



General Assembly

January Session, 2011

Raised Bill No. 6533

LCO No. 4188

04188 _____ GAE

Referred to Committee on Government Administration and Elections

Introduced by:
(GAE)

AN ACT CONCERNING THE CITIZENS' ELECTION PROGRAM AND CAMPAIGN FINANCE LAWS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Subsection (b) of section 9-606 of the general statutes is
2 repealed and the following is substituted in lieu thereof (*Effective*
3 *January 1, 2012, and applicable to primaries and elections held on or after said*
4 *date*):

5 (b) A contribution in the form of a check drawn on a joint bank
6 account shall, for the purpose of allocation, be deemed to be a
7 contribution made by the individual who signed the check, except in
8 the case that a signed statement from the holders of such account
9 indicates how such contribution should be allocated, in which case
10 such contribution shall be allocated as directed in the statement. If a
11 check is signed by more than one individual, the total amount of the
12 check shall be divided equally among the cosigners for the purpose of
13 allocation, unless otherwise directed by a signed statement. If a
14 committee receives an anonymous contribution of more than fifteen
15 dollars the campaign treasurer shall immediately remit the

16 contribution to the State Treasurer. The State Treasurer shall deposit
17 the contribution in the General Fund.

18 Sec. 2. Subsection (a) of section 9-608 of the general statutes is
19 repealed and the following is substituted in lieu thereof (*Effective*
20 *January 1, 2012, and applicable to primaries and elections held on or after said*
21 *date*):

22 (a) (1) Each campaign treasurer of a committee [, other than a state
23 central committee,] shall file a statement, sworn under penalty of false
24 statement with the proper authority in accordance with the provisions
25 of section 9-603, (A) on the tenth calendar day in the months of
26 January, April, July and October, provided, if such tenth calendar day
27 is a Saturday, Sunday or legal holiday, the statement shall be filed on
28 the next business day, (B) on the seventh day preceding each regular
29 state election, except that (i) in the case of a candidate or exploratory
30 committee established for an office to be elected at a municipal
31 election, the statement shall be filed on the seventh day preceding a
32 regular municipal election in lieu of such date, [and] (ii) in the case of a
33 town committee, the statement shall be filed on the seventh day
34 preceding each municipal election in addition to such date, and (iii) in
35 the case of a candidate committee in a state election that is required to
36 file any supplemental campaign finance statements pursuant to
37 subdivisions (1) and (2) of subsection (a) of section 9-712, as amended
38 by this act, such supplemental campaign finance statements shall
39 satisfy the filing requirement under this subdivision, and (C) if the
40 committee has made or received a contribution, organization
41 expenditure or expenditure in connection with any other election, a
42 primary or a referendum, on the seventh day preceding the election,
43 primary or referendum, except that in the case of a candidate
44 committee in a primary that is required to file statements pursuant to
45 subdivisions (1) and (2) of subsection (a) of section 9-712, as amended
46 by this act, such statements shall satisfy filing requirement under this
47 subdivision. The statement shall be complete as of eleven fifty-nine
48 o'clock p.m. of the last day of the month preceding the month in which

49 the statement is required to be filed, except that for the statement
50 required to be filed on the seventh day preceding the election, primary
51 or referendum, the statement shall be complete as of [seven days]
52 eleven fifty-nine o'clock p.m. of the second day immediately preceding
53 the required filing day. The statement shall cover a period to begin
54 with the first day not included in the last filed statement. In the case of
55 a candidate committee, the statement required to be filed in January
56 shall be in lieu of the statement formerly required to be filed within
57 forty-five days following an election.

58 (2) Each campaign treasurer of a candidate committee, within thirty
59 days following any primary, and each campaign treasurer of a political
60 committee formed for a single primary, election or referendum, within
61 forty-five days after any election or referendum not held in November,
62 shall file statements in the same manner as is required of them under
63 subdivision (1) of this subsection. If the campaign treasurer of a
64 candidate committee established by a candidate, who is unsuccessful
65 in the primary or has terminated his candidacy prior to the primary,
66 distributes all surplus funds within thirty days following the
67 scheduled primary and discloses the distribution on the postprimary
68 statement, such campaign treasurer shall not be required to file any
69 subsequent statement unless the committee has a deficit, in which case
70 he shall file any required statements in accordance with the provisions
71 of subdivision (3) of subsection (e) of this section.

72 [(3) In the case of state central committees, (A) on the tenth calendar
73 day in the months of January, April and July, provided, if such tenth
74 calendar day is a Saturday, Sunday or legal holiday, on the next
75 business day, and (B) on the twelfth day preceding any election, the
76 campaign treasurer of each such committee shall file with the proper
77 authority, a statement, sworn under penalty of false statement,
78 complete as of the last day of the month immediately preceding the
79 month in which such statement is to be filed in the case of statements
80 required to be filed in January, April and July, and complete as of the
81 nineteenth day preceding an election, in the case of the statement

82 required to be filed on the twelfth day preceding an election, and in
83 each case covering a period to begin with the first day not included in
84 the last filed statement.]

85 Sec. 3. Subsection (c) of section 9-608 of the general statutes is
86 repealed and the following is substituted in lieu thereof (*Effective*
87 *January 1, 2012, and applicable to primaries and elections held on or after said*
88 *date*):

89 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
90 section shall include, but not be limited to: (A) An itemized accounting
91 of each contribution, if any, including the full name and complete
92 address of each contributor and the amount of the contribution; (B) in
93 the case of anonymous contributions, the total amount received and
94 the denomination of the bills; (C) an itemized accounting of each
95 expenditure, if any, including the full name and complete address of
96 each payee, including secondary payees whenever the primary or
97 principal payee is known to include charges which the primary payee
98 has already paid or will pay directly to another person, vendor or
99 entity, the amount and the purpose of the expenditure, the candidate
100 supported or opposed by the expenditure, whether the expenditure is
101 made independently of the candidate supported or is an in-kind
102 contribution to the candidate, and a statement of the balance on hand
103 or deficit, as the case may be; (D) an itemized accounting of each
104 expense incurred but not paid, provided if the expense is incurred by
105 use of a credit card, the accounting shall include secondary payees,
106 and the amount owed to each such payee; (E) the name and address of
107 any person who is the guarantor of a loan to, or the cosigner of a note
108 with, the candidate on whose behalf the committee was formed, or the
109 campaign treasurer in the case of a party committee or a political
110 committee or who has advanced a security deposit to a telephone
111 company, as defined in section 16-1, for telecommunications service
112 for a committee; (F) for each business entity or person purchasing
113 advertising space in a program for a fund-raising affair, the name and
114 address of the business entity or the name and address of the person,

115 and the amount and aggregate amounts of such purchases; (G) for
116 each individual who contributes in excess of one hundred dollars but
117 not more than one thousand dollars, in the aggregate, to the extent
118 known, the principal occupation of such individual and the name of
119 the individual's employer, if any; (H) for each individual who
120 contributes in excess of one thousand dollars in the aggregate, the
121 principal occupation of such individual, the name of the individual's
122 employer, if any; (I) for each itemized contribution made by a lobbyist,
123 the spouse of a lobbyist or any dependent child of a lobbyist who
124 resides in the lobbyist's household, a statement to that effect; and (J) for
125 each individual who contributes in excess of four hundred dollars in
126 the aggregate to or for the benefit of any candidate's campaign for
127 nomination at a primary or election to the office of chief executive
128 officer of a town, city or borough, a statement indicating whether the
129 individual or a business with which he is associated has a contract
130 with said municipality that is valued at more than five thousand
131 dollars. Each campaign treasurer shall include in such statement (i) an
132 itemized accounting of the receipts and expenditures relative to any
133 testimonial affair held under the provisions of section 9-609 or any
134 other fund-raising affair, which is referred to in subsection (b) of
135 section 9-601a, and (ii) the date, location and a description of the affair.

136 (2) Each contributor described in subparagraph (G), (H), (I) or (J) of
137 subdivision (1) of this subsection shall, at the time the contributor
138 makes such a contribution, provide the information which the
139 campaign treasurer is required to include under said subparagraph in
140 the statement filed under subsection (a), (e) or (f) of this section.
141 Notwithstanding any provision of subdivision (2) of section 9-7b, any
142 contributor described in subparagraph (G) of subdivision (1) of this
143 subsection who does not provide such information at the time the
144 contributor makes such a contribution and any treasurer shall not be
145 subject to the provisions of subdivision (2) of section 9-7b. If a
146 campaign treasurer receives a contribution from an individual which
147 separately, or in the aggregate, is in excess of one thousand dollars and
148 the contributor has not provided the information required by said

149 subparagraph (H) or if a campaign treasurer receives a contribution
150 from an individual to or for the benefit of any candidate's campaign
151 for nomination at a primary or election to the office of chief executive
152 officer of a town, city or borough, which separately, or in the
153 aggregate, is in excess of four hundred dollars and the contributor has
154 not provided the information required by said subparagraph (J), the
155 campaign treasurer: (i) Within three business days after receiving the
156 contribution, shall send a request for such information to the
157 contributor by certified mail, return receipt requested; (ii) shall not
158 deposit the contribution until the campaign treasurer obtains such
159 information from the contributor, notwithstanding the provisions of
160 section 9-606; and (iii) shall return the contribution to the contributor if
161 the contributor does not provide the required information within
162 fourteen days after the treasurer's written request or the end of the
163 reporting period in which the contribution was received, whichever is
164 later. Any failure of a contributor to provide the information which the
165 campaign treasurer is required to include under said subparagraph (G)
166 or (I), which results in noncompliance by the campaign treasurer with
167 the provisions of said subparagraph (G) or (I), shall be a complete
168 defense to any action against the campaign treasurer for failure to
169 disclose such information.

170 (3) In addition to the requirements of subdivision (2) of this
171 subsection, each contributor who makes a contribution to a candidate
172 or exploratory committee for Governor, Lieutenant Governor,
173 Attorney General, State Comptroller, Secretary of the State, State
174 Treasurer, state senator or state representative, any political committee
175 authorized to make contributions to such candidates or committees,
176 and any party committee that separately, or in the aggregate, exceeds
177 fifty dollars shall provide with the contribution a certification that the
178 contributor is not a principal of a state contractor or prospective state
179 contractor, as defined in subsection (g) of section 9-612, nor a
180 communicator lobbyist or a member of the immediate family of a
181 communicator lobbyist and shall provide the name of the employer of
182 the contributor. The State Elections Enforcement Commission shall

183 prepare a sample form for such certification by the contributor and
184 shall make it available to campaign treasurers and contributors. Such
185 sample form shall include an explanation of the terms "communicator
186 lobbyist" and "principal of a state contractor or principal of a
187 prospective state contractor". The information on such sample form
188 shall be included in any written solicitation conducted by any such
189 committee. If a campaign treasurer receives such a contribution and
190 the contributor has not provided such certification, the campaign
191 treasurer shall: (A) Not later than three business days after receiving
192 the contribution, send a request for the certification to the contributor
193 by certified mail, return receipt requested; (B) not deposit the
194 contribution until the campaign treasurer obtains the certification from
195 the contributor, notwithstanding the provisions of section 9-606; and
196 (C) return the contribution to the contributor if the contributor does
197 not provide the certification not later than fourteen days after the
198 treasurer's written request or at the end of the reporting period in
199 which the contribution was received, whichever is later. No treasurer
200 shall be required to obtain and keep more than one certification from
201 each contributor, unless information certified to by the contributor,
202 other than the amount contributed, changes. If a campaign treasurer
203 deposits a contribution based on a certification that is later determined
204 to be false, the treasurer shall not be in violation of this subdivision.

205 (4) Contributions from a single individual to a campaign treasurer
206 in the aggregate totaling fifty dollars or less need not be individually
207 identified in the statement, but a sum representing the total amount of
208 all such contributions made by all such individuals during the period
209 to be covered by such statement shall be a separate entry, identified
210 only by the words "total contributions from small contributors".

211 (5) Each statement filed by the campaign treasurer of a party
212 committee, a legislative caucus committee or a legislative leadership
213 committee shall include an itemized accounting of each organization
214 expenditure made by the committee. Concomitant with the filing of
215 any such statement containing an accounting of an organization

216 expenditure made by the committee for the benefit of a [participating]
217 candidate, [for the office of state senator or state representative,] such
218 campaign treasurer shall provide notice of the amount and purpose of
219 the organization expenditure to the candidate committee of such
220 candidate.

221 (6) In addition to the other applicable requirements of this section,
222 the campaign treasurer of a candidate committee of a [participating]
223 candidate [for the office of state senator or state representative] who
224 has received the benefit of any organization expenditure shall, not later
225 than the time of dissolving such committee, file a statement with the
226 State Elections Enforcement Commission that lists, if known to such
227 candidate committee, the committee which made such organization
228 expenditure for such candidate's behalf and the amount and purpose
229 of such organization expenditure.

230 (7) Statements filed in accordance with this section shall remain
231 public records of the state for five years from the date such statements
232 are filed.

233 Sec. 4. Subsection (d) of section 9-608 of the general statutes is
234 repealed and the following is substituted in lieu thereof (*Effective*
235 *January 1, 2012, and applicable to primaries and elections held on or after said*
236 *date*):

237 (d) At the time of filing statements required under this section, the
238 campaign treasurer of each candidate committee shall send to the
239 candidate a duplicate statement and the campaign treasurer of each
240 party committee and each political committee other than an
241 exploratory committee shall send to the chairman of the committee a
242 duplicate statement. Each statement required to be filed with the
243 commission under this section, [and subsection (g) of section 9-610,]
244 subsection (e) of section 9-612, section 9-706 or section 9-712, as
245 amended by this act, shall be deemed to be filed in a timely manner if:
246 (1) For statements filed as hard copies, including, but not limited to,
247 statements delivered by the United States Postal Service, courier

248 service, parcel service or hand delivery, the statement is received by
249 the commission by five o'clock p.m. on the day the statement is
250 required to be filed, (2) for statements authorized by the commission to
251 be filed electronically, including, but not limited to, statements filed
252 via dedicated electronic mail, dedicated facsimile machine, web-based
253 programs created by the commission or other electronic means, the
254 statement is transmitted to the commission not later than eleven fifty-
255 nine o'clock p.m. of the filing deadline for such statement, or (3) for
256 statements required to be filed pursuant to subsection (e) of section 9-
257 612, section 9-706, as amended by this act, or section 9-712, as amended
258 by this act, by the deadline specified in each such section or sub-
259 section. Each statement required to be filed with the town clerk under
260 this section shall be deemed to be filed in a timely manner if it is
261 delivered by hand to the office of the [proper authority] town clerk in
262 accordance with the provisions of section 9-603 before four-thirty
263 o'clock p.m. or postmarked by the United States Postal Service before
264 midnight on the required filing day. If the day for any [such] filing falls
265 on a Saturday, Sunday or legal holiday, the statement shall be filed on
266 the next business day thereafter in accordance with the provisions of
267 this subsection.

268 Sec. 5. Subsection (a) of section 9-703 of the general statutes is
269 repealed and the following is substituted in lieu thereof (*Effective*
270 *January 1, 2012, and applicable to primaries and elections held on or after said*
271 *date*):

272 (a) Each candidate for nomination or election to the office of state
273 senator or state representative in 2008, or thereafter, or the office of
274 Governor, Lieutenant Governor, Attorney General, State Comptroller,
275 Secretary of the State or State Treasurer in 2010, or thereafter, shall file
276 an affidavit with the State Elections Enforcement Commission. The
277 affidavit shall include a written certification that the candidate either
278 intends to abide by the expenditure limits under the Citizens' Election
279 Program set forth in subsection (c) of section 9-702, or does not intend
280 to abide by said limits. If the candidate intends to abide by said limits,

281 the affidavit shall also include written certifications (1) that the
282 campaign treasurer of the candidate committee for said candidate shall
283 expend any moneys received from the Citizens' Election Fund in
284 accordance with the provisions of subsection (g) of section 9-607 and
285 regulations adopted by the State Elections Enforcement Commission
286 under subsection (e) of section 9-706, (2) that the candidate shall repay
287 to the fund any such moneys that are not expended in accordance with
288 subsection (g) of said section 9-607 and said regulations, (3) that the
289 candidate and the campaign treasurer shall comply with the
290 provisions of subdivision (1) of subsection (a) of section 9-711, and (4)
291 stating the candidate's status as a major party, minor party or
292 petitioning party candidate and, in the case of a major party or minor
293 party candidate, the name of such party. The written certification
294 described in subdivision (3) of this subsection shall be made by both
295 the candidate and the campaign treasurer of the candidate committee
296 for said candidate. A candidate for nomination or election to any such
297 office shall file such affidavit not later than four o'clock p.m. on the
298 twenty-fifth day before the day of a primary, if applicable, or on the
299 fortieth day before the day of the election for such office, except that in
300 the case of a special election for the office of state senator or state
301 representative, the candidate shall file such affidavit not later than four
302 o'clock p.m. on the twenty-fifth day before the day of such special
303 election. Notwithstanding the provisions of this subsection, a
304 candidate who is not required to form a candidate committee pursuant
305 to subdivision (3) or (4) of subsection (b) of section 9-604 who files a
306 certification with the commission pursuant to subsection (c) of section
307 9-603 and who does not intend to participate in the Citizens' Election
308 Program shall not be required to file such affidavit of intent not to
309 abide by the expenditure limits of said program. Any such candidate
310 shall be referred to as a nonparticipating candidate, in accordance with
311 subsection (b) of this section.

312 Sec. 6. Subsections (c) to (e), inclusive, of section 9-704 of the general
313 statutes are repealed and the following is substituted in lieu thereof
314 (*Effective January 1, 2012, and applicable to primaries and elections held on*

315 *or after said date):*

316 (c) The following shall not be deemed to be qualifying contributions
317 under subsection (a) of this section and shall be returned by the
318 campaign treasurer of the candidate committee to the contributor or
319 transmitted to the State Elections Enforcement Commission for deposit
320 in the Citizens' Election Fund:

321 (1) A contribution from a principal of a state contractor or
322 prospective state contractor;

323 (2) A contribution of less than five dollars, and a contribution of five
324 dollars or more from an individual who does not provide the full name
325 and complete address of the individual; [and]

326 (3) A contribution under subdivision (1) or (2) of subsection (a) of
327 this section from an individual who does not reside in the state, in
328 excess of the applicable limit on contributions from out-of-state
329 individuals in subsection (a) of this section; and

330 (4) A contribution made by an individual who is less than twelve
331 years of age.

332 (d) After a candidate committee receives the applicable aggregate
333 amount of qualifying contributions under subsection (a) of this section,
334 the candidate committee shall transmit any additional contributions
335 that it receives to the State Treasurer for deposit in the Citizens'
336 Election Fund.

337 (e) As used in this section, "principal of a state contractor or
338 prospective state contractor" has the same meaning as provided in
339 subsection (g) of section 9-612, and "individual" shall include sole
340 proprietorships.

341 Sec. 7. Subsection (c) of section 9-706 of the general statutes is
342 repealed and the following is substituted in lieu thereof (*Effective*
343 *January 1, 2012, and applicable to primaries and elections held on or after said*

344 *date):*

345 (c) The application shall be accompanied by a cumulative itemized
 346 accounting of all funds received, expenditures made and expenses
 347 incurred but not yet paid by the candidate committee as of three days
 348 [before the applicable application deadline contained in subsection (g)
 349 of this section] immediately preceding the day the application is filed.
 350 Such accounting shall be sworn to under penalty of false statement by
 351 the campaign treasurer of the candidate committee. The commission
 352 shall prescribe the form of the application and the cumulative itemized
 353 accounting. The form for such accounting shall conform to the
 354 requirements of section 9-608. Both the candidate and the campaign
 355 treasurer of the candidate committee shall sign the application.

356 Sec. 8. Subsection (g) of section 9-706 of the general statutes is
 357 repealed and the following is substituted in lieu thereof (*Effective*
 358 *January 1, 2012, and applicable to primaries and elections held on or after said*
 359 *date):*

360 (g) (1) Any application submitted pursuant to this section for a
 361 primary or general election shall be submitted in accordance with the
 362 following schedule: (A) By five o'clock p.m. on the third Thursday in
 363 May of the year that the primary or election will be held at which such
 364 participating candidate will seek nomination or election, or (B) by five
 365 o'clock p.m. on any subsequent Thursday of such year, provided no
 366 application shall be accepted by the commission after five o'clock p.m.
 367 on or after the fourth to last Friday prior to the primary or election at
 368 which such participating candidate will seek nomination or election.
 369 Not later than four business days following any such Thursday or
 370 Friday, as applicable, for participating candidates seeking nomination
 371 or election to the office of state senator or state representative, or, ten
 372 business days following any such Thursday or Friday, as applicable,
 373 for participating candidates seeking nomination or election to the
 374 office of Governor, Lieutenant Governor, Attorney General, State
 375 Comptroller, State Treasurer or Secretary of the State or, in the event of

376 a national, regional or local emergency or local natural disaster, as
377 soon thereafter as is practicable, the commission shall review any
378 application received by such Thursday or Friday, in accordance with
379 the provisions of subsection (d) of this section, and determine whether
380 such application shall be approved or disapproved, except if an
381 application for a general election grant is received during the seven
382 calendar days preceding the last primary application deadline, as set
383 forth in this subsection, such application shall be reviewed not later
384 than four business days or ten business days, as applicable, after the
385 first general election application deadline following the last primary
386 application deadline. For any such application that is approved, any
387 disbursement of funds shall be made not later than twelve business
388 days prior to any such primary or general election. From the third
389 week of June in even-numbered years until the third week in July, the
390 commission shall meet twice weekly to determine whether or not to
391 approve applications for grants if there are pending grant applications.

392 (2) Notwithstanding the provisions of subdivision (1) of this
393 subsection, no application for a special election shall be accepted by
394 the commission after five o'clock p.m. on or after ten business days
395 prior to the special election at which such participating candidate will
396 seek election. Not later than three business days following such
397 deadline, or, in the event of a national, regional or local emergency or
398 local natural disaster, as soon thereafter as practicable, the commission
399 shall review any such application received by such deadline, in
400 accordance with the provisions of subsection (d) of this section, and
401 determine whether such application shall be approved or disapproved.
402 For any such application that is approved, any disbursement of funds
403 shall be made not later than seven business days prior to any such
404 special election.

405 (3) The commission shall publish such application review schedules
406 and meeting schedules on the commission's web site and with the
407 Secretary of the State.

408 Sec. 9. Subsection (a) of section 9-712 of the general statutes is
409 repealed and the following is substituted in lieu thereof (*Effective*
410 *January 1, 2012, and applicable to primaries and elections held on or after said*
411 *date*):

412 [(a)(1) If a candidate committee in a primary campaign or a general
413 election campaign in which there is at least one participating candidate
414 initially receives contributions, loans or other funds or makes or incurs
415 an obligation to make, an expenditure that, in the aggregate, exceeds
416 ninety per cent of the applicable expenditure limit for the applicable
417 primary or general election period, the campaign treasurer of the
418 candidate committee receiving such contributions, loans or other funds
419 or making or incurring the obligation to make the excess expenditure
420 shall file a supplemental campaign finance statement with the State
421 Elections Enforcement Commission in accordance with the provisions
422 of subdivision (2) of this subsection.

423 (2) If a candidate committee receives contributions, loans or other
424 funds, or makes or incurs an obligation to make an expenditure that, in
425 the aggregate, exceeds ninety per cent of the applicable expenditure
426 limit for the applicable primary or general election campaign period
427 more than twenty days before the day of such primary or election, the
428 campaign treasurer of said candidate shall file an initial supplemental
429 campaign finance disclosure statement with the commission not later
430 than forty-eight hours after receiving such contributions, loans or other
431 funds, or making or incurring such expenditure. If said candidate
432 committee receives contributions, loans or other funds, or makes or
433 incurs an obligation to make expenditures, that, in the aggregate,
434 exceed ninety per cent of the applicable expenditure limit for the
435 applicable primary or general election campaign period twenty days or
436 less before the day of such primary or election, the campaign treasurer
437 of such candidate shall file such statement with the commission not
438 later than twenty-four hours after receiving such contributions, loans
439 or funds, or making or incurring such expenditure.

440 (3) After the initial filing of a statement under subdivisions (1) and
441 (2) of this subsection, the campaign treasurer of the candidate filing the
442 statement and the campaign treasurer of all of the opposing candidates
443 shall file periodic supplemental campaign finance statements with the
444 commission on the following schedule: (A) If the date of the applicable
445 primary or general election is more than five weeks after the date the
446 initial supplemental campaign finance disclosure statement is due to
447 be filed in accordance with subdivisions (1) and (2) of this subsection,
448 periodic supplemental campaign finance statements shall be filed bi-
449 weekly on every other Thursday, beginning the second Thursday after
450 the initial statement is filed; and (B) if the date of the applicable
451 primary election or general election is five weeks or less away, periodic
452 supplemental campaign finance statements shall be filed: (i) In the case
453 of a primary campaign, on the first Thursday following the date in July
454 on which candidates are required to file campaign finance statements
455 pursuant to subsection (a) of section 9-608, or the first Thursday
456 following the supplemental campaign finance statement filed under
457 subdivisions (1) and (2) of this subsection, whichever is later, and each
458 Thursday thereafter until the Thursday before the day of the primary,
459 inclusive, and (ii) in the case of a general election campaign, on the
460 first Thursday following the date in October on which candidates are
461 required to file campaign finance statements pursuant to subsection (a)
462 of section 9-608, or the first Thursday following the supplemental
463 campaign finance statement filed under subdivision (1) of this
464 subsection, whichever is later, and each Thursday thereafter until the
465 Thursday after the day of the election, inclusive.

466 (4) Notwithstanding the provisions of subdivisions (1), (2) and (3) of
467 this subsection, if a candidate committee in a primary campaign or a
468 general election campaign in which there is at least one participating
469 candidate receives contributions, loans or other funds, or makes or
470 incurs an obligation to make expenditures that, in the aggregate,
471 exceed one hundred per cent, one hundred twenty-five per cent, one
472 hundred fifty per cent, or one hundred seventy-five per cent of the
473 applicable expenditure limit for the applicable primary or general

474 election campaign period, the campaign treasurer of the candidate
475 committee receiving the contributions, incurring the loans or raising
476 the funds, or making or incurring the obligation to make the excess
477 expenditure or expenditures shall file a declaration of excess receipts
478 or expenditures statement with the commission, within the deadlines
479 set forth in subdivision (2) of this subsection.]

480 (a) (1) The campaign treasurer of each candidate committee in a
481 primary campaign or a general election campaign in which there is at
482 least one participating candidate shall file weekly supplemental
483 campaign finance statements with the commission in accordance with
484 the provisions of subdivision (2) of this subsection. Such weekly
485 statements shall be in lieu of the campaign finance statements due
486 pursuant to subparagraphs (B) and (C) of subdivision (1) of subsection
487 (a) of section 9-608, as amended by this act.

488 (2) Each such campaign treasurer shall file weekly supplemental
489 campaign finance statements with the commission pursuant to the
490 following schedule: (A) In the case of a primary campaign, on the next
491 Thursday following the date in July on which treasurers are required
492 to file campaign finance statements pursuant to subparagraph (A) of
493 subdivision (1) of subsection (a) of section 9-608, as amended by this
494 act, and each Thursday thereafter up to and including the Thursday
495 before the day of the primary, and (B) in the case of a general election
496 campaign, on the next Thursday following the date in October on
497 which candidates are required to file campaign finance statements
498 pursuant to subparagraph (A) of subdivision (1) of subsection (a) of
499 section 9-608, as amended by this act, and each Thursday thereafter up
500 to and including the Thursday before the day of the election. The
501 statement shall be complete as of eleven fifty-nine o'clock p.m. of the
502 second day immediately preceding the required filing day. The
503 statement shall cover the period beginning with the first day not
504 included in the last filed statement.

505 (3) Notwithstanding the provisions of subdivisions (1) and (2) of

506 this subsection, if a participating candidate committee in a primary
507 campaign or a general election campaign in which there is at least one
508 participating candidate makes expenditures or incurs an obligation to
509 make expenditures that, in the aggregate, exceed one hundred per cent
510 of the applicable expenditure limit for the applicable primary or
511 general election campaign period, the campaign treasurer of any such
512 candidate committee shall file a declaration of excess expenditures
513 statement with the commission, pursuant to the following schedule:
514 (A) If a candidate committee makes expenditures or incurs an
515 obligation to make such expenditures more than twenty days before
516 the day of such primary or election, the campaign treasurer of such
517 candidate shall file such statement with the commission not later than
518 forty-eight hours after making such expenditures or incurring an
519 obligation to make such expenditures, and (B) if a candidate committee
520 makes such expenditures or incurs an obligation to make such
521 expenditures twenty days or less before the day of such primary or
522 election, the campaign treasurer of such candidate shall file such
523 statement with the commission not later than twenty-four hours after
524 making such expenditures or incurring an obligation to make such
525 expenditures. The statement shall be complete as of eleven fifty-nine
526 o'clock p.m. of the first day immediately preceding the required filing
527 day. The statement shall cover a period beginning with the first day
528 not included in the last filed statement.

529 (4) Notwithstanding the provisions of this subsection, the
530 statements required to be filed pursuant to subdivisions (1) and (2) of
531 this subsection shall not be required to be filed by (A) a candidate
532 committee of a nonparticipating candidate that is exempt from filing
533 campaign finance statements pursuant to subsection (b) of section 9-
534 608, as amended by this act, unless or until such a candidate committee
535 receives or expends an amount in excess of one thousand dollars for
536 purposes of the primary or election for which such committee was
537 formed, or (B) a candidate committee of a participating candidate that
538 is unopposed, except that such candidate committee shall file a
539 supplemental statement on the last Thursday before the applicable

540 primary or general election. Such statement shall be complete as of
541 eleven fifty-nine o'clock p.m. of the second day immediately preceding
542 the required filing day. The statement shall cover a period beginning
543 with the first day not included in the last filed statement.

544 (5) Each supplemental statement required under subdivision (1), (2)
545 [(3) or (4)] or (3) of this subsection for a candidate shall disclose [the
546 name of the candidate, the name of the candidate's campaign
547 committee and the total amount of campaign contributions, loans or
548 other funds received, or expenditures made or obligated to be made by
549 such candidate committee during the primary campaign or the general
550 election campaign, whichever is applicable, as of the day before the
551 date on which such statement is required to be filed] the information
552 required under subsection (c) of section 9-608, as amended by this act.
553 The commission shall adopt regulations, in accordance with the
554 provisions of chapter 54, specifying permissible media for the
555 transmission of such statements to the commission, which shall
556 include electronic mail.

557 Sec. 10. Section 9-718 of the general statutes is repealed and the
558 following is substituted in lieu thereof (*Effective January 1, 2012, and*
559 *applicable to primaries and elections held on or after said date*):

560 (a) Notwithstanding any provision of chapter 155 or this chapter, no
561 state central committee shall make an organization expenditure for the
562 benefit of a candidate for the office of Governor in an amount that
563 exceeds fifty thousand dollars for the general election campaign.

564 (b) Notwithstanding any provision of chapter 155 or this chapter, no
565 party committee, as defined in section 9-601, shall make an
566 organization expenditure for the purposes described in subparagraph
567 (A) of subdivision (25) of section 9-601 for the benefit of a candidate for
568 the office of Governor for the primary campaign.

569 (c) Notwithstanding any provision of chapter 155 or this chapter, no
570 state central committee shall make an organization expenditure for the

571 benefit of a candidate for the office of Lieutenant Governor, Attorney
572 General, State Comptroller, State Treasurer or Secretary of the State in
573 an amount that exceeds thirty five thousand dollars per candidate for
574 the general election campaign.

575 (d) Notwithstanding any provision of chapter 155 or this chapter, no
576 party committee shall make an organization expenditure for the
577 purposes described in subparagraph (A) of subdivision (25) of section
578 9-601 for the benefit of a candidate for the office of Lieutenant
579 Governor, Attorney General, State Comptroller, State Treasurer or
580 Secretary of the State for the primary campaign.

581 (e) Notwithstanding any provision of chapter 155 or this chapter, no
582 town committee, shall make an organization expenditure for the
583 benefit of a candidate for the office of Governor, Lieutenant Governor,
584 Attorney General, State Comptroller, State Treasurer or Secretary of
585 the State in an amount that exceeds thirteen thousand five hundred
586 dollars for the general election campaign.

587 [(a)] (f) Notwithstanding any provision of [the general statutes]
588 chapter 155 or this chapter, no party committee, legislative caucus
589 committee or legislative leadership committee shall make an
590 organization expenditure for the benefit of a [participating] candidate
591 [or the candidate committee of a participating candidate in the
592 Citizens' Election Program] for the office of state senator in an amount
593 that exceeds ten thousand dollars for the general election campaign.

594 [(b)] (g) Notwithstanding any provision of [the general statutes]
595 chapter 155 or this chapter, no party committee, legislative caucus
596 committee or legislative leadership committee shall make an
597 organization expenditure for the purposes described in subparagraph
598 (A) of subdivision (25) of section 9-601 for the benefit of a
599 [participating] candidate [or the candidate committee of a participating
600 candidate in the Citizens' Election Program] for the office of state
601 senator for the primary campaign.

602 [(c)] (h) Notwithstanding any provision of [the general statutes]
603 chapter 155 or this chapter, no party committee, legislative caucus
604 committee or legislative leadership committee shall make an
605 organization expenditure for the benefit of a [participating] candidate
606 [or the candidate committee of a participating candidate in the
607 Citizens' Election Program] for the office of state representative in an
608 amount that exceeds three thousand five hundred dollars for the
609 general election campaign.

610 [(d)] (i) Notwithstanding any provision of [the general statutes]
611 chapter 155 or this chapter, no party committee, legislative caucus
612 committee or legislative leadership committee shall make an
613 organization expenditure for the purposes described in subparagraph
614 (A) of subdivision (25) of section 9-601 for the benefit of a
615 [participating] candidate [or the candidate committee of a participating
616 candidate in the Citizens' Election Program] for the office of state
617 representative for the primary campaign.

618 Sec. 11. Subsection (a) of section 9-603 of the general statutes is
619 repealed and the following is substituted in lieu thereof (*Effective*
620 *January 1, 2012, and applicable to primaries and elections held on or after said*
621 *date*):

622 (a) Statements filed by party committees, political committees
623 formed to aid or promote the success or defeat of a referendum
624 question proposing a constitutional convention, constitutional
625 amendment or revision of the Constitution, individual lobbyists, and
626 those political committees and candidate committees formed to aid or
627 promote the success or defeat of any candidate for the office of
628 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
629 State Comptroller, Attorney General, judge of probate and members of
630 the General Assembly, shall be filed with the State Elections
631 Enforcement Commission. [A copy of each statement filed by a town
632 committee shall be filed at the same time with the town clerk of the
633 municipality in which the committee is situated.] A political committee

634 formed for a slate of candidates in a primary for the office of justice of
635 the peace shall file statements with both the State Elections
636 Enforcement Commission and the town clerk of the municipality in
637 which the primary is to be held.

638 Sec. 12. Subsection (b) of section 9-609 of the general statutes is
639 repealed and the following is substituted in lieu thereof (*Effective*
640 *January 1, 2012, and applicable to primaries and elections held on or after said*
641 *date*):

642 (b) As used in this subsection, "testimonial affair" means an affair
643 held in honor of an individual who holds, or who is or was a candidate
644 for nomination or election to, an office subject to this chapter. No
645 testimonial affair shall be held without the consent of such person. No
646 testimonial affair shall be held for a candidate, or for an individual
647 who holds any such office during the term of such office, except to
648 raise funds on [his] the candidate's behalf, or on behalf of a party
649 committee, for purposes authorized in this chapter. A testimonial affair
650 which is held by an organization duly organized for charitable
651 purposes shall be exempt from the provisions of this chapter. A
652 testimonial affair which is held for an individual upon his retirement
653 from public office shall also be exempt from the provisions of this
654 chapter unless a deficit exists from any such individual's campaigns
655 for election or nomination to an office subject to this chapter. Any
656 fund-raising affair for any candidate or individual who holds any such
657 office for any purposes other than those authorized in this chapter
658 shall be prohibited. Any person who organizes such a fund-raising
659 affair shall be in violation of this section.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-606(b)

Sec. 2	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-608(a)
Sec. 3	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-608(c)
Sec. 4	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-608(d)
Sec. 5	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-703(a)
Sec. 6	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-704(c) to (e)
Sec. 7	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-706(c)
Sec. 8	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-706(g)
Sec. 9	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-712(a)
Sec. 10	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-718
Sec. 11	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-603(a)

Sec. 12	<i>January 1, 2012, and applicable to primaries and elections held on or after said date</i>	9-609(b)
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Statement of Purpose:

To amend campaign finance provisions to simplify the process for documenting qualifying contributions from individuals with joint checking accounts, to modify reporting requirements, to prohibit qualifying contributions from minors under the age of twelve and to allow qualifying contributions from sole proprietorships, to allow ten business days for review of grant applications for state-wide offices, to create filing exemption for candidates who certify they will spend less than one thousand dollars, to extend review period for grant applications during primary grant determination week and to add organization expenditure limits for state-wide offices.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]