4) signs with a surface area of not more
2709 than thirty-two square feet.

2710 (e) The [campaign] treasurer of a candidate committee which
2711 sponsors any written, typed or other printed communication for the
2712 purpose of raising funds to eliminate a campaign deficit of that
2713 committee shall include in such communication a statement that the
2714 funds are sought to eliminate such a deficit.

2715 (f) The [campaign] treasurer of an exploratory committee or
2716 candidate committee established by a candidate for nomination or
2717 election to the office of Treasurer which committee sponsors any
2718 written, typed or other printed communication for the purpose of
2719 raising funds shall include in such communication a statement
2720 concerning the prohibitions set forth in subsection (n) of section 1-84,
2721 subsection (f) of section 9-612, as amended by this act, and subsection
2722 (f) of section 9-613, as amended by this act.

2723 (g) In the event a [campaign] treasurer of a candidate committee is
2724 replaced pursuant to subsection (c) of section 9-602, as amended by
2725 this act, nothing in this section shall be construed to prohibit the
2726 candidate committee from distributing any printed communication
2727 subject to the provisions of this section that has already been printed or
2728 otherwise produced, even though such communication does not
2729 accurately designate the successor [campaign] treasurer of such
2730 candidate committee.

2731 (h) (1) No entity shall make or incur an independent expenditure for
2732 any electioneering communication that is written, typed or other
2733 printed communication, or any web-based, written communication,
2734 that promotes the success or defeat of any candidate for nomination or
2735 election or promotes or opposes any political party or solicits funds to
2736 benefit any political party or committee, unless such communication
2737 bears upon its face, as a disclaimer, the words "Paid for by" and the
2738 name of the entity, the name of its chief executive officer or equivalent,
2739 and its principal business address and a list of the top five donors for
2740 such communication, and a link to a web site that lists all such donors
2741 and their addresses and the words "This message was made
2742 independent of any candidate or political party.". In the case of an
2743 entity making or incurring such an independent expenditure, which
2744 entity is a tax-exempt organization under Section 501(c) of the Internal
2745 Revenue Code of 1986, or any subsequent corresponding internal
2746 revenue code of the United States, as amended from time to time, or an
2747 incorporated tax-exempt political organization organized under
2748 Section 527 of said code, such communication shall also bear upon its
2749 face the words "Top Five Contributors" followed by a list of the five
2750 persons or entities making the largest contributions to such
2751 organization during the twelve-month period before the date of such
2752 communication.

2753 (2) In addition to the requirements of subdivision (1) of this
2754 subsection, no entity shall make or incur an independent expenditure
2755 for electioneering communication as television advertising or Internet

2756 video advertising, that promotes the success or defeat of any candidate
2757 for nomination or election or promotes or opposes any political party
2758 or solicits funds to benefit any political party or committee, unless at
2759 the end of such advertising there appears simultaneously, for a period
2760 of not less than four seconds as a disclaimer, (A) a clearly identifiable
2761 video, photographic or similar image of the entity's chief executive
2762 officer or equivalent and a list of the top five donors for such
2763 communication, and a link to a web site that lists all such donors and
2764 their addresses, and (B) a personal audio message, in the following
2765 form: "I am (name of entity's chief executive officer or equivalent),
2766 (title) of (entity). This message was made independent of any
2767 candidate or political party, and I approved its content.". In the case of
2768 an entity making or incurring such an independent expenditure, which
2769 entity is a tax-exempt organization under Section 501(c) of the Internal
2770 Revenue Code of 1986, or any subsequent corresponding internal
2771 revenue code of the United States, as amended from time to time, or an
2772 incorporated tax-exempt political organization organized under
2773 Section 527 of said code, such advertising shall also include a written
2774 message in the following form: "The top five contributors to the
2775 organization responsible for this advertisement are" followed by a list
2776 of the five persons or entities making the largest contributions during
2777 the twelve-month period before the date of such advertisement.

2778 (3) In addition to the requirements of subdivision (1) of this
2779 subsection, no entity shall make or incur an independent expenditure
2780 for electioneering communication as radio advertising or Internet
2781 audio advertising, that promotes the election or defeat of any
2782 candidate for nomination or election or promotes or opposes any
2783 political party or solicits funds to benefit any political party or
2784 committee, unless the advertising ends with a disclaimer that is a
2785 personal audio statement by the entity's chief executive officer or
2786 equivalent (A) identifying the entity paying for the expenditure and a
2787 list of the top five donors for such communication, and a link to a web
2788 site that lists all such donors and their addresses, and (B) indicating
2789 that the message was made independent of any candidate or political

2790 party, using the following form: "I am (name of entity's chief
2791 executive officer or equivalent), (title), of (entity). This message
2792 was made independent of any candidate or political party, and I
2793 approved its content.". In the case of an entity making or incurring
2794 such an independent expenditure, which entity is a tax-exempt
2795 organization under Section 501(c) of the Internal Revenue Code of
2796 1986, or any subsequent corresponding internal revenue code of the
2797 United States, as amended from time to time, or an incorporated tax-
2798 exempt political organization organized under Section 527 of said
2799 code, such advertising shall also include (i) an audio message in the
2800 following form: "The top five contributors to the organization
2801 responsible for this advertisement are" followed by a list of the five
2802 persons or entities making the largest contributions during the twelve-
2803 month period before the date of such advertisement and a list of the
2804 top five donors for such communication, and a link to a web site that
2805 lists all such donors and their addresses, or (ii) in the case of such an
2806 advertisement that is thirty seconds in duration or shorter, an audio
2807 message providing a web site address that lists such five persons or
2808 entities. In such case, the organization shall establish and maintain
2809 such a web site with such listing for the entire period during which
2810 such organization makes such advertisement.

2811 (4) In addition to the requirements of subdivision (1) of this
2812 subsection, no entity shall make or incur an independent expenditure
2813 for automated telephone calls that promote the election or defeat of
2814 any candidate for nomination or election or promotes or opposes any
2815 political party or solicits funds to benefit any political party or
2816 committee, unless the narrative of the telephone call identifies the
2817 entity making the expenditure and its chief executive officer or
2818 equivalent. In the case of an entity making or incurring such an
2819 independent expenditure, which entity is a tax-exempt organization
2820 under Section 501(c) of the Internal Revenue Code of 1986, or any
2821 subsequent corresponding internal revenue code of the United States,
2822 as amended from time to time, or an incorporated tax-exempt political
2823 organization organized under Section 527 of said code, such narrative

2824 shall also include an audio message in the following form: "The top
2825 five contributors to the organization responsible for this telephone call
2826 are" followed by a list of the five persons or entities making the largest
2827 contributions during the twelve-month period before the date of such
2828 telephone call and a list of the top five donors for such communication,
2829 and a link to a web site that lists all such donors and their addresses.

2830 (i) No party committee shall need prior approval by a candidate for
2831 a slate promotion in print, television or social media. The party
2832 committee shall use appropriate disclaimers pursuant to the provisions
2833 of this section for such promotion, but individual candidate
2834 disclaimers are not required. For purposes of this subsection, "social
2835 media" has the same meaning as provided in subsection (a) of section
2836 9-601a, as amended by this act.

2837 (j) Any provider of community antenna service, as defined in
2838 section 16-1, or newspaper shall post for at least fourteen days before
2839 an electioneering communication is aired or printed on a central web
2840 site in the form of a searchable database (1) the name, address and
2841 contact information of the chairperson or the treasurer of the
2842 committee or entity paying for the communication, any donor of a
2843 donation over five thousand dollars to the entity paying for the
2844 communication, (2) the date of the expenditure, (3) the purpose of the
2845 expenditure, (4) the amount of expenditure, (5) any vendor name and
2846 contact information, (6) the name of the candidate or measure
2847 mentioned in the communication, and (7) whether the expenditure
2848 was made to support or oppose the targeted candidate or measure.

2849 (k) Any person or entity who knowingly and wilfully violates any
2850 provision of this section shall be subject to a fine up to two hundred
2851 per cent of the amount of the independent expenditure or
2852 electioneering communication in question or imprisoned not more
2853 than five years, or both.

2854 Sec. 21. Section 9-622 of the general statutes is repealed and the
2855 following is substituted in lieu thereof (*Effective from passage*):

2856 The following persons shall be guilty of illegal practices and shall be
2857 punished in accordance with the provisions of section 9-623, as
2858 amended by this act:

2859 (1) Any person who, directly or indirectly, individually or by
2860 another person, gives or offers or promises to any person any money,
2861 gift, advantage, preferment, entertainment, aid, emolument or other
2862 valuable thing for the purpose of inducing or procuring any person to
2863 sign a nominating, primary or referendum petition or to vote or refrain
2864 from voting for or against any person or for or against any measure at
2865 any election, caucus, convention, primary or referendum;

2866 (2) Any person who, directly or indirectly, receives, accepts,
2867 requests or solicits from any person, committee, association,
2868 organization or corporation, any money, gift, advantage, preferment,
2869 aid, emolument or other valuable thing for the purpose of inducing or
2870 procuring any person to sign a nominating, primary or referendum
2871 petition or to vote or refrain from voting for or against any person or
2872 for or against any measure at any such election, caucus, primary or
2873 referendum;

2874 (3) Any person who, in consideration of any money, gift, advantage,
2875 preferment, aid, emolument or other valuable thing paid, received,
2876 accepted or promised to the person's advantage or any other person's
2877 advantage, votes or refrains from voting for or against any person or
2878 for or against any measure at any such election, caucus, primary or
2879 referendum;

2880 (4) Any person who solicits from any candidate any money, gift,
2881 contribution, emolument or other valuable thing for the purpose of
2882 using the same for the support, assistance, benefit or expenses of any
2883 club, company or organization, or for the purpose of defraying the cost
2884 or expenses of any political campaign, primary, referendum or
2885 election;

2886 (5) Any person who, directly or indirectly, pays, gives, contributes

2887 or promises any money or other valuable thing to defray or towards
2888 defraying the cost or expenses of any campaign, primary, referendum
2889 or election to any person, committee, company, club, organization or
2890 association, other than to a [campaign] treasurer, except that this
2891 subdivision shall not apply to any expenses for postage, telegrams,
2892 telephoning, stationery, express charges, traveling, meals, lodging or
2893 photocopying incurred by any candidate for office or for nomination to
2894 office, so far as may be permitted under the provisions of this chapter;

2895 (6) Any person who, in order to secure or promote the person's own
2896 nomination or election as a candidate, or that of any other person,
2897 directly or indirectly, promises to appoint, or promises to secure or
2898 assist in securing the appointment, nomination or election of any other
2899 person to any public position, or to any position of honor, trust or
2900 emolument; but any person may publicly announce the person's own
2901 choice or purpose in relation to any appointment, nomination or
2902 election in which the person may be called to take part, if the person is
2903 nominated for or elected to such office;

2904 (7) Any person who, directly or indirectly, individually or through
2905 another person, makes a payment or promise of payment to a
2906 [campaign] treasurer in a name other than the person's own, and any
2907 [campaign] treasurer who knowingly receives a payment or promise of
2908 payment, or enters or causes the same to be entered in the person's
2909 accounts in any other name than that of the person by whom such
2910 payment or promise of payment is made;

2911 (8) Any person who knowingly and wilfully violates any provision
2912 of this chapter;

2913 (9) Any person who offers or receives a cash contribution in excess
2914 of one hundred dollars to promote the success or defeat of any political
2915 party, candidate or referendum question;

2916 (10) Any person who solicits, makes or receives a contribution that
2917 is otherwise prohibited by any provision of this chapter;

2918 (11) Any department head or deputy department head of a state
2919 department who solicits a contribution on behalf of, or for the benefit
2920 of, any candidate for state, district or municipal office or any political
2921 party;

2922 (12) Any municipal employee who solicits a contribution on behalf
2923 of, or for the benefit of, any candidate for state, district or municipal
2924 office, any political committee or any political party, from (A) an
2925 individual under the supervision of such employee, or (B) the spouse
2926 or a dependent child of such individual;

2927 (13) Any person who makes an expenditure, that is not an
2928 independent expenditure, for a candidate without the knowledge of
2929 such candidate. No candidate shall be civilly or criminally liable with
2930 regard to any such expenditure;

2931 (14) Any chief of staff of a legislative caucus who solicits a
2932 contribution on behalf of or for the benefit of any candidate for state,
2933 district or municipal office from an employee of the legislative caucus;

2934 (15) Any chief of staff for a state-wide elected official who solicits a
2935 contribution on behalf of or for the benefit of any candidate for state,
2936 district or municipal office from a member of such official's staff; or

2937 (16) Any chief of staff for the Governor or Lieutenant Governor who
2938 solicits a contribution on behalf of or for the benefit of any candidate
2939 for state, district or municipal office from a member of the staff of the
2940 Governor or Lieutenant Governor, or from any commissioner or
2941 deputy commissioner of any state agency.

2942 Sec. 22. Subdivision (1) of subsection (b) of section 9-623 of the
2943 general statutes is repealed and the following is substituted in lieu
2944 thereof (*Effective from passage*):

2945 (b) (1) If any [campaign] treasurer fails to file any statement
2946 required by section 9-608, as amended by this act, or if any candidate
2947 fails to file either (A) a statement for the formation of a candidate

2948 committee as required by section 9-604, as amended by this act, or (B) a
2949 certification pursuant to section 9-603 that the candidate is exempt
2950 from forming a candidate committee as required by section 9-604, as
2951 amended by this act, within the time required, the campaign treasurer
2952 or candidate, as the case may be, shall pay a late filing fee of one
2953 hundred dollars.

2954 Sec. 23. Section 9-624 of the general statutes is repealed and the
2955 following is substituted in lieu thereof (*Effective from passage*):

2956 (a) The State Elections Enforcement Commission shall prepare and
2957 print the forms required for compliance with this chapter and
2958 distribute them upon request to candidates and [campaign] treasurers.

2959 (b) The State Elections Enforcement Commission shall, at the
2960 expense of the state, prepare and print all forms for statements
2961 required to be returned under the provisions of this chapter and shall
2962 furnish to each town clerk a sufficient supply of each of such blank
2963 forms as are required to be filed with or returned to the town clerk.
2964 The town clerk of each town shall, upon request, distribute to
2965 [campaign] treasurers the forms required for compliance with this
2966 chapter and, if not salaried, shall be entitled to receive from the town
2967 the sum of ten cents for each copy.

2968 Sec. 24. Section 9-712 of the 2012 supplement to the general statutes
2969 is repealed and the following is substituted in lieu thereof (*Effective*
2970 *from passage*):

2971 [(a) (1) The campaign treasurer of each candidate committee in a
2972 primary campaign or a general election campaign in which there is at
2973 least one participating candidate shall file weekly supplemental
2974 campaign finance statements with the commission in accordance with
2975 the provisions of subdivision (2) of this subsection. Such weekly
2976 statements shall be in lieu of the campaign finance statements due
2977 pursuant to subparagraphs (B) and (C) of subdivision (1) of subsection
2978 (a) of section 9-608.

2979 (2) Each such campaign treasurer shall file weekly supplemental
2980 campaign finance statements with the commission pursuant to the
2981 following schedule: (A) In the case of a primary campaign, on the next
2982 Thursday following the date in July on which treasurers are required
2983 to file campaign finance statements pursuant to subparagraph (A) of
2984 subdivision (1) of subsection (a) of section 9-608 and each Thursday
2985 thereafter up to and including the Thursday before the day of the
2986 primary, and (B) in the case of a general election campaign, on the next
2987 Thursday following the date in October on which candidates are
2988 required to file campaign finance statements pursuant to
2989 subparagraph (A) of subdivision (1) of subsection (a) of section 9-608
2990 and each Thursday thereafter up to and including the Thursday before
2991 the day of the election. The statement shall be complete as of eleven
2992 fifty-nine o'clock p.m. of the second day immediately preceding the
2993 required filing day. The statement shall cover the period beginning
2994 with the first day not included in the last filed statement.

2995 (3) Notwithstanding the provisions of subdivisions (1) and (2) of
2996 this subsection, if a participating candidate committee in a primary
2997 campaign or a general election campaign in which there is at least one
2998 participating candidate makes expenditures or incurs an obligation to
2999 make expenditures that, in the aggregate, exceed one hundred per cent
3000 of the applicable expenditure limit for the applicable primary or
3001 general election campaign period, the campaign treasurer of any such
3002 candidate committee shall file a declaration of excess expenditures
3003 statement with the commission, pursuant to the following schedule:
3004 (A) If a candidate committee makes expenditures or incurs an
3005 obligation to make such expenditures more than twenty days before
3006 the day of such primary or election, the campaign treasurer of such
3007 candidate shall file such statement with the commission not later than
3008 forty-eight hours after making such expenditures or incurring an
3009 obligation to make such expenditures, and (B) if a candidate committee
3010 makes such expenditures or incurs an obligation to make such
3011 expenditures twenty days or less before the day of such primary or
3012 election, the campaign treasurer of such candidate shall file such

3013 statement with the commission not later than twenty-four hours after
3014 making such expenditures or incurring an obligation to make such
3015 expenditures. The statement shall be complete as of eleven fifty-nine
3016 o'clock p.m. of the first day immediately preceding the required filing
3017 day. The statement shall cover a period beginning with the first day
3018 not included in the last filed statement.

3019 (4) Notwithstanding the provisions of this subsection, the
3020 statements required to be filed pursuant to subdivisions (1) and (2) of
3021 this subsection shall not be required to be filed by (A) a candidate
3022 committee of a candidate that is exempt from filing campaign finance
3023 statements pursuant to subsection (b) of section 9-608 unless or until
3024 such a candidate committee receives or expends an amount in excess
3025 of one thousand dollars for purposes of the primary or election for
3026 which such committee was formed, or (B) a candidate committee of a
3027 participating candidate that is unopposed, except that such candidate
3028 committee shall file a supplemental statement on the last Thursday
3029 before the applicable primary or general election. Such statement shall
3030 be complete as of eleven fifty-nine o'clock p.m. of the second day
3031 immediately preceding the required filing day. The statement shall
3032 cover a period beginning with the first day not included in the last
3033 filed statement.

3034 (5) Each supplemental statement required under subdivision (1), (2)
3035 or (3) of this subsection for a candidate shall disclose the information
3036 required under subsection (c) of section 9-608.]

3037 (a) (1) If a candidate committee in a primary campaign or a general
3038 election campaign in which there is at least one participating candidate
3039 who initially receives contributions, loans or other funds or makes or
3040 incurs an obligation to make, an expenditure that, in the aggregate,
3041 exceeds ninety per cent of the applicable expenditure limit for the
3042 applicable primary or general election period, the treasurer of the
3043 candidate committee receiving such contributions, loans or other funds
3044 or making or incurring the obligation to make the excess expenditure

3045 shall file a supplemental campaign finance statement with the State
3046 Elections Enforcement Commission in accordance with the provisions
3047 of subdivision (2) of this subsection.

3048 (2) If a candidate committee receives contributions, loans or other
3049 funds, or makes or incurs an obligation to make an expenditure that, in
3050 the aggregate, exceeds ninety per cent of the applicable expenditure
3051 limit for the applicable primary or general election campaign period
3052 more than twenty days before the day of such primary or election, the
3053 treasurer of said candidate shall file an initial supplemental campaign
3054 finance disclosure statement with the commission not later than forty-
3055 eight hours after receiving such contributions, loans or other funds, or
3056 making or incurring such expenditure. If said candidate committee
3057 receives contributions, loans or other funds, or makes or incurs an
3058 obligation to make expenditures, that, in the aggregate, exceed ninety
3059 per cent of the applicable expenditure limit for the applicable primary
3060 or general election campaign period twenty days or less before the day
3061 of such primary or election, the treasurer of such candidate shall file
3062 such statement with the commission not later than twenty-four hours
3063 after receiving such contributions, loans or funds, or making or
3064 incurring such expenditure.

3065 (3) After the initial filing of a statement under subdivisions (1) and
3066 (2) of this subsection, the treasurer of the candidate filing the statement
3067 and the treasurer of all of the opposing candidates shall file periodic
3068 supplemental campaign finance statements with the commission on
3069 the following schedule: (A) If the date of the applicable primary or
3070 general election is more than five weeks after the date the initial
3071 supplemental campaign finance disclosure statement is due to be filed
3072 in accordance with subdivisions (1) and (2) of this subsection, periodic
3073 supplemental campaign finance statements shall be filed bi-weekly on
3074 every other Thursday, beginning the second Thursday after the initial
3075 statement is filed; and (B) if the date of the applicable primary election
3076 or general election is five weeks or less away, periodic supplemental
3077 campaign finance statements shall be filed: (i) In the case of a primary

3078 campaign, on the first Thursday following the date in July on which
3079 candidates are required to file campaign finance statements pursuant
3080 to subsection (a) of section 9-608, as amended by this act, or the first
3081 Thursday following the supplemental campaign finance statement
3082 filed under subdivisions (1) and (2) of this subsection, whichever is
3083 later, and each Thursday thereafter until the Thursday before the day
3084 of the primary, inclusive, and (ii) in the case of a general election
3085 campaign, on the first Thursday following the date in October on
3086 which candidates are required to file campaign finance statements
3087 pursuant to subsection (a) of section 9-608, as amended by this act, or
3088 the first Thursday following the supplemental campaign finance
3089 statement filed under subdivision (1) of this subsection, whichever is
3090 later, and each Thursday thereafter until the Thursday after the day of
3091 the election, inclusive.

3092 (4) Notwithstanding the provisions of subdivisions (1), (2) and (3) of
3093 this subsection, if a candidate committee in a primary campaign or a
3094 general election campaign in which there is at least one participating
3095 candidate receives contributions, loans or other funds, or makes or
3096 incurs an obligation to make expenditures that, in the aggregate,
3097 exceed one hundred per cent, one hundred twenty-five per cent, one
3098 hundred fifty per cent, or one hundred seventy-five per cent of the
3099 applicable expenditure limit for the applicable primary or general
3100 election campaign period, the treasurer of the candidate committee
3101 receiving the contributions, incurring the loans or raising the funds, or
3102 making or incurring the obligation to make the excess expenditure or
3103 expenditures shall file a declaration of excess receipts or expenditures
3104 statement with the commission, within the deadlines set forth in
3105 subdivision (2) of this subsection.

3106 (5) Each supplemental statement required under subdivision (1), (2),
3107 (3) or (4) of this subsection for a candidate shall disclose the name of
3108 the candidate, the name of the candidate's campaign committee and
3109 the total amount of campaign contributions, loans or other funds
3110 received, or expenditures made or obligated to be made by such

3111 candidate committee during the primary campaign or the general
3112 election campaign, whichever is applicable, as of the day before the
3113 date on which such statement is required to be filed. The commission
3114 shall adopt regulations, in accordance with the provisions of chapter
3115 54, specifying permissible media for the transmission of such
3116 statements to the commission, which shall include electronic mail.

3117 (b) (1) As used in this section, "excess expenditure" means an
3118 expenditure made, or obligated to be made, by a nonparticipating or a
3119 participating candidate who is opposed by one or more other
3120 participating candidates in a primary campaign or a general election
3121 campaign, which is in excess of the amount of the applicable limit on
3122 expenditures for said participating candidates for said campaign and
3123 which is the sum of (A) the applicable qualifying contributions that the
3124 participating candidate is required to receive under section 9-704 to be
3125 eligible for grants from the Citizens' Election Fund, and (B) one
3126 hundred per cent of the applicable full grant amount for a major party
3127 candidate authorized under section 9-705 for the applicable campaign
3128 period.

3129 (2) The commission shall confirm whether an expenditure described
3130 in a declaration filed under this subsection is an excess expenditure.

3131 (c) If a [campaign] treasurer fails to file any statement or declaration
3132 required by this section within the time required, said [campaign]
3133 treasurer shall be subject to a civil penalty, imposed by the
3134 commission, of not more than one thousand dollars for the first failure
3135 to file the statement within the time required and not more than five
3136 thousand dollars for any subsequent such failure.

3137 Sec. 25. Section 9-718 of the general statutes is repealed and the
3138 following is substituted in lieu thereof (*Effective from passage*):

3139 (a) (1) Notwithstanding any provision of the general statutes, and
3140 except as provided in subdivision (2) of this subsection, no party
3141 committee, legislative caucus committee or legislative leadership

3142 committee shall make an organization expenditure for the benefit of a
3143 participating candidate or the candidate committee of a participating
3144 candidate in the Citizens' Election Program for the office of state
3145 senator in an amount that exceeds ten thousand dollars for the general
3146 election campaign.

3147 (2) If the State Elections Enforcement Commission, determines (A)
3148 upon the receipt of a report under subsection (e) of section 9-612, as
3149 amended by this act, that an independent expenditure has been made
3150 or obligated to be made, with the intent to promote the defeat of a
3151 participating candidate in the Citizens' Election Program for the office
3152 of state senator for a general election campaign, (B) at the request of
3153 any such participating candidate that such an independent
3154 expenditure has been made or obligated to be made with such intent,
3155 or (C) that an expenditure is made, or obligated to be made, by a
3156 nonparticipating candidate who is opposed by a participating
3157 candidate in a general election campaign, which is in excess of ninety
3158 per cent of the applicable grant for said participating candidate, as
3159 reported under section 9-712, as amended by this act, the commission
3160 shall immediately notify the candidate committee of such participating
3161 candidate that the limit on organization expenditures pursuant to
3162 subdivision (1) of this subsection shall be increased by any such
3163 amount of an independent expenditure under subparagraph (A) or (B)
3164 of this subdivision, or by any such amount of an excess expenditure
3165 under subparagraph (C) of this subdivision, provided the total amount
3166 of organization expenditures under this subsection shall not exceed
3167 twenty thousand dollars for the general election campaign.

3168 (b) Notwithstanding any provision of the general statutes, no party
3169 committee, legislative caucus committee or legislative leadership
3170 committee shall make an organization expenditure for the purposes
3171 described in subparagraph (A) of subdivision (25) of section 9-601, as
3172 amended by this act, for the benefit of a participating candidate or the
3173 candidate committee of a participating candidate in the Citizens'
3174 Election Program for the office of state senator for the primary

3175 campaign.

3176 (c) (1) Notwithstanding any provision of the general statutes, and
3177 except as provided in subdivision (2) of this subsection, no party
3178 committee, legislative caucus committee or legislative leadership
3179 committee shall make an organization expenditure for the benefit of a
3180 participating candidate or the candidate committee of a participating
3181 candidate in the Citizens' Election Program for the office of state
3182 representative in an amount that exceeds three thousand five hundred
3183 dollars for the general election campaign.

3184 (2) If the State Elections Enforcement Commission, determines (A)
3185 upon the receipt of a report under subsection (e) of section 9-612, as
3186 amended by this act, that an independent expenditure has been made
3187 or obligated to be made, with the intent to promote the defeat of a
3188 participating candidate in the Citizens' Election Program for the office
3189 of state representative in a general election campaign, (B) at the request
3190 of any such participating candidate that such an independent
3191 expenditure has been made or obligated to be made with such intent,
3192 or (C) that an expenditure is made, or obligated to be made, by a
3193 nonparticipating candidate who is opposed by a participating
3194 candidate in a general election campaign, which is in excess of ninety
3195 per cent of the applicable grant for said participating candidate, as
3196 reported under section 9-712, as amended by this act, the commission
3197 shall immediately notify the candidate committee of such participating
3198 candidate that the limit on organization expenditures pursuant to
3199 subdivision (1) of this subsection shall be increased by any such
3200 amount of an independent expenditure under subparagraph (A) or (B)
3201 of this subdivision, or by any such amount of an excess expenditure
3202 under subparagraph (C) of this subdivision, provided the total amount
3203 of organization expenditures under this subsection shall not exceed
3204 seven thousand dollars for the general election campaign.

3205 (d) Notwithstanding any provision of the general statutes, no party
3206 committee, legislative caucus committee or legislative leadership

3207 committee shall make an organization expenditure for the purposes
3208 described in subparagraph (A) of subdivision (25) of section 9-601, as
3209 amended by this act, for the benefit of a participating candidate or the
3210 candidate committee of a participating candidate in the Citizens'
3211 Election Program for the office of state representative for the primary
3212 campaign.

3213 Sec. 26. (NEW) (*Effective from passage*) If the State Elections
3214 Enforcement Commission, determines upon the receipt of a report that
3215 the candidate committee of a candidate in a primary or general
3216 election campaign for the office of Governor, Lieutenant Governor,
3217 Attorney General, State Comptroller, State Treasurer or Secretary of
3218 the State, in which there is at least one participating candidate, receives
3219 contributions, loans or other funds, as reported under section 9-712 of
3220 the general statutes, as amended by this act, in excess of the
3221 participating candidate's applicable expenditure limit set forth in
3222 subsection (c) of section 9-702, as amended by this act, the commission
3223 shall immediately notify the candidate committee of such participating
3224 candidate that the candidate committee of such participating candidate
3225 may engage in additional fundraising, including contributions from
3226 any committee under chapter 155 of the general statutes without being
3227 subject to limitations otherwise applicable under chapters 155 and 157
3228 of the general statutes, except as such limitations apply to
3229 contributions from state contractors or lobbyists. Any expenditure
3230 limits applicable to such participating candidate shall be raised by the
3231 amount of additional fundraising pursuant to this section. Any surplus
3232 funds shall be deposited in the Citizens' Election Fund or treated as
3233 provided for in section 9-608 of the general statutes, as amended by
3234 this act.

3235 Sec. 27. Subsection (c) of section 9-702 of the 2012 supplement to the
3236 general statutes is repealed and the following is substituted in lieu
3237 thereof (*Effective from passage*):

3238 (c) [A] Except as provided for in section 26 of this act, a candidate

3239 participating in the Citizens' Election Program shall limit the
3240 expenditures of the candidate's candidate committee (A) before a
3241 primary campaign and a general election campaign, to the amount of
3242 qualifying contributions permitted in section 9-704, as amended by this
3243 act, and any personal funds provided by the candidate under
3244 subsection (c) of section 9-710, (B) for a primary campaign, to the sum
3245 of (i) the amount of such qualifying contributions and personal funds
3246 that have not been spent before the primary campaign, and (ii) the
3247 amount of the grant for the primary campaign authorized under
3248 section 9-705, and (C) for a general election campaign, to the sum of (i)
3249 the amount of such qualifying contributions and personal funds that
3250 have not been spent before the general election campaign, (ii) any
3251 unexpended funds from any grant for a primary campaign authorized
3252 under section 9-705, and (iii) the amount of the grant for the general
3253 election campaign authorized under section 9-705. The candidate
3254 committee of a minor or petitioning party candidate who has received
3255 a general election campaign grant from the fund pursuant to section 9-
3256 705 shall be permitted to receive contributions in addition to the
3257 qualifying contributions subject to the limitations and restrictions
3258 applicable to participating candidates for the same office, provided
3259 such minor or petitioning party candidate shall limit the expenditures
3260 of the candidate committee for a general election campaign to the sum
3261 of the qualifying contributions and personal funds, the amount of the
3262 general election campaign grant received and the amount raised in
3263 additional contributions that is equivalent to the difference between
3264 the amount of the applicable general election campaign grant for a
3265 major party candidate for such office and the amount of the general
3266 election campaign grant received by such minor or petitioning party
3267 candidate.

3268 Sec. 28. Subsections (a) and (b) of section 1-96 of the general statutes
3269 are repealed and the following is substituted in lieu thereof (*Effective*
3270 *from passage*):

3271 (a) (1) Each client lobbyist registrant shall file with the Office of

3272 State Ethics between the first and tenth day of April, July and January
3273 a financial report, signed under penalty of false statement. The April
3274 and July reports shall cover its lobbying activities during the previous
3275 calendar quarter and the January report shall cover its lobbying
3276 activities during the previous two calendar quarters. In addition to
3277 such reports, each client lobbyist registrant which attempts to
3278 influence legislative action shall file, under penalty of false statement,
3279 interim monthly reports of its lobbying activities for each month the
3280 General Assembly is in regular session, except that no monthly report
3281 shall be required for any month in which it neither expends nor agrees
3282 to expend one hundred dollars or more in furtherance of lobbying.
3283 Such interim monthly reports shall be filed with the Office of State
3284 Ethics no later than the tenth day of the month following the last day
3285 of the month reported. If the client lobbyist registrant is not an
3286 individual, an authorized officer or agent of the client lobbyist
3287 registrant shall sign the form. A communicator lobbyist for a
3288 municipality or any subdivision of a municipality, a branch of state
3289 government or any subdivision of state government or a quasi-public
3290 agency shall file the reports described in this subsection utilizing the
3291 client lobbyist reporting schedule.

3292 (2) Each client lobbyist registrant shall electronically report any
3293 independent expenditure, as defined in section 9-601c, as amended by
3294 this act, or any expenditure for electioneering communication, as
3295 defined in section 9-601 of the general statutes, as amended by this act,
3296 to the State Elections Enforcement Commission in a manner prescribed
3297 by said commission.

3298 (b) (1) Each individual communicator lobbyist registrant and each
3299 business organization communicator lobbyist registrant shall file with
3300 the Office of State Ethics between the first and tenth day of January a
3301 report or reports, signed under penalty of false statement, reporting
3302 the amounts of compensation and reimbursement received from each
3303 of his clients during the previous year. In addition, each individual
3304 communicator lobbyist registrant and each business organization

3305 communicator lobbyist registrant shall: [(1)] (A) Report the
3306 fundamental terms of contracts, agreements or promises to pay or
3307 receive compensation or reimbursement or to make expenditures in
3308 furtherance of lobbying, including the categories of work to be
3309 performed and the dollar value or compensation rate of the contract, at
3310 the time of registration; [(2)] (B) report, in accordance with the
3311 schedule set forth in subsection (a) of this section, any amendments to
3312 these fundamental terms, including any agreements to subcontract
3313 lobbying work; and [(3)] (C) report, in accordance with the provisions
3314 of subsection (a) of this section, any expenditures for the benefit of a
3315 public official in the legislative or executive branch or a member of the
3316 staff or immediate family of such official which are unreimbursed and
3317 required to be itemized. Such report shall not include the disclosure of
3318 food and beverage provided by a communicator lobbyist registrant to
3319 a public official in the legislative or executive branch or a member of
3320 his staff or immediate family at a major life event, as defined by the
3321 Citizen's Ethics Advisory Board, of the registrant. All such information
3322 shall be reported under penalty of false statement.

3323 (2) Each individual communicator lobbyist registrant shall
3324 electronically report any independent expenditure, as defined in
3325 section 9-601c, as amended by this act, or any expenditure for
3326 electioneering communication, as defined in section 9-601 of the
3327 general statutes, as amended by this act, to the State Elections
3328 Enforcement Commission in a manner prescribed by said commission.

3329 Sec. 29. (NEW) (*Effective from passage*) (a) Notwithstanding any
3330 provision of the general statutes, for corporations incorporated in this
3331 state, shareholders shall annually authorize a political activities budget
3332 for the corporation by a majority of votes representing all outstanding
3333 shares. For corporations not incorporated in this state, but registered to
3334 do business in the state or with shareholders residing in the state,
3335 shareholders in the state shall authorize spending related to the state's
3336 elections. Fiduciaries voting on behalf of investors shall disclose such
3337 vote to investors.

3338 (b) As used in subsection (a) of this section, "election related
3339 spending" includes electioneering communications, as defined in
3340 section 9-601 of the general statutes, as amended by this act, and
3341 independent expenditures, as defined in section 9-601c of the general
3342 statutes, as amended by this act, and dues and payments made to trade
3343 associations and other tax exempt organizations, if used for campaign
3344 disbursements, as defined in said section 9-601.

3345 (c) Notwithstanding any provision of the general statutes, for
3346 corporations incorporated in this state, the board of directors shall vote
3347 to authorize each expenditure over ten thousand dollars within the
3348 political activities budget approved by shareholders. The vote shall
3349 include the specific use of the money, including any candidate that
3350 might be the target or beneficiary of an independent expenditure or
3351 electioneering communication. Individual board member votes and
3352 the details of such expenditures shall be disclosed online not later than
3353 forty-eight hours after the vote, to the public, shareholders and the
3354 State Elections Enforcement Commission. Any person or corporation
3355 who knowingly and wilfully violates the requirements of this
3356 provision shall be subject to a fine up to two hundred per cent of the
3357 amount of the independent expenditure or electioneering
3358 communication in question.

3359 Sec. 30. (NEW) (*Effective from passage*) If the State Elections
3360 Enforcement Commission finds that any candidate makes an
3361 expenditure that is found to be coordinated in a manner not
3362 permissible under the provisions of chapter 155 of the general statutes,
3363 the candidate, if a participating candidate, shall return all grant money
3364 awarded under chapter 157 of the general statutes to the Citizens'
3365 Election Fund established in section 9-701 of the general statutes, and if
3366 the candidate is already holding elective office at the time of such
3367 finding, upon the petition of one per cent of the candidate's
3368 constituents, a special election shall be ordered for such candidate's
3369 office. If such candidate runs for such office in the special election, the
3370 candidate shall state on all campaign literature, printed and electronic,

3371 that the new election was required as a result of the candidate's
3372 violation of election law.

3373 Sec. 31. Subdivisions (3) to (5), inclusive, of subsection (a) of section
3374 9-7b of the 2012 supplement to the general statutes are repealed and
3375 the following is substituted in lieu thereof (*Effective from passage*):

3376 (3) (A) To issue an order requiring any person the commission finds
3377 to have received any contribution or payment which is prohibited by
3378 any of the provisions of chapter 155 or 157, after an opportunity to be
3379 heard at a hearing conducted in accordance with the provisions of
3380 sections 4-176e to 4-184, inclusive, to return such contribution or
3381 payment to the donor or payor, or to remit such contribution or
3382 payment to the state for deposit in the General Fund or the Citizens'
3383 Election Fund, whichever is deemed necessary to effectuate the
3384 purposes of chapter 155 or 157, as the case may be;

3385 (B) To issue an order when the commission finds that an intentional
3386 violation of any provision of chapter 155 or 157 has been committed,
3387 after an opportunity to be heard at a hearing conducted in accordance
3388 with sections 4-176e to 4-184, inclusive, which order may contain one
3389 or more of the following sanctions: (i) Removal of a [campaign]
3390 treasurer, deputy [campaign] treasurer or solicitor; (ii) prohibition on
3391 serving as a [campaign] treasurer, deputy [campaign] treasurer or
3392 solicitor, for a period not to exceed four years; and (iii) in the case of a
3393 party committee or a political committee, suspension of all political
3394 activities, including, but not limited to, the receipt of contributions and
3395 the making of expenditures, provided the commission may not order
3396 such a suspension unless the commission has previously ordered the
3397 removal of the [campaign] treasurer and notifies the officers of the
3398 committee that the commission is considering such suspension;

3399 (C) To issue an order revoking any person's eligibility to be
3400 appointed or serve as an election, primary or referendum official or
3401 unofficial checker or in any capacity at the polls on the day of an
3402 election, primary or referendum, when the commission finds such

3403 person has intentionally violated any provision of the general statutes
3404 relating to the conduct of an election, primary or referendum, after an
3405 opportunity to be heard at a hearing conducted in accordance with
3406 sections 4-176e to 4-184, inclusive;

3407 (D) To issue an order to enforce the provisions of the Help America
3408 Vote Act, P.L. 107-252, as amended from time to time, as the
3409 commission deems appropriate;

3410 (E) To issue an order following the commission's determination of
3411 the right of an individual to be or remain an elector when such
3412 determination is made (i) pursuant to an appeal taken to the
3413 commission from a decision of the registrars of voters or board of
3414 admission of electors under section 9-31l, or (ii) following the
3415 commission's investigation pursuant to subdivision (1) of this
3416 subsection;

3417 (F) To issue a cease and desist order for violation of any general
3418 statute or regulation under the commission's jurisdiction and to take
3419 reasonable actions necessary to compel compliance with such statute
3420 or regulation;

3421 (4) To issue an order to a candidate committee that receives moneys
3422 from the Citizens' Election Fund pursuant to chapter 157, to comply
3423 with the provisions of chapter 157, after an opportunity to be heard at
3424 a hearing conducted in accordance with the provisions of sections 4-
3425 176e to 4-184, inclusive;

3426 (5) (A) To inspect or audit at any reasonable time and upon
3427 reasonable notice the accounts or records of any [campaign] treasurer
3428 or principal [campaign] treasurer, except as provided for in
3429 subparagraph (B) of this subdivision, as required by chapter 155 or 157
3430 and to audit any such election, primary or referendum held within the
3431 state; provided, (i) (I) not later than two months preceding the day of
3432 an election at which a candidate is seeking election, the commission
3433 shall complete any audit it has initiated in the absence of a complaint

3434 that involves a committee of the same candidate from a previous
3435 election, and (II) during the two-month period preceding the day of an
3436 election at which a candidate is seeking election, the commission shall
3437 not initiate an audit in the absence of a complaint that involves a
3438 committee of the same candidate from a previous election, and (ii) the
3439 commission shall not audit any caucus, as defined in subdivision (1) of
3440 section 9-372. (B) When conducting an audit after an election or
3441 primary, the commission shall randomly audit not more than fifty per
3442 cent of candidate committees, which shall be selected through the
3443 process of a lottery conducted by the commission, except that the
3444 commissioner shall audit all candidate committees for candidates for a
3445 state-wide office. (C) The commission shall notify, in writing, any
3446 committee of a candidate for an office in the general election, or of any
3447 candidate who had a primary for nomination to any such office not
3448 later than May thirty-first of the year immediately following such
3449 election. In no case shall the commission audit any such candidate
3450 committee that the commission fails to provide notice to in accordance
3451 with this subparagraph;

3452 Sec. 32. Section 9-675 of the general statutes is repealed and the
3453 following is substituted in lieu thereof (*Effective from passage*):

3454 (a) The State Elections Enforcement Commission shall (1) create a
3455 software program or programs for the preparation of financial
3456 disclosure statements required by section 9-608, as amended by this
3457 act, and (2) prescribe the standard reporting format and specifications
3458 for other software programs created by vendors for such purpose. No
3459 software program created by a vendor may be used for the electronic
3460 submission of such financial disclosure statements, until the
3461 commission determines that the program provides for the standard
3462 reporting format, and complies with the specifications, which are
3463 prescribed under subdivision (2) of this subsection for vendor software
3464 programs. The commission shall provide training in the use of the
3465 software program or programs created by the commission.

3466 (b) The [campaign] treasurer of the candidate committee for each
3467 candidate for nomination or election to the office of Governor,
3468 Lieutenant Governor, Attorney General, State Comptroller, State
3469 Treasurer or Secretary of the State who raises or spends two hundred
3470 fifty thousand dollars or more during an election campaign shall file in
3471 electronic form all financial disclosure statements required by section
3472 9-608, as amended by this act, by either transmitting disks, tapes or
3473 other electronic storage media containing the contents of such
3474 statements to the State Elections Enforcement Commission or
3475 transmitting the statements on-line to said commission. Each such
3476 [campaign] treasurer shall use either (1) a software program created by
3477 the commission under subdivision (1) of subsection (a) of this section,
3478 for all such statements, or (2) another software program which
3479 provides for the standard reporting format, and complies with the
3480 specifications, which are prescribed by the commission under
3481 subdivision (2) of subsection (a) of this section, for all such statements.
3482 The commission shall accept any statement that uses any such
3483 software program. Once any such candidate committee has raised or
3484 spent two hundred fifty thousand dollars or more during an election
3485 campaign, all previously filed statements required by said section 9-
3486 608, as amended by this act, which were not filed in electronic form
3487 shall be refiled in such form, using such a software program, not later
3488 than the date on which the [campaign] treasurer of the committee is
3489 required to file the next regular statement under said section 9-608.

3490 (c) (1) The [campaign] treasurer of the candidate committee for any
3491 other candidate, as defined in section 9-601, as amended by this act,
3492 who is required to file the financial disclosure statements required by
3493 section 9-608, as amended by this act, with the commission, and (2) the
3494 [campaign] treasurer of any political committee or party committee,
3495 may file in electronic form any financial disclosure statements required
3496 by said section 9-608. Such filings may be made by either transmitting
3497 disks, tapes or other electronic storage media containing the contents
3498 of such statements to the proper authority under section 9-603 or
3499 transmitting the statements on-line to such proper authority. Each such

3500 [campaign] treasurer shall use either (A) a software program created
3501 by the commission under subdivision (1) of subsection (a) of this
3502 section, for all such statements filed in electronic form, or (B) another
3503 software program which provides for the standard reporting format,
3504 and complies with the specifications, which are prescribed by the
3505 commission under subdivision (2) of subsection (a) of this section, for
3506 all such statements filed in electronic form. The proper authority under
3507 section 9-603 shall accept any statement that uses any such software
3508 program.

3509 Sec. 33. Subdivision (7) of section 9-700 of the general statutes is
3510 repealed and the following is substituted in lieu thereof (*Effective from*
3511 *passage*):

3512 (7) "General election campaign" means (A) in the case of a candidate
3513 nominated at a primary, the period beginning on the day following the
3514 primary and ending on the date the [campaign] treasurer files the final
3515 statement for such campaign pursuant to section 9-608, as amended by
3516 this act, or (B) in the case of a candidate nominated without a primary,
3517 the period beginning on the day following the day on which the
3518 candidate is nominated and ending on the date the [campaign]
3519 treasurer files the final statement for such campaign pursuant to
3520 section 9-608, as amended by this act.

3521 Sec. 34. Subsection (a) of section 9-703 of the 2012 supplement to the
3522 general statutes is repealed and the following is substituted in lieu
3523 thereof (*Effective from passage*):

3524 (a) Each candidate for nomination or election to the office of state
3525 senator or state representative in 2008, or thereafter, or the office of
3526 Governor, Lieutenant Governor, Attorney General, State Comptroller,
3527 Secretary of the State or State Treasurer in 2010, or thereafter, shall file
3528 an affidavit with the State Elections Enforcement Commission. The
3529 affidavit shall include a written certification that the candidate either
3530 intends to abide by the expenditure limits under the Citizens' Election
3531 Program set forth in subsection (c) of section 9-702, as amended by this

3532 act, or does not intend to abide by said limits. If the candidate intends
3533 to abide by said limits, the affidavit shall also include written
3534 certifications (1) that the [campaign] treasurer of the candidate
3535 committee for said candidate shall expend any moneys received from
3536 the Citizens' Election Fund in accordance with the provisions of
3537 subsection (g) of section 9-607, as amended by this act, and regulations
3538 adopted by the State Elections Enforcement Commission under
3539 subsection (e) of section 9-706, (2) that the candidate shall repay to the
3540 fund any such moneys that are not expended in accordance with
3541 subsection (g) of section 9-607, as amended by this act, and said
3542 regulations, (3) that the candidate and the [campaign] treasurer shall
3543 comply with the provisions of subdivision (1) of subsection (a) of
3544 section 9-711, as amended by this act, and (4) stating the candidate's
3545 status as a major party, minor party or petitioning party candidate
3546 and, in the case of a major party or minor party candidate, the name of
3547 such party. The written certification described in subdivision (3) of this
3548 subsection shall be made by both the candidate and the [campaign]
3549 treasurer of the candidate committee for said candidate. A candidate
3550 for nomination or election to any such office shall file such affidavit
3551 not later than four o'clock p.m. on the twenty-fifth day before the day
3552 of a primary, if applicable, or on the fortieth day before the day of the
3553 election for such office, except that in the case of a special election for
3554 the office of state senator or state representative, the candidate shall
3555 file such affidavit not later than four o'clock p.m. on the twenty-fifth
3556 day before the day of such special election. Notwithstanding the
3557 provisions of this subsection, a candidate who is not required to form a
3558 candidate committee pursuant to subdivision (3) or (4) of subsection
3559 (b) of section 9-604, as amended by this act, files a certification with the
3560 commission pursuant to subsection (c) of section 9-603 and does not
3561 intend to participate in the Citizens' Election Program shall not be
3562 required to file such affidavit of intent not to abide by the expenditure
3563 limits of said program. Any such candidate shall be referred to as a
3564 nonparticipating candidate, in accordance with subsection (b) of this
3565 section.

3566 Sec. 35. Subsection (c) of section 9-704 of the 2012 supplement to the
3567 general statutes is repealed and the following is substituted in lieu
3568 thereof (*Effective from passage*):

3569 (c) The following shall not be deemed to be qualifying contributions
3570 under subsection (a) of this section and shall be returned by the
3571 [campaign] treasurer of the candidate committee to the contributor or
3572 transmitted to the State Elections Enforcement Commission for deposit
3573 in the Citizens' Election Fund:

3574 (1) A contribution from a principal of a state contractor or
3575 prospective state contractor;

3576 (2) A contribution of less than five dollars, and a contribution of five
3577 dollars or more from an individual who does not provide the full name
3578 and complete address of the individual;

3579 (3) A contribution under subdivision (1) or (2) of subsection (a) of
3580 this section from an individual who does not reside in the state, in
3581 excess of the applicable limit on contributions from out-of-state
3582 individuals in subsection (a) of this section; and

3583 (4) A contribution made by a youth who is less than twelve years of
3584 age.

3585 Sec. 36. Subsections (b) and (c) of section 9-706 of the 2012
3586 supplement to the general statutes are repealed and the following is
3587 substituted in lieu thereof (*Effective from passage*):

3588 (b) The application shall include a written certification that:

3589 (1) The candidate committee has received the required amount of
3590 qualifying contributions;

3591 (2) The candidate committee has repaid all moneys borrowed on
3592 behalf of the campaign, as required by subsection (b) of section 9-710;

3593 (3) The candidate committee has returned any contribution of five

3594 dollars or more from an individual who does not include the
3595 individual's name and address with the contribution;

3596 (4) The candidate committee has returned all contributions or
3597 portions of contributions that do not meet the criteria for qualifying
3598 contributions under section 9-704, as amended by this act, and
3599 transmitted all excess qualifying contributions to the Citizens' Election
3600 Fund;

3601 (5) The [campaign] treasurer of the candidate committee will: (A)
3602 Comply with the provisions of chapters 155 and 157, and (B) maintain
3603 and furnish all records required pursuant to chapters 155 and 157 and
3604 any regulation adopted pursuant to such chapters;

3605 (6) All moneys received from the Citizens' Election Fund will be
3606 deposited upon receipt into the depository account of the candidate
3607 committee;

3608 (7) The [campaign] treasurer of the candidate committee will
3609 expend all moneys received from the fund in accordance with the
3610 provisions of subsection (g) of section 9-607, as amended by this act,
3611 and regulations adopted by the State Elections Enforcement
3612 Commission under subsection (e) of this section; and

3613 (8) If the candidate withdraws from the campaign, becomes
3614 ineligible or dies during the campaign, the candidate committee of the
3615 candidate will return to the commission, for deposit in the fund, all
3616 moneys received from the fund pursuant to sections 9-700 to 9-716,
3617 inclusive, as amended by this act, which said candidate committee has
3618 not spent as of the date of such occurrence.

3619 (c) The application shall be accompanied by a cumulative itemized
3620 accounting of all funds received, expenditures made and expenses
3621 incurred but not yet paid by the candidate committee as of three days
3622 preceding the day the application is filed. Such accounting shall be
3623 sworn to under penalty of false statement by the [campaign] treasurer

3624 of the candidate committee. The commission shall prescribe the form
3625 of the application and the cumulative itemized accounting. The form
3626 for such accounting shall conform to the requirements of section 9-608,
3627 as amended by this act. Both the candidate and the [campaign]
3628 treasurer of the candidate committee shall sign the application.

3629 Sec. 37. Section 9-707 of the general statutes is repealed and the
3630 following is substituted in lieu thereof (*Effective from passage*):

3631 Following the initial deposit of moneys from the Citizens' Election
3632 Fund into the depository account of a qualified candidate committee,
3633 no contribution, loan, amount of the candidate's own moneys or any
3634 other moneys received by the candidate or the [campaign] treasurer on
3635 behalf of the committee shall be deposited into said depository
3636 account, except grants from the fund.

3637 Sec. 38. Subsection (b) of section 9-709 of the general statutes is
3638 repealed and the following is substituted in lieu thereof (*Effective from*
3639 *passage*):

3640 (b) If a candidate for nomination or election to the office of
3641 Lieutenant Governor is campaigning jointly with a candidate for
3642 nomination or election to the office of Governor, the candidate
3643 committee and any exploratory committee for the candidate for the
3644 office of Lieutenant Governor shall be dissolved as of the applicable
3645 date set forth in subsection (a) of this section. Not later than fifteen
3646 days after said date, the [campaign] treasurer of the candidate
3647 committee formed to aid or promote the success of said candidate for
3648 nomination or election to the office of Lieutenant Governor shall file a
3649 statement with the proper authority under section 9-603, identifying all
3650 contributions received or expenditures made by the committee since
3651 the previous statement and the balance on hand or deficit, as the case
3652 may be. Not later than thirty days after the applicable date set forth in
3653 subsection (a) of this section, (1) the [campaign] treasurer of a qualified
3654 candidate committee formed to aid or promote the success of said
3655 candidate for nomination or election to the office of Lieutenant

3656 Governor shall distribute any surplus to the fund, and (2) the
3657 [campaign] treasurer of a nonqualified candidate committee formed to
3658 aid or promote the success of said candidate for nomination or election
3659 to the office of Lieutenant Governor shall distribute such surplus in
3660 accordance with the provisions of subsection (e) of section 9-608, as
3661 amended by this act.

3662 Sec. 39. Section 9-711 of the general statutes is repealed and the
3663 following is substituted in lieu thereof (*Effective from passage*):

3664 (a) If an expenditure in excess of the applicable expenditure limit set
3665 forth in subsection (c) of section 9-702, as amended by this act, is made
3666 or incurred by a qualified candidate committee that receives a grant
3667 from the Citizens' Election Fund pursuant to section 9-706, as amended
3668 by this act, (1) the candidate and [campaign] treasurer of said
3669 committee shall be jointly and severally liable for paying for the excess
3670 expenditure, (2) the committee shall not receive any additional grants
3671 or moneys from the fund for the remainder of the election cycle if the
3672 State Elections Enforcement Commission determines that the
3673 candidate or [campaign] treasurer of said committee had knowledge of
3674 the excess expenditure, (3) the [campaign] treasurer shall be subject to
3675 penalties under section 9-7b, as amended by this act, and (4) the
3676 candidate of said candidate committee shall be deemed to be a
3677 nonparticipating candidate for the purposes of sections 9-700 to 9-716,
3678 inclusive, as amended by this act, if the commission determines that
3679 the candidate or [campaign] treasurer of said committee had
3680 knowledge of the excess expenditure. The commission may waive the
3681 provisions of this subsection upon determining that an excess
3682 expenditure is de minimis. The commission shall adopt regulations, in
3683 accordance with the provisions of chapter 54, establishing standards
3684 for making such determinations. Such standards shall include, but not
3685 be limited to, a finding by the commission that the candidate or
3686 [campaign] treasurer has, from the candidate's or [campaign]
3687 treasurer's personal funds, either paid the excess expenditure or
3688 reimbursed the qualified candidate committee for its payment of the

3689 excess expenditure.

3690 (b) If an individual, who is associated with the campaign of a
 3691 candidate whose qualified candidate committee has received a grant
 3692 from the Citizens' Election Fund pursuant to section 9-706, as amended
 3693 by this act, makes or incurs an expenditure in excess of the applicable
 3694 expenditure limit set forth in subsection (c) of section 9-702, as
 3695 amended by this act, for said committee, without the consent of the
 3696 candidate or [campaign] treasurer of the committee, the individual
 3697 shall (1) repay to the fund the amount of such excess expenditure, and
 3698 (2) shall be subject to penalties under section 9-7b, as amended by this
 3699 act. The provisions of this subsection shall not apply to an individual
 3700 who is the candidate or the [campaign] treasurer of such committee.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	9-601
Sec. 2	<i>from passage</i>	9-601a
Sec. 3	<i>from passage</i>	9-601b
Sec. 4	<i>from passage</i>	9-601c
Sec. 5	<i>from passage</i>	9-602
Sec. 6	<i>from passage</i>	9-604
Sec. 7	<i>from passage</i>	9-605
Sec. 8	<i>from passage</i>	9-606
Sec. 9	<i>from passage</i>	9-607
Sec. 10	<i>from passage</i>	9-608
Sec. 11	<i>from passage</i>	9-609(a)
Sec. 12	<i>from passage</i>	9-610
Sec. 13	<i>from passage</i>	9-611
Sec. 14	<i>from passage</i>	9-612
Sec. 15	<i>from passage</i>	9-613
Sec. 16	<i>from passage</i>	9-614(b)
Sec. 17	<i>from passage</i>	9-615
Sec. 18	<i>from passage</i>	9-617(b)
Sec. 19	<i>from passage</i>	9-618
Sec. 20	<i>from passage</i>	9-621
Sec. 21	<i>from passage</i>	9-622

Sec. 22	<i>from passage</i>	9-623(b)(1)
Sec. 23	<i>from passage</i>	9-624
Sec. 24	<i>from passage</i>	9-712
Sec. 25	<i>from passage</i>	9-718
Sec. 26	<i>from passage</i>	New section
Sec. 27	<i>from passage</i>	9-702(c)
Sec. 28	<i>from passage</i>	1-96(a) and (b)
Sec. 29	<i>from passage</i>	New section
Sec. 30	<i>from passage</i>	New section
Sec. 31	<i>from passage</i>	9-7b(a)(3) to (5)
Sec. 32	<i>from passage</i>	9-675
Sec. 33	<i>from passage</i>	9-700(7)
Sec. 34	<i>from passage</i>	9-703(a)
Sec. 35	<i>from passage</i>	9-704(c)
Sec. 36	<i>from passage</i>	9-706(b) and (c)
Sec. 37	<i>from passage</i>	9-707
Sec. 38	<i>from passage</i>	9-709(b)
Sec. 39	<i>from passage</i>	9-711

Statement of Purpose:

To amend the campaign finance laws, including provisions concerning independent expenditures and attributions, contribution limits, disclosure and fundraising under the Citizens' Election Program.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]