

**Bill History**  
**Session Year 2011**  
**Raised H.B. No. 6285**

[Raised Bill \[pdf\]](#)

**Introducer(s):**

Banks Committee

**Title:** AN ACT CONCERNING THE CONNECTICUT UNIFORM SECURITIES ACT.

**Statement of Purpose:** To conform with federal law by excusing all investment advisers who are excepted from the federal definition of investment adviser from the requirement that investment advisers exempted from the registration requirements set forth in subsection (c) of section 36b-6 file notice of exemption with the Banking Commissioner.

**Bill History:**

02/04/11 REFERRED TO JOINT COMMITTEE ON Banks Committee

03/03/11 PUBLIC HEARING 03/08

**Co-sponsor(s):**